



CITY COUNCIL REGULAR SESSION

Monday, June 13, 2022 – 6:00 PM

109 North Kaufman Street, Mount Vernon, Texas 75457

Our mission: to provide effective and fiscally responsible municipal services in a manner which promotes our high standard of community life.

Vision Statement Mount Vernon is a caring community committed to excellence and quality of life, aspiring to be the community of choice for ourselves, our children, and future generations – beautiful, clean, vibrant, and safe. We will strive to preserve our heritage, our friendly hometown atmosphere, and celebrate the diversity of all our citizens.

AGENDA

Call to order and announce a quorum is present.

Invocation and Pledges

Consent Agenda

Items on the Consent Agenda are approved by a single action of the Council, with such approval applicable to all items appearing on the Consent Agenda. A Council Member may request any item to be removed from the Consent Agenda and considered as a separate item.

1. Minutes 5/9/2022
May 2022 financial report

Report on Items of Community Interest

The City Council will have an opportunity to address items of community interest, including: expressions of thanks, congratulations, or condolence; information regarding holiday schedules; an honorary or salutary recognition of a public official, public employee, or other citizen; a reminder about an upcoming event organized or sponsored by the City of Mount Vernon; information regarding a social, ceremonial, or community event organized or sponsored by an entity other than the City of Mount Vernon that was attended or is scheduled to be attended by a member of the City Council or an official or employee of the City of Mount Vernon; and announcements involving an imminent threat to the public health and safety of people in the City of Mount Vernon that has arisen after posting the agenda.

Citizen Participation (3 minutes)

The Texas Open Meetings Act prohibits the Council from responding to any comments other than to refer the matter to a future agenda, to an existing policy, or to a staff person with specific information. Claims against the City, Council Members, or employees, as well as individual personnel appeals are not appropriate for citizens' forum.

Public Hearing

The purpose of this hearing is to hear evidence for or against a request made by B F Hicks, owner, to rezone from Residential Three (R3) to Outdoor Commercial (OC) at his property located at 420 Holbrook Street in Mt. Vernon, Texas.

Items to be Considered:

2. Consider and Act upon approval of Ordinance 2022-05 rezoning the property located at 420 Holbrook Street from Residential Three (R3) to Outdoor Commercial (OC).
3. Consider and Act upon Interlocal Agreement with ATCOG in connection with planning and groundwork of grants related to Texas Dept of Agriculture (TDA) and/or Dept of Commerce (EDA).
4. Consider and Act upon approval of amendment to EDC Application Policies.
5. Consider and Act upon approval of Resolution 22-02 EDC incentive contract with Robin Wallace d/b/a Sheers Gift and Salon.

Executive Session:

Personnel matters pursuant to *Texas Government Code*, §551.074, to deliberate the appointment, employment, evaluation, reassignment, duties, discipline, dismissal or resignation of a public officer: Economic Development Corporation Board

Reconvene:

Reconvene into Open Session to Consider Matters Discussed in Closed Session (If Any)

Discussion Items and Mayor/Council/City Administrator Reports

Water, Sewer, Streets, Park, Sidewalks, Grants, Juneteenth Federal Holiday, Budget (City Admin)

Main Street Report

EDC Report

Bond Council report

Join Zoom Meeting

<https://us02web.zoom.us/j/85071327569?pwd=UTRIYnVCbXJJdS96NTdLY2MrQmlYdz09>

Meeting ID: 850 7132 7569

Passcode: 409721

6. debt overview
7. FYI - March - May 2022 Court EOM reports

Presiding Officer to Adjourn the City Council Meeting

Notes to the Agenda:

Items marked with an * are consent items considered to be non-controversial and will be voted on in one motion unless a council member asks for separate discussion.

The Council may vote and/or act upon each of the items listed in this Agenda except for discussion-only items.

The Council reserves the right to retire into executive session under Sections 551.071/551.074 – of the Texas Open Meetings Act concerning any of the items listed on this Agenda, whenever it is considered necessary and legally justified under the Open Meetings Act.

Persons with disabilities who plan to attend this meeting and who may need assistance should contact the City Secretary at 903-537-2252 two working days prior to the meeting so that appropriate arrangements can be made.

CERTIFICATION

I do hereby certify that this Public Meeting Notice was posted on the outside bulletin board, at the front entrance of City Hall located at 109 N Kaufman St., Mount Vernon, Texas, a place convenient and readily accessible to the general public at all times, and said Notice was posted on the following date and time:

Posted June 10, 2022 by 4:00 p.m. and remained so posted at least 72 hours before said meeting was convened.

Kathy Lovier, City Secretary

NOTE: The City of Mount Vernon, Texas meets regularly on the second Monday night of each month at 6:00 p.m. The Council follows a printed Agenda for official action. ***Any individual desiring official action should submit his/her request to the office of the City Manager not later than fifteen (15) days prior to the Council Meeting.***

/s/ Kathy Lovier

Kathy Lovier, City Secretary



CITY COUNCIL REGULAR SESSION

Monday, May 09, 2022 – 6:00 PM

109 North Kaufman Street, Mount Vernon, Texas 75457

Our mission: to provide effective and fiscally responsible municipal services in a manner which promotes our high standard of community life.

Vision Statement Mount Vernon is a caring community committed to excellence and quality of life, aspiring to be the community of choice for ourselves, our children, and future generations – beautiful, clean, vibrant, and safe. We will strive to preserve our heritage, our friendly hometown atmosphere, and celebrate the diversity of all our citizens.

MINUTES

1,074

Mayor Hyman called the meeting to order at 6:00 p.m. and announced a quorum present.

PRESENT

Mayor Brad Hyman
Councilwoman Shereilyn Roberson
Councilman Harold Cason
Councilwoman Rebecca Bailey
Councilwoman Mary Keys
City Administrator Tina Rose
City Secretary Kathy Lovier

ABSENT

Mayor Pro Tem Mark Huddleston

VISITORS

Blake Powell, Lanny Buck, Colin Clasby, Ricky Jones, Leah Thomas

Mayor Hyman lead the pledges and Councilwoman Roberson lead with invocation

Consent Agenda

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1. Minutes 4/11/2022
April 2022 financials
Historic Preservation Month Proclamation
Boards 2022
Utilities bad debt to collection agency

Motion made by Councilwoman Bailey, Seconded by Councilwoman Keys.

Voting Yea: Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

Report on Items of Community Interest

Administrator Rose advised the need to conserve water tomorrow, the influent valve at the Water Treatment Plant.

No one spoke in citizen participation.

SWEARING IN CEREMONY

Swear in re-elected Mayor Hyman and Council members Roberson, Cason and Keys.

2. Consider and Act upon approval of Resolution 22-11 to retain Robert Dransfield with Norton, Rose and Fulbright and L. Stanton Lowry with Boyle & Lowry, as the City's municipal bond counsel.

Motion made by Councilman Cason, Seconded by Councilwoman Roberson.

Voting Yea: Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

3. Consider and Act upon Agreement/Contract to retain Nick Bulaich of Hilltop Securities as the City's financial advisor.

Motion made by Councilwoman Roberson, Seconded by Councilwoman Keys.

Voting Yea: Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

4. Consider and Act upon financing options for upgrades to the City's public infrastructure.

Motion made by Councilwoman Roberson to postpone this item to a future meeting when more information has been gathered. Seconded by Councilwoman Bailey.

Voting Yea: Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

5. Consider and Act upon Resolution 22-12 Submitting a Hazard Mitigation Grant Application to the Texas Division of Emergency Management and authorize personnel to act as the City's Executive Officers and authorized representatives in all matters pertaining to the City's Participation in the DR-4485 Hazard Mitigation Grant Program.

Motion made by Councilwoman Bailey, Seconded by Councilwoman Keys.

Voting Yea: Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

Discussion Items and Mayor/Council/City Administrator Reports

Water, Sewer, Streets, Park, Sidewalks, Grants (City Admin)

Council/EDC workshop

Sheriff Jones reported on the speed study performed by TxDOT, the results are not what we had hoped for in having the speed on SH37 S reduced. As a result of the meeting with TxDOT we were able to get the STEP Grant map area increased and the police department will not begin working SH37 within the city limits to get the speeders under control. TxDOT will be placing a traffic light at the north service road intersection with Rutherford Street.

Mayor Hyman will be contact Duke's to encourage them to place a stop sign on their property for those exiting onto the south service road.

City Administrator reported she will start advertising for solid waste contracts in the newspaper with a deadline of July 1st, to be able to include in the 2022-2023 budget. Redbird, Elberta and Robin Way will all be seal coated in

the next few days. HOME Program applications are still being accepted and one application has been approved. Administrator Rose and City Secretary Lovier will be attending TML Health training in Gilmer on Thursday.

Colin Clasby reported the FD has 15 members, four are certified, two are being recertified, two are currently in the academy to be certified, and two are EMTs. The FD is voluntarily commissioned by TCFP and will operate fully under their guidelines moving forward. Continuing education reports will now be sent through TCFP.

Mayor Hyman reported he would like to see a workshop with Council, EDC, and Stan Lowry on May 23, 2022 our regular workshop day if possible if not available he wants it set up in June.

Executive Session: Mayor Hyman closed the Regular Session at 7:09 p.m. and opened the Executive Session. Personnel matters pursuant to *Texas Government Code*, §551.074, to deliberate the appointment, employment, evaluation, reassignment, duties, discipline, dismissal or resignation of a public officer: City Administrator

Reconvene: Mayor Hyman closed the Executive Session and re-opened the Regular Session at 8:08 p.m. Reconvene into Open Session to Consider Matters Discussed in Closed Session (If Any)

No Action needs to be taken at this time.

Presiding Officer to Adjourn the City Council Meeting

Motion made by Councilwoman Keys to close the meeting at 8:09 p.m., Seconded by Councilwoman Bailey. Voting Yea: Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

Notes to the Agenda:

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CERTIFICATION

Brad Hyman – Mayor

ATTEST:

Kathy Lovier – City Secretary

CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: MAY 31ST, 2022

01 -GENERAL FUND
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	2,443,045	169,561.01	1,902,316.68	0.00	540,728.32	77.87
TOTAL REVENUES	2,443,045	169,561.01	1,902,316.68	0.00	540,728.32	77.87
<u>EXPENDITURE SUMMARY</u>						
100 Administration	441,010	28,761.41	290,792.09	0.00	150,217.91	65.94
110 Maintenance	561,968	22,936.71	294,188.62	0.00	267,779.38	52.35
120 Fire	189,773	3,940.01	118,873.76	0.00	70,899.24	62.64
130 Police	733,437	52,311.50	534,657.27	0.00	198,779.73	72.90
135 Court	62,123	4,268.54	42,496.65	0.00	19,626.35	68.41
140 Sanitation	365,600	33,343.56	252,367.86	0.00	113,232.14	69.03
150 Main Street	93,985	6,527.85	35,973.26	0.00	58,011.74	38.28
180 Animal Control	32,718	1,467.60	21,145.97	0.00	11,572.03	64.63
190 Parks & Recreation	37,950	2,375.48	30,169.85	0.00	7,780.15	79.50
195 Code Enforcement	87,835	5,776.93	50,607.93	0.00	37,227.07	57.62
530 Due From EDC	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	2,606,399	161,709.59	1,671,273.26	0.00	935,125.74	64.12
REVENUE OVER/(UNDER) EXPENDITURES	(163,354)	7,851.42	231,043.42	0.00	(394,397.42)	141.44

05-1000	EDC	\$ 1,506,075.03
07-1000	DEBT SERVICE	\$ 658,480.20
22-1000	CONFISCATED	\$ 2,963.66
23-1000	PARK PROJECT	\$ 5,712.41
25-1000	TxCDBG	\$ 329,988.02

CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: MAY 31ST, 2022

01 -GENERAL FUND
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 CURRENT AD VALOREM TAX	712,935	9,015.83	693,046.83	0.00	19,888.17	97.21
4002 AD VAL. TAX, DELINQUENT	25,000	1,356.78	12,505.86	0.00	12,494.14	50.02
4002.001 DEL. TAX ATTORNEY	6,500	667.17	3,818.76	0.00	2,681.24	58.75
4003 AD VALOREM TAX PEN & INT.	13,000	1,721.50	8,451.04	0.00	4,548.96	65.01
4004 LEOSE-POLICE TRAINING	1,100	0.00	0.00	0.00	1,100.00	0.00
4006 TRASH REVENUE (WASTE CONT.)	460,210	43,124.57	345,854.77	0.00	114,355.23	75.15
4007 TRASH BAG SALES REVENUE	800	8.45	380.25	0.00	419.75	47.53
4008 SALES TAX GARBAGE & TRASH	25,000	2,730.55	21,836.94	0.00	3,163.06	87.35
4009 FRANCHISE TAXES	157,000	14,239.55	111,651.01	0.00	45,348.99	71.12
4010 SALES TAX COLLECTIONS	730,000	76,259.53	534,893.37	0.00	195,106.63	73.27
4011 COLLECTION AGENCY	300	0.00	312.23	0.00 (12.23)	104.08
4012 TEXAS SEATBELT	100	50.00	50.00	0.00	50.00	50.00
4013 COURT COSTS	23,000	1,705.69	1,251.18	0.00	21,748.82	5.44
4015 COURT FINES	55,000	2,649.31	25,125.13	0.00	29,874.87	45.68
4016 ANIMAL FEES	1,200	30.00	340.00	0.00	860.00	28.33
4017 RETURNED CHECKS	0	0.00	0.00	0.00	0.00	0.00
4018 MISCELLANEOUS	1,500	0.00	19,143.70	0.00 (17,643.70)	1,276.25
4018.10 RENTAL INSPECTIONS	1,500	50.00	805.00	0.00	695.00	53.67
4018.20 FOOD INSPECTION PERMIT	1,000	0.00 (250.00)	0.00	1,250.00	25.00-
4019 BUILDING PERMITS	25,000	3,031.68	24,002.80	0.00	997.20	96.01
4019.A ELECTRICAL PERMITS	1,200	0.00	1,656.00	0.00 (456.00)	138.00
4019.B PLUMBING PERMIT	1,600	120.00	1,202.00	0.00	398.00	75.13
4019.C MECHANICAL PERMITS	1,500	40.00	643.00	0.00	857.00	42.87
4019.D FIRE SAFETY INSPECTIONS	0	0.00	0.00	0.00	0.00	0.00
4019.E ALCOHOL PERMIT	350	0.00	290.00	0.00	60.00	82.86
4020 ZONING FEES	750	0.00	750.00	0.00	0.00	100.00
4021 COUNTY FIRE AGREEMENT	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	7,000	1,637.95	6,578.80	0.00	421.20	93.98
4023 PARK FEES	900	225.00	575.00	0.00	325.00	63.89
4024 PARK/PLAZA DONATIONS	0	0.00	0.00	0.00	0.00	0.00
4025 MIXED BEVERAGE TAXES	5,000	897.45	6,925.01	0.00 (1,925.01)	138.50
4026 INTERGOVERNMENTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00
4027 GRANT REVENUES-POLICE GRANT	0	0.00	0.00	0.00	0.00	0.00
4028 TRANSFER FROM EDC	30,000	0.00	30,000.00	0.00	0.00	100.00
4029 MAIN STREET	10,000	10,000.00	10,800.00	0.00 (800.00)	108.00
4030 EVENTS	0	0.00	0.00	0.00	0.00	0.00
4031 GRANT INCOME	0	0.00	0.00	0.00	0.00	0.00
4032 PEDDLERS PERMIT	400	0.00	0.00	0.00	400.00	0.00
4033 RESALE OF VEHICLES	40,000	0.00	39,678.00	0.00	322.00	99.20
4047 ADMINISTRATION FEES	0	0.00	0.00	0.00	0.00	0.00
4050 TRANSFERS FROM EQUIP. FUND	104,200	0.00	0.00	0.00	104,200.00	0.00
4051 TRANSFER IN	0	0.00	0.00	0.00	0.00	0.00
4053 TRANSFER FROM DEBT SERVICE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	2,443,045	169,561.01	1,902,316.68	0.00	540,728.32	77.87

CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: MAY 31ST, 2022

01 -GENERAL FUND
DEPARTMENT -M100 Administration
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5100.001 WAGES	167,573	12,922.58	116,148.18	0.00	51,424.82	69.31
5100.003 BLDG. REPAIR CITY HALL	17,000	201.06	1,369.75	0.00	15,630.25	8.06
5100.004 FREIGHT/POSTAGE	800	0.00	877.60	0.00 (77.60)	109.70
5100.005 CAR ALLOWANCE	0	0.00	0.00	0.00	0.00	0.00
5100.006 CONTRACTS JANITOR	4,710	0.00	2,775.00	0.00	1,935.00	58.92
5100.007 DUES & SUBSCRIPTIONS	5,000	334.00	2,806.00	0.00	2,194.00	56.12
5100.008 ELECTION EXPENSE	3,000	0.00	186.79	0.00	2,813.21	6.23
5100.009 SPECIAL PROJECTS	15,000	14.76	17,104.60	0.00 (2,104.60)	114.03
5100.010 CITY ATTORNEY	15,000	2,750.00	7,625.00	0.00	7,375.00	50.83
5100.011 OFFICE EQUIPMENT REPAIR	4,000	3,675.00	17,489.38	0.00 (13,489.38)	437.23
5100.012 AUDIT/LEGAL	16,000	0.00	9,276.07	0.00	6,723.93	57.98
5100.013 OFFICE EQUIP. AGREEMENT	21,000	140.37	7,262.22	0.00	13,737.78	34.58
5100.014 COUNCIL FEES	0	0.00	0.00	0.00	0.00	0.00
5100.015 ADVERTISING & NOTICES	1,500	0.00	756.50	0.00	743.50	50.43
5100.020 ENGINEERING FEES	12,000	0.00	3,478.13	0.00	8,521.87	28.98
5100.021 CAPITAL EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5100.022 INTERNET	5,000	0.00	2,654.43	0.00	2,345.57	53.09
5100.023 WEBSITE	8,000	0.00	6,020.96	0.00	1,979.04	75.26
5100.025 UNEMPLOYMENT EXPENSE (TEC)	600	0.00	25.68	0.00	574.32	4.28
5100.026 LIBRARY SERVICES	18,500	1,541.67	12,333.36	0.00	6,166.64	66.67
5100.031 MENTAL HEALTH CLINIC -SERVICES	0	0.00	0.00	0.00	0.00	0.00
5100.032 SOCIAL SECURITY (FICA)	10,389	795.68	7,465.70	0.00	2,923.30	71.86
5100.033 MEDICARE	2,429	186.06	1,745.88	0.00	683.12	71.88
5100.034 TML HEALTH INSURANCE	25,020	1,931.84	16,014.87	0.00	9,005.13	64.01
5100.035 RETIREMENT (TMRS)	17,528	1,362.34	12,805.22	0.00	4,722.78	73.06
5100.037 TELEPHONE	4,000	69.66	1,383.08	0.00	2,616.92	34.58
5100.038 UTILITIES	7,000	287.52	3,726.10	0.00	3,273.90	53.23
5100.039 OVERTIME	0	0.00	96.00	0.00 (96.00)	0.00
5100.040 IRS PENALTIES	0	0.00	0.00	0.00	0.00	0.00
5100.042 SCHOOL/TRAINING/TRAVEL	5,000	165.00	972.23	0.00	4,027.77	19.44
5100.043 UNIFORMS	100	0.00	0.00	0.00	100.00	0.00
5100.044 SUPPLIES	6,000	131.99	3,475.13	0.00	2,524.87	57.92
5100.045 PROPERTY/LIABILITY INS.	7,000	0.00	1,687.18	0.00	5,312.82	24.10
5100.046 TAX APPRAISAL	20,461	1,705.12	13,640.68	0.00	6,820.32	66.67
5100.047 TAX COLLECTION	8,000	81.13	8,301.64	0.00 (301.64)	103.77
5100.048 TAX ATTORNEY	7,000	465.63	4,454.48	0.00	2,545.52	63.64
5100.049 WORKERS COMP. INS.	1,500	0.00	1,934.25	0.00 (434.25)	128.95
5100.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5100.053 LONGEVITY	4,900	0.00	4,900.00	0.00	0.00	100.00
5100.054 REGIONAL LAKE	0	0.00	0.00	0.00	0.00	0.00
5100.055 ACCRUED INTEREST	0	0.00	0.00	0.00	0.00	0.00
5100.056 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
5100.075 TMRS-PENSION COST AUDITORS	0	0.00	0.00	0.00	0.00	0.00
5100.999 PRIOR PERIOD ADJUSTMENTS	0	0.00	0.00	0.00	0.00	0.00
TOTAL 100 Administration	441,010	28,761.41	290,792.09	0.00	150,217.91	65.94

CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: MAY 31ST, 2022

01 -GENERAL FUND
DEPARTMENT -M110 Maintenance
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5110.001 WAGES	111,580	8,455.40	65,994.98	0.00	45,585.02	59.15
5110.002 STREET MATERIAL HAULING	0	0.00	0.00	0.00	0.00	0.00
5110.003 BUILDING REPAIR	700	0.00	0.00	0.00	700.00	0.00
5110.004 FREIGHT/POSTAGE	50	0.00	0.00	0.00	50.00	0.00
5110.005 STREET MATERIALS	47,000	983.00	2,790.29	0.00	44,209.71	5.94
5110.006 STREET IMPROVEMENTS	32,000	0.00	9,764.50	0.00	22,235.50	30.51
5110.007 STREET REHAB DEBT.	0	0.00	0.00	0.00	0.00	0.00
5110.008 CONTRACT STREET IMPROVEMENTS	50,000	0.00	0.00	0.00	50,000.00	0.00
5110.009 STREET SIGNS	4,000	0.00	787.99	0.00	3,212.01	19.70
5110.011 CONTRACT SWEEPING	5,571	0.00	4,062.80	0.00	1,508.20	72.93
5110.013 SPECIAL PROJECTS	2,000	0.00	160.00	0.00	2,160.00	8.00
5110.014 EMPLOYEE PHYSICALS/DRUG TEST	400	0.00	442.00	0.00	42.00	110.50
5110.015 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5110.016 ENGINEERING EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5110.017 EQUIPMENT& REPAIRS	15,000	184.95	6,216.56	0.00	8,783.44	41.44
5110.018 REPAIR WATER DISTR. SYSTEM	0	0.00	2,066.34	0.00	2,066.34	0.00
5110.019 REPAIR SEWER COLL. SYSTEM	0	0.00	0.00	0.00	0.00	0.00
5110.021 CAPITAL OUTLAY	148,700	0.00	85,843.99	0.00	62,856.01	57.73
5110.022 PIPE SUPPLIES	0	0.00	0.00	0.00	0.00	0.00
5110.023 DAM SAFETY PLAN & MAINTENANCE	0	0.00	0.00	0.00	0.00	0.00
5110.024 TRANS TO EQUIP FUND	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5110.025 UNEMPLOYMENT EXPENSE (TEC)	900	0.00	423.14	0.00	476.86	47.02
5110.032 SOCIAL SECURITY (FICA)	7,290	524.22	4,304.22	0.00	2,985.78	59.04
5110.033 MEDICARE	1,704	122.60	1,006.61	0.00	697.39	59.07
5110.034 TML HEALTH INSU	25,020	2,086.47	17,387.25	0.00	7,632.75	69.49
5110.035 RETIREMENT (TMRS)	12,299	897.38	8,309.32	0.00	3,989.68	67.56
5110.036 FUEL (GAS & OIL)	10,000	3,591.65	10,907.45	0.00	907.45	109.07
5110.037 TELEPHONE	3,000	163.12	1,495.06	0.00	1,504.94	49.84
5110.038 UTILITIES	28,000	2,526.11	20,794.21	0.00	7,205.79	74.27
5110.039 OVERTIME	3,000	0.00	1,995.72	0.00	1,004.28	66.52
5110.040 LEASE VEHICLES	24,654	1,936.02	15,622.16	0.00	9,031.84	63.37
5110.042 SCHOOL/TRAINING	500	0.00	0.00	0.00	500.00	0.00
5110.043 UNIFORMS	3,500	558.33	5,548.29	0.00	2,048.29	158.52
5110.044 SUPPLIES	6,500	607.46	3,905.03	0.00	2,594.97	60.08
5110.045 PROPERTY/LIABILITY INS	4,000	0.00	12,653.86	0.00	8,653.86	316.35
5110.049 WORKERS COMP. INS.	8,500	0.00	7,126.85	0.00	1,373.15	83.85
5110.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5110.053 LONGEVITY	1,500	0.00	1,500.00	0.00	0.00	100.00
5110.056 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
 TOTAL 110 Maintenance	 561,968	 22,936.71	 294,188.62	 0.00	 267,779.38	 52.35

CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: MAY 31ST, 2022

01 -GENERAL FUND
DEPARTMENT -M120 Fire
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5120.003 BUILDING REPAIR	1,000	50.21	1,383.90	0.00 (383.90)	138.39
5120.004 FREIGHT/POSTAGE	200	0.00	377.11	0.00 (177.11)	188.56
5120.005 RETIREMENT, FIREMEN	7,000	0.00	2,732.00	0.00	4,268.00	39.03
5120.007 DUES & SUBSCRIPTIONS	1,500	737.59	737.59	0.00	762.41	49.17
5120.008 CONTRACTS, FIREMEN	32,000	880.47	18,491.61	0.00	13,508.39	57.79
5120.009 SPECIAL PROJECTS	4,000	196.19	342.18	0.00	3,657.82	8.55
5120.010 EQUIPMENT	10,000	0.00	3,378.58	0.00	6,621.42	33.79
5120.011 NEW FIRE TRUCK	10,000	0.00	0.00	0.00	10,000.00	0.00
5120.012 FIRE HYDRANTS	0	0.00	0.00	0.00	0.00	0.00
5120.013 EQUIPMENT REPAIR	9,000	190.80	2,788.50	0.00	6,211.50	30.98
5120.014 COMPUTER/TECH	250	0.00	0.00	0.00	250.00	0.00
5120.015 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5120.016 EQUIPMENT TESTING	8,000	0.00	2,766.70	0.00	5,233.30	34.58
5120.021 CAPITAL OUTLAY	67,530	0.00	60,777.04	0.00	6,752.96	90.00
5120.024 TRANSFER TO EQUIPMENT FUND	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5120.036 FUEL (GAS & OIL)	4,000	610.12	4,613.67	0.00 (613.67)	115.34
5120.037 TELEPHONE	400	53.21	441.33	0.00 (41.33)	110.33
5120.038 UTILITIES	6,000	241.47	2,690.86	0.00	3,309.14	44.85
5120.040 LEASE VEHICLE	8,218	480.87	4,236.86	0.00	3,981.14	51.56
5120.042 SCHOOL/TRAINING	1,875	0.00	5,116.00	0.00 (3,241.00)	272.85
5120.043 UNIFORMS	6,000	0.00	0.00	0.00	6,000.00	0.00
5120.044 SUPPLIES	1,200	199.08	643.69	0.00	556.31	53.64
5120.045 PROPERTY/LIABILITY INS.	5,500	0.00	2,530.77	0.00	2,969.23	46.01
5120.049 WORKERS COMP. INS.	1,500	0.00	1,425.37	0.00	74.63	95.02
5120.056 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
 TOTAL 120 Fire	 189,773	 3,940.01	 118,873.76	 0.00	 70,899.24	 62.64

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REVENUE & EXPENSE REPORT (UNAUDITED)
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01 -GENERAL FUND
DEPARTMENT -M130 Police
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5130.001 WAGES	302,402	23,654.02	204,525.04	0.00	97,876.96	67.63
5130.002 CERTIFICATE PAY	6,000	415.38	3,253.81	0.00	2,746.19	54.23
5130.004 FREIGHT/POSTAGE	300	0.00	89.34	0.00	210.66	29.78
5130.005 CHIEF DEPUTY (CONTRACT)	0	0.00	0.00	0.00	0.00	0.00
5130.006 DISPATCHER CONTRACT (FR.CO)	112,994	9,416.17	75,329.36	0.00	37,664.64	66.67
5130.007 CHIEF ADMINISTRATOR (CONTRACT)	0	0.00	0.00	0.00	0.00	0.00
5130.009 REQUAL AMMO	4,000	0.00	1,906.00	0.00	2,094.00	47.65
5130.010 EMPLOYEE PHYSICAL	300	0.00	150.00	0.00	150.00	50.00
5130.011 TRANS TO EQUIP FUND	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5130.013 SPECIAL PROJECTS	3,000	48.69	194.68	0.00	2,805.32	6.49
5130.015 DPS FORENSIC ANALYSIS	4,000	0.00	0.00	0.00	4,000.00	0.00
5130.016 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5130.017 REPAIR, EQUIPMENT	26,744	1,529.42	20,213.25	0.00	6,530.75	75.58
5130.018 GRANT EXP. - SAFE-T	0	0.00	0.00	0.00	0.00	0.00
5130.019 LEOSE	1,000	0.00	0.00	0.00	1,000.00	0.00
5130.021 CAPITAL EXPENSE	13,750	0.00	15,894.10	0.00	2,144.10	115.59
5130.024 POLICE (ADMIN. CONTRACT)	21,230	1,769.16	14,153.28	0.00	7,076.72	66.67
5130.025 UNEMPLOYMENT EXPENSE (TEC)	2,100	0.00	542.81	0.00	1,557.19	25.85
5130.029 COMPUTER/TECH/LICENSE	15,000	1,630.00	12,782.00	0.00	2,218.00	85.21
5130.030 SANE EXAMS	1,000	0.00	0.00	0.00	1,000.00	0.00
5130.032 SOCIAL SECURITY (FICA)	18,749	1,593.46	14,566.93	0.00	4,182.07	77.69
5130.033 MEDICARE	4,385	372.66	3,406.75	0.00	978.25	77.69
5130.034 TML HEALTH INSURANCE	58,380	4,868.43	42,444.15	0.00	15,935.85	72.70
5130.035 RETIREMENT (TMRS)	31,631	2,689.93	25,911.48	0.00	5,719.52	81.92
5130.036 FUEL (GAS & OIL)	20,000	2,799.95	20,929.98	0.00	929.98	104.65
5130.037 TELEPHONE	3,000	392.39	2,747.33	0.00	252.67	91.58
5130.039 OVERTIME	20,000	566.90	21,263.36	0.00	1,263.36	106.32
5130.040 LEASE VEHICLES	32,872	2,975.55	20,039.43	0.00	12,832.57	60.96
5130.042 TRAINING/SCHOOL/TRAVEL	4,000	170.00	2,241.70	0.00	1,758.30	56.04
5130.043 UNIFORMS - POLICE	2,500	0.00	3,971.31	0.00	1,471.31	158.85
5130.044 SUPPLIES	3,000	178.23	5,239.18	0.00	2,239.18	174.64
5130.045 PROPERTY/LIABILITY INS.	8,000	0.00	18,462.00	0.00	10,462.00	230.78
5130.049 WORKERS COMP. INS.	7,500	0.00	0.00	0.00	7,500.00	0.00
5130.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5130.053 LONGEVITY	1,000	0.00	1,000.00	0.00	0.00	100.00
5130.054 INTERGOVERNMENTAL	0	0.00	0.00	0.00	0.00	0.00
5130.055 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
5130.056 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
 TOTAL 130 Police	 733,437	 52,311.50	 534,657.27	 0.00	 198,779.73	 72.90

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CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
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01 -GENERAL FUND
DEPARTMENT -M135 Court
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5135.001 WAGES	35,120	2,640.00	22,557.16	0.00	12,562.84	64.23
5135.002 MUNICIPAL JUDGE (CONTRACT)	0	0.00	2,316.67	0.00 (2,316.67)	0.00
5135.003 CERTIFICATE PAY	600	46.16	369.28	0.00	230.72	61.55
5135.004 POSTAGE	300	0.00	187.40	0.00	112.60	62.47
5135.005 STATE COURT COST	0	0.00	0.00	0.00	0.00	0.00
5135.006 WARRANT/FINES COLLECTION	250	0.00 (188.00)	0.00	438.00	75.20-
5135.007 APPEARANCE BOND	0	0.00	0.00	0.00	0.00	0.00
5135.008 JURY PAYMENTS	250	0.00	0.00	0.00	250.00	0.00
5135.009 SPECIAL PROJECTS	1,000	0.00	0.00	0.00	1,000.00	0.00
5135.010 PROSECUTING ATTORNEY	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5135.015 AUDIT	550	0.00	550.00	0.00	0.00	100.00
5135.025 UNEMPLOYMENT EXPENSE (TEC)	300	0.00	7.92	0.00	292.08	2.64
5135.029 COMPUTER MAINTENANCE/TECH	1,200	65.63	1,972.05	0.00 (772.05)	164.34
5135.032 SOCIAL SECURITY (FICA)	2,134	166.54	1,464.82	0.00	669.18	68.64
5135.033 MEDICARE	499	38.96	342.67	0.00	156.33	68.67
5135.034 TML HEALTH INSU.	8,340	695.49	6,259.41	0.00	2,080.59	75.05
5135.035 RETIREMENT (TMRS)	3,600	276.14	2,566.45	0.00	1,033.55	71.29
5135.037 TELEPHONE	480	39.62	319.00	0.00	161.00	66.46
5135.042 SCHOOL/TRAINING	1,400	0.00	50.00	0.00	1,350.00	3.57
5135.044 SUPPLIES	900	0.00	621.82	0.00	278.18	69.09
5135.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5135.053 LONGEVITY	600	0.00	700.00	0.00 (100.00)	116.67
5135.054 TRANSFER TO CHILD SAFETY FUND	1,000	0.00	0.00	0.00	1,000.00	0.00
TOTAL 135 Court	62,123	4,268.54	42,496.65	0.00	19,626.35	68.41

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CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
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01 -GENERAL FUND
DEPARTMENT -M140 Sanitation
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5140.002 SALES TAX - TRASH BAGS	100	2.79	31.17	0.00	68.83	31.17
5140.003 SALES TAX - TRASH	25,000	2,580.01	20,503.62	0.00	4,496.38	82.01
5140.004 POSTAGE	0	0.00	0.00	0.00	0.00	0.00
5140.005 TRASH BAG PURCHASE	0	0.00	0.00	0.00	0.00	0.00
5140.007 WASTE CONTRACT	340,000	30,175.59	230,830.93	0.00	109,169.07	67.89
5140.041 BAD DEBTS	500	585.17	1,002.14	0.00	502.14	200.43
TOTAL 140 Sanitation	365,600	33,343.56	252,367.86	0.00	113,232.14	69.03

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CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
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01 -GENERAL FUND
DEPARTMENT -M150 Main Street
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5150.001 WAGES	35,000	2,935.38	5,582.76	0.00	29,417.24	15.95
5150.003 PROMOTIONAL	8,000	0.00	3,000.00	0.00	5,000.00	37.50
5150.004 POSTAGE	0	0.00	0.00	0.00	0.00	0.00
5150.005 DUES/SUBSCRIPTIONS	1,500	575.00	1,148.25	0.00	351.75	76.55
5150.006 COMPUTER/TECH	1,800	1,581.48	3,127.80	0.00	1,327.80	173.77
5150.007 FACADE GRANT	21,000	0.00	20,000.00	0.00	1,000.00	95.24
5150.008 MAIN STREET EVENTS	5,000	150.58	1,063.47	0.00	6,063.47	21.27
5150.009 SPECIAL PROJECTS	1,000	0.00	390.00	0.00	610.00	39.00
5150.025 UNEMPLOYMENT EXP (TEC)	300	0.00	0.00	0.00	300.00	0.00
5150.032 SOCIAL SECURITY (FICA)	2,176	182.00	346.13	0.00	1,829.87	15.91
5150.033 MEDICARE	508	42.56	80.94	0.00	427.06	15.93
5150.034 TML INSURANCE	8,340	695.49	2,086.47	0.00	6,253.53	25.02
5150.035 RETIREMENT (TMRS)	3,661	307.04	583.96	0.00	3,077.04	15.95
5150.037 TELEPHONE	1,000	39.62	319.00	0.00	681.00	31.90
5150.039 OVERTIME	0	0.00	0.00	0.00	0.00	0.00
5150.042 SCHOOL/TRAINING/TRAVEL	4,000	0.00	0.00	0.00	4,000.00	0.00
5150.044 SUPPLIES	700	18.70	371.42	0.00	328.58	53.06
5150.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
TOTAL 150 Main Street	93,985	6,527.85	35,973.26	0.00	58,011.74	38.28

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CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
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01 --GENERAL FUND
DEPARTMENT --M180 Animal Control
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5180.001 ANIMAL CONTROL WAGES	0	0.00	0.00	0.00	0.00	0.00
5180.003 BUILDING REPAIR	800	187.71	187.71	0.00	612.29	23.46
5180.007 COMPUTER/TECH	700	0.00	0.00	0.00	700.00	0.00
5180.009 SPECIAL PROJECTS	500	0.00	54.11	0.00	445.89	10.82
5180.010 EQUIPMENT FUND	500	0.00	604.87	0.00 (104.87)	120.97
5180.015 ANIMAL DISPOSAL	500	0.00	201.59	0.00	298.41	40.32
5180.016 VET SERVICES	2,400	0.00	1,427.11	0.00	972.89	59.46
5180.018 ANIMAL IMPOUNDMENT	1,200	0.00	207.31	0.00	992.69	17.28
5180.019 AUDIT	550	0.00	550.00	0.00	0.00	100.00
5180.020 VEHICLE REPAIRS	500	17.00	136.00	0.00	364.00	27.20
5180.021 CAPITAL EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5180.024 TRANS TO EQUIP FUND	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5180.025 UNEMPLOYMENT EXPENSE (TEC)	0	0.00	0.00	0.00	0.00	0.00
5180.032 SOCIAL SECURITY EXPENSE (FICA)	0	7.07	61.08	0.00 (61.08)	0.00
5180.033 MEDICARE EXPENSE	0	1.65	14.28	0.00 (14.28)	0.00
5180.034 TML HEALTH INSU.	0	0.00	0.00	0.00	0.00	0.00
5180.035 RETIREMENT (TMRS)	0	0.00	0.00	0.00	0.00	0.00
5180.036 FUEL (GAS & OIL)	3,000	136.36	1,302.33	0.00	1,697.67	43.41
5180.037 TELEPHONE	500	30.00	260.19	0.00	239.81	52.04
5180.038 EMPLOYEE PHYSICAL/DRUG TEST	0	0.00	0.00	0.00	0.00	0.00
5180.039 OVERTIME	2,000	114.00	986.15	0.00	1,013.85	49.31
5180.040 LEASE VEHICLES	8,218	604.87	4,182.28	0.00	4,035.72	50.89
5180.041 UTILITIES	2,000	35.82	469.42	0.00	1,530.58	23.47
5180.042 TRAVEL/TRAINING/SCHOOLING	2,000	0.00	0.00	0.00	2,000.00	0.00
5180.043 UNIFORMS	300	25.56	210.86	0.00	89.14	70.29
5180.044 SUPPLIES	1,000	7.56	637.75	0.00	362.25	63.78
5180.045 PROPERTY/LIABILITY INS.	650	0.00	4,639.75	0.00 (3,989.75)	713.81
5180.049 WORKERS COMP. INS.	1,800	0.00	2,613.18	0.00 (813.18)	145.18
5180.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5180.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
5180.055 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
5180.056 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
 TOTAL 180 Animal Control	 32,718	 1,467.60	 21,145.97	 0.00	 11,572.03	 64.63

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CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
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01 -GENERAL FUND
DEPARTMENT -M190 Parks & Recreation
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5190.001 WAGES	0	0.00	0.00	0.00	0.00	0.00
5190.002 ENGINEERING	0	0.00	0.00	0.00	0.00	0.00
5190.003 REPAIRS & MAINTENANCE	10,000	423.31	802.92	0.00	9,197.08	8.03
5190.008 MOWING	0	0.00	0.00	0.00	0.00	0.00
5190.009 SPECIAL PROJECTS	15,000	0.00	9,750.00	0.00	5,250.00	65.00
5190.010 CONTRACT PLAZA MAINTENANCE	1,800	0.00	8,956.00	0.00 (7,156.00)	497.56
5190.012 CHEMICALS	700	949.91	1,074.91	0.00 (374.91)	153.56
5190.013 EQUIPMENT REPAIR	800	480.00	3,045.61	0.00 (2,245.61)	380.70
5190.015 AUDIT	0	0.00	0.00	0.00	0.00	0.00
5190.021 CAPITAL OUTLAY	0	0.00	0.00	0.00	0.00	0.00
5190.024 TRANS TO EQUIP FUND	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5190.025 UNEMPLOYMENT EXPENSE (TEC)	0	0.00	0.00	0.00	0.00	0.00
5190.032 SOCIAL SECURITY EXPENSE (FICA)	0	0.00	0.00	0.00	0.00	0.00
5190.033 MEDICARE	0	0.00	0.00	0.00	0.00	0.00
5190.036 FUEL (GAS & OIL)	400	41.98	41.98	0.00	358.02	10.50
5190.037 TELEPHONE	0	37.99	265.93	0.00 (265.93)	0.00
5190.038 UTILITIES	1,700	142.29	1,572.50	0.00	127.50	92.50
5190.039 PARK OVERTIME	0	0.00	0.00	0.00	0.00	0.00
5190.042 SCHOOL/TRAINING/TRAVEL	0	0.00	0.00	0.00	0.00	0.00
5190.043 UNIFORMS	0	0.00	0.00	0.00	0.00	0.00
5190.044 SUPPLIES	1,200	0.00	281.92	0.00	918.08	23.49
5190.045 PROPERTY/LIABILITY INS.	2,500	0.00	1,265.39	0.00	1,234.61	50.62
5190.046 EQUIPMENT LEASE	0	0.00	0.00	0.00	0.00	0.00
5190.049 WORKERS COMP. INS.	250	0.00	712.69	0.00 (462.69)	285.08
5190.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5190.055 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
TOTAL 190 Parks & Recreation	37,950	2,375.48	30,169.85	0.00	7,780.15	79.50

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CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: MAY 31ST, 2022

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01 -GENERAL FUND
DEPARTMENT -M195 Code Enforcement
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5195.001 CODE ENFORCEMENT OFFICIAL	0	0.00	0.00	0.00	0.00	0.00
5195.002 BUILDING OFFICIAL	48,780	3,360.00	28,704.85	0.00	20,075.15	58.85
5195.004 FREIGHT/POSTAGE	200	0.00	69.07	0.00	130.93	34.54
5195.007 DUES & SUBSCRIPTIONS	250	0.00	94.05	0.00	155.95	37.62
5195.008 INSPECTION FEES	0	0.00	75.00	0.00 (75.00)	0.00
5195.009 SPECIAL PROJECTS	200	0.00	0.00	0.00	200.00	0.00
5195.010 EMPLOYEE PHYSICAL	0	0.00	0.00	0.00	0.00	0.00
5195.014 DEMOLITION	4,000	0.00	0.00	0.00	4,000.00	0.00
5195.015 ADVERTISING	100	0.00	0.00	0.00	100.00	0.00
5195.016 COMPUTER/TECH	300	48.69	244.67	0.00	55.33	81.56
5195.017 EQUIPMENT REPAIRS & PURCHASE	500	17.00	147.58	0.00	352.42	29.52
5195.018 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5195.021 CAPITAL OUTLAY	0	0.00	0.00	0.00	0.00	0.00
5195.024 TRANSFER TO EQUIP FUND	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5195.025 UNEMPLOYMENT EXPENSE (TEC)	300	0.00	9.00	0.00	291.00	3.00
5195.032 SOCIAL SECURITY EXPENSE (FICA)	2,714	208.14	1,829.97	0.00	884.03	67.43
5195.033 MEDICARE	634	48.68	427.99	0.00	206.01	67.51
5195.034 TML HEALTH INSURANCE	8,340	695.79	6,259.71	0.00	2,080.29	75.06
5195.035 RETIREMENT (TMRs)	4,579	357.24	3,311.14	0.00	1,267.86	72.31
5195.036 FUEL (GAS & OIL)	1,000	175.19	749.35	0.00	250.65	74.94
5195.037 TELEPHONE	720	55.38	443.04	0.00	276.96	61.53
5195.039 OVERTIME	0	0.00	63.00	0.00 (63.00)	0.00
5195.040 LEASE VEHICLES	8,218	462.87	3,702.96	0.00	4,515.04	45.06
5195.042 SCHOOL/TRAINING/TRAVEL	500	0.00	0.00	0.00	500.00	0.00
5195.043 UNIFORMS	600	47.95	211.62	0.00	388.38	35.27
5195.044 SUPPLIES	500	0.00	64.93	0.00	435.07	12.99
5195.045 PROPERTY/LIABILITY INS.	0	0.00	0.00	0.00	0.00	0.00
5195.049 WORKERS COMP. INS.	0	0.00	0.00	0.00	0.00	0.00
5195.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5195.053 LONGEVITY	800	0.00	800.00	0.00	0.00	100.00
TOTAL 195 Code Enforcement	87,835	5,776.93	50,607.93	0.00	37,227.07	57.62

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01 -GENERAL FUND

DEPARTMENT -M530 Due From EDC

DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5530.001 DUE FROM EDC	0	0.00	0.00	0.00	0.00	0.00
5530.032 FICA- DUE FROM EDC	0	0.00	0.00	0.00	0.00	0.00
5530.033 MEDICARE - DUE FROM EDC	0	0.00	0.00	0.00	0.00	0.00
5530.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
TOTAL 530 Due From EDC	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	2,606,399	161,709.59	1,671,273.26	0.00	935,125.74	64.12
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REVENUE OVER/(UNDER) EXPENDITURES	(163,354)	7,851.42	231,043.42	0.00	(394,397.42)	141.44-

*** END OF REPORT ***

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CITY OF MOUNT VERNON
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02 -UTILITY FUND
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	1,840,742	136,315.62	1,091,398.23	0.00	749,343.77	59.29
TOTAL REVENUES	1,840,742	136,315.62	1,091,398.23	0.00	749,343.77	59.29
<u>EXPENDITURE SUMMARY</u>						
140 Public Works	0	0.00	1.50	0.00 (1.50)	0.00
150 Storm Water	44,100	2,214.09	2,261.11	0.00	41,838.89	5.13
160 Water	697,620	39,400.89	416,559.70	0.00	281,060.30	59.71
170 Sewer	817,897	30,616.01	329,020.15	0.00	488,876.85	40.23
505 Depreciation	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	1,559,617	72,230.99	747,842.46	0.00	811,774.54	47.95
REVENUE OVER/(UNDER) EXPENDITURES	281,125	64,084.63	343,555.77	0.00 (62,430.77)	122.21

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02 -UTILITY FUND
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4000 DISBURSEMENT UTILITIES	0	0.00	0.00	0.00	0.00	0.00
4001 WATER REVENUE	777,192	52,630.18	429,703.85	0.00	347,488.15	55.29
4002 SEWER REVENUE	651,600	53,602.71	426,434.40	0.00	225,165.60	65.44
4003 PENALTIES	20,000	2,237.82	19,518.58	0.00	481.42	97.59
4004 TAP FEES	10,000	0.00	13,200.00	0.00	3,200.00	132.00
4005 MISCELLANEOUS REVENUE	0	0.00	0.00	0.00	0.00	0.00
4006 TRANSFER FEE	250	90.00	150.00	0.00	100.00	60.00
4007 CASH OVER/SHORT	0	0.00	0.00	0.00	0.00	0.00
4008 BULK WATER REVENUE	5,000	0.00	625.00	0.00	4,375.00	12.50
4009 RETURN CHECK FEE REVENUE	400	15.00	140.00	0.00	260.00	35.00
4010 RECONNECT FEE REVENUE	9,000	850.00	4,770.00	0.00	4,230.00	53.00
4011 MISC. WATER & SEWER REVENUE	800	60.00	543.30	0.00	256.70	67.91
4012 BULK SEWER	3,500	520.00	2,960.00	0.00	540.00	84.57
4015 STORMWATER REVENUE	52,000	4,401.00	35,136.00	0.00	16,864.00	67.57
4016 2012 C.O-FNB-ASSESSMENT FEE	215,000	18,296.20	145,434.59	0.00	69,565.41	67.64
4022 INTEREST EARNED REVENUE	26,000	3,612.71	12,782.51	0.00	13,217.49	49.16
4033 RESALE OF VEHICLES	0	0.00	0.00	0.00	0.00	0.00
4040 TRANSFER FROM EDC	70,000	0.00	0.00	0.00	70,000.00	0.00
4044 TDA GRANT PROCEED	0	0.00	0.00	0.00	0.00	0.00
4999 TRANSFERS IN	0	0.00	0.00	0.00	0.00	0.00
4999.001 TRANSFER IN SH-37	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	1,840,742	136,315.62	1,091,398.23	0.00	749,343.77	59.29

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CITY OF MOUNT VERNON
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02 -UTILITY FUND
DEPARTMENT -M140 Public Works
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5140.001 DIRECTOR OF PUBLIC WORKS WAGES	0	0.00	0.00	0.00	0.00	0.00
5140.002 CERTIFICATE/LICENSE PAY	0	0.00	0.00	0.00	0.00	0.00
5140.007 COMPUTER/TECH	0	0.00	0.00	0.00	0.00	0.00
5140.009 SPECIAL PROJECTS	0	0.00	0.00	0.00	0.00	0.00
5140.020 VEHICLE REPAIRS	0	0.00	0.00	0.00	0.00	0.00
5140.021 CAPITAL EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5140.024 TRANS TO EQUIP FUND	0	0.00	0.00	0.00	0.00	0.00
5140.025 UNEMPLOYMENT EXPENSE (TEC)	0	0.00	0.00	0.00	0.00	0.00
5140.032 SOCIAL SECURITY EXPENSE (FICA)	0	0.00	0.00	0.00	0.00	0.00
5140.033 MEDICARE EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5140.034 TML HEALTH INS.	0	0.00	1.50	0.00 (1.50)	0.00
5140.035 RETIREMENT (TMRS)	0	0.00	0.00	0.00	0.00	0.00
5140.036 FUEL (GAS & OIL)	0	0.00	0.00	0.00	0.00	0.00
5140.037 TELEPHONE	0	0.00	0.00	0.00	0.00	0.00
5140.039 OVERTIME	0	0.00	0.00	0.00	0.00	0.00
5140.040 LEASE VEHICLES	0	0.00	0.00	0.00	0.00	0.00
5140.042 TRAVEL/TRAINING/SCHOOL	0	0.00	0.00	0.00	0.00	0.00
5140.043 UNIFORMS	0	0.00	0.00	0.00	0.00	0.00
5140.044 SUPPLIES	0	0.00	0.00	0.00	0.00	0.00
5140.045 PROPERTY/LIABILITY INS	0	0.00	0.00	0.00	0.00	0.00
5140.049 WORKERS COMP INS.	0	0.00	0.00	0.00	0.00	0.00
5140.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
TOTAL 140 Public Works	0	0.00	1.50	0.00 (1.50)	0.00

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02 -UTILITY FUND
DEPARTMENT -M150 Storm Water
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5150.001 DRAINAGE MAINTENANCE	14,000	0.00	0.00	0.00	14,000.00	0.00
5150.002 STREET DRAINAGE	30,000	2,153.44	2,153.44	0.00	27,846.56	7.18
5150.041 BAD DEBT STORM WATER	100	60.65	107.67	0.00	7.67	107.67
TOTAL 150 Storm Water	44,100	2,214.09	2,261.11	0.00	41,838.89	5.13

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CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
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02 -UTILITY FUND
DEPARTMENT -M160 Water
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5160.001 WAGES	156,337	9,062.60	90,530.46	0.00	65,806.54	57.91
5160.002 CERTIFICATE/LICENSE PAY	3,600	184.62	1,800.01	0.00	1,799.99	50.00
5160.003 DUES & SUBSCRIPTIONS	200	0.00	60.00	0.00	140.00	30.00
5160.004 FREIGHT/POSTAGE	3,280	208.68	2,071.40	0.00	1,208.60	63.15
5160.005 PERMITS/ASSESS./LICENSE	7,500	0.00	6,057.25	0.00	1,442.75	80.76
5160.006 LAB SUPPLIES & FEES	16,000	1,495.32	8,469.03	0.00	7,530.97	52.93
5160.007 COMPUTER/TECH	1,000	0.00	489.49	0.00	510.51	48.95
5160.008 CONTRACT - FCWD (RAW WATER)	90,000	7,583.33	68,249.97	0.00	21,750.03	75.83
5160.009 LEGAL	0	0.00	0.00	0.00	0.00	0.00
5160.010 WATER PLANT REPAIRS	35,000	15.11	23,901.10	0.00	11,098.90	68.29
5160.011 SERVICE CONTRACT FEES	7,500	0.00	6,955.15	0.00	544.85	92.74
5160.012 CHEMICALS - WATER PLANT	75,000	1,015.35	32,217.42	0.00	42,782.58	42.96
5160.013 SLUDGE DISPOSAL	32,000	0.00	0.00	0.00	32,000.00	0.00
5160.014 REPAIR WATER DIST. SYSTEM	15,000	1,027.64	14,521.99	0.00	478.01	96.81
5160.015 INT. DUE ON DEPOSITS	3,500	11.62	3,205.52	0.00	294.48	91.59
5160.016 FIRE HYDRANTS AND VALVES	8,000	0.00	3,047.00	0.00	4,953.00	38.09
5160.017 REPAIR VEHICLE	500	17.00	436.05	0.00	63.95	87.21
5160.018 SPECIAL PROJECTS	1,000	70.14	224.40	0.00	775.60	22.44
5160.019 ENGINEER EXPENSE/ADM	20,000	9,310.00	26,151.40	0.00 (6,151.40)	130.76
5160.020 PIPE SUPPLIES	2,000	0.00	18,621.52	0.00 (16,621.52)	931.08
5160.021 CAPITAL EXPENSE	75,000	0.00	9,480.00	0.00	65,520.00	12.64
5160.022 WATER METER/REPAIR/FLUSH	10,000	0.00	4,185.16	0.00	5,814.84	41.85
5160.023 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5160.024 TRANS TO EQUIP FUND	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5160.025 UNEMPLOYMENT EXPENSE (TEC)	900	0.00	119.30	0.00	780.70	13.26
5160.026 METER READING DEVICE MAINT.	9,000	0.00	0.00	0.00	9,000.00	0.00
5160.027 STREET REPAIR FOR WATER LEAKS	2,500	0.00	0.00	0.00	2,500.00	0.00
5160.028 DAM CLEANING	5,000	0.00	0.00	0.00	5,000.00	0.00
5160.032 SOCIAL SECURITY (FICA)	10,189	585.49	6,153.91	0.00	4,035.09	60.40
5160.033 MEDICARE	2,382	136.94	1,439.31	0.00	942.69	60.42
5160.034 TML HEALTH INSU.	25,020	2,086.47	20,169.21	0.00	4,850.79	80.61
5160.035 TMRS	13,589	971.81	10,776.15	0.00	2,812.85	79.30
5160.036 GAS & OIL	800	334.49	1,740.11	0.00 (940.11)	217.51
5160.037 TELEPHONE	4,750	55.38	2,655.00	0.00	2,095.00	55.89
5160.038 UTILITIES	20,655	2,027.80	16,332.63	0.00	4,322.37	79.07
5160.039 OVERTIME	8,000	141.00	4,469.64	0.00	3,530.36	55.87
5160.040 LEASE VEHICLES	8,218	667.82	4,910.46	0.00	3,307.54	59.75
5160.041 BAD DEBT EXPENSE	800	1,966.83	2,792.46	0.00 (1,992.46)	349.06
5160.042 SCHOOL/TRAINING/TRAVEL	5,000	0.00	3,575.42	0.00	1,424.58	71.51
5160.043 UNIFORMS	600	0.00	361.47	0.00	238.53	60.25
5160.044 SUPPLIES	3,500	125.45	2,207.69	0.00	1,292.31	63.08
5160.045 PROPERTY/LIABILITY INS.	4,600	0.00	10,244.56	0.00 (5,644.56)	222.71
5160.047 ADMINISTRATION FEE	0	0.00	0.00	0.00	0.00	0.00
5160.049 WORKERS COMP. INS.	2,700	0.00	2,138.06	0.00	561.94	79.19
5160.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5160.051 2007 WTP CONSTRUCTION LOAN	0	0.00	0.00	0.00	0.00	0.00
5160.052 2007 WTP CONSTRUCTION DEBT TRF	0	0.00	0.00	0.00	0.00	0.00
5160.053 LONGEVITY	2,400	0.00	2,400.00	0.00	0.00	100.00
5160.054 2008 USDA CONSTRUCTION LOAN	0	0.00	0.00	0.00	0.00	0.00

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02 -UTILITY FUND
DEPARTMENT -M160 Water
DEPARTMENTAL EXPENDITURES

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
REVENUES						
5160.055 2008 USDA CONSTRUCTION DEBT	0	0.00	0.00	0.00	0.00	0.00
5160.056 TRANSFER OUT	0	0.00	0.00	0.00	0.00	0.00
5160.075 TMRS-PENSION COST AUDITORS	0	0.00	0.00	0.00	0.00	0.00
5160.076 OPEB EXPENSE	0	0.00	0.00	0.00	0.00	0.00
 TOTAL 160 Water	 697,620	 39,400.89	 416,559.70	 0.00	 281,060.30	 59.71

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CITY OF MOUNT VERNON
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02 -UTILITY FUND
DEPARTMENT -M170 Sewer
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5170.001 WAGES	128,136	9,861.30	85,005.43	0.00	43,130.57	66.34
5170.002 BUILDING REPAIR	500	0.00	0.00	0.00	500.00	0.00
5170.003 DUES & SUBSCRIPTIONS	150	0.00	0.00	0.00	150.00	0.00
5170.004 FREIGHT/POSTAGE	3,000	196.67	2,059.41	0.00	940.59	68.65
5170.005 PERMITS/ASSESS./LICENSE	5,600	0.00	2,608.74	0.00	2,991.26	46.58
5170.006 LAB FEES	16,500	1,455.00	9,073.00	0.00	7,427.00	54.99
5170.007 TRANSFER TO WWTP FUND	0	0.00	0.00	0.00	0.00	0.00
5170.008 TRANS TO OPR FUND	0	0.00	0.00	0.00	0.00	0.00
5170.009 LEGAL	0	0.00	0.00	0.00	0.00	0.00
5170.010 PLANT/LIFT STA. REPAIR	30,000	1,455.86	11,375.80	0.00	18,624.20	37.92
5170.011 LIFT STA. & WW PLANT REHAB.	0	0.00	0.00	0.00	0.00	0.00
5170.012 CHEMICALS - WASTE WATER PLANT	22,000	354.38	7,296.51	0.00	14,703.49	33.17
5170.013 SLUDGE DISPOSAL SERVICE	80,000	1,432.81	21,444.55	0.00	58,555.45	26.81
5170.014 REPAIR SEWER COLL. SYSTEM	140,000	4,558.50	7,195.59	0.00	132,804.41	5.14
5170.015 COMPUTER/TECH	1,000	0.00	299.50	0.00	700.50	29.95
5170.016 AERATORS/MAINTENANCE	8,000	0.00	2,777.20	0.00	5,222.80	34.72
5170.017 REPAIR VEHICLES	500	47.92	976.35	0.00 (476.35)	195.27
5170.018 SPECIAL PROJECTS	3,000	70.06	1,224.35	0.00	1,775.65	40.81
5170.019 ENGINEER EXPENSE	20,000	3,391.29	45,236.29	0.00 (25,236.29)	226.18
5170.020 PIPE SUPPLIES	2,500	0.00	3,472.05	0.00 (972.05)	138.88
5170.021 CAPITAL EXPENSE	42,465	0.00	0.00	0.00	42,465.00	0.00
5170.022 2012-C.O-FIRST NATIONAL BANK	183,493 (4,373.34)	29,543.60	0.00	153,949.40	16.10
5170.023 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5170.024 TRANS TO EQUIP FUND	3,600	300.00	2,400.00	0.00	1,200.00	66.67
5170.025 UNEMPLOYMENT EXPENSE (TEC)	500	0.00	18.00	0.00	482.00	3.60
5170.026 2013 CO TWDB DEBT	0	0.00	0.00	0.00	0.00	0.00
5170.027 STREET REPAIR ON SEWER LEAKS	3,000	0.00	0.00	0.00	3,000.00	0.00
5170.028 2013 CO'S TWDB DEBT	0	0.00	0.00	0.00	0.00	0.00
5170.029 CERTIFICATE/LICENSE PAY	3,000	230.76	1,846.08	0.00	1,153.92	61.54
5170.032 SOCIAL SECURITY (FICA)	8,564	698.90	6,186.01	0.00	2,377.99	72.23
5170.033 MEDICARE	2,002	163.45	1,446.68	0.00	555.32	72.26
5170.034 TML HEALTH INSU.	25,020	2,086.47	18,778.37	0.00	6,241.63	75.05
5170.035 RETIREMENT (TMRS)	14,449	1,184.85	11,138.36	0.00	3,310.64	77.09
5170.036 FUEL (GAS & OIL)	3,000	490.55	2,031.16	0.00	968.84	67.71
5170.037 TELEPHONE	2,500	110.76	899.68	0.00	1,600.32	35.99
5170.038 UTILITIES	30,000	3,209.24	25,293.93	0.00	4,706.07	84.31
5170.039 OVERTIME	10,000	1,113.43	9,108.62	0.00	891.38	91.09
5170.040 LEASE VEHICLES	8,218	655.46	5,243.68	0.00	2,974.32	63.81
5170.041 BAD DEBTS (SEWER SERVICE)	800	1,884.73	2,632.27	0.00 (1,832.27)	329.03
5170.042 SCHOOL/TRAINING/TRAVEL	1,500	0.00	949.91	0.00	550.09	63.33
5170.043 UNIFORMS	500	0.00	0.00	0.00	500.00	0.00
5170.044 SUPPLIES	5,000	36.96	1,224.84	0.00	3,775.16	24.50
5170.045 PROPERTY/LIABILITY INS.	3,000	0.00	3,796.14	0.00 (796.14)	126.54
5170.047 ADMINISTRATION FEE	0	0.00	0.00	0.00	0.00	0.00
5170.049 WORKERS COMP. INS.	2,100	0.00	2,138.05	0.00 (38.05)	101.81
5170.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5170.053 LONGEVITY	3,300	0.00	3,300.00	0.00	0.00	100.00
5170.054 TRANSFER OUT	0	0.00	0.00	0.00	0.00	0.00
5170.056 INTEREST EXPENSE	0	0.00	0.00	0.00	0.00	0.00
TOTAL 170 Sewer	817,897	30,616.01	329,020.15	0.00	488,876.85	40.23
=====	=====	=====	=====	=====	=====	=====

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02 -UTILITY FUND
DEPARTMENT -M505 Depreciation
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5505.000 CIP	0	0.00	0.00	0.00	0.00	0.00
5505.002 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
5505.999 PRIOR PERIOD ADJUSTMENTS	0	0.00	0.00	0.00	0.00	0.00
TOTAL 505 Depreciation	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	1,559,617	72,230.99	747,842.46	0.00	811,774.54	47.95
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REVENUE OVER/(UNDER) EXPENDITURES	281,125	64,084.63	343,555.77	0.00 (62,430.77)	122.21

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03 -1998 WWTP EXPANSION
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
300 WWTP FUND	0	0.00	0.00	0.00	0.00	0.00
502 1998 WWTO EXPANSION	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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Item 1.

03 -1998 WWTP EXPANSION
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4022 INTEREST INCOME	0	0.00	0.00	0.00	0.00	0.00
4051 ADV. TAX REVENUE	0	0.00	0.00	0.00	0.00	0.00
4051.001 DEL. TAX REVENUE	0	0.00	0.00	0.00	0.00	0.00
4052 ADV TAX REV - PEN & INT	0	0.00	0.00	0.00	0.00	0.00
4999 TRANSFERS IN	0	0.00	0.00	0.00	0.00	0.00
4999.001 TRANSFER FROM DEBT SERVICES	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00

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03 -1998 WWTP EXPANSION
DEPARTMENT -M300 WWTP FUND
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5300.002 GENERAL EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5300.003 DEBT SERVICE ADMINISTRATION	0	0.00	0.00	0.00	0.00	0.00
5300.008 INTEREST	0	0.00	0.00	0.00	0.00	0.00
5300.009 DEBT SERVICE	0	0.00	0.00	0.00	0.00	0.00
5300.020 TRANSFER TO UTILITY FUND	0	0.00	0.00	0.00	0.00	0.00
5300.025 DEPRECIATION EXP	0	0.00	0.00	0.00	0.00	0.00
TOTAL 300 WWTP FUND	0	0.00	0.00	0.00	0.00	0.00

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03 -1998 WWTP EXPANSION
DEPARTMENT --M502 1998 WWTO EXPANSION
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5502.002 DEPRECIATION EXP	0	0.00	0.00	0.00	0.00	0.00
TOTAL 502 1998 WWTO EXPANSION	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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04 -HOTEL/MOTEL FUND
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	35,600	7,299.75	33,577.35	0.00	2,022.65	94.32
TOTAL REVENUES	35,600	7,299.75	33,577.35	0.00	2,022.65	94.32
<u>EXPENDITURE SUMMARY</u>						
400-HOTEL/MOTEL	45,075	13,148.67	35,198.67	0.00	9,876.33	78.09
TOTAL EXPENDITURES	45,075	13,148.67	35,198.67	0.00	9,876.33	78.09
REVENUE OVER/(UNDER) EXPENDITURES	(9,475)	(5,848.92)	(1,621.32)	0.00	(7,853.68)	17.11

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04 -HOTEL/MOTEL FUND
FINANCIAL SUMMARY

REVENUES		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001	HOTEL/MOTEL TAX REVENUE	35,000	7,299.75	33,577.35	0.00	1,422.65	95.94
4002	MISC. REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022	INT. EARNED	600	0.00	0.00	0.00	600.00	0.00
TOTAL REVENUE		35,600	7,299.75	33,577.35	0.00	2,022.65	94.32

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04 -HOTEL/MOTEL FUND
DEPARTMENT -M400-HOTEL/MOTEL
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5400.002 ARTS ALLIANCE	6,500	0.00	6,500.00	0.00	0.00	100.00
5400.003 CHAMBER OF COMMERCE	5,000	0.00	0.00	0.00	5,000.00	0.00
5400.004 UNDESIGNATED FUNDS	0	0.00	0.00	0.00	0.00	0.00
5400.005 HISTORICAL ASSN. DONATION	8,500	0.00	8,500.00	0.00	0.00	100.00
5400.006 SRS AUCTION SERVICES	2,400	0.00	0.00	0.00	2,400.00	0.00
5400.007 THE ALAMO MISSION	2,000	0.00	0.00	0.00	2,000.00	0.00
5400.008 GENEALOGICAL SOCIETY	0	0.00	0.00	0.00	0.00	0.00
5400.009 MOUNT VERNON MUSIC	0	0.00	0.00	0.00	0.00	0.00
5400.010 FRANKLIN CO. YOUTH BASEBALL	7,500	0.00	7,050.00	0.00	450.00	94.00
5400.011 BIKE TOUR	3,175	3,148.67	3,148.67	0.00	26.33	99.17
5400.012 MAIN STREET	10,000	10,000.00	10,000.00	0.00	0.00	100.00
5400.013 THE HOLBROOK BED & BREAKFAST	0	0.00	0.00	0.00	0.00	0.00
TOTAL 400-HOTEL/MOTEL	45,075	13,148.67	35,198.67	0.00	9,876.33	78.09
TOTAL EXPENDITURES	45,075	13,148.67	35,198.67	0.00	9,876.33	78.09
REVENUE OVER/ (UNDER) EXPENDITURES	(9,475) (5,848.92) (1,621.32) (0.00 (7,853.68) (17.11

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05 -EDC
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	366,000	39,547.25	273,574.41	0.00	92,425.59	74.75
TOTAL REVENUES	366,000	39,547.25	273,574.41	0.00	92,425.59	74.75
<u>EXPENDITURE SUMMARY</u>						
300 EDC	355,950	13,620.12	83,069.10	0.00	272,880.90	23.34
TOTAL EXPENDITURES	355,950	13,620.12	83,069.10	0.00	272,880.90	23.34
REVENUE OVER/ (UNDER) EXPENDITURES	10,050	25,927.13	190,505.31	0.00 (180,455.31)	1,895.58

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05 -EDC
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 EDC TAX REV.	360,000	38,129.76	267,446.68	0.00	92,553.32	74.29
4018 MISCELLANEOUS	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST	6,000	1,417.49	6,127.73	0.00	127.73	102.13
TOTAL REVENUE	366,000	39,547.25	273,574.41	0.00	92,425.59	74.75

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05 -EDC
DEPARTMENT -M300 EDC
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5300.001 WAGES/CONSULTANT	63,000	13,487.62	42,588.01	0.00	20,411.99	67.60
5300.002 COMPUTER	500	0.00	796.00	0.00 (296.00)	159.20
5300.003 PROMOTIONAL/MARKETING	18,000	117.15	117.15	0.00	17,882.85	0.65
5300.004 POSTAGE	100	0.00	2.85	0.00	97.15	2.85
5300.005 AUDIT EXPENSE	1,000	0.00	1,000.00	0.00	0.00	100.00
5300.007 LEG. OUTREACH	500	0.00	0.00	0.00	500.00	0.00
5300.008 SCHOLORSHIP	2,000	0.00	2,000.00	0.00	0.00	100.00
5300.009 PUBLICATIONS	0	0.00	301.75	0.00 (301.75)	0.00
5300.010 ATTORNEY FEES	12,000	0.00	4,400.00	0.00	7,600.00	36.67
5300.011 WEBSITE	500	0.00	0.00	0.00	500.00	0.00
5300.012 HIST. FACADE GRANT	20,000	0.00	20,000.00	0.00	0.00	100.00
5300.014 DISCRETIONARY FUNDS	0	0.00	0.00	0.00	0.00	0.00
5300.017 ADVERTISING/PUBLIC NOTICES	500	0.00	0.00	0.00	500.00	0.00
5300.018 BUSINESS INCENTIVES	3,000	0.00	900.88	0.00	2,099.12	30.03
5300.019 RENTAL ASSISTANCE PROGRAM	15,000	0.00	0.00	0.00	15,000.00	0.00
5300.020 JOB CREATION INCENTIVE	10,000	0.00	0.00	0.00	10,000.00	0.00
5300.021 EXISTING BUS. STRUCTURE	25,000	0.00	0.00	0.00	25,000.00	0.00
5300.022 SPECIAL PROJECT	100,000	0.00	0.00	0.00	100,000.00	0.00
5300.023 MAIN STREET ONGOING	10,000	0.00	10,000.00	0.00	0.00	100.00
5300.024 BUSINESS RETENTION	0	0.00	0.00	0.00	0.00	0.00
5300.025 UNEMPLOYMENT EXP (TEC)	0	0.00	0.00	0.00	0.00	0.00
5300.026 BUSINESS RECRUITMENT	0	0.00	0.00	0.00	0.00	0.00
5300.027 DUES	1,000	0.00	0.00	0.00	1,000.00	0.00
5300.028 BUS ANALYTICS	0	0.00	0.00	0.00	0.00	0.00
5300.029 INFRASTRUCTURE	70,000	0.00	0.00	0.00	70,000.00	0.00
5300.030 SPLASH PAD	0	0.00	0.00	0.00	0.00	0.00
5300.032 SOCIAL SECURITY (FICA)	0	0.00	0.00	0.00	0.00	0.00
5300.033 MEDICARE	0	0.00	0.00	0.00	0.00	0.00
5300.034 TML INSURANCE	0	0.00	0.00	0.00	0.00	0.00
5300.035 RETIREMENT (TMRS)	0	0.00	0.00	0.00	0.00	0.00
5300.037 TELEPHONE	750	0.00	354.08	0.00	395.92	47.21
5300.042 SCHOOL/TRAINING/TRAVEL	2,500	0.00	79.00	0.00	2,421.00	3.16
5300.044 SUPPLIES	600	15.35	529.38	0.00	70.62	88.23
5300.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
5300.075 TMRS-PENSION COST AUDITORS	0	0.00	0.00	0.00	0.00	0.00
5300.999 PRIOR PERIOD ADJUSTMENTS	0	0.00	0.00	0.00	0.00	0.00
TOTAL 300 EDC	355,950	13,620.12	83,069.10	0.00	272,880.90	23.34
TOTAL EXPENDITURES	355,950	13,620.12	83,069.10	0.00	272,880.90	23.34
REVENUE OVER/(UNDER) EXPENDITURES	10,050	25,927.13	190,505.31	0.00 (180,455.31)	1,895.58

*** END OF REPORT ***

CITY OF MOUNT VERNON
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07 -DEBT FUND
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	145,756	2,902.68	138,530.94	0.00	7,225.06	95.04
TOTAL REVENUES	145,756	2,902.68	138,530.94	0.00	7,225.06	95.04
<u>EXPENDITURE SUMMARY</u>						
000 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
700 DEBT FUND	151,191	0.00	5,046.25	0.00	146,144.75	3.34
TOTAL EXPENDITURES	151,191	0.00	5,046.25	0.00	146,144.75	3.34
REVENUE OVER/ (UNDER) EXPENDITURES	(5,435)	2,902.68	133,484.69	0.00	(138,919.69)	2,456.02-

CITY OF MOUNT VERNON
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07 -DEBT FUND
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 TAX REVENUE	135,056	1,707.95	131,464.18	0.00	3,591.82	97.34
4002 DEL. TAX REV	4,000	192.14	2,172.56	0.00	1,827.44	54.31
4002.001 I&S TAX ATT.	1,200	103.56	678.69	0.00	521.31	56.56
4003 DEBT SERVICE P & I	2,500	276.85	1,584.55	0.00	915.45	63.38
4022 INTEREST EARNED	3,000	622.18	2,630.96	0.00	369.04	87.70
4999 TRANSFER	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	145,756	2,902.68	138,530.94	0.00	7,225.06	95.04

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07 -DEBT FUND
DEPARTMENT -M000 TRANSFERS
DEPARTMENTAL EXPENDITURES

		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
REVENUES							
5000	TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
	TOTAL 000 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00

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07 -DEBT FUND
DEPARTMENT -M700 DEBT FUND
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5700.000 DEBT SERVICE FEES	0	0.00	0.00	0.00	0.00	0.00
5700.026 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
5700.027 MISC. EXP.	0	0.00	0.00	0.00	0.00	0.00
5700.028 2012 C.O. FIRST NATIONAL BANK	0	0.00	0.00	0.00	0.00	0.00
5700.029 2013 C.O. TWDB DEBT	24,693	0.00	5,046.25	0.00	19,646.75	20.44
5700.030 2018 C.O. FIRST NATIONAL BANK	126,498	0.00	0.00	0.00	126,498.00	0.00
TOTAL 700 DEBT FUND	151,191	0.00	5,046.25	0.00	146,144.75	3.34
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TOTAL EXPENDITURES	151,191	0.00	5,046.25	0.00	146,144.75	3.34
<hr/>						
REVENUE OVER/ (UNDER) EXPENDITURES	(5,435)	2,902.68	133,484.69	0.00	(138,919.69)	2,456.02-

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09 --EQUIPMENT FUND
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	33,800	2,400.00	19,200.00	0.00	14,600.00	56.80
TOTAL REVENUES	33,800	2,400.00	19,200.00	0.00	14,600.00	56.80
<u>EXPENDITURE SUMMARY</u>						
900 EQUIPMENT	104,200	0.00	0.00	0.00	104,200.00	0.00
TOTAL EXPENDITURES	104,200	0.00	0.00	0.00	104,200.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	(70,400)	2,400.00	19,200.00	0.00	(89,600.00)	27.27-

CITY OF MOUNT VERNON
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09 -EQUIPMENT FUND
FINANCIAL SUMMARY

		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<hr/>							
REVENUES							
4022	INT. EARNED	1,400	0.00	0.00	0.00	1,400.00	0.00
4027	SALE OF ASSETS	0	0.00	0.00	0.00	0.00	0.00
4028	DONATION FROM FC FIREFIGHTERS	0	0.00	0.00	0.00	0.00	0.00
4029	MISC. REVENUE	0	0.00	0.00	0.00	0.00	0.00
4050	TRANSFERS IN	32,400	2,400.00	19,200.00	0.00	13,200.00	59.26
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TOTAL REVENUE		33,800	2,400.00	19,200.00	0.00	14,600.00	56.80
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09 -EQUIPMENT FUND
DEPARTMENT -M900 EQUIPMENT
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5900.001 TRANSFER OUT	104,200	0.00	0.00	0.00	104,200.00	0.00
TOTAL 900 EQUIPMENT	104,200	0.00	0.00	0.00	104,200.00	0.00
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TOTAL EXPENDITURES	104,200	0.00	0.00	0.00	104,200.00	0.00
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REVENUE OVER/ (UNDER) EXPENDITURES	{ 70,400}	2,400.00	19,200.00	0.00	{ 89,600.00}	27.27-

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CITY OF MOUNT VERNON
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10 -CHILD SAFETY
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	1,110	0.00	50.00	0.00	1,060.00	4.50
TOTAL REVENUES	1,110	0.00	50.00	0.00	1,060.00	4.50
<u>EXPENDITURE SUMMARY</u>						
CHILD SAFETY	1,000	0.00	1,000.00	0.00	0.00	100.00
TOTAL EXPENDITURES	1,000	0.00	1,000.00	0.00	0.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	110	0.00	(950.00)	0.00	1,060.00	863.64~

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10 -CHILD SAFETY
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 CHILD SAFETY REVENUE	100	0.00	50.00	0.00	50.00	50.00
4022 INT. EARNED	10	0.00	0.00	0.00	10.00	0.00
4023 TRANSFER FROM GENERAL FUND	1,000	0.00	0.00	0.00	1,000.00	0.00
TOTAL REVENUE	1,110	0.00	50.00	0.00	1,060.00	4.50

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10 -CHILD SAFETY
DEPARTMENT -MCHILD SAFETY
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5010.001 CHILD SAFETY EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5010.002 ETCADA KID PROGRAM	1,000	0.00	1,000.00	0.00	0.00	100.00
TOTAL CHILD SAFETY	1,000	0.00	1,000.00	0.00	0.00	100.00
<hr/>						
TOTAL EXPENDITURES	1,000	0.00	1,000.00	0.00	0.00	100.00
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	110	0.00 (950.00)	0.00	1,060.00	863.64-

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CITY OF MOUNT VERNON
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12 -GENERAL FIXED ASSETS
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
FIXED ASSETS	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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12 -GENERAL FIXED ASSETS
FINANCIAL SUMMARY

REVENUES		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4050	TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE		0	0.00	0.00	0.00	0.00	0.00

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12 -GENERAL FIXED ASSETS
DEPARTMENT -MFIRED ASSETS
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5012.001 PRIOR PERIOD ADJUSTMENTS	0	0.00	0.00	0.00	0.00	0.00
TOTAL FIXED ASSETS	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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CITY OF MOUNT VERNON
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14 -TECHNOLOGY
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	500	64.85	650.54	0.00 (150.54)	130.11
TOTAL REVENUES	500	64.85	650.54	0.00 (150.54)	130.11
<u>EXPENDITURE SUMMARY</u>						
014 TECHNOLOGY	400	0.00	0.00	0.00	400.00	0.00
TOTAL EXPENDITURES	400	0.00	0.00	0.00	400.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	100	64.85	650.54	0.00 (550.54)	650.54

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14 -TECHNOLOGY
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 TECHNOLOGY REVENUE	400	64.85	650.54	0.00 (250.54)	162.64
4022 INT. EARNED	100	0.00	0.00	0.00	100.00	0.00
TOTAL REVENUE	500	64.85	650.54	0.00 (150.54)	130.11

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14 -TECHNOLOGY
DEPARTMENT --M014 TECHNOLOGY
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5014.001 TECHNOLOGY EXPENSES	400	0.00	0.00	0.00	400.00	0.00
TOTAL 014 TECHNOLOGY	400	0.00	0.00	0.00	400.00	0.00
<hr/>						
TOTAL EXPENDITURES	400	0.00	0.00	0.00	400.00	0.00
<hr/>						
REVENUE OVER/ (UNDER) EXPENDITURES	100	64.85	650.54	0.00 (550.54)	650.54

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15 -SECURITY
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	300	0.00	23.03	0.00	276.97	7.68
TOTAL REVENUES	300	0.00	23.03	0.00	276.97	7.68
<u>EXPENDITURE SUMMARY</u>						
015 SECURITY	300	0.00	0.00	0.00	300.00	0.00
TOTAL EXPENDITURES	300	0.00	0.00	0.00	300.00	0.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.00	23.03	0.00 (23.03)	0.00

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15 -SECURITY
FINANCIAL SUMMARY

REVENUES		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001	SECURITY REVENUE	300	0.00	23.03	0.00	276.97	7.68
4022	INT EARNED	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE		300	0.00	23.03	0.00	276.97	7.68

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15 -SECURITY
DEPARTMENT -M015 SECURITY
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5015.001 SECURITY EXPENSES	300	0.00	0.00	0.00	300.00	0.00
TOTAL 015 SECURITY	300	0.00	0.00	0.00	300.00	0.00
<hr/>						
TOTAL EXPENDITURES	300	0.00	0.00	0.00	300.00	0.00
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	23.03	0.00 (23.03)	0.00

*** END OF REPORT ***

CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
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20 -ENDOWEMENT FUND
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	3,500	0.00	273.86	0.00	3,226.14	7.82
TOTAL REVENUES	3,500	0.00	273.86	0.00	3,226.14	7.82
<u>EXPENDITURE SUMMARY</u>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	3,500	0.00	273.86	0.00	3,226.14	7.82

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20 -ENDOWEMENT FUND
FINANCIAL SUMMARY

		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<hr/>							
REVENUES							
4020	ENDOWEMENT CD'S	0	0.00	0.00	0.00	0.00	0.00
4022	ENDOWEMENT INTEREST	3,500	0.00	273.86	0.00	3,226.14	7.82
<hr/>							
TOTAL REVENUE		3,500	0.00	273.86	0.00	3,226.14	7.82
<hr/>							
TOTAL EXPENDITURES		0	0.00	0.00	0.00	0.00	0.00
<hr/>							
REVENUE OVER/(UNDER) EXPENDITURES		3,500	0.00	273.86	0.00	3,226.14	7.82
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CITY OF MOUNT VERNON
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21 -TWDB WATERLINE GRANT
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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21 -TWDB WATERLINE GRANT
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 TWDB REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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22 -CONFISCATED FUNDS
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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22 -CONFISCATED FUNDS
FINANCIAL SUMMARY

		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
REVENUES							
4001	CONFISCATED REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022	INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE		0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES		0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES		0	0.00	0.00	0.00	0.00	0.00

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CITY OF MOUNT VERNON
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23 -PARK PROJECT
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	100	5.41	24.31	0.00	75.69	24.31
TOTAL REVENUES	100	5.41	24.31	0.00	75.69	24.31
<u>EXPENDITURE SUMMARY</u>						
PARK PROJECT	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	100	5.41	24.31	0.00	75.69	24.31

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23 -PARK PROJECT
FINANCIAL SUMMARY

REVENUES		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001	PARK REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022	INTEREST EARNED	100	5.41	24.31	0.00	75.69	24.31
4023	A/R-AUDITORS ADJ	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE		100	5.41	24.31	0.00	75.69	24.31

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23 -PARK PROJECT
DEPARTMENT -MPARK PROJECT
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5023.040 RAGBALL	0	0.00	0.00	0.00	0.00	0.00
5023.041 REPAIRS	0	0.00	0.00	0.00	0.00	0.00
5023.042 SPLASH PAD	0	0.00	0.00	0.00	0.00	0.00
5023.044 SUPPLIES	0	0.00	0.00	0.00	0.00	0.00
TOTAL PARK PROJECT	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
REVENUE OVER/ (UNDER) EXPENDITURES	100	5.41	24.31	0.00	75.69	24.31

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24 -HOME PROGRAM
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
HOME PROGRAM	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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24 -HOME PROGRAM
FINANCIAL SUMMARY

REVENUES		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001	HOME PROGRAM REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022	INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE		0	0.00	0.00	0.00	0.00	0.00

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24 -HOME PROGRAM
DEPARTMENT --MHOME PROGRAM
DEPARTMENTAL EXPENDITURES

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
REVENUES						
5024.001 CONSTRUCTION	0	0.00	0.00	0.00	0.00	0.00
5024.002 CONSULTANTS	0	0.00	0.00	0.00	0.00	0.00
5024.003 CITY EXPENSE	0	0.00	0.00	0.00	0.00	0.00
TOTAL HOME PROGRAM	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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25 -TXCDGB
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
TXCDBG	0	25,650.00	92,504.89	0.00	(92,504.89)	0.00
TOTAL EXPENDITURES	0	25,650.00	92,504.89	0.00	(92,504.89)	0.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	(25,650.00)	(92,504.89)	0.00	92,504.89	0.00

CITY OF MOUNT VERNON
REVENUE & EXPENSE REPORT (UNAUDITED)
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FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 TXCDBG REVENUE	0	0.00	0.00	0.00	0.00	0.00
4002 A/R-AUDITORS ADJ	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
4050 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00

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25 -TXCDBG
DEPARTMENT -MTXCDBG
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5025.001 CONSTRUCTION-SIDEWALK	0	0.00	67,525.29	0.00 (67,525.29)	0.00
5025.002 ENGINEERS - SIDEWALK	0	0.00	0.00	0.00	0.00	0.00
5025.003 CONSULTANTS - SIDEWALK	0	0.00	0.00	0.00	0.00	0.00
5025.004 CITY ADMINISTRATION - SIDEWALK	0	0.00	0.00	0.00	0.00	0.00
5025.005 CONSTRUCTION - WATER PLANT	0	0.00	0.00	0.00	0.00	0.00
5025.006 ENGINEERS - WATER PLANT	0	0.00	0.00	0.00	0.00	0.00
5025.007 CONSULTANTS - WATER PLANT	0	0.00	0.00	0.00	0.00	0.00
5025.008 ADMINISTRATION - WATER PLANT	0	0.00	0.00	0.00	0.00	0.00
5025.009 AMERICAN RESCUE ACT-ENGINEER	0	25,650.00	24,979.60	0.00 (24,979.60)	0.00
5025.010 AMERICAN RESCUE ACT-CONSTRUCTI	0	0.00	0.00	0.00	0.00	0.00
TOTAL TXCDBG	0	25,650.00	92,504.89	0.00 (92,504.89)	0.00
<hr/>						
TOTAL EXPENDITURES	0	25,650.00	92,504.89	0.00 (92,504.89)	0.00
<hr/>						
REVENUE OVER/ (UNDER) EXPENDITURES	0 (25,650.00)	(92,504.89)	0.00	92,504.89	0.00

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26 -2013 WASTEWATER REP/IMP
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
2013 WW REPL/IMP	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

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26 -2013 WASTEWATER REP/IMP
FINANCIAL SUMMARY

		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
REVENUES							
4001	2013 WASTEWATER REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022	INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
4999	TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE		0	0.00	0.00	0.00	0.00	0.00

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CITY OF MOUNT VERNON
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26 -2013 WASTEWATER REP/IMP
DEPARTMENT -M2013 WW REPL/IMP
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5026.001 CONSTRUCTION	0	0.00	0.00	0.00	0.00	0.00
5026.002 DEBT PAYMENT	0	0.00	0.00	0.00	0.00	0.00
5026.003 ENGINEERING	0	0.00	0.00	0.00	0.00	0.00
5026.004 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
5026.005 DEBT SERVICE EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5026.006 EASEMENTS	0	0.00	0.00	0.00	0.00	0.00
TOTAL 2013 WW REPL/IMP	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

*** END OF REPORT ***

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Item 1.

27 -LOCAL TRUANCY PREVENT
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	300	81.08	774.83	0.00 (474.83)	258.28
TOTAL REVENUES	300	81.08	774.83	0.00 (474.83)	258.28
REVENUE OVER/(UNDER) EXPENDITURES	300	81.08	774.83	0.00 (474.83)	258.28

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Item 1.

27 -LOCAL TRUANCY PREVENT
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 LOCAL TRUANCY PREVENTION FUND	300	81.08	774.83	0.00 (474.83)	258.28
TOTAL REVENUE	300	81.08	774.83	0.00 (474.83)	258.28
REVENUE OVER/(UNDER) EXPENDITURES	300	81.08	774.83	0.00 (474.83)	258.28

*** END OF REPORT ***

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Item 1.

28 -LOCAL MUNICIPAL JURY FUND
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<hr/>						
REVENUE SUMMARY						
ALL REVENUE	10	1.62	15.49	0.00 (5.49)	154.90
TOTAL REVENUES	10	1.62	15.49	0.00 (5.49)	154.90
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	10	1.62	15.49	0.00 (5.49)	154.90
<hr/>						

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CITY OF MOUNT VERNON
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28 -LOCAL MUNICIPAL JURY FUND
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 LOCAL MUNICIPAL JURY FUND	10	1.62	15.49	0.00 (5.49)	154.90
TOTAL REVENUE	10	1.62	15.49	0.00 (5.49)	154.90
REVENUE OVER/(UNDER) EXPENDITURES	10	1.62	15.49	0.00 (5.49)	154.90

*** END OF REPORT ***

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CITY OF MOUNT VERNON
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99 -POOLED CASH
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>EXPENDITURE SUMMARY</u>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

*** END OF REPORT ***

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VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
C-CHECK	VOID CHECK	V	5/06/2022			061008		

* * T O T A L S * *	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	0	0.00	0.00	0.00
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	0	0.00	0.00	0.00
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	1 VOID DEBITS	0.00		
	VOID CREDITS	0.00	0.00	0.00

TOTAL ERRORS: 0

VENDOR SET: 99 BANK: *	TOTALS:	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
		1	0.00	0.00	0.00
BANK: *	TOTALS:	1	0.00	0.00	0.00

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VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
7500 I-S042031-1 WWTP	AERO-MOD INC. AERO-MOD INC.	R	5/06/2022	838.46		060979		838.46
0040 I-A0546917 WTP	ANA-LAB CORPORATION ANA-LAB CORPORATION	R	5/06/2022	645.00		060980		645.00
214 I-529860X04272022 ANIMAL CONTROL ACCT # 287286529860	AT&T MOBILITY AT&T MOBILITY	R	5/06/2022	30.00		060981		30.00
9190 I-202205060046	BOYLES & LOWRY, LLP BOYLES & LOWRY, LLP	R	5/06/2022	2,750.00		060982		2,750.00
3140 I-202205060047	CARD SERVICE CENTER CARD SERVICE CENTER	R	5/06/2022	2,398.32		060983		2,398.32
0055 I-202205060042 FIRE DEPT	CHARLES EDWARD RUSSELL CHARLES EDWARD RUSSELL	R	5/06/2022	95.30		060984		95.30
195 I-4117781129 ACCT # 16552586 I-4118469583 ACCT # 16552586	CINTAS CORPORATION #495 CINTAS CORPORATION #495 CINTAS CORPORATION #495	R R	5/06/2022 5/06/2022	158.60 133.30		060985 060985		291.90
2640 I-202205060037 FIRE DEPT	CODY BRADFORD CODY BRADFORD	R	5/06/2022	27.59		060986		27.59
4170 I-INV1568780 ACCT # CBS-LN4133	COMPLETE BUSINESS SYSTEMS, INC COMPLETE BUSINESS SYSTEMS, INC	R	5/06/2022	286.88		060987		286.88
27 I-Q729436 WTP	CORE & MAIN CORE & MAIN	R	5/06/2022	451.44		060988		451.44
2660 I-202205060039 FIRE DEPT	DAVID AARON JANES DAVID AARON JANES	R	5/06/2022	163.50		060989		163.50

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0110	DPC INDUSTRIES, INC.							
I-797001165-22	DPC INDUSTRIES, INC.	R	5/06/2022	542.15		060990		
ACCT # 79054400	WTP							
I-797001166-22	DPC INDUSTRIES, INC.	R	5/06/2022	180.72		060990		722.87
ACCT # 79054400	WWTP							
227	ESO SOLUTIONS, INC.							
I-ESO-79529	ESO SOLUTIONS, INC.	R	5/06/2022	737.59		060991		737.59
FIRE DEPT								
0170	FIRMIN'S BUSINESS ESSENTIALS							
I-810090-0	FIRMIN'S BUSINESS ESSENTIALS	R	5/06/2022	114.95		060992		114.95
ACCT# 5372252								
0070	GEOTAB USA, INC							
I-IN303961	GEOTAB USA, INC	R	5/06/2022	153.00		060993		153.00
TRACKING DEVICES								
9970	JAYME HALEY							
I-202205060038	JAYME HALEY	R	5/06/2022	107.50		060994		107.50
FIRE DEPT								
7680	JOSHUA M. TUCKER							
I-202205060045	JOSHUA M. TUCKER	R	5/06/2022	222.00		060995		222.00
FIRE DEPT								
216	JS & G CALIBRATION SERVICE							
I-42922	JS & G CALIBRATION SERVICE	R	5/06/2022	450.00		060996		450.00
WWTP YEARLY CALIBRATION								
2870	KRAFTSMAN COMMERCIAL PLYGRND &							
I-37815	KRAFTSMAN COMMERCIAL PLYGRND &	R	5/06/2022	480.00		060997		480.00
SPLASH PAD								
0320	MAL TECHNOLOGIES FLEET							
I-1881	MAL TECHNOLOGIES FLEET	R	5/06/2022	1,346.25		060998		1,346.25
POLICE DEPT								
8490	MOUNT VERNON ROTARY CLUB							
I-202205060048	MOUNT VERNON ROTARY CLUB	R	5/06/2022	3,148.67		060999		3,148.67
HOT FUNDS REIMBURSEMENT								
2770	CIVICPLUS - MUNICIPAL CODE COR							
I-225904	MUNICIPAL CODE CORP.- CIVICPLU	R	5/06/2022	4,400.00		061000		4,400.00
AGENDA SOFTWARE								

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VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
6990	NETWORK TECHNOLOGIES	R	5/06/2022	375.00		061001		375.00
I-07-35527	NETWORK TECHNOLOGIES							
5030	O'REILLY AUTO PARTS	R	5/06/2022	30.92		061002		30.92
I-1991-359844	O'REILLY AUTO PARTS							
WWTP								
7740	REPUBLIC SERVICES #070	R	5/06/2022	1,432.81		061003		1,432.81
I-0070-003170270	REPUBLIC SERVICES #070							
ACCT # 3-0070-033995	WWTP SLUDGE REMOVAL							
2290	RICHARD BRIAN THOMAS	R	5/06/2022	59.60		061004		59.60
I-202205060044	RICHARD BRIAN THOMAS							
FIRE DEPT								
107	SHANE MARKER	R	5/06/2022	60.30		061005		60.30
I-202205060041	SHANE MARKER							
FIRE DEPT								
56	SOUTHERN SOFTWARE, INC	R	5/06/2022	1,015.00		061006		
I-250115	SOUTHERN SOFTWARE, INC							
POLICE DEPT								
I-250255	SOUTHERN SOFTWARE, INC	R	5/06/2022	615.00		061006		1,630.00
POLICE DEPT								
0840	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	2.41		061007		
I-202205060049	SOUTHWESTERN ELECTRIC POWER CO							
ACCT #964-722-104-0-8								
I-202205060050	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	32.24		061007		
ACCT # 966-135-002-0-4								
I-202205060051	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	5.85		061007		
ACCT #968-705-996-0-0								
I-202205060052	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	4.60		061007		
ACCT # 964-109-166-0-3								
I-202205060053	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	26.84		061007		
ACCT # 965-078-837-0-8								
I-202205060055	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	2.24		061007		
ACCT # 969-023-655-0-9								
I-202205060056	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	2.37		061007		
ACCT # 963-224-875-0-3								
I-202205060057	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	21.73		061007		
ACCT # 967-535-845-0-5								
I-202205060058	SOUTHWESTERN ELECTRIC POWER CO	R	5/06/2022	35.82		061007		134.10
ACCT # 962-667-590-0-8								

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6650	SUDDENLINK							
I-202205060059	SUDDENLINK	R	5/06/2022	14.28		061009		14.28
	ACCT 3 07707-119434-01-2							
0460	TOM SCOTT LUMBER YARD							
I-202205060060	TOM SCOTT LUMBER YARD	R	5/06/2022	3,552.86		061010		3,552.86
2630	TY THOMAS MCCARLEY							
I-202205060040	TY THOMAS MCCARLEY	R	5/06/2022	28.68		061011		28.68
	FIRE DEPT							
3190	USA BLUE BOOK							
I-974046	USA BLUE BOOK	R	5/06/2022	850.32		061012		850.32
	WTP							
0870	VERIZON							
I-9904653807	VERIZON	R	5/06/2022	457.43		061013		457.43
	ACCT 3913724005-00001							
199	VIDALYON STUDIOS							
I-530E5D3C-00017	VIDALYON STUDIOS	R	5/06/2022	43.98		061014		43.98
	MAIN STREET WEBSITE							
9960	WESLEY SARGENT							
I-202205060043	WESLEY SARGENT	R	5/06/2022	116.00		061015		116.00
	FIRE DEPT							
2000	WINKLE OIL CO., INC.							
I-111496	WINKLE OIL CO., INC.	R	5/06/2022	2,433.32		061016		2,433.32
	MAINTENANCE DEPT							
0040	ANA-LAB CORPORATION							
I-A0546916	ANA-LAB CORPORATION	R	5/11/2022	1,455.00		061017		1,455.00
	WWTP							
6750	EAGLE LABS, INC.							
I-34936	EAGLE LABS, INC.	R	5/11/2022	473.20		061018		473.20
	WTP							
0160	FRANKLIN CO. TREASURER							
I-202205110061	FRANKLIN CO. TREASURER	R	5/11/2022	81.13		061019		81.13
	TAX COLLECTION							

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102	FRONTIER COMMUNICATIONS I-202205110062 ACCT # 210-188-2366-091312-5	R	5/11/2022	136.07		061020		136.07
3880	FUELMAN I-NP62129543 ACCT #BG121381	R	5/11/2022	95.02		061021		95.02
0900	GARY R. TRAYLOR & ASSOC. I-9306 ARPA 2021 USDT	R	5/11/2022	3,391.29		061022		3,391.29
7040	GARY'S TERMITE & PEST CONTROL I-129320 CITY HALL 6 MONTH SERVICE - NEXT 11/16/2022	R	5/11/2022	135.00		061023		135.00
3250	LEGAL SHIELD I-202205110063 ALLEN HEATH HINES	R	5/11/2022	15.95		061024		15.95
4930	LINEBARGER, GOGGAN, BLAIR & SA I-202205110064 TAX COLLECTION	R	5/11/2022	662.51		061025		662.51
0320	MAL TECHNOLOGIES FLEET I-1389 RADIO FOR PD	R	5/11/2022	5,736.74		061026		5,736.74
8490	MOUNT VERNON ROTARY CLUB I-202205110065 DUES FOR TINA ROSE AND KATHY LOVIER	R	5/11/2022	334.00		061027		334.00
0840	SOUTHWESTERN ELECTRIC POWER CO I-202205110066 ACCT # 961-786-536-1-2	R	5/11/2022	5,647.29		061028		5,647.29
4020	TRACTOR SUPPLY CO. I-100463801 CHEMICALS FOR PARK	R	5/11/2022	949.91		061029		949.91
6970	AMERICAN TIRE DISTRIBUTORS I-S166216923 POLICE DEPT	R	5/19/2022	1,226.00		061035		1,226.00

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VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0880	CENTER POINT ENERGY							
I-202205190067	CENTER POINT ENERGY	R	5/19/2022	301.35		061036		301.35
	ACCT # 8000040366-9							
195	CINTAS CORPORATION #495							
I-4119045550	CINTAS CORPORATION #495	R	5/19/2022	133.30		061037		
	ACCT # 16552586							
I-4119851783	CINTAS CORPORATION #495	R	5/19/2022	158.69		061037		291.99
	ACCT # 16552586							
4170	COMPLETE BUSINESS SYSTEMS, INC							
I-287289	COMPLETE BUSINESS SYSTEMS, INC	R	5/19/2022	173.66		061038		173.66
	WWTP							
1770	EDC FUND							
I-202205190068	EDC FUND	R	5/19/2022	38,129.76		061039		38,129.76
57	ENTERPRISE FM TRUST							
I-FBN4453363	ENTERPRISE FM TRUST	R	5/19/2022	7,783.46		061040		7,783.46
	LEASE VEHICLES							
0170	FIRMIN'S BUSINESS ESSENTIALS							
I-810276-0	FIRMIN'S BUSINESS ESSENTIALS	R	5/19/2022	31.95		061041		31.95
	OFFICE SUPPLIES							
0172	JAY HODGE CHEVROLET							
I-JOB 54687	JAY HODGE CHEVROLET	R	5/19/2022	1,142.18		061042		1,142.18
	P20 MPPD							
0280	JON-WAYNE COMPANY							
I-A-62518	JON-WAYNE COMPANY	R	5/19/2022	16.06		061043		
	CONDENSATION DRAIN CLEAN OUT							
I-A62391	JON-WAYNE COMPANY	R	5/19/2022	50.00		061043		66.06
	CITY HALL							
4970	KSA ENGINEERS CORP.							
I-ARIV1002442	KSA ENGINEERS CORP.	R	5/19/2022	9,310.00		061044		9,310.00
	WTP							
0330	MC COLLUM ELECTRONICS							
I-21805	MC COLLUM ELECTRONICS	R	5/19/2022	120.34		061045		120.34
	FIRE DEPT							

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VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
48	I-051022 MICHAEL JONES HEALTH INSPECTIONS	R	5/19/2022	1,050.00		061046		1,050.00
3280	I-0203810 MT. VERNON TIRE WWTP	R	5/19/2022	35.00		061047		35.00
5030	I-1991-363048 O'REILLY AUTO PARTS FIRE DEPT	R	5/19/2022	53.46		061048		53.46
9150	I-4715212V200 SANITATION SOLUTIONS ACCT # 5200-29856-001	R	5/19/2022	22,122.52		061049		
	I-4715218V200 SANITATION SOLUTIONS ACCT #5200-31040-001	R	5/19/2022	8,053.07		061049		30,175.59
0840	I-202205190069 SOUTHWESTERN ELECTRIC POWER CO ACCT # 964-476-563-0-5	R	5/19/2022	2,387.51		061050		2,387.51
9420	I-025-379717 TYLER TECHNOLOGIES TYLER TECHNOLOGIES	R	5/19/2022	15.13		061051		15.13
0520	I-4/25/22 - 5/15/22 WEX ENTERPRISE ACCT #0496007051741	R	5/19/2022	3,210.26		061052		3,210.26
2010	I-330606 AFLAC RICHARD BROWNING ANNETTA HAMILTON	R	5/26/2022	188.40		061053		188.40
8350	I-202205260071 ALLSTATE JASON D KNOX	R	5/26/2022	35.28		061054		35.28
1610	I-2021086 BROOKSEY CROW INC. BROOKSEY CROW INC. MAINTENANCE DEPT	R	5/26/2022	684.00		061055		684.00
8700	I-39055020506303 COLONIAL LIFE CLASBY, CRANE, HINES, POOL	R	5/26/2022	170.00		061056		170.00

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VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
4170	COMPLETE BUSINESS SYSTEMS, INC							
I-INV1592045	COMPLETE BUSINESS SYSTEMS, INC	R	5/26/2022	297.02		061057		297.02
36	CUB CADET OF SULPHUR SPRINGS							
I-9610	CUB CADET OF SULPHUR SPRINGS	R	5/26/2022	423.31		061058		423.31
	MOWER AT PARK							
0210	FRANKLIN CO. APPRAISAL DIS							
I-JUNE 2022	FRANKLIN CO. APPRAISAL DIS	R	5/26/2022	1,705.12		061059		1,705.12
	TAX APPRAISAL							
0160	FRANKLIN CO. TREASURER							
I-202205260072	FRANKLIN CO. TREASURER	R	5/26/2022	12,727.00		061060		12,727.00
	LIBRARY 1541.67 DISPATCHER 9416.17 ADMIN 1769.16							
0180	FRANKLIN CO. WATER DIST.							
I-JUNE 2022	FRANKLIN CO. WATER DIST.	R	5/26/2022	7,583.33		061061		7,583.33
	JUNE 2022							
3880	FUELMAN							
I-NP621083041	FUELMAN	R	5/26/2022	243.24		061062		243.24
	ACCT # BG121381							
62	LONDON RAMSAY							
I-202205260073	LONDON RAMSAY	R	5/26/2022	300.00		061063		300.00
	PROSECUTOR							
3280	MT. VERNON TIRE							
I-0204194	MT. VERNON TIRE	R	5/26/2022	57.98		061064		57.98
	MAINTENANCE DEPT							
5030	O'REILLY AUTO PARTS							
I-1991-363380	O'REILLY AUTO PARTS	R	5/26/2022	21.99		061065		
	MAINTENANCE DEPT							
I-1991-363454	O'REILLY AUTO PARTS	R	5/26/2022	36.98		061065		58.97
	MAINTENANCE DEPT							
6650	SUDDENLINK							
I-202205260074	SUDDENLINK	R	5/26/2022	132.45		061066		132.45
	ACCT # 07707-141823-01-9 07707-119585-01-3 07707-1418							
0850	TEXAS MUNICIPAL RETIREMENT							
I-202205260076	TEXAS MUNICIPAL RETIREMENT	R	5/26/2022	13,431.73		061067		13,431.73

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A/P HISTORY CHECK REPORT

PAGE:

Item 1.

VENDOR SET: 99 City of Mount Vernon
 BANK: 99 POOLED CASH
 DATE RANGE: 5/01/2022 THRU 5/31/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
1690	TML - HEALTH							
I-202205260075	TML - HEALTH	R	5/26/2022	16,377.44		061068		16,377.44
1000	U. S. POSTMASTER							
I-202205260077	U. S. POSTMASTER	R	5/26/2022	405.35		061069		405.35
4220	UNDERGROUND UTILITY SUPPL							
I-246587	UNDERGROUND UTILITY SUPPL	R	5/26/2022	576.20		061070		
WTP								
I-249227	UNDERGROUND UTILITY SUPPL	R	5/26/2022	185.16		061070		761.36
WWTP								
0520	WEX ENTERPRISE							
I-5-16 THRU 5-22-22	WEX ENTERPRISE	R	5/26/2022	2,043.28		061071		2,043.28
ACCT # 0496007051741								

* * T O T A L S * *	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	87	203,322.89	0.00	203,322.89
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	0	0.00	0.00	0.00
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	0 VOID DEBITS	0.00		
	VOID CREDITS	0.00	0.00	

TOTAL ERRORS: 0

VENDOR SET: 99	BANK: 99	TOTALS:	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
			87	203,322.89	0.00	203,322.89
BANK: 99		TOTALS:	87	203,322.89	0.00	203,322.89

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A/P HISTORY CHECK REPORT

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Item 1.

VENDOR SET: 99 City of Mount Vernon
 BANK: EDC EDC CASH
 DATE RANGE: 5/01/2022 THRU 5/31/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0170	FIRMIN'S BUSINESS ESSENTIALS							
I-810276-1	FIRMIN'S BUSINESS ESSENTIALS	R	5/26/2022	15.35		003974		15.35
	ACCT # 5372252							
	JULIA MUNOZ NAME PLATE							
1	MARK SACHSE							
I-202205260070	MARK SACHSE:	R	5/26/2022	117.15		003975		117.15
	REIMBURSEMENT FOR MEALS							
2370	SANCHEZ AND ASSOCIATES							
I-6129	SANCHEZ AND ASSOCIATES	R	5/26/2022	13,487.62		003976		13,487.62
	CONSULTANT FEES							

* * T O T A L S * *	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	3	13,620.12	0.00	13,620.12
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	0	0.00	0.00	0.00
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	0 VOID DEBITS	0.00		
	VOID CREDITS	0.00	0.00	

TOTAL ERRORS: 0

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
VENDOR SET: 99 BANK: EDC TOTALS:	3	13,620.12	0.00	13,620.12
BANK: EDC TOTALS:	3	13,620.12	0.00	13,620.12

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A/P HISTORY CHECK REPORT

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Item 1.

VENDOR SET: 99 City of Mount Vernon
 BANK: PY POOLED-PAYROLL
 DATE RANGE: 5/01/2022 THRU 5/31/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0990	FED. WITHHOLDING DEPOSIT							
I-T1 05052022	EMP. WITHHOLDING	D	5/05/2022	2,661.16		000017		2,661.16
0980	SOCIAL SECURITY DEPOSIT							
I-T3 05052022	SOCIAL SECURITY	D	5/05/2022	4,692.88		000018		
I-T4 05052022	MEDICARE	D	5/05/2022	1,097.50		000018		5,790.38
0980	SOCIAL SECURITY DEPOSIT							
I-T3 05192022	SOCIAL SECURITY	D	5/19/2022	4,830.12		000019		
I-T4 05192022	MEDICARE	D	5/19/2022	1,129.62		000019		5,959.74
0990	FED. WITHHOLDING DEPOSIT							
I-T1 05192022	EMP. WITHHOLDING	D	5/19/2022	2,764.24		000020		2,764.24
5090	TEXAS CHILD SUPPORT DISB. UNIT							
I-CC 05052022	CHILD CARE	R	5/05/2022	244.58		060978		244.58
5090	TEXAS CHILD SUPPORT DISB. UNIT							
I-CC 05192022	CHILD CARE	R	5/19/2022	244.58		061034		244.58

* * T O T A L S * *

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	2	489.16	0.00	489.16
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	4	17,175.52	0.00	17,175.52
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	0	0.00	0.00	0.00
VOID DEBITS		0.00		
VOID CREDITS		0.00		

TOTAL ERRORS: 0

VENDOR SET: 99	BANK: PY	TOTALS:	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
			6	17,664.68	0.00	17,664.68
BANK: PY		TOTALS:	6	17,664.68	0.00	17,664.68
REPORT TOTALS:			96	234,607.69	0.00	234,607.69

SELECTION CRITERIA

VENDOR SET: 99-City of Mt. Vernon
VENDOR: ALL
BANK CODES: All
FUNDS: All

CHECK SELECTION

CHECK RANGE: 000000 THRU 999999
DATE RANGE: 5/01/2022 THRU 5/31/2022
CHECK AMOUNT RANGE: 0.00 THRU 999,999,999.99
INCLUDE ALL VOIDS: YES

PRINT OPTIONS

SEQUENCE: CHECK NUMBER

PRINT TRANSACTIONS: YES
PRINT G/L: NO
UNPOSTED ONLY: NO
EXCLUDE UNPOSTED: NO
MANUAL ONLY: NO
STUB COMMENTS: YES
REPORT FOOTER: NO
CHECK STATUS: NO
PRINT STATUS: * - All

ORDINANCE 2022-05

AN ORDINANCE OF THE CITY OF MOUNT VERNON, TEXAS AMENDING THE COMPREHENSIVE ZONING ORDINANCE BY REZONING THE PROPERTY SHOWN ON EXHIBIT "A FROM RESIDENTIAL THREE (R3) TO OUTDOOR COMMERCIAL (OC); PROVIDING FOR THE AMENDMENT OF THE OFFICIAL ZONING MAP; PROVIDING FOR A SEVERABILITY CLAUSE; PROVIDING FOR A SAVINGS CLAUSE; PROVIDING FOR A PENALTY CLAUSE; AND PROVIDING FOR AN EFFECTIVE DATE.

WHEREAS, after giving thirty (30) days written notice to the owners of land within two hundred (200) feet of the property shown on attached Exhibit "A" (the "Property"), and after publishing notice to the public at least thirty (30) days prior to the date of such hearing, the City Council of Mount Vernon, Texas (the "Council") held a public hearing on the proposed rezoning request; and

WHEREAS, because of the size, location, and natural features of the Property the City has a critical interest in the development of said Property and is encouraging such development to the highest possible standards of quality consistent with the City's long-term development vision; and

WHEREAS, all legal requirements of state statutes and City ordinances of the City of Mount Vernon, Texas ("City"), as well as all legal requirements and legal notices and prerequisites having been complied with, including but not limited to Chapter 551 of the Government Code and Chapter 211 of the Local Government Code; and

WHEREAS, the Council at a public hearing called at a called meeting of the Council did consider the following factors in making a determination as to whether the requested change to the Property should be granted or denied: congestion in the streets, including safety of the motoring public and the pedestrians using the facilities in the area; to secure safety from fire, panic or other dangers; the promotion of health and the general welfare, to provide for adequate light and air, to prevent the overcrowding of land; to avoid undue concentration of the population, facilitating the adequate provision of transportation, water, sewers, schools, parks, and other public requirements; and

WHEREAS, the Council has determined that said zoning change request is consistent with the development goals, standards, and desired uses in the City; and

WHEREAS, the Council is of the opinion that it is in the best interests of the City and its citizens that this Ordinance should be approved and adopted.

NOW, THEREFORE, BE IT ORDAINED by the City Council of the City of Mount Vernon, Texas:

SECTION 1: That all matters stated in the preamble are found to be true and correct and are incorporated herein as if copied in their entirety.

SECTION 2: That the Property is hereby rezoned to Outdoor Commercial (OC) for the current residential structure that exists on the Property as of the date of this Ordinance.

SECTION 3: The City Secretary shall amend, or cause to have amended, the Official Zoning Map of the City to reflect the change in zoning to the Property.

SECTION 4: It is hereby declared to be the intention of the Council that sections, paragraphs, clauses and phrases of this Ordinance are severable, and if any phrase, clause, sentence, paragraph or section of this Ordinance shall be declared legally invalid or unconstitutional by the valid judgment or decree of any court of competent jurisdiction, such legal invalidity or unconstitutionality shall not affect any of the remaining phrases, clauses, sentences, paragraphs or sections of this Ordinance since the same would have been enacted by the Council without the incorporation in this Ordinance of any such legally invalid or unconstitutional, phrase, sentence, paragraph or section.

SECTION 5: That this Ordinance shall be cumulative of all other City Ordinances and all other provisions of other Ordinances adopted by the City which are inconsistent with the terms or provisions of this Ordinance are hereby repealed.

SECTION 6: Any person violating any of the provisions of this Ordinance shall be deemed guilty of a misdemeanor offense and upon conviction thereof shall be fined in a sum not to exceed Two Thousand Dollars (\$2,000.00) for each separate offense. A separate offense shall be deemed committed upon each day, or part of a day, during which a violation occurs or continues.

SECTION 7: This Ordinance shall take effect immediately from and after its passage as the law in such case provides.

PASSED AND APPROVED ON THIS 13th DAY OF June, 2022.

MAYOR

ATTEST:

KATHY LOVIER – CITY SECRETARY

Exhibit "A" to Ordinance 2020-___

The Legal Description and Map of the Property

of the County of Franklin, and State of Texas, all of the following described real property in Franklin County, Texas, to-wit:

All that certain lot, tract, or parcel of land situated in Franklin County, Texas, being a part of the JOSEPH SLOAN SURVEY, A-425, and being a part of the old school ground owned by Mount Vernon Independent School District, and part of a tract in deed from T. H. Turner to Franklin Institute, of record in Vol. P, page 402, Deed Records, and part of land in deed from J. H. Majors to Franklin Institute of record in Vol. K, page 143, and described as follows:

BEGINNING at the northwest corner of said school property at a point on a rock fence;

THENCE East 238 feet to a stake for corner a stake in a curb line of Holbrook Street;

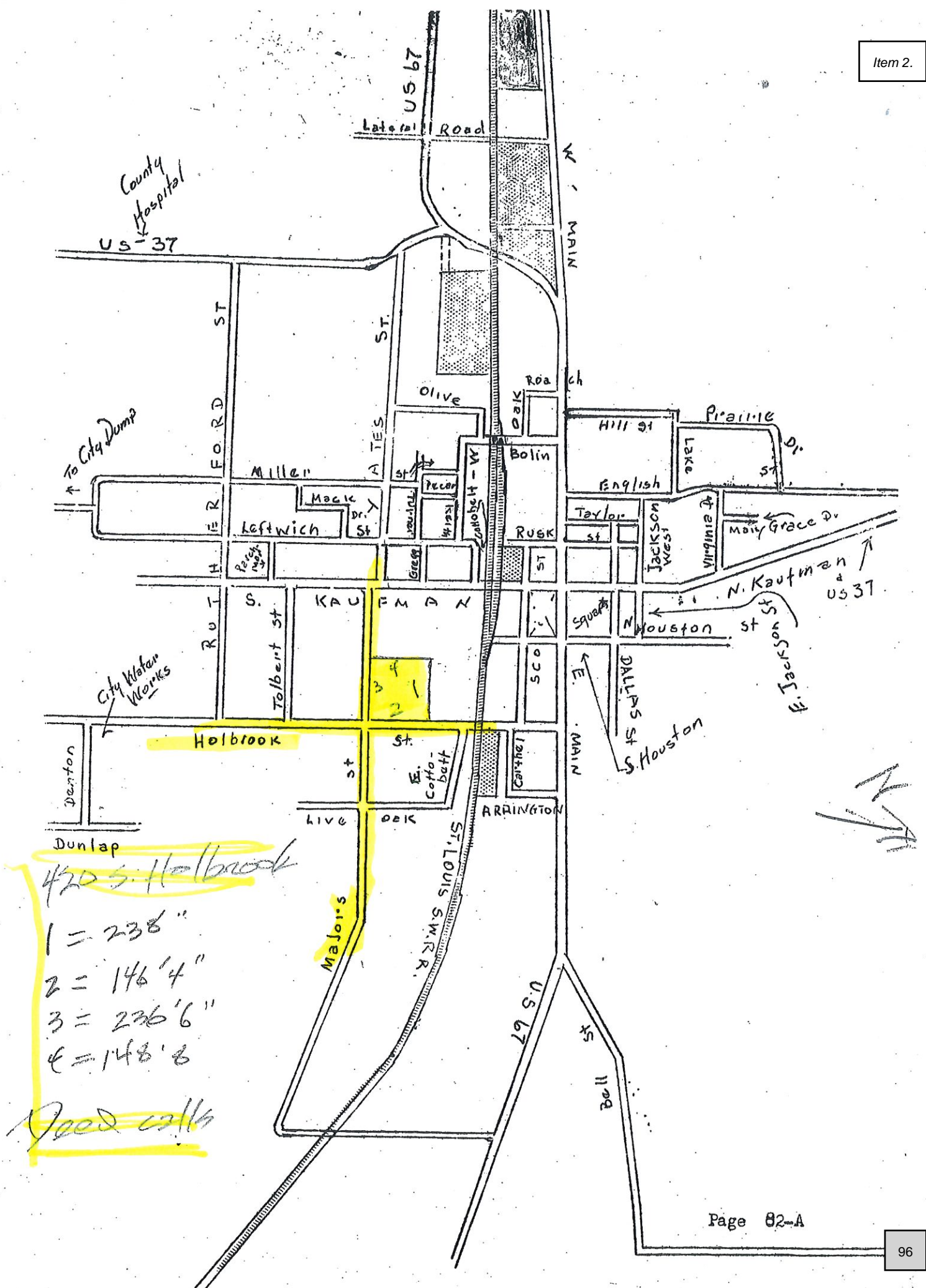
THENCE South 146 feet 4 inches with curb line of Holbrook Street a stake for corner in curb line of Majors Street;

THENCE West 236 feet 6 inches to a stake for corner in the west line of said school property;

THENCE North 148 feet 8 inches with west boundary line of school tract to the place of beginning.

BEING same land described in deed from Mount Vernon Independent School District to N. E. T. Opportunities, Inc., dated January 2, 1980, recorded in Vol. 156, page 295, Deed Records of Franklin County, Texas.





INTERLOCAL AGREEMENT

This Interlocal Agreement ("Agreement"), made and entered into pursuant to the Texas Interlocal Cooperation Act, Chapter 791, Texas Government Code (the "Act"), by and between the Ark-Tex Council of Governments, hereinafter referred to as ATCOG, and Mt. Vernon, Texas, a local government, hereinafter referred to as City.

WHEREAS, ATCOG is a regional planning commission and political subdivision of the State of Texas operating under Chapter 391, Texas Local Government code; and

WHEREAS, pursuant to the Act, ATCOG is authorized to contract with eligible entities to perform governmental functions and services;

WHEREAS, City has represented that it is an eligible entity under the Act, that its governing body has authorized this Agreement, and that it desires to contract with ATCOG on the terms set forth below;

WHEREAS, City requested the professional services of ATCOG in connection with the planning and groundwork of grant(s) related to the Texas Department of Agriculture (TDA) and/or the Department of Commerce (EDA) for grant assistance and/or grant administration for a period beginning June 1, 2022 and ending December 31, 2022.

NOW, THEREFORE, ATCOG and City do hereby agree as follows:

ARTICLE 1: LEGAL AUTHORITY

City represents and warrants to ATCOG that (1) it is eligible to contract with ATCOG under the Act because it is one of the following: a local government, as defined in the Act (a county, a municipality, a special district, or other political subdivision of the State of Texas or any other state), and (2) it possesses adequate legal authority to enter into this Agreement.

ARTICLE 2: APPLICABLE LAWS

ATCOG and City agree to conduct all activities under this Agreement in accordance with all applicable rules, regulations, and ordinances and laws in effect or promulgated during the term of this Agreement.

ARTICLE 3: WHOLE AGREEMENT

This Agreement and any attachments, as provided herein, constitute the complete agreement between the parties hereto, and supersede any and all oral and written agreements between the parties relating to matters herein.

ARTICLE 4: PERFORMANCE PERIOD

This Agreement shall commence in June 1, 2022, unless otherwise provided by the terms of this Agreement.

ARTICLE 5: SCOPE OF SERVICES

For the purposes and consideration herein stated and contemplated, A TCOG shall provide professional services with respect to basic data gathering, project scoping recommendations, preparation or completion of forms and documents required by TDA and/or EDA, subsequent revisions or modifications necessary to fulfill City requirements or to support continued eligibility, preparing City correspondence, acting as City liaison, and any other work tasks and efforts determined necessary and directed or expended by ATCOG to promote an application's approval.

Contingent upon approval of a TDA/EDA grant application, and subsequent awarding contract to City, ATCOG shall provide necessary and appropriate grant management and administrative services during the implementation of activities undertaken by City with the TDA and/or EDA grant funds awarded to City without regard to race, sex, religion, color, age, disability or national origin. All activities shall be performed in accordance with the terms of the Contract between TDA and/or EDA and City.

City will pay ATCOG 16% (*or amount approved in the grant application*) for the general administration of the grant ***based on completion of grant management milestones.***

ARTICLE 6: CHANGES AND AMENDMENTS

This Agreement may be amended only by a written amendment executed by both parties, except that any alterations, additions, or deletions to the terms of this Agreement which are required by changes in Federal and State law or regulations are automatically incorporated into this Agreement without written amendment hereto and shall become effective on the date designated by such law or regulation.

ARTICLE 7: TERMINATION PROCEDURES

ATCOG or City may cancel this Agreement at any time upon thirty (30) days written notice by certified mail to the other party to this Agreement. The obligation of City, including its obligation to ATCOG costs incurred under this Agreement prior to such notice shall survive such cancellation, as well as any other obligation incurred under this Agreement, until performed or discharged by City.

ARTICLE 8: SEVERABILITY

All parties agree that should any provision of this Agreement be determined to be invalid or unenforceable, such determination shall not affect any other term of this Agreement, which shall continue in full force and effect.

ARTICLE 9: FORCE MAJEURE

To the extent that either party to this Agreement shall be wholly or partially prevented from the performance within the term specified of any obligation or duty placed on such party by reason of or through strikes, stoppage of labor, riot, fire, flood, acts of war, insurrection, accident, order of any court, act of God, or specific cause reasonably beyond the party's control and not attributable to its neglect or nonfeasance, in such event, the time for the performance of such obligation or duty shall be suspended until such disability to perform is removed; provided, however, force majeure shall not excuse an obligation solely to pay funds. Determination of force majeure shall rest solely with ATCOG.

THIS INSTRUMENT HAS BEEN EXECUTED IN TWO ORIGINALS BY THE PARTIES HERETO AS FOLLOWS:

<u>City of Mt. Vernon Texas</u>	<u>Ark-Tex Council of Governments</u>
City	ATCOG
<u>P.O. Box 597</u>	<u>4808 Elizabeth Street</u>
Mailing Address	Mailing Address
<u>Mt. Vernon, Texas 75457</u>	<u>Texarkana, Texas 75503</u>
Mailing Address	Mailing Address
<u>Brad Hyman, Mayor</u>	<u>Chris Brown, Executive Director</u>
Date	Date
<u>Tina Rose, City Administrator</u>	<u>Toni Lindsey, Regional Development Director</u>
Date	Date

City shall reimburse ATCOG for management/administrative services provided for completion of following project milestones after the award of a contract per the following percentages:

Milestone / Task	% of Contract Fee
• Establishment of Recordkeeping System	5%
• Completion & Approval of Environmental	10%
• Completion of Special Conditions	10%
• Completion of the Bid/Contract Award Process	10%
• Completion of the Acquisitions Activities	10%
• Labor Standards Compliance/Completion of Construction	15%
• Comply with EEO / Fair Housing Requirements	10%
• Program and Financial Management	20%
• Filing of all Required Close-out Information	10%
Total	100%

NOTICE OF FUNDING OPPORTUNITY

Public Works and Economic Adjustment Assistance Programs

EXECUTIVE SUMMARY

- **Federal Agency Name:** Economic Development Administration (EDA or the Agency), U.S. Department of Commerce (DOC).
- **Federal Funding Opportunity Title:** FY 2020 Public Works and Economic Adjustment Assistance Notice of Funding Opportunity (FY20 PWEAA NOFO).
- **Announcement Type and Date:** FY20 PWEAA NOFO publishing EDA's application submission requirements and review procedures for applications received under EDA's (i) Public Works and Economic Development Facilities (Public Works) and (ii) Economic Adjustment Assistance (EAA) programs, authorized under the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. § 3121 *et seq.*). **Effective date: 5/7/2020**
- **Funding Opportunity Number:** PWEAA2020
- **Catalog of Federal Domestic Assistance (CFDA) Numbers:** 11.300, Investments for Public Works and Economic Development Facilities and 11.307, Economic Adjustment Assistance.
- **Dates:** There are no submission deadlines. Applications will be accepted on an ongoing basis until the publication of a new PWEAA NOFO, cancellation of this PWEAA NOFO, or all available funds have been expended. EDA intends to review applications within 60 days of receipt. Please see Section E of this NOFO for complete information on EDA's review process.
- **Funding Opportunity Description:** EDA provides strategic investments on a competitive merit basis to support economic development, foster job creation, and attract private investment in economically distressed areas of the United States. Under this NOFO, EDA solicits applications from applicants in order to provide investments that support construction, non-construction, planning, technical assistance, and revolving loan fund projects under EDA's Public Works program and EAA program (which includes Assistance to Coal Communities). Grants and cooperative agreements made under these programs are designed to leverage existing regional assets and support the implementation of economic development strategies that advance new ideas and creative approaches to advance economic prosperity in distressed communities, including those negatively impacted by changes to the coal economy.
- **Eligible applicants:** EDA is not authorized to provide grants or cooperative agreements under its Public Works or EAA programs to individuals or for-profit entities. Requests from such entities will not be considered for funding. Pursuant to Section 3(4) of PWEDA (42 U.S.C. § 3122(4)(a)) and 13 C.F.R. § 300.3 (Eligible Recipient), eligible applicants for EDA financial assistance under the Public Works and EAA programs include a(n): (i) District Organization of an EDA-designated Economic Development District; (ii) Indian Tribe or a consortium of Indian Tribes; (iii) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or

infrastructure development activities, or a consortium of political subdivisions; (iv) institution of higher education or a consortium of institutions of higher education; or (v) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.

FULL ANNOUNCEMENT TEXT

FY 2020 Public Works and Economic Adjustment Assistance Programs

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A. Program Description

1. Overview

This Notice of Funding Availability (NOFO) announcement sets out EDA’s application submission and review procedures for two of its Economic Development Assistance Programs authorized under the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. § 3121 *et seq.*) (PWEDA): (i) Public Works and Economic Development Facilities (Public Works) and (ii) Economic Adjustment Assistance (EAA). EDA publishes separate NOFO announcements for other funding opportunities, including: Regional Innovation Strategies, Planning and Local Technical Assistance, University Center, Research and National Technical Assistance, and Disaster Recovery.

EDA’s Public Works and EAA programs provide economically distressed communities and regions with comprehensive and flexible resources to address a wide variety of economic needs. Projects funded by these programs will support the DOC Strategic Plan (2018-2022) by, among other things, advancing innovation, enhancing the manufacturing and export capacities of regions, providing workforce development opportunities, and growing ecosystems that attract foreign direct investment. Through these programs, EDA supports bottom-up strategies that build on regional assets to spur economic growth and resiliency. EDA encourages its grantees throughout the country to develop initiatives that present new ideas and creative approaches to advance economic prosperity in distressed communities.

2. EDA Investment Priorities

All projects considered for EDA funding under this NOFO must be consistent with at least one of EDA’s Investment Priorities. EDA’s Investment Priorities can be found at <https://www.eda.gov/about/investment-priorities.htm>. EDA’s website will be updated on January 15, April 15, June 15, or September 15 of each year if there are any revisions to these Investment Priorities.

3. EDA Program Information

This section provides detailed information on the two programs to which this NOFO applies. Additional information about EDA’s programs, including information about the eligibility of specific kinds of projects, is available through EDA staff.

EDA staff provides technical assistance to prospective applicants to assist in application development and submission. Applicants are ***strongly encouraged*** to contact the EDA representative listed for their applicable State in Section G of this NOFO before submitting an application to EDA to clarify technical matters involving their project, its alignment with EDA’s mission and Investment Priorities, and all other relevant publicly available information relating to general technical matters.

Except for a “Strategy Grant,” as described below, each project funded under Public Works and EAA must be consistent with the region’s current Comprehensive Economic Development Strategy (CEDS) or equivalent EDA-accepted regional economic development strategy that meets EDA’s CEDS or strategy requirements. Applicants must clearly detail how the project will support the economic development needs and objectives outlined in the CEDS or equivalent EDA-accepted regional economic development strategy. Applicants should

identify the CEDS or equivalent EDA-accepted regional economic development strategy and provide a copy of this planning document, either by attaching the document to the application or including the web link for the document. In addition, applicants should indicate if other Federal funds have been secured for, or have been requested to support, any portion of the project for which an EDA investment is proposed. Applicants should describe how the EDA investment will complement, leverage, or otherwise align with other public and private investments to implement the project. Where other Federal funding may be involved in the project, the applicant should provide the Federal program name and contact information with their application in order to facilitate interagency coordination and avoid duplication of resources.

Under this NOFO, applicants may also seek funding in the form of a “Strategy Grant” to develop, update, or refine a CEDS that alleviates long-term economic deterioration or a sudden and severe economic dislocation, as described in EDA’s regulations at 13 C.F.R. § 307.3.

Additional information and a summary of EDA’s CEDS and strategy requirements may be obtained through the appropriate EDA representative listed in Section G of this NOFO.

a) Public Works¹

Through the Public Works program, EDA provides catalytic investments to help distressed communities build, design, or engineer critical infrastructure and facilities that will help implement regional development strategies and advance bottom-up economic development goals to promote regional prosperity. The Public Works program provides resources to meet the construction and/or infrastructure design needs of communities to enable them to become more economically competitive. Prior examples of investments EDA has supported through the Public Works program include projects supporting water and sewer system improvements, industrial parks, high-tech shipping and logistics facilities, workforce training facilities, business incubators and accelerators, brownfield redevelopment, technology-based facilities, wet labs, multi-tenant manufacturing facilities, science and research parks, and telecommunications infrastructure and development facilities.

As noted above, investments made through the Public Works program must be aligned with a current CEDS or equivalent EDA-accepted regional economic development strategy and clearly lead to the creation or retention of long-term high-quality jobs. For example, under this program, EDA may provide funding to a consortium of eligible recipients to support the construction of a technology center that provides laboratory, office, and manufacturing space that leads to the creation of advanced manufacturing jobs. As another example, EDA may provide funding to a county government to support regional job creation in targeted cluster industries and expand those industries’ ability to export goods.

b) Economic Adjustment Assistance (EAA)²

Through the EAA program, EDA provides investments that support a wide range of construction and non-construction activities (including infrastructure, design and engineering,

¹ CFDA No. 11.300. The regulations implementing the Public Works program may be found at 13 C.F.R part 305.

² CFDA No. 11.307. The regulations implementing the EAA program may be found at 13 C.F.R. part 307. Please note that Section 307.3 of EDA’s regulations describe the differences between an EAA implementation grant and an EAA Strategy Grant. See also 42 U.S.C. § 3149(b)(2) (EAA planning project is not required to be carried out in an area with a CEDS or be consistent with an existing CEDS).

technical assistance, economic recovery strategies, and capitalization or re-capitalization of Revolving Loan Funds (RLF)) in regions experiencing severe economic dislocations that may occur suddenly or over time. EDA utilizes EAA investments to provide resources that help communities experiencing or anticipating economic dislocations to plan and implement specific solutions to leverage their existing regional economic advantages to support economic development and job creation. Like Public Works investments, EAA investments are designed to help communities catalyze public-private partnerships to foster collaboration, attract investment, create jobs, and foster economic resiliency and prosperity. For example, EDA might provide funding to a university or community college to create and launch an economic diversification strategy to promote and enhance the growth of emerging industries in a region facing job losses due to declines in regionally important industries. As another example, EDA might provide funding to a city to support the construction of a publicly-owned multi-tenant business and industrial facility to house early-stage businesses.

Nuclear Closure Communities (NCCs)

EDA provides assistance to nuclear closure communities (NCCs) under EAA. Closures of nuclear power plants (NPPs) throughout the United States have had a significant impact on the economic foundations of surrounding communities through sudden job losses and a reduction to the local tax base. To address this, EDA assists regions that have been impacted, or can reasonably demonstrate that they will be impacted, by NPP closure(s).

To be eligible for NCC funding, a project must meet the NCC Special Need eligibility criterion in Section C.3.b.k. NCC funds may be used to make awards for any activity eligible for award under EAA, described immediately above in Section A.3.b, including but not limited to early-stage strategic planning activities, public works (construction) investments, and economic diversification initiatives.

Assistance to Coal Communities (ACC)

EDA designates a portion of its EAA funding to support communities and regions that have been negatively impacted by changes in the coal economy.³ To be eligible for ACC funding, a project must meet the ACC Special Need eligibility criterion in Section C.3.b.j.

To support these projects, EDA prioritizes ACC implementation projects and activities that:

- Will produce multiple economic and workforce development outcomes, such as promoting regional economic growth and diversification, new job creation, and re-employment opportunities for displaced coal economy workers; and
- Are specifically identified under local and regional economic development plans that have been collaboratively produced by diverse local and regional stakeholders.

³ “Coal economy” is a term that reflects the complete supply chain of coal-reliant industries. This includes, but is not limited to: coal mining, coal-fired power plants, along with related transportation, logistics, and supply chain manufacturing. This NOFO represents the fifth consecutive fiscal year in which EDA has made grant funds available specifically to assist communities and regions negatively impacted by economic contractions in the coal economy. During earlier competitive funding cycles, EDA made these funds available through the POWER+ Initiative. Through this NOFO, EDA makes these funds available solely through ACC grants; although, other Federal agencies may still have POWER grants that are open for application.

Under this NOFO, EDA will also support ACC planning through Strategy Grants that develop, update, or refine a CEDS or an equivalent regional economic development strategy document.

ACC projects should respond to one or more of the following funding principles, as appropriate:

- *Collaborative Partnerships:* Competitive applications will successfully demonstrate that the applicant is engaged in collaborative relationships with a variety of local and regional stakeholders (public, private, for-profit, and non-profit) whose combined contributions will be invested to support the development and implementation of the proposed project.
- *Economic and Workforce Development Integration:* Competitive applications will clearly demonstrate how the project is designed to simultaneously address the workforce needs of local and regional businesses and the re-employment needs of local and regional workers who have been displaced by changes in the coal economy.
- *High Quality Jobs and Worker Advancement:* Numerous communities, regions, and states across the country have historically relied upon the coal industry to provide good, reliable jobs and economic prosperity. Contractions in the coal economy and the resulting loss of these jobs are particularly harmful to workers in the various sectors of the coal economy. Competitive applications will include those that propose to implement or otherwise incorporate workforce development strategies that prioritize:
 - The creation and growth potential of family-supporting jobs and careers;
 - Workforce development activities that offer workers “career pathways” upon re-employment – the provision of additional educational and skills attainment opportunities directly linked to employee career advancement and better paying jobs within the targeted industry; and
 - The provision of job training courses that equip workers with industry-recognized credentials that enhance their economic mobility and advancement.⁴
- *Multi-Jurisdictional Project Impact:* Among other factors, applications that strive to produce economic diversification and job creation on a multi-jurisdictional basis will be prioritized for selection and funding. “Multi-Jurisdictional” is a term that can include, but is not limited to:
 - Multiple communities within a county;
 - Multiple counties within a region;
 - Multiple regions within a State; and

⁴ EDA recognizes that the quality of jobs created in emerging industries is often evolving, and such industries typically do not yet have mature career pathways or skill standards. Applicants focused on emerging industries where these circumstances prevail should explain how their proposed strategies will create the conditions for increasing job quality and better defined pathways for worker advancement over the longer term (which may extend beyond the time frame of eligible funding).

- Multiple regions in two or more States.

ACC projects can include but are not limited to:

- Supporting the creation of new businesses and jobs in a variety of industry sectors, including, but not limited to advanced manufacturing, agriculture, energy, information technology (IT), health care, telecommunications, tourism and recreation, transportation, and cultural and natural assets.
- Implementing local and regional job creation and growth and economic diversification strategies targeted towards affected workers and businesses.
- Strengthening or developing existing or emerging industry clusters.⁵
- Developing business incubator programs.
- Enhancing access to and use of broadband services to support job growth through business creation and expansion.
- The generation of economic development diversification strategies in accordance with CEDS criteria.⁶
- Facilitating access to private capital investment and providing related capacity building and technical assistance to maximize the effective utilization of capital investment for business development and job creation.
- Facilitating and promoting market access for goods and services created and manufactured by businesses in the impacted community/region.

Applicants are strongly encouraged to contact the appropriate Regional Office ACC program point of contact (POC) listed in Section G of this NOFO for specific guidance pertaining to the eligible use of program funds.

Note: EDA's EAA program supports a wide range of technical, planning, and public works and infrastructure projects in regions that are experiencing adverse economic changes that may occur suddenly or over time, including communities experiencing economic distress as the result of contractions in the local/regional coal economy. For more information regarding the full scope of EAA along with EDA's other programs, as well as recent examples of awarded projects, applicants are encouraged to visit www.eda.gov.

4. Statutory Authorities for EDA's Programs

The statutory authorities for EDA's Public Works and EAA programs are Sections 201 (42 U.S.C. § 3141) and 209 (42 U.S.C. § 3149) of PWEDA, respectively.

Applicant eligibility and program requirements are set forth in EDA's regulations (codified at 13 C.F.R. chapter III), and all applicants must address these requirements. Specific program requirements for the Public Works program are set out at 13 C.F.R. part 305 and for

⁵ Industry clusters are networks of interconnected firms and supporting institutions in a common geographic region. Clusters also are generally defined by and benefit from shared labor pools, infrastructure, and markets.

⁶ See 13 C.F.R. § 303.7.

the EAA program at 13 C.F.R. part 307. For EDA's Public Works and EAA programs, this announcement supersedes the EDAP NOFO announcement dated July 2, 2018. EDA's regulations are accessible at the U.S. Government Publishing Office website at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>.⁷ Under "Browse" select "Title 13 – Business Credit and Assistance," click "Go," and follow the link to parts "300-399."

B. Federal Award Information

1. What Funding Is Available Under This Announcement?

For FY 2020, EDA was appropriated \$118.5 million for the Public Works program, \$37 million for the EAA program, an additional \$30 million in EAA funds for ACC, and \$15 million for NCC pursuant to the Consolidated Appropriations Act, 2020 (P.L. 116-93) (Division B—Commerce, Justice, Science, and Related Agencies Appropriations Act, 2020) and its accompanying joint explanatory statement.

The funding periods and amounts referenced in this PWEAA NOFO are subject to the availability of funds at the time of award as well as DOC and EDA priorities at the time of award. Neither DOC nor EDA will be held responsible for application preparation costs. Publication of this announcement does not obligate DOC or EDA to award any specific grant or cooperative agreement or to obligate all or any part of available funds.

The average award amounts set out below are provided only for your information and may prove useful for planning purposes. EDA anticipates making similar awards, subject to the availability of funding. Actual amounts awarded may be higher or lower depending on the nature of the proposed project and the availability of funds. Applications for funding of activities related to existing awards may compete with applications for new awards.

The average size of a Public Works investment has been approximately \$1.4 million and investments generally range from \$600,000 to \$3,000,000. Historically, EDA has awarded funds for between 80 and 150 Public Works projects a year.

The average size of an EAA investment has been approximately \$650,000 and investments generally range from \$150,000 to \$1,000,000. Historically, EDA has awarded funds for between 70 and 140 EAA projects a year.

In FY 2020, EDA will continue to support assistance to coal communities through the ACC program and expects to award grants generally ranging from \$500,000 to \$2,000,000 for implementation projects and from \$100,000 to \$350,000 for planning activities.

In FY 2020, EDA will support nuclear closure communities and expects to award grants generally ranging from \$500,000 to \$2,000,000 for implementation projects and from \$100,000 to \$350,000 for planning activities.

DOC or EDA may cancel or withdraw this NOFO at any time.

⁷ In the event of discrepancies between the instructions and information provided in this NOFO and EDA's regulations, EDA regulations will control.

2. What Type of Funding Instrument Will Be Used to Make Awards and How Long Will a Project's Period of Performance Be?

Funding Instrument: Subject to the availability of funds, EDA may award grants or cooperative agreements to eligible applicants to help support economic development project-based activities. EDA will award a cooperative agreement on a case-by-case basis if substantial agency involvement is required. For a cooperative agreement, the nature of EDA's "substantial involvement" (to be included in the terms and conditions of the award) will generally be collaboration between EDA and the recipient on the scope of work. Other possible examples of EDA's "substantial involvement" pursuant to a cooperative agreement may include, but are not limited to: (i) authority to halt immediately an activity if detailed performance specifications are not met; (ii) stipulation that the recipient must meet or adhere to specific procedural requirements before subsequent stages of a project may continue; (iii) involvement in the recipient's selection of key personnel; and (iv) operational involvement during the project to ensure compliance with statutory requirements.

Period of Performance: The period of performance for a given project will depend on the type of project, scope of work, and the EDA program under which the grant or cooperative agreement for the project is awarded. For example, the period of performance for a construction investment under EDA's Public Works program may last for three years, while a strategy investment under the EAA program may allow for one to three years for completion of the scope of work, depending on its complexity or urgency. EDA will work closely with recipients to accommodate their projected timelines within reason and allowances of regulations and grant policies. EDA expects that all projects will proceed efficiently and expeditiously, and EDA encourages applicants to clearly document how quickly they will be able to start and complete the proposed project scope of work. All construction projects are expected to be completed within five years from the date of award.

C. Eligibility Information

1. Eligible Applicants

Eligible applicants for investment assistance under this PWEAA NOFO include a(n):

- a. District Organization;
- b. Indian Tribe or a consortium of Indian Tribes;
- c. State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions;
- d. Institution of higher education or a consortium of institutions of higher education; or
- e. Public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.⁸

Under its Public Works and EAA programs, EDA is **not** authorized to provide grants or cooperative agreements to individuals or to for-profit entities, and such requests will not be considered for funding.

⁸ See Section 3 of PWEDA (42 U.S.C. § 3122) and 13 C.F.R. § 300.3.

2. Cost Sharing or Matching

Cost sharing is an essential element of any EDA project, and the availability and committed nature of matching funds is a competitiveness factor in evaluating applications against each other. Generally, the amount of an EDA award may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that may not exceed up to 30 percent of the total project cost, based on the relative needs of the region⁹ in which the project will be located, as determined by EDA.

In general, EDA's maximum investment rate (percent of the total project cost) is determined by the average per capita income or unemployment rate of the region in which the project is located, as outlined in Table 1 below:¹⁰

TABLE 1

Projects located in regions in which:	Maximum allowable investment rates (percentage of total project cost)
(A) The 24-month unemployment rate is at least 225% of the national average; or	80
(B) The per capita income is not more than 50% of the national average.	80
(C) The 24-month unemployment rate is at least 200% of the national average; or	70
(D) The per capita income is not more than 60% of the national average.	70
(E) The 24-month unemployment rate is at least 175% of the national average; or	60
(F) The per capita income is not more than 65% of the national average.	60
(G) The 24-month unemployment rate is at least 1 percentage point greater than the national average; or	50
(H) The per capita income is not more than 80% of the national average.	50

For projects subject to a Special Need, as outlined in Section C.3 below, EDA will determine the maximum allowable investment rate, not to exceed 80 percent of the total project cost, based on the actual or threatened overall economic situation of the region in which the project is located.

In addition, the Assistant Secretary for Economic Development has discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost for

⁹ See 13 C.F.R. § 300.3 for definition of "region."

¹⁰ See Section 204(a) of PWEDA (42 U.S.C. § 3144) and 13 C.F.R. § 301.4(b)(1).

projects: (i) of a State (or political subdivision of a State) that the Assistant Secretary for Economic Development determines has exhausted its effective taxing and borrowing capacity or (ii) of a non-profit organization that the Assistant Secretary for Economic Development determines has exhausted its effective borrowing capacity.¹¹ Additionally, EDA's regulations provide discretion to establish a maximum EDA investment rate of up to 100 percent for projects of Indian Tribes.¹²

Potential applicants should contact the EDA representative listed for their State in Section G of this PWEAA NOFO to obtain additional information regarding these EDA investment rate determinations.

The applicant must document that the matching share will: (i) be committed to the project for the period of performance, (ii) be available as needed, and (iii) not be conditioned or encumbered in any way that may preclude its use consistent with the requirements of EDA investment assistance.¹³ In order to meet these requirements, applicants should submit for each source of the matching share a commitment letter or equivalent document signed by an authorized representative of the organization providing the matching funds.

Additional documentation may be requested by EDA in order to substantiate the availability of the matching funds. For example, if bonds are contemplated as match, counsel opinion of the applicant's bonding authority and eligibility of the bonds for use as match, along with full disclosure of the type of bonds and the schedule of the applicant's intended bond issue, are required. Please contact the appropriate EDA representative listed in Section G of this PWEAA NOFO with questions regarding EDA's matching share requirements.

Documented in-kind contributions may provide the required non-Federal Share of the total project cost, but they must be eligible project costs and meet applicable Federal cost principles and uniform administrative requirements. Examples of in-kind contributions can include space, equipment, services, or forgiveness or assumptions of debt.¹⁴ Funds from other Federal financial assistance awards may be considered matching share funds only if authorized by statute, which may be determined by EDA's reasonable interpretation of the statute.¹⁵ To allow EDA to verify the value of in-kind matching share, the applicant should document the value of in-kind contributions in a matching share commitment letter and/or the budget narrative and also provide supporting documentation as appropriate.

Applicants are *strongly encouraged* to work with the appropriate EDA representative listed in Section G of this PWEAA NOFO to determine how in-kind contributions may be utilized to satisfy the matching share requirement for their application.

3. Economic Distress Criteria

In order to be eligible for funding under this PWEAA NOFO, an applicant must propose a project that meets EDA's distress criteria. Applicants must self-define the appropriate region geographically. The geographic area comprising a region need not be contiguous or defined by

¹¹ See Sections 204(c)(1) and (2) of PWEDA (42 U.S.C. § 3144) and 13 C.F.R. § 301.4(b)(5).

¹² See 13 C.F.R. § 301.4(b)(5).

¹³ See 13 C.F.R. § 301.5.

¹⁴ See Section 204(b) of PWEDA (42 U.S.C. § 3144) and the definition of "In-Kind Contribution" at 13 C.F.R. § 300.3.

¹⁵ See the definition of "Local Share or Matching Share" at 13 C.F.R. § 300.3. See also 2 C.F.R. § 200.306.

political boundaries but should constitute a cohesive area capable of undertaking self-sustained economic development. Applicants must provide third-party data that clearly indicate that the relevant region is subject to one (or more) of the following economic distress criteria: (i) an unemployment rate that is, for the most recent 24-month period for which data are available, at least one percentage point greater than the national average unemployment rate; (ii) per capita income that is, for the most recent period for which data are available, 80 percent or less of the national average per capita income; or (iii) a “Special Need,” as determined by EDA.¹⁶

EDA will review and evaluate documentation submitted by the applicant to determine eligibility. EDA will reject any documentation of eligibility that the agency determines is inaccurate or incomplete, which may cause the application to be rejected. **EDA reserves the right to request additional documentation or information from the applicant to make an eligibility determination.** The proposed project must meet EDA’s distress criteria as of the date EDA receives a complete application. However, if an award has not been made within six months of that date, EDA will then re-evaluate the project to determine continued eligibility for investment assistance. If the project no longer meets EDA’s distress criteria, the project will no longer be considered.

For construction projects (including design and engineering) the project must be located within an eligible region. For non-construction projects, the investment’s scope of work must primarily benefit an eligible region and stakeholders from that eligible area must be directly engaged. EDA suggests that applicants work closely with the EDA representative listed for their State as they define an eligible project in their region.

A proposed project may meet the regional eligibility criteria in one of the following three ways:

1. The proposed project will be located in a region that meets EDA’s economic distress criteria;
2. The proposed project is located in an Economic Development District (EDD) that is located in a region that does not meet EDA’s economic distress criteria, and EDA determines the proposed project will be of substantial direct benefit to a geographic area within the EDD that meets EDA’s economic distress criteria; or
3. The proposed project will be located in a geographic area of poverty or high unemployment that meets EDA’s economic distress criteria, but which is located in a region that overall does not meet EDA’s distress criteria.

Below are further details on economic distress criteria. See also 13 C.F.R. § 301.3(a).

a) Unemployment Rate & Per Capita Income

For economic distress levels based upon per capita income requirements, EDA will base its determination upon the most recent American Community Survey (ACS) published by the U.S. Census Bureau. For economic distress levels based upon the unemployment rate, EDA will base its determination upon the most recent data published by the Bureau of Labor Statistics (BLS), within the U.S. Department of Labor. For eligibility based upon either per capita income

¹⁶ See Section 301(a)(3) of PWEDA (42 U.S.C. § 3161(a)(3)) and 13 C.F.R. § 301.3.

requirements or the unemployment rate, when the ACS or BLS data, as applicable, are not the most recent Federal data available, EDA will base its decision upon the most recent Federal data from other sources (including data available from the Census Bureau and the Bureaus of Economic Analysis, Labor Statistics, Indian Affairs, or any other Federal source determined by EDA to be appropriate). If no Federal data are available, an applicant must submit to EDA the most recent data available from the State. The required State data must be for the region where the project will be located, the geographic area where substantial direct project benefits will occur, or the geographic area of poverty or high unemployment, as applicable.¹⁷

b) “Special Need” Criteria

For the purposes of determining eligibility based on a “Special Need”, an applicant must provide current and appropriate economic and demographic statistics for the applicable region to support the identified Special Need. EDA will base its Special Need eligibility determination on whether the proposed project meets the specific requirements outlined below or, in cases where specific thresholds are not identified, on whether the data and information provided by the applicant presents a compelling case that the region meets the Special Need criteria, as defined by EDA.

A project may be eligible pursuant to a Special Need if the project is located in a region that meets one or more of the criteria described below:¹⁸

- a. Closure or restructuring of industries or the loss of a major employer essential to the regional economy as defined by:
 - i. a public announcement of an impending closure or restructuring of a firm expected to occur within four (4) years of review of an application submission; or
 - ii. an actual closure or restructuring of a firm within the 24 months prior to submission of an application, resulting in sudden job losses; AND
 - iii. such threatened or actual closure results in sudden job losses meeting the following dislocation criteria:
 1. For regions with a population of at least 100,000, the threatened or actual dislocation is 500 jobs, or one percent of the civilian labor force (CLF), whichever is less.
 2. For regions with a population up to 100,000, the threatened or actual dislocation is 200 jobs, or one percent of the CLF, whichever is less.
- b. Substantial out-migration or population loss.
- c. Underemployment, meaning employment of workers at less than full-time or at less skilled tasks than their training or abilities permit.
- d. Military base closures or realignments, defense contractor reductions-in-force, or Department of Energy defense-related funding reductions.

¹⁷ See Section 301 of PWEDA (42 U.S.C. § 3161) and 13 C.F.R § 301.3.

¹⁸ The following criteria are published in accordance with 13 C.F.R. § 301.3(a)(1)(iii) and define what may constitute a “Special Need” (as defined in 13 C.F.R. § 300.3) sufficient to make a project eligible for Public Works or EAA investment assistance, as described in Section C.3 of this announcement.

- i. A military base closure refers to a military base that was closed or is scheduled for closure, realignment, or growth pursuant to the base closure and realignment process or other Department of Defense (DOD) process. Unless further extended by the Assistant Secretary for Economic Development, the region is eligible from the date of DOD's recommendation for closure, realignment, or growth until five years after the actual date of closing of the installation or five years after the announced realignment or growth actually occurs.
 - ii. A defense contractor reduction-in-force refers to a defense contractor(s) experiencing defense contract cancellations or reductions resulting from official DOD announcements that have aggregate value of at least \$10 million per year. Actual dislocations must have occurred within one year of the date an application is submitted to EDA for and threatened dislocations must be anticipated to occur within two years of submittal of an application to EDA. Defense contracts that expire in the normal course of business will not be considered to meet this criterion.
 - iii. A Department of Energy defense-related funding reduction refers to a Department of Energy facility that has experienced or will experience a reduction of employment resulting from its defense mission change. The region is eligible from the date of the Department of Energy announcement of reductions until five years after the actual date of reduced operations at the installation.
- e. Natural or other major disasters or emergencies. A region that has received one of the following disaster declarations is eligible:
- i. A Presidentially declared disaster (declared under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended (42 U.S.C. § 5121 *et seq.*)); or
 - ii. A Federally declared disaster (pursuant to the Magnuson-Stevens Fishery Conservation and Management Act, as amended (16 U.S.C. § 1861a(a)); the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. § 1961); or the Small Business Act, as amended (Pub. L. No. 85-536, 15 U.S.C. § 631 *et seq.*, 72 Stat. 384 (1958)).
- Applicants must demonstrate a clear nexus between the needs created by the declared disaster and the proposed project.
- f. Extraordinary depletion of natural resources or other impact attributable to a new or revised Federal regulation or policy that will have a significant impact on a community's ability to prevent an extraordinary depletion of natural resources. For example, in the case of a Federal fishing regulation designed to promote and sustain a community and its fishery in the long-term, EDA could quickly help a coastal community respond to any short-term economic dislocations.
 - g. Communities undergoing transition of their economic base as a result of changing trade patterns.
 - h. A project located in a persistent poverty county is eligible. For purposes of determining Special Need, the term "persistent poverty county" means any county that has had 20 percent or more of its population living in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.

- i. The project i) is located in or ii) is proximate to and intended to directly and substantially benefit a designated “Qualified Opportunity Zone.” A current list of Qualified Opportunity Zones designated by the U.S. Department of the Treasury can be found at <https://www.cdfifund.gov/pages/Opportunity-Zones.aspx>.
- j. For ACC applications only, communities or regions that have been impacted,¹⁹ or can reasonably demonstrate that they will be impacted, by coal mining or coal power plant employment loss, or employment loss in the supply chain industries²⁰ of either. Applications seeking ACC funding must provide appropriate third party economic and demographic statistics for the applicable community or region in order to document the extent to which contractions in the coal economy have negatively impacted the community or region.²¹ Applicants are encouraged to cite federal data resources that may include, but are not limited to: (i) U.S. Department of Commerce (Bureau of Economic Analysis, <http://www.bea.gov/>; U.S. Census Bureau, American Community Survey (ACS), <https://www.census.gov/programs-surveys/acs/>); (ii) U.S. Department of Labor (Bureau of Labor Statistics (BLS), <http://www.bls.gov/>); (iii) U.S. Department of Energy (Energy Information Administration (EIA), <https://www.eia.gov/>); (iv) U.S. Department of Labor (Mine Safety Health Administration, <https://arlweb.msha.gov/OpenGovernmentData/OGIMSHA.asp>; Bureau of Indian Affairs (BIA)- American Indian Population and Labor Force Reports, <http://www.bia.gov/WhatWeDo/Knowledge/Reports/index.htm>).
- k. For NCC applicants only, regions that have been impacted, or can reasonably demonstrate that they will be impacted, by NPP closure(s). Applicants must demonstrate the manner and extent to which a region has been impacted or will be impacted by NPP closure(s). Geographic proximity to an NPP closure is by itself insufficient to demonstrate eligibility for NCC funds.
 - i. Applicants seeking NCC funding in regions that have been impacted by NPP closure(s) must provide appropriate third-party economic and/or demographic data for the applicable region to document the extent to which NPP closure(s) have negatively impacted the region. Such applicants are encouraged to cite federal data resources that may include, but are not limited to: (i) U.S. Department of Commerce (Bureau of Economic Analysis, <http://www.bea.gov/>; U.S. Census Bureau, American Community Survey (ACS), <https://www.census.gov/programs-surveys/acs/>); (ii) U.S. Department of Labor (Bureau of Labor Statistics (BLS), <http://www.bls.gov/>); (iii) U.S. Department of Energy (Energy

¹⁹ In general, EDA will consider applications for projects in communities and regions where the primary coal economy contraction “event” (e.g., closure of a coal mine or a coal-fired power plant, closure of various coal economy supply chain businesses, etc.) took place within 1-15 years prior to the application submission date.

²⁰ Examples of supply chain industries include, but are not necessarily limited to, manufacturers of mining equipment and parts for coal-fired power plants and transportation companies that carry coal. Note, this timeframe is a range during which projects will generally be eligible; applicants may propose projects outside this timeframe, but should take special care to demonstrate that the primary coal economy contraction “event” continues to tangibly impact the community.

²¹ Examples of the types of acceptable data that applicants can use to demonstrate the negative impacts of local and/or regional coal industry contractions include (and are not limited to) economic indicators, labor market analyses, official announcements made by local and regional industries and firms, demographic, and industry data.

Information Administration (EIA), <https://www.eia.gov/>; (iv) U.S. Department of Labor (Mine Safety Health Administration, <https://arlweb.msha.gov/OpenGovernmentData/OGIMSHA.asp>; (v) Bureau of Indian Affairs (BIA)-American Indian Population and Labor Force Reports, <http://www.bia.gov/WhatWeDo/Knowledge/Reports/index.htm>); (vi) StatsAmerica, <http://www.statsamerica.org>; and (vii) US Nuclear Regulatory Commission, <http://www.nrc.gov>. If federal data is not available, applicants may consider demonstrating the negative impacts of nuclear power plant closure(s) using data including but not limited to local/regional economic indicators, labor market analyses, official announcements made by local and regional industries and firms, and demographic and industry data.

- ii. Applicants seeking NCC funding in regions that will be impacted in the future by NPP closure(s) must provide documentation demonstrating that the region will be negatively impacted in the future by NPP closure(s). Such documentation may, but is not required to, take the form of an announcement of future NPP closure and should be substantiated to the extent possible by third-party data sources as listed in above in Section C.3.b.k.i.
1. Other Special Need. The area is experiencing other special or extraordinary economic adjustment needs, as determined by the Assistant Secretary for Economic Development.

In addition to the above, all applications for funding based on Special Need must demonstrate how the project will address the economic development needs of the region resulting from that Special Need.

D. Application Submission Information

An applicant **must submit a complete application**, as detailed in Section D.2.a of this PWEAA NOFO, to be considered for funding. EDA intends to review an application within 60 days of EDA's receipt of the **complete application**. EDA may seek additional information or documentation from the applicant to clarify information presented in the application. Please see Section E of this PWEAA NOFO for more information on EDA's review and selection process.

EDA strongly encourages applicants to consult with the EDA representative for their State to discuss whether their project is in alignment with EDA's Investment Priorities, eligibility requirements, cost-sharing requirements, property standards, or other requirements outlined in this PWEAA NOFO. This consultation is limited to clarification of technical matters involving their proposed project, project alignment with EDA's mission and Investment Priorities, and all other relevant and publicly available information relating to general technical matters.

1. Address to Request an Application

An applicant may obtain the appropriate application electronically at Grants.gov. Applicants may search for this funding opportunity on Grants.gov using Funding Opportunity Number "PWEAA2020". All components of the appropriate application may be accessed and

downloaded (in a screen-fillable format) at <https://www.grants.gov/web/grants/search-grants.html>.

Applicants are advised that they **must complete the registration process prior to submitting an application through Grants.gov**; please note, however, registration is not required for an applicant to access, view, or download the application. Even though an applicant may be able to view and download an application, if the applicant has not correctly completed the Grants.gov registration process, the applicant will not be able to submit the application electronically for EDA's review. Alternatively, an applicant eligible for assistance under this announcement may request a paper application by contacting the EDA representative listed for their State under Section G of this PWEAA NOFO.

2. Content and Form of the Application

EDA has developed a suite of forms designed to address all types of assistance the agency provides. In addition, Federal grant assistance forms from the Standard Form (SF) 424 family and certain Department of Commerce Forms (CD) forms are required as part of a complete application. The tables in Section D.2.a below describe all the forms and other documentation required for a complete application for each type of assistance EDA will provide under this NOFO and may serve as a checklist for applicants in preparing their submissions.

All relevant forms must be signed electronically by the applicant's Authorized Organizational Representative (AOR); please see Section I of this PWEAA NOFO for information on AOR requirements. The preferred electronic file format for attachments is Adobe portable document format (PDF); however, EDA will accept electronic files in Microsoft Word, WordPerfect, or Microsoft Excel formats. EDA will not accept paper, facsimile, or email transmissions of applications. Please refer to important information on submitting your application provided in Section D.4 of this PWEAA NOFO.

All documentation and data submitted should be current and applicable as of the date submitted. Applicants are encouraged to contact the EDA representative for their State for technical assistance before submitting an application under this PWEAA NOFO. EDA staff members are available to provide applicants with technical assistance regarding application requirements. Additionally, EDA may reach out to the applicant to clarify application materials received.

a) What is Required for a Complete Application?

The following table provides a complete list of documents required for a complete application based on the type of EDA assistance: construction, design and engineering (without a construction component), non-construction, and RLF.

Applications for **construction assistance** (including applications for design and engineering with construction activities) must include:

1. **One Form SF-424** (Application for Federal Assistance) from each co-applicant, as applicable.

2. **One Form SF-424C** (Budget Information—Construction Programs) per project.
3. **One Budget Narrative** that clearly identifies and justifies how funds in each line item of the budget (Form SF-424C) will be used to support the proposed project. The Budget Narrative should specifically address each budget line item (including both the Federal Share and matching non-Federal Share), and the narrative total should match the total project costs listed in both the SF-424 question 18, line g, and SF-424C (“Total Project Costs”). This includes describing any other Federal funds that have been secured or requested to support the project (see Section A.1). The Budget Narrative should include itemized valuations of any in-kind matching funds. The non-Federal Share, whether in cash or in-kind, is expected to be paid out at the same general rate as the Federal Share; however, if the applicant’s Budget Narrative proposes otherwise, applicants must also include information that clearly indicates what project elements the matching share funds will support and explain why deviation from paying out at the same general rate is required for the project to be implemented. *Please note: In lieu of a separate Budget Narrative, this information may be included in the Preliminary Engineering Report as required by Section C of the ED-900C.
4. **One Form SF-424D** (Assurances—Construction Programs) from each co-applicant, as applicable.
5. **One Form ED-900** (General Application for EDA Programs). Applicants seeking ACC funding should note this information as a part of their response to Section B.1 of the form.
6. **One Form ED-900A** (Additional EDA Assurances for Construction or Non-Construction Investments) from each co-applicant, as applicable.
7. **One Form ED-900B** (Beneficiary Information Form) from each beneficiary of the proposed project, as applicable.
8. **One Form ED-900C** (EDA Application Supplement for Construction Programs) and accompanying supporting documentation, e.g., Preliminary Engineering Report. Form ED-900C requires, among other things, a description of real property acquisition, which should include any past or proposed use of eminent domain.
9. **One Form ED-900E** (Calculation of Estimated Relocation and Land Acquisition Expenses).
10. **Documentation of Matching Share** for each matching share source, such as a commitment letter, board resolution, proof of bonding authority, or similar document, as applicable. This should be attached to Form ED-900 (Section B.10.d of the form).
11. **One Environmental Narrative** that will enable EDA to comply with its NEPA responsibilities. A narrative outline that details required components may be accessed in EDA’s website at: http://www.eda.gov/files/012_Environmental_Narrative_Template.zip.

12. **One Applicant's Certification Clause** (see Appendix A to the environmental narrative noted above) completed separately and signed by each co-applicant, as applicable.
13. **One Form CD-511** (Certification Regarding Lobbying) from each co-applicant, as applicable.
14. **One Form SF-LLL** (Disclosure of Lobbying Activities) from each co-applicant, if applicable.
15. **Map of project site.**

Applications for **design and engineering assistance only** (without a construction component) must include:

1. **One Form SF-424** (Application for Federal Assistance) from each co-applicant, as applicable.
2. **One Form SF-424C** (Budget Information—Construction Programs).
3. **One Budget Narrative** that clearly identifies and justifies how funds in each line item of the budget (Form SF-424C) will be used to support the proposed project. The Budget Narrative should specifically address each budget line item (including both the Federal Share and matching Non-Federal Share), and the narrative total should match the total project costs listed in both the SF-424 question 18, line g, and SF-424C ("Total Project Costs"). This includes describing any other Federal funds that have been secured or requested to support the project (see Section A.1). The Budget Narrative should include itemized valuations of any in-kind matching funds. The non-Federal Share, whether in cash or in-kind, is expected to be paid out at the same general rate as the Federal Share; however, if the applicant's Budget Narrative proposes otherwise, applicants must also include information that clearly indicates what project elements the matching share funds will support and explain why deviation from paying out at the same general rate is required for the project to be implemented.
4. **One Form SF-424D** (Assurances—Construction Programs) from each co-applicant, as applicable.
5. **One Form ED-900** (General Application for EDA Programs). Applicants seeking ACC funding should note this information as a part of their response to Section B.1 of the form.
6. **One Form ED-900A** (Additional EDA Assurances for Construction or Non—Construction Investments) from each co-applicant, as applicable.
7. **One Form ED-900D** (Requirements for Design and Engineering Assistance).
8. **Documentation of Matching Share** for each matching share source, such as a commitment letter, board resolution, proof of bonding authority, or similar

document, as applicable. This should be attached to Form ED-900 (Section B.10.d of the form).

9. **An Environmental Narrative** that will enable EDA to comply with its NEPA responsibilities. A narrative outline that details required components may be accessed in EDA's website at: http://www.eda.gov/files/012_Environmental_Narrative_Template.zip.
10. **One Applicant's Certification Clause** (see Appendix A to the Environmental Narrative noted above) completed separately and signed by each co-applicant, as applicable.
11. **One Form CD-511** (Certification Regarding Lobbying) from each co-applicant, as applicable.
12. **One Form SF-LLL** (Disclosure of Lobbying Activities) from each co-applicant, as applicable.

Applications for **non-construction assistance** must include:

1. **One Form SF-424** (Application for Federal Assistance) from each co-applicant, as applicable.
2. **One Form SF-424A** (Budget Information—Non-Construction Programs).
3. **One Budget Narrative** that clearly identifies and justifies how funds in each line item of the budget (Form SF-424A) will be used to support the proposed project. The Budget Narrative should specifically address each budget line item (including both the Federal Share and matching Non-Federal Share), and the narrative total should match the total project costs listed in both the SF-424 question 18, line g, and SF-424A ("Totals"). This includes describing any other Federal funds that have been secured or requested to support the project (see Section A.1). The Budget Narrative should include itemized valuations of any in-kind matching funds. The non-Federal Share, whether in cash or in-kind, is expected to be paid out at the same general rate as the Federal Share; however, if the applicant's Budget Narrative proposes otherwise, applicants must also include information that clearly indicates what project elements the matching share funds will support and explain why deviation from paying out at the same general rate is required for the project to be implemented.
4. **One Form SF-424B** (Assurances—Non-Construction Programs) from each co-applicant, as applicable, unless as part of the registration process for SAM each co-applicant has already completed the assurances for non-construction programs. In that case, each co-applicant must inform EDA that this was completed in SAM.
5. **One Form ED-900** (General Application for EDA Programs). Applicants seeking ACC funding should note this information as a part of their response to Section B.1 of the form.

6. **One Form ED-900A** (Additional EDA Assurances for Construction or Non—Construction Investments) from each co-applicant, as applicable.
7. **Documentation of Matching Share** for each matching share source, such as a commitment letter, board resolution, proof of bonding authority, or similar document, as applicable. This should be attached to Form ED-900 (Section B.10.d of the form).
8. **One Form CD-511** (Certification Regarding Lobbying) from each co-applicant, as applicable.
9. **One Form SF-LLL** (Disclosure of Lobbying Activities) from each co-applicant, as applicable.

Applications for **RLF assistance** must include:

1. **One Form SF-424** (Application for Federal Assistance) from each co-applicant, as applicable.
2. **One Form SF-424A** (Budget Information—Non-Construction Programs).
3. **One Budget Narrative** that clearly identifies and justifies how funds in each line item of the budget (Form SF-424A) will be used to support the proposed project. The Budget Narrative should specifically address each budget line item (including both the Federal Share and matching Non-Federal Share), and the narrative total should match the total project costs listed in both the SF-424 question 18, line g, and SF-424A (“Totals”). This includes describing any other Federal funds that have been secured or requested to support the project (see Section A.1). The Budget Narrative should include itemized valuations of any in-kind matching funds. The non-Federal Share, whether in cash or in-kind, is expected to be paid out at the same general rate as the Federal Share; however, if the applicant’s Budget Narrative proposes otherwise, applicants must also include information that clearly indicates what project elements the matching share funds will support and explain why deviation from paying out at the same general rate is required for the project to be implemented.
4. **One Form SF-424B** (Assurances—Non-Construction Programs) from each co-applicant, as applicable, unless as part of the registration process for SAM each co-applicant has already completed the assurances for non-construction programs. In that case, each co-applicant must inform EDA that this was completed in SAM.
5. **One Form ED-900** (General Application for EDA Programs).
6. **One Form ED-900A** (Additional EDA Assurances for Construction or Non—Construction Investments) from each co-applicant, as applicable.
7. **One Form ED-900F** (Supplement for Revolving Loan Fund Applications).
8. **Documentation of Matching Share** for each matching share source, such as a commitment letter, board resolution, proof of bonding authority, or similar

document, as applicable. This should be attached to Form ED-900 (Section B.10.d of the form).

9. **One Draft RLF plan** that addresses all components required by EDA's regulation at 13 C.F.R. § 307.9.
10. **One Form CD-511** (Certification Regarding Lobbying) from each co-applicant, as applicable.
11. **One Form SF-LLL** (Disclosure of Lobbying Activities) from each co-applicant, as applicable.

In addition, **an application to support a business incubator**, technology, or other type of incubator or accelerator, regardless of the type of assistance being requested (i.e., construction or non-construction) must also include:

- a. A feasibility study establishing the market demand for the specific start-up companies proposed for incubation (technology, general business, bio-tech, manufacturing, etc.) and the presence of necessary resources and community support;
- b. Documentation with detailed demonstration that the applicant has the financial capacity to operate the facility (if applicable) and reach a positive cash flow within a reasonable period of time, which EDA generally expects to be three years; *and*
- c. A management plan for operation that, *at a minimum*, includes a/an:
 - i. *Tenant/client selection policy* that includes a description of the types of businesses sought and any established selection criteria;
 - ii. *Tenant lease or license agreement* (if applicable) that enumerates the shared services to be provided; delineates the business assistance policy, including the provision of management, technical, and training assistance, and the graduation policy; and establishes periodic access to the tenant's business records to permit assessment of the financial and operational viability of the tenant's business;
 - iii. *Business assistance policy* that outlines the various types of assistance that the will be provided to start-up firms, including how support will be provided to tenants/clients with access to capital needed to grow their businesses successfully;
 - iv. *Staffing plan* that details the talent and resources that will be dedicated to supporting the startup companies accepted;
 - v. *Tenant graduation policy* that is documented as a provision of the tenant lease or license agreement (if applicable) with clear requirements for tenant graduation from the facility or services; and
 - vi. *Performance plan* that includes how the entity will track the success of tenants/clients, specifically identifying what performance measurement data are proposed to be collected from tenants/clients and for what period of time during and after the service period the data will be collected. This should also include members of any oversight or policy board that will be responsible for setting performance

goals, selecting or approving selections of staff, establishing and reviewing policy, and monitoring performance.

Any applicant that proposes a project to support a business incubator, technology, or other type of incubator or accelerator is ***strongly encouraged*** to contact the EDA representative listed for their applicable State in Section G of this PWEAA NOFO to clarify technical matters involving their proposed project.

b) Environmental and Historic Preservation Requirements

All applicants for EDA construction assistance (including design and engineering assistance) are required to provide adequate environmental information. Each application will be reviewed by EDA for compliance with the National Environmental Policy Act (NEPA) of 1969, as amended. During the NEPA review process, applicants may be instructed to contact the designated State and/or Tribal Historic Preservation Officer (SHPO/THPO), provide approvals from other governmental agencies, or provide more detailed environmental information. EDA, after compliance with requirements for consultation with Federally-recognized Indian Tribes, may require applicants to participate in Tribal consultation, as necessary. The implementing regulations of NEPA require EDA to provide public notice of the availability of project-specific environmental documents, such as environmental impact statements, environmental assessments, findings of no significant impact, and records of decision, to the affected public. For further guidance and information, please contact the appropriate Regional Environmental Officer listed in Section G of this PWEAA NOFO. Applicants will be notified of any changes to these requirements via Grants.gov.

c) Copy of Negotiated Indirect Cost Rate Agreement (if applicable)

As noted in EDA's Standard Terms and Conditions for Construction Projects (Construction ST&Cs), indirect costs are generally not applicable to construction awards.

If indirect costs are included in the budget for a non-construction project, the applicant must include documentation to support the indirect cost rate it is using. For most applicants, this will entail the submission of a copy of its current, approved, and negotiated indirect cost rate agreement (NICRA). The maximum dollar amount of allocable indirect costs for which EDA will reimburse a recipient shall be the lesser of the (1) line-item amount for the Federal Share of indirect costs contained in the EDA approved budget for the award, or (2) Federal Share of the total allocable indirect costs of the award based on either (a) the indirect cost rate approved by EDA (or applicable cognizant Federal agency), provided that the cost rate is current at the time the costs were incurred and provided that the rate is approved on or before the award end date, or (b) other acceptable documentation as indicated below.

If the applicant does not have a current or pending NICRA, it may propose indirect costs in its budget; however, the applicant must prepare and submit an allocation plan and rate proposal for approval within 90 days from the award start date. See 2 C.F.R. part 200 Apps. III, IV, V, VI, VII for guidance. The allocation plan and the rate proposal shall be submitted to EDA's Office of Regional Affairs (or applicable cognizant Federal agency). If the applicant chooses to pursue this option, it should include a statement in its Budget Narrative that it does not have a current or pending NICRA and will submit an allocation plan and rate proposal to EDA or the applicant's cognizant Federal agency for approval.

If the applicant (1) does not have a current NICRA and (2) has never received a negotiated indirect cost rate, the applicant may alternatively elect to charge a *de minimis* rate of 10% of modified total direct costs subject to the exceptions of 2 C.F.R. § 200.414(f). The applicant should include a statement in its Budget Narrative that it does not have a NICRA; it has never received a negotiated indirect cost rate; and it is electing to charge the *de minimis* rate.

Note if the applicant is a State or local unit of government (or a Tribe) that receives less than \$35,000,000 in direct Federal funding per year it may submit any of the following:

- i. A Certificate of Indirect Costs from DOI or EDA;
- ii. Acknowledgment received from EDA and Certificate of Indirect Costs;
- iii. Cost Allocation Plan approved by a Federal agency; or
- iv. NICRA.

3. Unique Entity Identifier and System for Award Management (SAM)

To enable the use of a universal identifier and to enhance the quality of information available to the public as required by the Federal Funding Accountability and Transparency Act of 2006, to the extent applicable,²² applicants are required to: (i) be registered in the SAM before submitting their application; (ii) provide a valid unique entity identifier in their application; and (iii) continue to maintain an active SAM registration with current information at all times during which they have an active Federal award or an application or plan under consideration by a Federal awarding agency. EDA may not make a Federal award to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements, and if an applicant has not fully complied with the requirements by the time EDA is ready to make an award, EDA may determine that the applicant is not qualified to receive an award and use that determination as a basis for making an award to another applicant. Recipients will be subject to reporting requirements, as identified in OMB guidance published at 2 C.F.R. parts 25 and 170. The guidance set out at 2 C.F.R. parts 25 and 170 can be found at the U.S. Government Publishing Office website at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>. Under “Browse” select “Title 2 – Grants and Agreements,” then click “Go” and follow the link to parts “2-199.” Note that SAM now encompasses the Central Contractor Registration (CCR) and that the unique entity identifier is commonly referred to as the Data Universal Numbering System (DUNS) Number. The recipient must keep its SAM registration current.

4. Submission Dates and Times

There are no application deadlines under this PWEAA NOFO. EDA plans to accept applications on a rolling basis subject to the availability of funds or until the NOFO is amended in relevant part or a new PWEAA NOFO is published. EDA may cancel or withdraw this NOFO at any time.

²² Individuals who apply for or receive agency awards or direct subawards are exempt from the requirements of the unique entity identifier and SAM. See 2 C.F.R. § 25.110(b). However, individuals are not eligible to receive awards under EDA’s Public Works and EAA programs.

a) How to Submit an Application?

i. Electronic Submission

EDA accepts electronic submissions of applications through Grants.gov. EDA will not accept paper, facsimile, or email transmissions of applications except as provided below.

Once an application is submitted, it undergoes a validation process through Grants.gov during which the application may be accepted or rejected by the system. Please be advised that the validation process may take 24 to 48 hours to complete. Applications that contain errors will be rejected by Grants.gov and will not be forwarded to EDA for review. The applicant must correct any errors before Grants.gov will accept and validate the application.

Please see Section I of this PWEAA NOFO for more detailed instructions and information on the requirements for submitting applications electronically via Grants.gov.

ii. Alternatives to Electronic Submission.

If an applicant is unable to submit an application electronically for reasons beyond the control of the applicant, EDA, in its sole discretion, may **pre-approve in writing** submission via an alternate method (e.g., email).

5. Intergovernmental Review

Applications submitted under this PWEAA NOFO are subject to the requirements of Executive Order (EO) 12372, “Intergovernmental Review of Federal Programs,” if a State has adopted a process under EO 12372 to review and coordinate proposed Federal financial assistance and direct Federal development (commonly referred to as the “single point of contact review process”). All applicants must give States and local governments a reasonable opportunity to review and comment on the proposed Project, including review and comment from area-wide planning organizations in metropolitan areas.²³ To find out more about a State’s process under EO 12372, applicants may contact their State’s Single Point of Contact (SPOC). Names and addresses of some States’ SPOCs are listed on OMB’s website at <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>. Question 19 of Form SF-424 allows applicants to demonstrate compliance with EO 12372.

An applicant seeking funding under the Public Works program or for a construction project or RLF grant under the EAA program that is not a State, Indian Tribe, or general purpose local governmental authority must afford the appropriate general purpose local governmental authority in the project region a minimum of 15 days to review and comment on the proposed project and provide with its application a statement of its efforts to seek comments and either (i) a copy of the comments received and a statement of any actions to address those comments or (ii) a statement that no comments were received.

6. Funding Restrictions

In general, EDA does not reimburse pre-award project costs. Applicants that are in need of such reimbursement should work closely with the EDA representative for their State to

²³ As provided for in 15 C.F.R. part 13.

determine if their pre-award costs may be considered for reimbursement. In order for contracted pre-award costs to be eligible for reimbursement, the applicant must competitively procure services pursuant to the Federal government's procurement procedures. All pre-award costs are incurred at an applicant's own risk and will be considered for reimbursement, in EDA's sole discretion, only if an applicant receives an award and such costs are approved by EDA in writing. Under no circumstances will EDA or DOC be held responsible for application preparation expenditures, which are distinguished from pre-award project costs.

7. Other Submission Requirements

After EDA reviews your application, EDA may contact the applicant to request any necessary additional documentation to clarify or substantiate submitted application materials, depending on the type of project proposed. Examples of additional documentation may include, but are not limited to, title verification, documentation of the value of in-kind contributions, evidence all funding is available and committed to the project, or documentation required for environmental or legal compliance. This additional documentation will be required to ensure that the proposed project complies with all applicable rules and regulations prior to EDA's issuance of an award. EDA will provide applicants a reasonable amount of time to provide any additional documentation. Failure to provide complete and accurate supporting documentation in a timely manner *when requested by EDA* may result in the denial of an application.

EDA may make changes or additions to this PWEAA NOFO. All changes will be communicated through Grants.gov.

E. Application Review Information

Throughout the review and selection process, EDA reserves the right to seek clarification in writing from applicants whose applications are being reviewed. This may include reaching out to applicants and proposing they seek funding under a different EDA program or other Federal financial assistance program under which they may be more competitively assessed. EDA may additionally ask applicants to clarify application materials, objectives, and work plans, or modify budgets or other specifics necessary to comply with Federal requirements. Before applications are reviewed as described below, EDA will conduct an initial screening to verify that all required forms are complete and all required documentation is included. Applications that do not contain all elements listed in Section D.2.a of this PWEAA NOFO will not be reviewed.

1. Review and Selection Process

a) Investment Review Committee (IRC)

Each Regional Office will convene periodic IRCs as necessary depending on the volume of applications, that consist of at least four EDA staff members (except in the case of an IRC to review ACC applications, which need only consist of three EDA staff members) to review each complete application. Before the IRC reviews an application EDA will conduct an administrative review to determine that the application is complete.

All IRC members will review each complete application before the IRC discussion and evaluation. The IRC will make a group evaluation of the merits of each application based on the extent to which the application meets the program-specific award and application requirements

provided in 13 C.F.R. part 305 for Public Works investments and 13 C.F.R. part 307 for EAA investments.

For construction and non-construction projects (including NCC and ACC projects), except Strategy Grant proposals, the IRC will use the following criteria in its review, with each criterion receiving equal weight:

- i. The project's sustainability/durability, including the extent to which the project demonstrates support from regional stakeholders (private, public, and non-profit entities, etc.);
- ii. The applicant's organizational capacity, including its financial and management capacity;
- iii. The project's alignment with the regional CEDS or equivalent EDA-accepted economic development strategy, including the extent to which the project is aligned with and integrated into other public or private investments currently ongoing or planned for the community and region;
- iv. The project's demonstrated alignment with EDA's current Investment Priorities;
- v. The project's demonstrated ability to foster creation and/or retention of high-quality jobs and promote private investment in the regional economy;
- vi. The extent to which the project will enable the community/region to become more economically diversified and prosperous; and
- vii. The project's feasibility, which may include the availability and committed nature of proposed matching funds.

In addition to the above criteria, for ACC projects only, the IRC also will equally weigh:

- viii. The integration and/or alignment of the impacted region's workforce development needs and priorities with the economic development strategy referenced in the application;
- ix. The extent to which the project objectives are designed to create jobs that pay family-supporting wages, create career pathways for workers, and are linked to industry-recognized credentials for high-demand positions; and
- x. The geographic impact and resulting economic benefit of the proposed scope of work.

Note: Throughout the application review and selection process, ACC, EAA, NCC, and Public Works projects will be evaluated independently. While separate, these evaluations may occur concurrently. As stated above, each ACC project evaluation will be conducted based on both EAA and ACC evaluation criteria.

Based on its consideration of the above factors, the IRC will prepare a priority order of funding recommendations for the Regional Director. EDA's final decision on whether to fund a project is dependent upon the ability of the applicant to provide sufficient documentation of the project's compliance with applicable rules and regulations.

EDA intends to provide applicants written notification of the outcome of the IRC within 60 days of EDA's receipt of the **complete application and any additional materials and information required for the review.**

b) Due Diligence

If the IRC recommends an application, the applicant still may have to complete certain due diligence requirements before EDA can make an award. After an applicant has been notified that its application has been recommended by the IRC, EDA may request that the applicant submit additional documents and information to allow EDA to fully evaluate compliance with applicable rules and regulations.

For example, in the case of construction projects, such additional due diligence may include:

- i. Title verification;
- ii. Proof of project ownership;
- iii. Documentation of matching funds; and
- iv. Documentation required for environmental or legal compliance. This may include, but is not limited to: 404 Clean Water Act permits from the U.S. Army Corps of Engineers and accompanying environmental documentation (environmental assessment or environmental impact statement), Phase I and Phase II environmental assessments, State environmental assessment documentation (for compliance with State environmental statutes such as the Massachusetts Environmental Policy Act (MEPA) or the California Environmental Quality Act (CEQA)), archeological and biological surveys, and proof of coordination with resource agencies.

If the applicant provides the requested information and supporting documentation in a timely fashion and EDA determines the project is fully compliant with applicable rules and regulations, the application will be forwarded to the Regional Director for a final decision and award approval. Applicants that do not provide the additional information and supporting documentation in a timely fashion or who are deemed not to be in compliance with applicable rules and regulations will receive notification their application has been denied.

c) Grants Officer's Decision

Applications recommended by the IRC and deemed fully compliant with applicable rules and regulations will be forwarded to the Regional Director, who is the designated Grants Officer under this PWEAA NOFO. Each Regional Director has been delegated the final authority regarding funding of applications and may select a project for funding that differs from the IRC's recommendations based on any of the following selection factors:

1. The relative economic distress of the region;
2. For Public Works projects, the extent to which the selection of the application, alone or in the context of other applications, supports EDA's compliance with appropriations law requirements and report language guidance;
3. The likelihood a given project will start quickly, realistically achieve project goals, and catalyze additional resources;
4. The extent to which the application meets the overall objectives of Section 2 of PWEDA (42 U.S.C. § 3121);
5. For ACC projects, the extent to which the project proposes an implementation activity that supports economic diversification, job creation, capital investment, and workforce development and re-employment opportunities;

6. The applicant's performance under previous Federal financial assistance awards, including whether the grantee submitted required performance reports and data;
7. The availability of program funding; and
8. The extent to which the project supports EDA's goals of geographic balance in distribution of program funds, project types, organizational type (to include smaller and rural organizations) and the overall portfolio.

The Regional Director's final decision must be consistent with EDA's and DOC's published policies. Any time a Regional Director makes a selection that differs from the IRC's recommendation, the Regional Director will document the rationale for the decision in writing.

2. Federal Awardee Performance and Integrity System (FAPIS) Review

EDA, prior to making a Federal award with a total amount of Federal Share greater than the simplified acquisition threshold, is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (currently FAPIS). See 41 U.S.C. § 2313.

Each applicant, at its option, may review information in the designated integrity and performance systems accessible through SAM and comment on any information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through SAM. EDA will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in 2 C.F.R. § 200.205.

F. Federal Award Administration Information

1. Federal Award Notification

If an application is selected for funding and the applicant successfully and timely completes all due diligence requirements, the expectation is that the EDA Grants Officer will issue the grant award (Form CD-450), which is the authorizing financial assistance award document and includes Specific Award Conditions and, as applicable, the DOC Financial Assistance Standard Terms and Conditions (DOC ST&Cs), the EDA Revolving Loan Fund Financial Assistance Award Standard Terms and Conditions (RLF ST&Cs), or the EDA Construction ST&Cs, as described in Section F.2.b, below.

By signing Form CD-450, the applicant agrees to comply with all award provisions. EDA will provide Form CD-450 via the award package to the applicant's authorized representative. The applicant's authorized representative must sign and return the Form CD-450 without modification within 30 calendar days of the date of EDA's signature on the form.

If an applicant is awarded funding, neither DOC nor EDA is under any obligation to provide any additional future funding in connection with that award or to make any future award(s). Amendment or renewal of an award to increase funding or to extend the period of performance is at the discretion of DOC and EDA.

EDA will notify unsuccessful applicants in writing to the applicant's authorized representative. EDA will retain unsuccessful applications in accordance with EDA's record retention schedule.

2. Administrative and National Policy Requirements

a) Uniform Administrative Requirements, Cost Principles and Audit Requirements

Recipients of an EDA award will be bound by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) as set forth in 2 C.F.R. part 200. See a copy of these regulations at: <https://www.gpo.gov/fdsys/pkg/CFR-2016-title2-vol1/pdf/CFR-2016-title2-vol1-part200.pdf>. Please note that the Uniform Guidance superseded DOC's Uniform Administrative Requirements, which were found at 15 C.F.R. parts 14 and 24.

b) DOC Financial Assistance Standard Terms and Conditions

For all projects except construction awards, DOC will apply the DOC ST&Cs applicable on the date of the award. The DOC ST&Cs may be accessed at the following website: http://www.osec.doc.gov/oam/grants_management/policy/default.htm.

For RLF awards, in addition to the DOC ST&Cs, EDA will apply the EDA RLF ST&Cs. The RLF ST&Cs may be accessed at <https://www.eda.gov/tools/grantee-forms/> under the header "Standard Terms and Conditions for RLF and Construction Projects".

For construction awards, EDA will apply the Construction ST&Cs. The Construction ST&Cs may be accessed at <https://www.eda.gov/tools/grantee-forms/> under the header "Standard Terms and Conditions for RLF and Construction Projects".

c) DOC Pre-Award Notification Requirements

DOC will apply the Pre-Award Notification Requirements for Grants and Cooperative Agreements effective December 26, 2014, 79 Fed. Reg. 78,390. The Pre-Award Notice may be accessed at the Government Printing Office (GPO) website at <http://www.gpo.gov/fdsys/pkg/FR-2014-12-30/pdf/2014-30297.pdf>.

3. Reporting

- a. All recipients are required to submit financial, performance, and impact reports in accordance with the terms and conditions of the grant award, generally no less than semi-annually. All project progress and financial reports must be submitted to the applicable EDA program officer in an electronic format to be determined at the time of award.
- b. The Federal Funding Accountability and Transparency Act of 2006 includes a requirement for awardees of applicable Federal grants to report information about first-tier subawards²⁴ and executive compensation under Federal assistance

²⁴ A first-tier subaward means an award provided by the recipient to a subrecipient for the subrecipient to carry out as part of a Federal award.

awards issued in FY 2011 or later. All awardees of applicable grants and cooperative agreements are required to report to the Federal Subaward Reporting System (FSRS) available at www.FSRS.gov on all sub-awards over \$25,000. Please see the OMB guidance published at 2 C.F.R. part 170 (2015), which can be accessed at <http://www.gpo.gov/fdsys/pkg/CFR-2015-title2-vol1/pdf/CFR-2015-title2-vol1-part170.pdf>.

- c. EDA may require additional data on activities, outputs, and actual impact of the funded investment, pursuant to the Government Performance and Results Act (GPRA), as well as specified under the specific terms and conditions of the EDA grant award. For example, recipients may be expected to continuously track their engagement activities within the scope of work, other beneficiaries, and project-related stakeholders. They may also be expected to collect data on the outputs and impacts of their activities, such as, for example, the number of beneficiary strategic plans developed, the number of new business partnerships formed, or new capabilities acquired using surveys of beneficiaries or clients if necessary. EDA will specify the data collection techniques and reporting mechanisms to be used.

G. Federal Awarding Agency Contacts

For questions concerning this PWEAA NOFO, or more information about EDA programs, you may contact the appropriate EDA representative listed below. An EDA contact for each State is provided for PW and non-ACC EAA projects. An EDA contact for each EDA Regional Office is provided for ACC projects and environmental inquiries. Updated contact information can be found on EDA's website at <https://www.eda.gov/contact/>.

Atlanta Regional Office

H. Philip Paradise, Jr., Regional Director
401 West Peachtree Street, NW, Suite 1820, Atlanta, GA 30308-3510
(404) 730-3002 Main Office
(404) 730-3025 Fax

Alabama

Michael Mills
mmills@eda.gov
404-730-3020

Georgia

Jonathan Corso
jcorso@eda.gov
404-730-3023

Florida

Greg Vaday
gvaday@eda.gov
404-730-3009

Kentucky and ACC

Bertha Partin
bpartin@eda.gov
404-730-3026

Mississippi
 Gil Patterson
 gpatterson2@eda.gov
 404-730-3032

North Carolina
 Hillary Sherman
 hsherman@eda.gov
 404-730-3013

South Carolina
 Robin Cooley
 rcooley@eda.gov
 803-253-3640

Tennessee
 Lucas Blankenship
 lblankenship@eda.gov
 404-730-3010

Environmental Officer
 Keith Dyche
 kdych@eda.gov
 404-730-3029

Austin Regional Office

Jorge Ayala, Regional Director
 903 San Jacinto, Suite 206, Austin, TX 78701
 (512) 381-8150 Main Office
 (512) 499-0478 Fax

Louisiana and Arkansas
 Jason Wilson
 Jwilson1@eda.gov
 512-420-7738

South Texas
 Robert Peche
 rpeche1@eda.gov
 512-568-7732

New Mexico, West Texas
 Trisha Korbas
 tkorbas@eda.gov
 720-626-1499

Environmental Officer
 Corey Dunn
 cdunn@eda.gov
 512-381-8169

Oklahoma, North Texas and ACC
 Jessica Falk
 jfalk@eda.gov
 512-381-8168

Chicago Regional Office

Susan Brehm, Regional Counsel
 230 South Dearborn Street, Suite 3280, Chicago, IL 60604-1512
 (312) 353-8143 Main Office
 (312) 353-8575 Fax

Illinois, Minnesota

Darrin Fleener
dfleener@eda.gov
312-789-9753

Michigan, Wisconsin

Lee Shirey
lshirey@eda.gov
312-789-9751

Indiana, Ohio

Kyle Darton
kdarton@eda.gov
312-789-9752

Environmental Officer and ACC

Robin Bush
rbush@eda.gov
312-789-9750

Denver Regional Office

Angela Belden Martinez, Regional Director
1244 Speer Boulevard, Suite 431, Denver, CO 80204
(303) 844-4715 Main Office
(303) 844-3968 Fax

Colorado, Utah

Trent Thompson
tthompson@eda.gov
303-844-5452

Eastern Iowa, Eastern and Central Missouri

Steve Castaner
scastaner@eda.gov
573-590-1194

Montana, Wyoming and ACC

Kirk Keysor
kkeysor@eda.gov
406-599-9795

Nebraska, Kansas, Western Missouri

Mark Werthmann
mwerthmann@eda.gov
913-894-1586

North Dakota, South Dakota, Western Iowa

Alex Smith
ASmith1@eda.gov
720-402-7686

Environmental Officer

Jenny Benz
jbenz@eda.gov
303-844-5363

Philadelphia Regional Office

Linda Cruz-Carnall, Regional Director
Robert N.C. Nix Federal Building
900 Market Street, Room 602
Philadelphia, PA 19107
(215) 597-4603 Main Office
(215) 597-1063 Fax

Connecticut

Chivas Grannum
CGrannum@eda.gov
215-597-8723

Delaware

Kevin Quinn
kquinn@eda.gov
267-687-4317

District of Columbia, Maryland

Alma Plummer
aplummer@eda.gov
215-597-7538

Maine, New Hampshire, Rhode Island

Alan Brigham
abrigham@eda.gov
207-317-7692

Massachusetts

Debra Beavin
dbeavin@eda.gov
215-597-8719

New Jersey, New York

Edward Hummel
ehummel@eda.gov
215-316-2124

Pennsylvania

Christopher Casper
Ccasper1@eda.gov
215-597-1074

Puerto Rico, Virgin Islands

Juan Bauza
jbauza@eda.gov
215-435-2212

Vermont

Matthew Suchodolski
msuchodolski@eda.gov
215-597-1242

Virginia

Lauren Stuhldreher
lstuhldreher@eda.gov
215-764-0427

West Virginia and ACC

Tracey Rowan
trowan@eda.gov
304-533-4497

Environmental Officer

Megan Coll
mcoll@eda.gov
215-597-8795

Seattle Regional Office

A. Leonard Smith, Regional Director
Jackson Federal Building
915 Second Avenue, Room 1890, Seattle, WA 98174-1012
(206) 220-7660 Main Office
(206) 220-7669 Fax

Alaska

Shirley Kelly
Skelly2@eda.gov
907-271-2272

Arizona, Washington

Richard Berndt
rberndt@eda.gov
206-220-7682

California (Northern and Central Coast)

Malinda Matson
Mmatson1@eda.gov
916-235-0088

California (Southern and Central Valley), Nevada

Wilfred Marshall
Wmarshall@eda.gov
310-348-5386

Hawaii, Guam, Northern Mariana Islands, American Samoa, Marshall Islands, Federated States of Micronesia, Palau

Herbert Thweatt
hthweatt@eda.gov
808-541-3391

Oregon, Idaho

Frances Sakaguchi – Acting EDR
fsakaguchi@eda.gov
206-220-7693

Environmental Officer

Rowena DeFato
rdefato@eda.gov
206-220-7703

ACC

Brian Parker
BParker3@eda.gov
206-220-7675

H. Other Information**1. Right to Use Information**

DOC reserves the right to use information contained in applications submitted under this opportunity, as well as all reports and performance data submitted by recipients to undertake an evaluation of its programs, either through its staff or by hiring a third party. The applicant acknowledges and understands information and data contained in applications for financial assistance, as well as information and data contained in financial, performance, and other reports submitted by applicants, may be used by the DOC in conducting reviews and evaluations of its financial assistance programs. For this purpose, applicant information and data may be accessed, reviewed and evaluated by DOC employees, other Federal employees, Federal agents and contractors, and/or by non-Federal personnel, all of whom enter into appropriate confidentiality and nondisclosure agreements covering the use of such information. As may be provided in the terms and conditions of a specific financial assistance award, applicants are expected to support program reviews and evaluations by submitting required financial and performance information and data in an accurate and timely manner and by cooperating with DOC and external program evaluators. In accordance with 2 C.F.R. § 200.303(e), applicants must take reasonable measures to safeguard protected personally identifiable information and other confidential or sensitive personal or business information created or obtained in connection with a DOC Federal financial assistance award.

2. Freedom of Information Act Disclosure

The Freedom of Information Act (5 U.S.C. § 552) (FOIA) and DOC's implementing regulations at 15 C.F.R. part 4 set forth the rules and procedures to make requested material, information, and records publicly available. Unless prohibited by law and to the extent permitted

under FOIA, contents of applications submitted by applicants may be released in response to FOIA requests. In the event that an application contains information or data that the applicant deems to be confidential commercial information, that information should be identified, bracketed, and marked as “Privileged, Confidential, Commercial or Financial Information.” Based on these markings, the confidentiality of the contents of those pages will be protected to the extent permitted by law.

3. Notice of Government-Wide Procurement Restriction

The general rule for Federal financial assistance is that contractors that develop draft specifications, requirements, statements of work, invitations for bids or requests for proposals are prohibited from competing for the final procurement. For instance, a professional engineer or architect who prepared the Preliminary Engineering Report for an EDA application would be excluded from bidding on the same work under the award. Under 2 C.F.R. § 200.319 and 200.317, only State recipients are expressly exempt from this prohibition. Local governments and Indian Tribes may also take advantage of the exemption in two narrow circumstances: (i) if they are required (by statute, for example) to follow the State’s procurement rules in full and without exception; or (ii) if they are required to follow a specific State procurement rule that creates an explicit conflict with the prohibition in 2 C.F.R. § 200.319(a) (i.e., there is a statute that requires or permits the local government or Indian Tribe to award the final procurement to the same contractor that developed the draft specifications). Absent one of these two scenarios, the local government or Indian Tribe must comply with the prohibition. Applicants are encouraged to contact the EDA representative listed for their applicable State in Section G of this PWEAA NOFO with any questions regarding application of this regulation.

4. Past Performance and Non-Compliance with Award Provisions

Unsatisfactory performance under prior Federal awards may result in an application not being considered for funding. Failure to comply with any or all of the provisions of an award may have a negative impact on future funding by the DOC (or any of its operating units) may be considered grounds for any or all of the following actions: (1) establishing an account receivable; (2) withholding payments to the recipient under any DOC award(s); (3) changing the method of payment from advance to reimbursement only; (4) imposing other specific award conditions; (5) suspending any active DOC award(s); and (6) terminating any active DOC award(s).

5. Restrictions Governing Making Grants to Corporations Convicted of Federal Felony and Federal Criminal Tax Violations, Unpaid Federal Tax Assessments, and Delinquent Federal Tax Returns

In accordance with current Federal appropriations law, execution by an applicant of the Certification Regarding Federal Felony and Federal Criminal Tax Convictions, Unpaid Federal Tax Assessments and Delinquent Federal Tax Returns (see Attachment 1) will be required in a format requested by EDA before any award will be made under this PWEAA NOFO.

Specifically, if an applicant is a corporation as defined in the Certification, it is required to sign and return the Attachment 1, Part I Certification. In addition, all applicants applying for financial assistance awards in excess of \$5 million are required to sign the Attachment 1, Part II

certification. The applicant will be required to submit these certifications, signed by its AOR, after being contacted by EDA that the IRC has recommended its application as described in Section E.1 of this PWEAA NOFO.

6. EDA's Non-Relocation Policy

Should an application be selected for award, the recipient will be required to adhere to a specific award condition relating to EDA's non-relocation policy as follows:

In signing this award of financial assistance, Recipient(s) attests that EDA funding is not intended by the Recipient to assist its efforts to induce the relocation of existing jobs within the U.S. that are located outside of its jurisdiction to within its jurisdiction in competition with other U.S. jurisdictions for those same jobs. In the event that EDA determines that its assistance was used for those purposes, EDA retains the right to pursue appropriate enforcement action in accord with the Standard Terms and Conditions of the Award, including suspension of disbursements and termination of the award for convenience or material noncompliance, which may include the establishment of a debt requiring the Recipient to reimburse EDA.

For purposes of ensuring that EDA assistance will not be used to merely transfer jobs from one location in the United States to another, each applicant must inform EDA of all employers that constitute primary beneficiaries of the project assisted by EDA. EDA will consider an employer to be a "primary beneficiary" if: (i) the employer is specifically named in the application as benefitting from the project, and the applicant estimates that the employer will create or save 100 or more permanent jobs as a result of the investment assistance (if the jobs in question were originally located in a smaller community, EDA may extend this policy to the relocation of 50 or more jobs); or (ii) the employer is or will be located in an EDA-assisted building, port, facility, or industrial, commercial, or business park constructed or improved in whole or in part with investment assistance prior to EDA's final disbursement of funds.

7. Audit Requirements

Single or program-specific audits shall be performed in accordance with the requirements contained in the Uniform Guidance (see 2 C.F.R. part 200, Subpart F, "Audit Requirements"). The Uniform Guidance requires any non-Federal entity (i.e., non-profit organizations, including non-profit institutions of higher education and hospitals, States, local governments, and Indian Tribes) that expends Federal awards of \$750,000 or more in the recipient's fiscal year to conduct a single or program-specific audit in accordance with the requirements set out in the OMB Uniform Guidance.

8. Implementing the Americans with Disabilities Act (ADA)

The U.S. Department of Justice has issued revised regulations implementing Title II of the ADA (28 C.F.R. Part 35; 75 Fed. Reg. 56,164 (Sep. 15, 2010), as amended by 76 Fed. Reg. 13,285 (Mar. 11, 2011)) and Title III of the ADA (28 C.F.R. Part 36; 75 Fed. Reg. 56,236 (Sep. 15, 2010), as amended by 76 Fed. Reg. 13,286 (Mar. 11, 2011)).

I. Instructions for Application Submission via Grants.gov

Register early and submit early. In order to submit an application through Grants.gov, an applicant must register for a Grants.gov user ID and password. Note that this process can take between three to five business days or as long as four weeks if all steps are not completed correctly. To avoid delays, EDA strongly recommends that applicants start this process as soon as possible before applying. Information about the Grants.gov registration process for organizations can be found at <http://www.grants.gov/web/grants/applicants/organization-registration.html>. Please note that organizations already registered with Grants.gov do not need to re-register; however, all registered organizations must keep their registration for SAM, which includes the CCR database, up-to-date through sam.gov or their applications will not be accepted by Grants.gov.

Authorized Organizational Representative (AOR) requirement. Applicants must register as organizations, not as individuals. As part of the registration process, you will register at least one AOR for your organization. AORs registered at Grants.gov are the only officials with the authority to submit applications at Grants.gov, so please ensure that your organization's application is submitted by an AOR. If the application is submitted by anyone other than your organization's AOR, it will be rejected by the Grants.gov system and cannot be considered by EDA. Note that a given organization may designate multiple individuals as AORs for Grants.gov purposes.

The most up-to-date instructions for application submission via Grants.gov can be found at <https://www.grants.gov/web/grants/applicants/workspace-overview.html>. In order to begin, complete, and submit your application:

- Navigate to <https://www.grants.gov/web/grants/applicants/workspace-overview.html>;
- Click "Get Application Package";
- In "Funding Opportunity Number" field, enter "PWEAA2020";
- Click "Search";
- Under the "Actions" column, click the "Apply" link that corresponds with this opportunity;
- Enter your email address (if you would like to receive updates from Grants.gov regarding this grant opportunity) or check the box that indicates you do not wish to provide it, then click "Submit";
- Choose to apply using Workspace by clicking "Login to Apply Now";
- Follow the instructions provided on the Grants.gov website and on each webpage to complete and submit your application;
- For detailed step-by-step guidance on how to create a workspace, an application, and submit a workspace package, see the Grants.gov Online User Guide. Navigate to www.grants.gov and select "Apply for a Grant with Workspace" located in the banner or see <https://www.grants.gov/help/html/help/index.htm?callingApp=custom#t=Applicants%2FGrantApplications.htm>.

Field limitations and special characters. Please be advised that [Grants.gov](https://www.grants.gov) provides the following notice with respect to form field limitations and special characters:

Are there restrictions on file names for any attachment I include with my application?

Please limit file names to 50 characters and do not use special characters (example: &, -, *, %/, #) in attachment names and application form fields (including periods (.), blank spaces and accent marks) or attach documents with the same name. An underscore (example: my_Attached_File.pdf) may be used to separate a file name. Please note that if these guidelines are not followed, your application may be rejected.

What kind of information can be entered into form fields within my application?

Grants.gov applications offer fields to enter a set amount of data. When the limit is reached for a certain field, you will no longer be able to enter data into that field. For every form, there are different limitations to the data that you are allowed to enter (this varies between agency and form). Refer to the agency instructions available for download with the application for more detail.

Do not use special characters (example: &, -, *, %/, #) within the application form fields including periods (.), blank spaces and accent marks; an underscore may be used. Please note that if these guidelines are not followed, your application may be rejected.

In EDA's experience, use of apostrophes (') in file names and fillable fields of required forms has resulted in application submission issues. Accordingly, please periodically check the status of your application to make sure it has been validated, and use file naming conventions that do not negatively affect your application submission.

If a response exceeds the field limit requirements of any form, including Form ED-900, the applicant is advised to include the response as an attachment to the application. The applicant should check the 'Attachments' box under 'Optional Documents for Submission' in the application, and clearly indicate in the form field that the information is included as an electronic file.

Verify That Your Submission Was Successful. Applicants should save and print written proof of an electronic submission made at Grants.gov. Applicants can expect to receive multiple emails regarding the status of their submission. Since email communication can be unreliable, applicants must proactively check on the status of their application if they do not receive email notifications within a day of submission.

An applicant should expect to receive two initial emails from Grants.gov: the first will confirm receipt of the application, and the second will indicate that the application has either been successfully validated by the system before transmission to EDA or has been rejected due to errors. Applicants should be aware that it may take up to two business days after Grants.gov receives an application for applicants to receive email notification of an error. Applicants will receive a third email once EDA has retrieved their application.

EDA requests applicants refrain from submitting multiple copies of the same application. Applicants should save and print both the confirmation screen provided on the Grants.gov website after the applicant has submitted an application and the confirmation email sent by Grants.gov when the application has been successfully received and validated in the system. If an applicant receives an email from Grants.gov indicating the application was received and subsequently validated, but does not receive an email from Grants.gov indicating that EDA has retrieved the application within 72 hours of that email, the applicant may contact the appropriate EDA representative listed in Section G of this announcement to inquire if EDA is in receipt of the applicant's submission.

It is the applicant's responsibility to verify that its submission was timely received and validated successfully at Grants.gov. To see the date and time your application was received, log on to Grants.gov and click on the "Track My Application" link from the left-hand menu. For a successful submission, the application must be received and validated by Grants.gov, and an agency tracking number assigned. If your application has a status of "Received," it is awaiting validation by Grants.gov and has not yet been received by EDA. Once validation is complete, the status will change to "Validated" or "Rejected with Errors." If the status is "Rejected with Errors," an application has not been received successfully. For more detailed information on why an application may be rejected, please see "Encountering Error Messages" at <https://www.grants.gov/applicants/encountering-error-messages.html> and "Frequently Asked Questions by Applicants" at <https://www.grants.gov/web/grants/applicants/applicant-faqs.html>.

Grants.gov systems issues. If you experience a Grants.gov systems issue (i.e., a technical problem or glitch with the Grants.gov website) that you believe threatens your ability to complete a submission before a deadline, please (i) print any error message received; (ii) call the Grants.gov Contact Center at (800) 518-4726 for immediate assistance; and (iii) contact EDA using the contact information in Section G. of this PWEAA NOFO. Applicants should obtain a case number regarding their communications with Grants.gov. Please note that problems with an applicant's computer system or equipment are **not** considered systems issues. Similarly, an applicant's failure to, e.g., (i) complete the required registration, (ii) ensure that a registered AOR submits the application, or (iii) notice receipt of an email message from Grants.gov are **not** considered systems issues. A Grants.gov systems issue is an issue occurring in connection with the operations of Grants.gov itself, such as the temporary loss of service by Grants.gov due to unexpected volume of traffic or failure of information technology systems, both of which are highly unlikely. In the event of a confirmed systems issue, EDA reserves the right to accept an application in an alternate format.

Applicants should access the following link for assistance in navigating Grants.gov and for a list of useful resources: <http://www.grants.gov/web/grants/support.html>. The following link lists "Frequently Asked Questions by Applicants": <https://www.grants.gov/web/grants/applicants/applicant-faqs.html>. If you do not find an answer to your question there, contact Grants.gov by email at support@grants.gov or telephone at (800) 518-4726. The Grants.gov Contact Center is open 24 hours a day, seven days a week, except on Federal holidays.

Attachment 1. Certifications Regarding Federal Felony and Federal Criminal Tax Convictions, Unpaid Federal Tax Assessments and Delinquent Federal Tax Returns

As discussed in Part I and Part II below, current Federal appropriations law contains certain funding prohibitions and certification requirements applicable to financial assistance awards issued with appropriated funds. Based on these requirements, DOC requires the following certifications from prospective financial assistance award recipients (also referred to below as applicants):

PART I-Certifications from Corporations

None of the appropriated funds made available by relevant appropriations Acts may be used to issue a financial assistance to any corporation that:

- (a) Was convicted of a felony criminal violation under any Federal law within the preceding 24 months, unless a Federal agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government; and/or
- (b) Has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, unless a Federal agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

For purposes of the below certification, a corporation is defined as an entity that has filed articles of incorporation in one of the fifty States, the District of Columbia, or the various territories of the United States or associated independent republics including American Samoa, Federated States of Micronesia, Guam, Midway Islands, Northern Mariana Islands, Puerto Rico, Republic of Palau, Republic of the Marshall Islands, and the U.S. Virgin Islands. (Note that this includes both for-profit and non-profit organizations.)

The below certification is required for all new financial assistance awards and for all amendments to existing financial assistance awards, that are made to corporations (as defined above) and that are funded with appropriated funds made available to the Department of Commerce pursuant to relevant appropriations Acts. This certification is further required to the extent that other appropriation Acts contain the same or substantively similar prohibitions against the issuance of financial assistance awards to certain corporations.

Instructions: All recipients that are corporations (as defined above) must complete paragraphs (1) and (2) below, which must be signed below by an authorized representative of the corporation. Recipients that are not corporations are not required to complete this representation.

- (1) _____ [insert name of corporation] certifies that it is ☐ is not ☐ (check one) a corporation that was convicted of a felony criminal violation under a Federal law within the 24 months preceding the signature date of this Representation.

(2) _____ [insert name of corporation] certifies that it is ☐ is not ☐ (check one) a corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

By: _____
[Typed name and title of the signing individual]
[Typed phone number of the signing individual]
[Typed email address of the signing individual]

Date: _____

PART II-Certifications for Awards Over \$5 Million

For financial assistance awards in excess of \$5 million, the Department of Commerce is required to obtain written certification from all recipients that:

- (a) To the best of its knowledge and belief, the recipient has filed all Federal tax returns required during the three years preceding the certification;
- (b) The recipient has not been convicted of a criminal offense under the Internal Revenue Code of 1986; and/or
- (c) The recipient has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

The below certification is required from all recipients receiving financial assistance awards in excess of \$5 million and funded with applicable appropriations. This certification is further required to the extent that other appropriation acts contain the same or substantively similar prohibitions against the issuance of financial assistance to certain recipients of financial assistance awards in excess of \$5 million.

Instructions: All applicants receiving financial assistance awards in excess of \$5 million and funded with applicable appropriations must complete paragraphs (1), (2) and (3) below, which must be signed below by an authorized representative of the applicant.

(1) _____ [insert name of applicant] certifies that it has ☐ has not ☐ (check one) filed all Federal tax returns required during the three years preceding this certification;

(2) _____ [insert name of applicant] certifies that it has ☐ has not ☐ (check one) been convicted of a criminal offense under the Internal Revenue Code of 1986, as amended; and/or

(3) _____ [insert name of applicant] certifies that it has ☐ has not ☐ (check one) been notified, more than 90 days prior to this certification, of any Federal tax assessment for which liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

By: _____
[Typed name and title of the signing individual]
[Typed phone number of the signing individual]
[Typed email address of the signing individual]

Date: _____

**Addendum to the FY 2020 Public Works and Economic Adjustment Assistance
Notice of Funding Opportunity (FY20 PWEAA NOFO)
for the Economic Development Administration’s (EDA)’s Coronavirus Aid, Relief, and Economic
Security Act (CARES Act) Recovery Assistance**

EDA’s CARES Act Recovery Assistance is an agency effort to assist communities impacted by the coronavirus pandemic.¹ The pandemic has caused, and will continue to cause, economic injury to U.S. regions and communities in devastating and unprecedented ways.

EDA’s CARES Act Recovery Assistance is designed to provide a wide-range of financial assistance to communities and regions as they respond to, and recover from, the impacts of the coronavirus pandemic. Under this announcement, EDA solicits applications under the authority of its Economic Adjustment Assistance (EAA) program, which is intended to be flexible and responsive to the economic development needs and priorities of local and regional stakeholders.

Through the EAA program, EDA provides investments that support a wide range of non-construction and construction activities, including Revolving Loan Funds, in regions experiencing severe economic dislocations, such as those brought about by the coronavirus pandemic. As part of CARES Act Recovery Assistance, EDA will also fund projects that qualify for EAA funding but are similar to the kinds of projects funded under other EDA programs, such as Public Works, Research and National Technical Assistance, Planning, Local Technical Assistance, University Centers, and Build to Scale (formerly Regional Innovation Strategies (RIS)).² In addition to projects with a regional focus, EDA anticipates making awards for projects of national scope as part of this funding opportunity.

In general, except as discussed below, EDA’s CARES Act Recovery Assistance serves as an addendum to and follows the same rules as the FY 2020 Public Works and Economic Adjustment Assistance Notice of Funding Opportunity (FY20 PWEAA NOFO) (link below). For more information, please see EDA’s website at <https://www.eda.gov/programs/eda-programs/> or contact the EDA representative for your region who can be found at <https://www.eda.gov/contact/>.

Funding Availability

The CARES Act (P.L. 116-136) provided EDA with \$1,500,000,000, to remain available until September 30, 2022, to “prevent, prepare for, and respond to coronavirus, ... including for necessary expenses for responding to economic injury as a result of coronavirus.” EDA intends to make these funds available through the EAA program using the existing procedures specified in the FY20 PWEAA NOFO (link below).³ Under the EDA’s CARES Act Recovery Assistance addendum, EDA expects on a case-by-case basis to receive applications that exceed the historical averages described in section B.1 of the FY20 PWEAA NOFO.

¹ Section 23005 of the CARES Act, Pub. L. 116-136 (March 27, 2020), defines “coronavirus” as “SARS-CoV-2 or another coronavirus with pandemic potential.”

² Only applicants who are eligible to receive funding under the Economic Adjustment Assistance program are eligible to receive grants under EDA’s CARES Act Recovery Assistance. EDA will work to ensure that its funding is not duplicative of other Federal assistance through grant conditions and certifications by applicants as well as recipients of RLF loans.

³ To expedite funding to affected communities and regions, EDA may invite existing or past grantees, such as Economic Development Districts currently in receipt of Partnership Planning awards, operators of existing EDA-funded Revolving Loan Funds, and EDA-funded University Centers, to apply using specific procedures. EDA will independently contact such grantees with instructions.

EDA has allocated \$1,467,000,000⁴ in supplemental program funds among its offices as follows:

Atlanta Regional Office – \$248,000,000
 Austin Regional Office – \$236,000,000
 Chicago Regional Office – \$225,000,000
 Denver Regional Office – \$193,000,000
 Philadelphia Regional Office – \$259,000,000
 Seattle Regional Office – \$266,000,000
 Headquarters – \$40,000,000

Note: When appropriate, EDA may exercise its discretion to adjust the allocations to its offices based on its experience in administering the supplemental appropriations to ensure funds are used to maximum effect, or to adjust to unforeseen changes in recovery efforts.

Eligible Applicants

Eligible applicants under the EAA program include a(n):

- a. District Organization;
- b. Indian Tribe or a consortium of Indian Tribes;
- c. State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions;
- d. Institution of higher education or a consortium of institutions of higher education; or
- e. Public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.

EAA Program and Application Requirements for EDA’s CARES Act Recovery Assistance

The following EAA program requirements are specific to EDA’s CARES Act Recovery Assistance. This is an addendum to the FY20 PWEAA NOFO (link below), and unless otherwise stated below, the requirements of the FY20 PWEAA NOFO apply. Please see the FY20 PWEAA NOFO for information on other EAA program requirements, award information, and the content and form of application submissions.

CARES Act Recovery Assistance Projects

Successful applications will enable affected communities to respond to the impacts of the coronavirus pandemic by supporting affected businesses and communities, including by providing technical assistance to retain existing and hire new employees; by providing a range of skills-training and workforce development projects to help employees compete for high-quality jobs; and by developing and implementing strategies to diversify regional economies to create jobs in new or existing industries. EAA investments are designed to help communities catalyze public-private partnerships to foster collaboration, attract investment, create jobs, and foster economic resiliency and prosperity. Examples of projects that may be funded through CARES Act Recovery Assistance include economic recovery planning and preparing technical strategies to address economic dislocations caused by the coronavirus pandemic,

⁴ Congress authorized up to \$30,000,000 to cover EDA’s administrative and oversight activities related to these awards and a transfer of \$3,000,000 to the Department of Commerce’s Office of the Inspector General for related investigations and audits.

preparing or updating resiliency plans to respond to future pandemics, implementing entrepreneurial support programs to diversify economies, and constructing public works and facilities that will support economic recovery, including the deployment of broadband for purposes including supporting telehealth and remote learning for job skills.

Economic Distress Criteria and Special Need; Pandemic Response Requirement

Section C.3. of the FY20 PWEAA NOFO (starting on pg. 13) sets out the economic distress criteria for the EAA program, including “Special Need” criteria. EDA has determined that economic injury from the coronavirus pandemic constitutes a “Special Need,” and eligibility may be established on that basis without reference to the other economic distress criteria specified in the FY20 PWEAA NOFO. This determination of nationwide eligibility for these funds is consistent with the President’s March 13, 2020 [Proclamation on Declaring a National Emergency Concerning the Novel Coronavirus Disease \(COVID-19\) Outbreak](https://www.fema.gov/news-release/2020/03/13/covid-19-emergency-declaration) that established a national emergency for all states, tribes, territories, local governments, and the District of Columbia (<https://www.fema.gov/news-release/2020/03/13/covid-19-emergency-declaration>).

To be eligible for funding under EDA’s CARES Act Recovery Assistance, applicants must explain clearly in their application how the proposed project would “prevent, prepare for, and respond to coronavirus” or respond to “economic injury as a result of coronavirus.” This explanation is required to assist reviewers in understanding how a proposed project aligns with the goals of EDA’s CARES Act Recovery Assistance. For example, if Smith County has experienced significant small business closures as a result of the pandemic, Smith County must demonstrate how the project addresses small business recovery and resilience priorities and objectives established under a Comprehensive Economic Development Strategy (CEDS) or other strategy acceptable to EDA (see below for information on strategy requirements). Projects that consider economic recovery in general must address the direct consequences of the coronavirus pandemic itself and how the project will contribute the community’s recovery and resiliency. **The extent to which a proposed project responds to needs caused by the coronavirus pandemic is one factor that EDA will consider when evaluating applications for funding.**

Cost Sharing or Matching

Section C.2. of the FY20 PWEAA NOFO (starting on pg. 11) sets out the cost sharing requirements for EDA projects. For EDA’s CARES Act Recovery Assistance, given the extent of the economic impact and in accordance with the agency’s statutory authority under Section 703 of the Public Works and Economic Development Act of 1965 (PWEDA) (42 U.S.C. § 3233), EDA generally expects to fund at least 80%, and up to 100%, of eligible project costs. In determining whether to fund a project’s Federal share above 80%, EDA’s Grants Officers in the applicable Regional Office will consider on a case-by-case basis whether the circumstances of the proposed project warrant a Federal share in excess of 80%, including whether the applicant has exhausted its effective taxing or borrowing capacity, the extent of the impact of the coronavirus pandemic on the region, or whether the region meets other thresholds for elevated need based on the relative economic distress of the region. Additionally, EDA may establish a maximum investment rate of up to 100% for projects of Indian Tribes. Any portion of the costs for the EDA scope of work funded below 100% must be borne by the recipient or provided to the recipient by a third party as a contribution for the purposes of and subject to the terms of the award.

The applicant is responsible for demonstrating to EDA that a grant rate above 80% is justified by providing statistics and other appropriate information on the nature and level of economic distress in the

region, including information regarding other recovery-related needs facing the region and the level of resources available to address those needs.

Comprehensive Economic Development Strategy (CEDS) Requirements

Except for a “Strategy Grant” to develop, update, or refine a CEDS, each project must be consistent with the region’s current CEDS or equivalent EDA-accepted regional economic development strategy that meets EDA’s CEDS or strategy requirements. Please see Section A.3. of the FY20 PWEAA NOFO (starting on pg. 5) for more information on CEDS requirements. In addition, in accordance with 13 CFR § 303.7(c)(1), in certain circumstances EDA may accept a non-EDA-funded CEDS that does not contain all the elements EDA requires of a CEDS.⁵

Application Information, Review and Selection Process, and Link to FY20 PWEAA NOFO

Applications are accepted on a rolling basis. Unless otherwise directed by EDA, all applicants for CARES Act Recovery Assistance must follow instructions included within the FY20 PWEAA NOFO, which can be found on:

<https://www.grants.gov/web/grants/view-opportunity.html?oppId=321695>. As necessary, each EDA Regional Office will periodically convene investment review committees (IRCs) that consist of at least three EDA staff members. After EDA determines an application is complete, it will be reviewed by the IRC, which will make a recommendation to the Grants Officer regarding funding.⁶

Along with other controlling law, EDA’s CARES Act Recovery Assistance awards will be governed by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements as set forth in 2 C.F.R. part 200. For additional information, please contact the EDA representative for your region who can be found at <https://www.eda.gov/contact/>. Applicants should note that the Office of Management and Budget (OMB) has provided flexibility with respect to System of Award Management (SAM) registration at the time of application; however, at the time of award, the requirements of 2 C.F.R. § 200.205, *Federal awarding agency review of risk posed by applicants*, will continue to apply, including the requirement for SAM registration. In addition, current registrants in SAM with active registrations that expire before May 16, 2020 will be afforded an automatic one-time extension of 60 days.

Instructions for Applicants:

- Download the FY20 PWEAA Notice of Funding Opportunity (NOFO) and application package from <https://www.grants.gov/web/grants/view-opportunity.html?oppId=321695>.
- In Form ED-900, section B.3.b., include a description of the economic impacts in the region from the coronavirus pandemic and describe how the proposed project scope of work will respond to those impacts and support pandemic recovery and resilience efforts. Applicants may also provide a separate narrative statement, not to exceed one page in length, of the economic impacts in the region from the coronavirus pandemic and how the proposed project scope of work responds to those impacts.
- Additionally, in Form ED-900, section B.6, explain how the proposed project would meet EDA’s Recovery and Resilience investment priority, which all CARES Act Recovery Assistance projects are expected to meet. You may also explain in this space how the proposed projects will meet any of EDA’s other investment priorities.

⁵ In doing so, EDA shall consider the circumstances surrounding the application for Investment Assistance, including emergencies or natural disasters and the fulfillment of the requirements of section 302 of PWEDA.

⁶ See 13 C.F.R. § 302.2 (“When non-statutory EDA administrative or procedural conditions for Investment Assistance awards under PWEDA cannot be met by an Eligible Applicant as the result of a disaster, EDA may waive such conditions.”).

Consultation with Regional Office

As with all EDA funding opportunities, prospective applicants are strongly encouraged to contact their applicable EDA Regional Office representatives to discuss their needs and project plans to help further refine the scope and intended outcomes of proposed projects. Prospective applicants can find current contact information for EDA Regional Office staff at <https://www.eda.gov/contact/>.

Appendix B: Economic Development Assistance Program Guidelines

I. Graduated Rental Assistance

- a. The primary goal of the Graduated Rental Assistance Program is to reduce the burden of rental expenses on new business and to help offset the initial startup costs of the business.
- b. The business must be a for-profit venture. Non-profit and governmental organizations are not eligible for the program.
- c. Grant funds cannot be used to fund rent for any portion of the property use for residential purposes. In such cases, the total rental may be adjusted proportionally to reflect usage of the property.
- d. At no point will MVEDC pay 100% of the rental expenses for any recipient of this program.
- e. The rental assistance is designed to gradually reduce over a period of time and the owner will assume responsibility of the full rental costs at the end of the program.
- f. All disbursements through this program will be made in the form of a reimbursement following the submission of a copy of the check paid to the property owner and/or a recipient from the property owner.
- g. Gradually, the program will span a 12-month time frame with MVEDC reimbursing 75% of the total rent to be paid for four months of the agreement, 50% of the total rent cost to be paid for the second 4 months of the agreement, and 25% of the total rent cost to be paid for the 4 third months of the agreement.
- h. The City Council and the MVEDC Board may approve a modification to the standard rate and duration of the program on a case-by-case basis.
- i. Recipients may receive only one Graduated Rental Assistance Agreement in any 12-month period, and it may be used to assist with only one property during that period.
- j. Total rental amount should not exceed \$2,000 per month and must be comparable to similar rental rates for similar properties in the area of the business property. This will result in a maximum payment of rental assistance in the amount of \$12,000 to the applicant. In cases where the rent may be higher than comparable properties, a different rental rate may be used for the program calculations and agreement. In such case, the MVEDC Director will provide the applicant and the MVEDC Board with evidence used to calculate the comparable rate.
- k. All disbursements through this program will be subject to performance requirements set forth in incentive agreement, including but not limited to a period of time for which the business must remain in operation following the completed disbursement of funds.
- l. MVEDC assumes no liability for the satisfaction of the lease agreement between the property owner and the tenant. This agreement is between the tenant and the MVEDC and will be strictly a reimbursement of the costs required to satisfy the terms of the tenant's lease agreement with the property owner.

II. Existing Business Building Improvement Assistance

- a. The purpose of the Existing Business Structure Assistance Program is to enhance the economic sustainability of the City of Mount Vernon by assisting for-profit business building owners and lessees in business building renovation processes, thereby encouraging increased ad valorem and/or sales tax.
- b. Examples of eligible improvements under this Section include, but are not limited to, mechanical equipment, electrical equipment or upgrades, plumbing equipment or upgrades, grease traps, roofing improvements or modifications, drainage structures and/or cosmetic improvements such as finish-out modifications.
- c. The structure on which the improvements to be made must be at least five years old.
- d. Owners of multiple buildings or structures may submit only one application per fiscal year and may not have existing agreements on multiple properties at any one time.
- e. MVEDC may approve the full amount requested, a portion of the amount requested, or no amount at all.
- f. All grants provided under the program are reimbursements for cash expenditures by the applicant and require the applicant provide a match of at least twice the amount awarded (2:1 match required)
- g. Not-for-profit and governmental organizations are not eligible to receive this funding.
- h. The MVEDC Board has discretion to consider the number of full-time employees of the business when evaluating whether to award the full requested assistance amount, a partial assistance amount, or no amount at all.
- i. All tax obligations must be current.
- j. Property owners must submit Appendix A: Property Owner's Certification if the applicant is a tenant at the property.
- k. The business owner will be required to obtain all necessary city and/or state permits, zoning, inspections, etc....prior to submitting an application for funding.
- l. The structure must be located within the Mount Vernon City limits.
- m. Applicants must have a valid Certificate of Occupancy from the City of Mount Vernon prior to receiving program funding.
- n. All disbursements through this program will be subject to performance requirements set forth in an incentive agreement, including but no limited to a period of time for which the business must remain in operation following the completed disbursement of funding.
- o. For projects that require an expenditure of more than \$5,000, the City Council must adopt an authorizing Resolution the project after giving the Resolution at least two separate readings.

III. Existing Business Equipment Assistance

- a. The purpose of the Existing Business Equipment Assistance Program is to enhance the economic sustainability of the City of Mount Vernon by assisting for-profit business building owners and lessees in acquiring, maintaining or upgrading critical equipment used in normal business operations, thereby encouraging increased ad valorem and/or sales tax.
- b. Examples of eligible equipment under this Section include, but are not limited to, required specialized equipment necessary for the normal operations of business such as: heavy equipment (forklifts, vehicle scales, etc.), medical imaging equipment, health care equipment, etc. Normal business equipment such as phone system, copy machines, fax machines, etc. shall not be eligible.
- c. Equipment must be purchased by the Applicant or business entity; leased equipment does not qualify.
- d. Applicant may only qualify for one grant per fiscal year and may not have existing agreements on multiple properties at any one time.
- e. MVEDC may approve the full amount requested, a portion of the amount requested, or no amount at all.
- f. All grants provided under the program are reimbursements for cash expenditures by the applicant and require the applicant provide a match of at least twice the amount awarded (2:1 match required)
- g. Not-for-profit and governmental organizations are not eligible to receive this funding.
- h. The MVEDC Board has discretion to consider the number of full-time employees of the business when evaluating whether to award the full requested assistance amount, a partial assistance amount, or no amount at all.
- i. All tax obligations must be current.
- j. Property owners must submit Appendix A: Property Owner's Certification if the applicant is a tenant at the property.
- k. The business owner will be required to obtain all necessary city and/or state permits, zoning, inspections, etc....prior to submitting an application for funding.
- l. The business entity must be located within the Mount Vernon City limits.
- m. Applicants must have a valid Certificate of Occupancy from the City of Mount Vernon prior to receiving program funding.
- n. All disbursements through this program will be subject to performance requirements set forth in an incentive agreement, including but not limited to a period of time for which the business must remain in operation following the completed disbursement of funding.
- o. For projects that require an expenditure of more than \$5,000, the City Council must adopt an authorizing Resolution the project after giving the Resolution at least two separate readings.

IV. Job Creation Incentives

- a. Program funding will be available to new and/or expanding businesses meeting the following criteria:
- b. Business must be located in Mount Vernon or Franklin County.
- c. Business must be a for-profit business.
- d. Owners and shareholders do not count as “new hires.”
- e. Jobs must be full-time jobs, 2 hours per week, 52 weeks a year. No part-time employees or combination of part-time employees will be considered.
- f. MVEDC must approve all applications before the business engages new hires.
- g. Business owners or shareholders cannot be employed by the MVEDC or City of Mount Vernon.
- h. Business name on application must be identical to the next listed on all documents required to engage in business, including business receipts.
- i. MVEDC may grant an amount up to \$1,000 per job, with a bonus \$200 for every employee that resides within the city limits and earns in excess of \$10.00 per hour. Number of jobs incentivized would be no more than 20 full-time employees, unless otherwise determined by the MVEDC.
- j. This is a reimbursement only program. Business applicant will be reimbursed ‘per job’ after new hire has completed 12-months employment. Texas Workforce Commission Quarterly reports required as documentation.
- k. New employee hire must result in a net increase in full time employees from the time of application at the end of the 12-month period. Documentation will be required from the business incentive recipient showing number of employees vs. number of employees at the 12-month ‘benchmark’.

RESOLUTION 22-03

A RESOLUTION ADOPTING AN AGREEMENT BETWEEN THE MOUNT VERNON ECONOMIC DEVELOPMENT CORPORATION, MOUNT VERNON CITY COUNCIL, AND ROBIN WALLACE d/b/a SHEERS GIFT AND SALON, AN AGREEMENT TO FUND EXISTING BUSINESS STRUCTURE ASSISTANCE FOR THE PROPERTY LOCATED AT 403 HWY 37 S, MT VERNON, TEXAS.

WHEREAS, the City of Mount vernon is a Type B economic development corporation as adopted by the citizens of Mount Vernon by electioin in 1994;

WHEREAS, the Council of the City of Mount Vernon provides oversight to the Mount Vernon Economic Development Corporation: and

WHEREAS, the City of Mount Vernon desire to assist in enhancement and upgrade with the improvement to commercial property in the community;

WHREEAS, the City of Mount Vernon desire to enter into and approve a funding agreement with Mount Vernon Economic Development Corporation and Robin Wallace, d/b/a Sheers Gift and Salon, located at 403 TX Hwy 37 S, Mt. Vernon, Texas:

Now, therefore BE IT RESOLVED BY THE CITY OF MOUNT VERNON, TEXAS THAT:

The City Council adopts and approve the agreement with Robin Wallas, d/b/a Sheers Gift and Salon, located at 403 TX Hwy 37 S, Mt. Vernon, Texas.

- **The City will make reimbursement payments, in the amount not to exceed \$16,500.00, for Existing Business Structure Assistance Incentive.**

PASSED, APPROVED, AND ADOPTED this the 13th day of June, 2022.

Brad Hyman, Mayor

ATTEST:

Kathy Lovier, City Secretary

ECONOMIC DEVELOPMENT PROGRAM AGREEMENT

This **ECONOMIC DEVELOPMENT PROGRAM AGREEMENT** ("**Agreement**") is entered into by and between the **CITY OF MOUNT VERNON ECONOMIC DEVELOPMENT CORPORATION** and, as required by law approved by the **CITY OF MOUNT VERNON, TEXAS** (collectively the "**City**"), a Type-A general law municipal corporation organized under the laws of the State of Texas, and **ROBIN WALLACE d/b/a SHEERS GIFT AND SALON** (the "**Grantee**"). The City and Grantee are collectively referred to as the "**Parties**".

RECITALS

The City and Grantee hereby agree that the following statements are true and correct and constitute the basis upon which the City and Grantee have entered into this Agreement:

A. Grantee owns or operates an existing building located at 403 Highway 37 Mount Vernon, Texas (the "**Property**"), and plans to make Eligible Improvements (defined below) to the Property. Specifically, Grantee plans to make improvements to the Property as follows: Divinci Teeth Whitening System, Hugo Magnetic Mat System, Hocatt Steam Sauna, 2 Massage beds, Infrared Face Lights, and, a Sauna Blanket, in excess of the amount of fifty thousand dollars (\$50,000.00). The Eligible Improvements will provide a valuable catalyst for development in the City and increased tax revenues to the City. Eligible improvements will be defined herein and in the Application attached as Exhibit "B" (the "**Eligible Improvements**").

B. In order to maximize the economic benefits that the Eligible Improvements can bring to the City, the City and Grantee desire to enter into this Agreement.

C. In accordance with Resolution No. _____, adopted by the City Council on _____, attached hereto as Exhibit "A" and hereby made a part of this Agreement for all purposes, the City has established an Existing Business Structure Assistance Program Guideline in conjunction with an economic development incentive policy and program pursuant to which the City will, on a case-by-case basis, offer economic incentive packages authorized by Article III, Section 52-a of the Texas Constitution, Chapters 501, 502 and 505 of the Texas Local Government Code, and other applicable laws, that include monetary reimbursements and grants of public money up to twenty thousand dollars (\$20,000) for Eligible Improvements on the improvements to existing buildings and structures and made visible to the public to businesses and entities that the City determines will promote state or local economic development and stimulate business and commercial activity in the City (the "**Program**").

D. The City has determined that by entering into this Agreement, the potential economic benefits that will accrue to the City under the terms and conditions of this Agreement are consistent with the City's economic development objectives and the Program and that construction and continuous operation of the Eligible Improvements will further the goals for positive growth in the City. In addition, the City has determined that the Program is an appropriate means to achieve the construction and operation of the Property, which the City has determined are necessary and desirable, and that the potential economic benefits that will accrue to the City pursuant the terms and conditions of this Agreement are consistent with the City's economic development objectives as outlined in the Program. This Agreement is authorized by Article III, Section 52-a of the Texas Constitution and Chapters 501, 502 and 505 of the Texas Local Government Code, and the Program.

NOW, THEREFORE, in consideration of the mutual benefits and promises contained herein and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

AGREEMENT

1. INCORPORATION OF RECITALS.

The City has found at a duly-called and legally-noticed public meeting through the adoption of **City Resolution No. 22-03**, attached hereto as Exhibit "C" and hereby made a part of this Agreement for all purposes, and the City and Grantee hereby agree, that the recitals set forth above are incorporated herein and true and correct and form the basis upon which the Parties have entered into this Agreement.

2. DEFINITIONS.

In addition to terms defined in the body of this Agreement, the following terms shall have the definitions ascribed to them as follows:

Affiliate means all entities, incorporated or otherwise, under common control with, controlled by or controlling Grantee. For purposes of this definition, "control" means fifty percent (50%) or more of the ownership determined by either value or vote.

Certificate of Completion has the meaning ascribed to it in Section 5.1.

Completion Date means the date as of which Eligible Improvements have been completed as required by the City and this Agreement.

Completion Deadline means July 1, 2022.

Construction Costs means construction costs directly expended by Grantee for the Eligible Improvements.

Director means the director of the City's Administrator.

Effective Date has the meaning ascribed to it in Section 3.

Eligible Improvements has the meaning ascribed to it in Recital A and Exhibit "B".

Program has the meaning ascribed to it in Recital C.

Program Grant means the economic development grants paid by the City to Grantee in accordance with this Agreement and as part of the Program.

Program Source Funds means an amount of City funds available for inclusion in the Program Grant that is payable pursuant to this Agreement, which shall not exceed sixteen thousand five hundred dollars (\$16,500.00).

Property has the meaning ascribed to it in the Recital A.

Term has the meaning ascribed to it in Section 3.

3. **TERM.**

This Agreement shall be effective as of the date of execution by the Parties (the "**Effective Date**") and, unless terminated earlier in accordance with this Agreement, shall expire on the Completion Deadline or date as of which the City has paid all Program Grants required, whichever occurs first (the "**Term**").

4. **OBLIGATIONS OF GRANTEE.**

4.1. **Completion Deadline.**

In accordance with the terms and conditions of this Agreement, Grantee has completed the Eligible Improvements on or before the Completion Deadline. Further, the Grantee shall produce receipts and evidence of expenditures on the Eligible Improvements to the Director and receive Program Grants will be equivalent to \$1.00 of Program Grants for every \$2.00 expended, up to the maximum Program Source Funds. The Completion Date for the Eligible Improvements must occur on or before the Completion Deadline.

5. CITY OBLIGATIONS.

5.1. Issuance of Program Grant for Completion of Eligible Improvements

The City will make payment of the Program Source Funds in one lump sum upon receipt and approval by the Director of all receipts and other documents showing expenditures on the Eligible Improvements. All costs must be documented with receipts and invoices and approved by the Director. The Director will issue Grantee a certificate stating the reimbursement allowed for the Eligible Improvements. (The "Certificate of Completion").

5.2. Program Grant.

5.2.1. Deadline for Payments and Source of Funds.

Construction of Eligible Improvements have been completed by the Completion Deadline. Payment of the Program Grant will be made after all work has been satisfactorily completed according to terms of this Agreement and all costs are documented with receipt and/or invoices. It is understood and agreed that all Program Grants paid pursuant to this Agreement shall come from currently available general revenues of the City. Grantee understands and agrees that any revenues of the City other than those dedicated for payment of the Program Grant pursuant to this Agreement may be used by the City for any lawful purpose that the City deems necessary in the carrying out of its business as a Type-A economic development corporation and will not serve as the basis for calculating the amount of any future Program Grant or other obligation to Grantee.

5.2.2 Completed Eligible Improvements

Eligible Improvements completed under the Program shall become permanent fixtures of the building on the Property and shall not be removed or altered for a period of five (5) years without the express written consent of the City.

5.2.3. Recapture

Any default of the Grantee's obligations in this Agreement shall result in the requirement of Grantee to repay to the City the Program Grant.

6. DEFAULT, TERMINATION AND FAILURE BY GRANTEE TO MEET VARIOUS DEADLINES AND COMMITMENTS.

6.1. Failure to Complete Eligible Improvements.

If Grantee fails to make the Eligible Improvements by the Completion Deadline, the City shall have the right to terminate this Agreement by providing written notice to Grantee without further obligation to Grantee hereunder.

6.2 Failure to Pay City Taxes.

An event of default shall occur under this Agreement if any legally-imposed City taxes owed on the Property by Grantee or an Affiliate or arising on account of Grantee or an Affiliate's operations on the Property become delinquent and Grantee or the Affiliate does not either pay such taxes or follow the legal procedures for protest and/or contest of any such taxes. In this event, the City shall notify Grantee in writing and Grantee shall have sixty (60) calendar days to cure such default. If the default has not been fully cured by such time, the City shall have the right to terminate this Agreement immediately by providing written notice to Grantee and shall have all other rights and remedies that may be available to it under the law or in equity.

6.3. Violations of City Code, State or Federal Law.

An event of default shall occur under this Agreement if any written citation is issued to Grantee or an Affiliate due to the occurrence of a violation of a material provision of the City Code on the Property or on or within any improvements thereon (including, without limitation, any violation of the City's Building or Fire Codes and any other City Code violations related to the environmental condition of the Property; the environmental condition of other land or waters which is attributable to operations on the Property; or to matters concerning the public health, safety or welfare) and such citation is not paid or the recipient of such citation does not properly follow the legal procedures for protest and/or contest of any such citation. An event of default shall occur under this Agreement if the City is notified by a governmental agency or unit with appropriate jurisdiction that Grantee or an Affiliate, or any successor in interest thereto, any third party with access to the Property pursuant to the express or implied permission of Grantee or an Affiliate, or any a successor in interest thereto, or the City (on account of the Improvements or the act or omission of any party other than the City on or after the effective date of this Agreement) is in violation of any material state or federal law, rule or regulation on account of the Property, improvements on the Property or any operations thereon (including, without limitation, any violations related to the environmental condition of the Property; the environmental condition of other land or waters which is attributable to operations on the Property; or to matters concerning the public health, safety or welfare). Upon the occurrence of such default, the City shall notify Grantee in writing and Grantee shall have (i) thirty (30) calendar days to cure such default, or such shorter period of time if the City determines there to be an urgent public necessity, or (ii) if Grantee has diligently pursued cure of the default but such default is not reasonably curable within thirty (30) calendar days, then such

amount of time that the City reasonably agrees is necessary to cure such default. If the default has not been fully cured by such time, the City shall have the right to terminate this Agreement immediately by providing written notice to Grantee and shall have all other rights and remedies that may be available to under the law or in equity.

6.4. Knowing Employment of Undocumented Workers.

Grantee acknowledges that effective September 1, 2007, the City is required to comply with Chapter 2264 of the Texas Government Code, enacted by House Bill 1196 (80th Texas Legislature), which relates to restrictions on the use of certain public subsidies. Grantee *hereby certifies that Grantee, and any branches, divisions, or departments of Grantee, does not and will not knowingly employ an undocumented worker, as that term is defined by Section 2264.001(4) of the Texas Government Code. In the event that Grantee, or any branch, division, or department of Grantee, is convicted of a violation under 8 U.S.C. Section 1324a(f) (relating to federal criminal penalties and injunctions for a pattern or practice of employing unauthorized aliens):*

- *if such conviction occurs during the Term of this Agreement, this Agreement shall terminate contemporaneously upon such conviction (subject to any appellate rights that may lawfully be available to and exercised by Grantee) and Grantee shall repay, within one hundred twenty (120) calendar days following receipt of written demand from the City, the aggregate amount of the Program Grants received by Grantee hereunder, if any, plus Simple Interest at a rate of four percent (4%) per annum; or*
- *if such conviction occurs after expiration or termination of this Agreement, subject to any appellate rights that may lawfully be available to and exercised by Grantee, Grantee shall repay, within one hundred twenty (120) calendar days following receipt of written demand from the City, the aggregate amount of the Program Grants received by Grantee hereunder, if any, plus Simple Interest at a rate of four percent (4%) per annum.*

For the purposes of Section 6.4, “Simple Interest” is defined as a rate of interest applied to the aggregate amount of the Program Grants. This Section 6.4 does not apply to convictions of any subsidiary or affiliate entity of Grantee, by any franchisees of Grantee, or by a person or entity with whom Grantee contracts. Notwithstanding anything to the contrary herein, this Section 6.4 shall survive the expiration or termination of this Agreement.

6.5. General Breach.

Unless stated elsewhere in this Agreement, Grantee shall be in default under this Agreement if Grantee breaches any term or condition of this Agreement. In the event that such breach remains uncured after thirty (30) calendar days following receipt of written notice from the City referencing this Agreement (or, if Grantee has diligently and continuously attempted to cure following receipt of such written notice but reasonably requires more than thirty (30) calendar days to cure, then such additional amount of time as is reasonably necessary to effect cure, as determined by both parties mutually and in good faith), the City shall have the right to terminate this Agreement immediately by providing written notice to Grantee.

7. NO INDEPENDENT CONTRACTOR OR AGENCY RELATIONSHIP.

It is expressly understood and agreed that Grantee shall not operate as an independent contractor or as an agent, representative or employee of the City. Grantee shall have the exclusive right to control all details and day-to-day operations relative to the Eligible Improvements, Property and any improvements thereon and shall be solely responsible for the acts and omissions of its officers, agents, servants, employees, contractors, subcontractors, licensees and invitees. Grantee acknowledges that the doctrine of *respondeat superior* will not apply as between the City and Grantee, its officers, agents, servants, employees, contractors, subcontractors, licensees, and invitees. Grantee further agrees that nothing in this Agreement will be construed as the creation of a partnership or joint enterprise between the City and Grantee.

8. INDEMNIFICATION.

GRANTEE, AT NO COST TO THE CITY, AGREES TO DEFEND, INDEMNIFY AND HOLD THE CITY, ITS ELECTED AND APPOINTED OFFICIALS, OFFICERS, ATTORNEYS, AGENTS SERVANTS AND EMPLOYEES, HARMLESS AGAINST ANY AND ALL CLAIMS, LAWSUITS, ACTIONS, COSTS AND EXPENSES OF ANY KIND, INCLUDING, BUT NOT LIMITED TO, THOSE FOR PROPERTY DAMAGE OR LOSS (INCLUDING ALLEGED DAMAGE OR LOSS TO GRANTEE'S BUSINESS AND ANY RESULTING LOST PROFITS) AND/OR PERSONAL INJURY, INCLUDING DEATH, THAT MAY RELATE TO, ARISE OUT OF OR BE OCCASIONED BY (i) GRANTEE BREACH OF ANY OF THE TERMS OR PROVISIONS OF THIS AGREEMENT; OR (ii) ANY ACT OR OMISSION OR INTENTIONAL MISCONDUCT OF GRANTEE, ITS OFFICERS, AGENTS, ASSOCIATES, EMPLOYEES, CONTRACTORS (OTHER THAN THE CITY, OR ITS EMPLOYEES, OFFICERS, AGENTS, ASSOCIATES, CONTRACTORS OR SUBCONTRACTS), OR SUBCONTRACTORS DUE OR RELATED TO OR ARISING FROM THE ELIGIBLE IMPROVEMENTS AND ANY OPERATIONS AND ACTIVITIES ON THE PROPERTY OR OTHERWISE TO THE PERFORMANCE OF THIS AGREEMENT.

9. NOTICES.

All written notices called for or required by this Agreement shall be addressed to the following, or such other party or address as either party designates in writing, by certified mail, postage prepaid, or by hand delivery:

CITY:

City of Mount Vernon

Attn: Economic Development Director
109 North Kaufman
P.O. Box 597
Mount Vernon, Texas 75457

GRANTEE:

Robin Wallace d/b/a Sheers Gift and Salon

Attn: Robin Wallace
403 Highway 37
Mount Vernon, Texas 75457

Mount Vernon Economic Development Corporation

Attn: Economic Development Director
109 North Kaufman
P.O. Box 597
Mount Vernon, Texas 75457

With Copies to (which shall not constitute notice):

Boyle & Lowry, L.L.P.
Attn: L. Stanton Lowry
4201 Wingren Dr., Suite 108
Irving, Texas 75062

10. ASSIGNMENT AND SUCCESSORS.

Grantee may at any time assign, transfer or otherwise convey any of its rights or obligations under this Agreement to an Affiliate without the approval of the City so long as Grantee, the Affiliate and the City first execute an agreement under which the Affiliate agrees to assume and be bound by all covenants and obligations of Grantee under this Agreement. Grantee may also assign its rights and obligations under this agreement to a financial institution or other lender for purposes of granting a security interest in the Improvements and/or Property, provided that such financial institution or other lender first executes a written agreement with the City governing the rights and obligations of

the City, Grantee and the financial institution or other lender with respect to such security interest. Otherwise, Grantee may not assign, transfer or otherwise convey any of its rights or obligations under this Agreement to any other person or entity without the prior consent of the City Council, which consent shall not be unreasonably withheld, conditioned on (i) the prior approval of the assignee or successor and a finding by the City Council that the proposed assignee or successor is financially capable of meeting the terms and conditions of this Agreement and (ii) prior execution by the proposed assignee or successor of a written agreement with the City under which the proposed assignee or successor agrees to assume and be bound by all covenants and obligations of Grantee under this Agreement. Any attempted assignment without the City Council's prior consent shall constitute a breach and be grounds for termination of this Agreement and following receipt of written notice from the City to Grantee. Any lawful assignee or successor in interest of Grantee of all rights under this Agreement shall be deemed "Grantee" for all purposes under this Agreement.

11. COMPLIANCE WITH LAWS, ORDINANCES, RULES AND REGULATIONS.

This Agreement will be subject to all applicable federal, state and local laws, ordinances, rules and regulations, including, but not limited to, all provisions of the City's codes and ordinances, as amended.

12. GOVERNMENTAL POWERS.

It is understood that by execution of this Agreement, the City does not waive or surrender any of its governmental powers or immunities that are outside of the terms, obligations, and conditions of this Agreement.

13. NO WAIVER.

The failure of either party to insist upon the performance of any term or provision of this Agreement or to exercise any right granted hereunder shall not constitute a waiver of that party's right to insist upon appropriate performance or to assert any such right on any future occasion.

14. VENUE AND JURISDICTION.

If any action, whether real or asserted, at law or in equity, arises on the basis of any provision of this Agreement, venue for such action shall lie in state courts located in Franklin County, Texas or the United States District Court for the Eastern District of Texas. This Agreement shall be construed in accordance with the laws of the State of Texas.

15. NO THIRD PARTY RIGHTS.

The provisions and conditions of this Agreement are solely for the benefit of the City and Grantee, and any lawful assign or successor of Grantee, and are not intended to create any rights, contractual or otherwise, to any other person or entity.

16. FORCE MAJEURE.

It is expressly understood and agreed by the Parties to this Agreement that if the performance of any obligations hereunder is delayed by reason of war, civil commotion, acts of God, inclement weather, or other circumstances which are reasonably beyond the control or knowledge of the party obligated or permitted under the terms of this Agreement to do or perform the same, regardless of whether any such circumstance is similar to any of those enumerated or not, the party so obligated or permitted shall be excused from doing or performing the same during such period of delay, so that the time period applicable to such requirement shall be extended for a period of time equal to the period such party was delayed. Notwithstanding anything to the contrary herein, it is specifically understood and agreed that Grantee failure to obtain adequate financing to complete the Eligible Improvements by the Completion Deadline shall not be deemed to be an event of force majeure and that this Section 16 shall not operate to extend the Completion Deadline in such an event.

17. INTERPRETATION.

In the event of any dispute over the meaning or application of any provision of this Agreement, this Agreement shall be interpreted fairly and reasonably, and neither more strongly for or against any party, regardless of the actual drafter of this Agreement.

18. SEVERABILITY CLAUSE. It is hereby declared to be the intention of the Parties that sections, paragraphs, clauses and phrases of this Agreement are severable, and if any phrase, clause, sentence, paragraph or section of this Agreement shall be declared unconstitutional or illegal by the valid judgment or decree of any court of competent jurisdiction, such unconstitutionality or illegality shall not affect any of the remaining phrases, clauses, sentences, paragraphs or sections of this Agreement since the same would have been executed by the Parties without the incorporation in this Agreement of any such unconstitutional phrase, clause, sentence, paragraph or section. It is the intent of the Parties to provide the economic incentives contained in this Agreement by all lawful means.

19. CAPTIONS.

Captions and headings used in this Agreement are for reference purposes only and shall not be deemed a part of this Agreement.

20. ENTIRETY OF AGREEMENT.

This Agreement, including any exhibits attached hereto and any documents incorporated herein by reference, contains the entire understanding and agreement

between the City and Grantee, and any lawful assign and successor of Grantee, as to the matters contained herein. Any prior or contemporaneous oral or written agreement is hereby declared null and void to the extent in conflict with any provision of this Agreement. Notwithstanding anything to the contrary herein, this Agreement shall not be amended unless executed in writing by both parties and approved by the City Council of the City and the Mount Vernon Economic Development Corporation in an open meeting held in accordance with Chapter 551 of the Texas Government Code.

21. COUNTERPARTS.

This Agreement may be executed in multiple counterparts, each of which shall be considered an original, but all of which shall constitute one instrument.

EXECUTED as of the last date indicated below:

CITY OF MOUNT VERNON:

**GRANTEE: ROBIN WALLACE d/b/a
SHEERS GIFTS AND SALON**

By: _____
Tina Rose
City Administrator

By: _____
Robin Wallace

Date: _____

Date: _____

**MOUNT VERNON ECONOMIC
DEVELOPMENT CORPORATION**

By: _____
President
Mount Vernon Economic
Development Corporation

Date: _____

APPROVED AS TO FORM AND LEGALITY:

By: _____
L. Stanton Lowry
City Attorney

EXHIBITS

- “A” – City of Mount Vernon Resolution No. 14-02, establishing the Program**
- “B” – Application**
- “C” – City of Mount Vernon Resolution No. 22-03, approving this Economic Development Program Agreement**

RESOLUTION 2014-02**ESTABLISHING AN ECONOMIC DEVELOPMENT PROGRAM AUTHORIZED BY CHAPTER 380 OF THE TEXAS LOCAL GOVERNMENT CODE.**

WHEREAS, the City of Mt. Vernon (the City") has established an Office of Economic Development to administer programs that further the public purposes of development and diversification of the City's economy the elimination of unemployment and/or underemployment in the City and the development and expansion of commerce in the City and

WHEREAS, Chapter 380 of the Texas Local Government Code authorizes the governing body of a municipality to establish and provide for the administration of one or more programs for making loans and grants of public money and providing personnel and services of the municipality to promote state or local economic development and to stimulate business and commercial activity in the municipality (a Chapter 380 Economic Development Program ') and

WHEREAS, the creation of a Chapter 380 Economic Development Program will benefit the City by increasing the range of incentives that the City can employ to promote the City's committed economic development goals

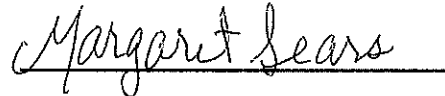
NOW THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF MT. VERNON, TEXAS THAT

1. The City Council hereby establishes a Chapter 380 Economic Development Program to be administered and overseen by the City's Economic Development Office
2. Under the Chapter 380 Economic Development Program the City Council will on a case-by case basis consider but is not required to offer economic incentive packages that include monetary loans, fee waivers, cost participation in necessary public infrastructure, disbursement of hotel/motel occupancy tax for purposes allowed by state law and grants of public money as well as the provision of personnel and services of the City to businesses and entities that the City Council determines will promote state or local economic development and stimulate business and commercial activity in the City.
3. In order for a business or other entity to participate in the Chapter 380 Economic Development Program, the business or entity shall enter into a

binding contract with the City under which (I) the business or entity is required to make specific and verifiable infrastructure, employment and other commitments that serve the public purposes overseen by the City's Economic Development Office and that the City Council deems appropriate under the circumstances and (II) the City is able to cease and/or recapture payment of Chapter 380 incentives or to terminate the contract in the event that the public purposes specified in the contract are not met. The terms and conditions contained in any such contract must be approved by the City Council prior to the execution and delivery of the contract.

4. The Chapter 380 Economic Development Program shall be in addition to existing economic development programs offered to the City.

ADOPTED ON JANUARY 13, 2014



Margaret Sears, Mayor

ATTEST:



Tina Rose, City Secretary



Mount Vernon Economic Development Corporation

109 N. Kaufman St., Mount Vernon, TX 75457

Application for Economic Development Assistance

APPLICATION MUST BE FILLED OUT AND RETURNED BEFORE THE PROJECT STARTS

The following information is requested for all projects seeking economic development assistance from the Mount Vernon Economic Development Corporation (MVEDC). Please fill in all spaces on the application form. If the information requested is not applicable, enter "N/A" in the space. Incomplete applications will not be considered for assistance. Following receipt of the application, MVEDC may require additional information to be submitted to indicate the financial abilities or other factors of the company.

Applicant/Business Name		Business Ownership	
Sheers Gifts and Salon		Robin Wallace	
Business Type Hair Salon / Gift Shop			
Sole Proprietorship <input checked="" type="checkbox"/> Partnership _____ Corporation _____ Other (Please Explain and provide proof)			
Date of Business Establishment		2004	
Mailing Address (Business Headquarters)			
403 Highway 37			
City		State	Zip Code
Mt. Vernon		Texas	75457
Phone Number		Fax Number	
903-588-4778 Robin 903-537-7772 Salon			

Applicant's Representative		Title	
Same			
Mailing Address (if different from above)			
City		State	Zip Code
Phone Number	Fax Number	Email Address	

Additional Authorized Representative		Title	
Mailing Address (if different from above)			
City		State	Zip Code
Phone Number	Fax Number	Email Address	

Project Information

Description of the Project				
Creation of a Spa <small>Type text here</small>				
NAICS Code		NAICS Code Description (if multiple please list all that apply)		
Property Address			Legal Description (attach if necessary)	
Is this Project inside the City limits?			Yes <input checked="" type="checkbox"/> XX	No
Is this Project in the Historic District?			Yes	No <input checked="" type="checkbox"/> XX
If Yes, does this Project require approval from the Landmark Commission?			Yes	No
Date of Landmark Commission Review				
Will this Project generate sales tax?			Yes <input checked="" type="checkbox"/> XX	No
What is your taxpayer ID?			3-2007-1-81335	
Anticipated Total Sales				
Year 1	Year 2	Year 3	Year 4	Year 5
Anticipated Total Taxable Sales (excludes items that are exempt from sales tax)				
Year 1	Year 2	Year 3	Year 4	Year 5

Does this Project create or retain jobs?		Yes <input checked="" type="checkbox"/> No		
Jobs Created (new jobs that did not exist prior to this project)				
Year 1 (Positions/FTEs)	Year 2 (Positions/FTEs)	Year 3 (Positions/FTEs)	Year 4 (Positions/FTEs)	Year 5 (Positions/FTEs)
Average Annual Salary				
Jobs Retained (jobs that would likely be lost without this project)				
Year 1 (Positions/FTEs)	Year 2 (Positions/FTEs)	Year 3 (Positions/FTEs)	Year 4 (Positions/FTEs)	Year 5 (Positions/FTEs)
Average Annual Salary				
Does the applicant own or lease the property associated with this Project?		Own <input checked="" type="checkbox"/> Lease		
Property Owner Information, if leased				
Property Owner Name		Robin Wallace		
Property Owner Phone Number		903-588-4778		
Property Owner Email		jlbranch4@aol.com		
Property Owner Address		403 Highway 37		
City		State	Zip Code	
Mt. Vernon		Texas	75457	
Lease Amount		Period (Annually/Monthly)		

PROOF OF LEASE WILL BE REQUIRED

Current Appraised Value of Property	\$150,000.00
Are all Property Taxes Paid on this Property?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If No, please explain	
Are Improvements being made to the Property?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Estimated Cost of Improvements to be Made	\$50,000.00
Anticipated Construction Start Date	ASAP
Anticipated Construction Completion Date	ASAP
Description of Improvements to be Made	
See Attached	

PLEASE PROVIDE WRITTEN BIDS OR COST ESTIMATES REGARDING ANY PROPOSED IMPROVEMENTS.

Please indicate any of the following that you have consulted on this Project (check as many as apply)			
	Ark-Tex Council of Governments		Franklin County
	Franklin County Chamber of Commerce		Local Workforce Board
	North Texas Community College		Small Business Development Administration
	Texas Workforce Commission		
Other, Please specify below and provide written documentation			

Please indicate the Economic Development Assistance Programs for which you would like to apply (check as many as apply)			
	Graduated Rental Assistance	XX	Existing Business Structure Assistance
	Job Creation/Retention Incentives		Business Recruitment Incentives
	Business Retention Assistance		
Guidelines and Descriptions of each Incentive Are Provided as an Appendix to this Document.			

Attachments That Will Be Required			
	Plat/Map/Elevations of Project		Renderings/Plans for Improvements
	Copies of Required Permits		Business Plan
	Financial Reports for previous years	XX	IRS Reporting
XX	Tax Certificate	XX	Proof of Property Ownership or Lease Agreement
XX	Property Owner's Certification		Receipts for Work Performed Prior to Application Submission
These items must be submitted with the initial application for consideration of the application. Additional documents may be requested as necessary.			

Business Plans may be obtained through the Northeast Small Business Development Center in Mt. Pleasant, Texas. You may contact:

Beverly Austin

Business Development Specialist

Northeast Small Business Development Center

www.northeasttxsbdc.org

903-434-8237 Business

903-305-2277 Cell

903-645-5758 Fax

AFFIRMATION OF APPLICANT(S)

I (We) the undersigned do hereby acknowledge and/or certify, as the case may be, the following:

1. Prior to submission of this application, the included guidelines for all programs have been obtained, reviewed, and clearly understood by the applicant.
2. That the submission of this Application does not create any property, contract, or other legal rights in any person or entity to have the MVEDC provide grant funding.
3. That if grant funding is approved, full compliance will be maintained with all the provisions of the provided guidelines, and/or special provisions attached as a part of the grant, and that failure to do so can be grounds for ineligibility to receive previously approved grant funding and / or sales tax recapture by MVEDC or the City of Mount Vernon.
4. That before application is to be reviewed by the MVEDC, a designee(s) of the MVEDC shall have the right to inspect the business and work to be considered.
5. That the MVEDC reserves unto itself its absolute right of discretion in deciding whether or not to approve a grant relative to this application, whether or not such discretion is deemed arbitrary or without basis in fact.
6. That the laws of the State of Texas shall govern the interpretation, validity, performance, and enforcement of the provided guidelines and this Application. If any provision or provisions of these should be held invalid or unenforceable, the validity and enforceability of the remaining provisions of these shall not be affected thereby.
8. That the information provided in this Application, and all that may have been affixed hereto, is true and correct, and that the MVEDC may rely on all of the information herein contained, and all that may have been affixed hereto, as being true and correct
9. Any criminal activities involving applicant, whether on or off site premises, will render this application and / or contract null and void.

10. Where approved incentives are reimbursement recipient must present paid invoices and/or cancelled checks to vendors. Certificate of Occupancy issued by City of Mount Vernon must be issued before any MVEDC funds are expended.

11. Texas Government Code Section 2264.01 Certification

Company certifies that Company, or a branch, division, or department of Company, does not and will not knowingly employ an undocumented worker. If, after receiving a public subsidy, Company or a branch, division, or department of company is convicted of a violation under 8 U.S. C. Section 1324a(f), Company shall repay in full the amount of the public subsidy paid by MVEDC to Company.

12. The City of Mount Vernon and/or MVEDC may exercise the right to reclaim any incentives should the recipient not fulfill any portion of its stated obligation as outlined in any incentive agreement resulting from this application submission.

13. Making application and complying with specific requirements does not guarantee that requested incentives will be granted by the MVEDC Board or City Council.

I, the undersigned, understand this process may take several months and attendance of several meeting. Filing an application with the City does not guarantee approval from the City Council. The city reserves the right to retain outside consultants to review this application, all data provided, and conduct an independent evaluation. Further, the applicant understands and agrees that this application and all data and communications may be considered a public record pursuant to the Texas Public Information Act."

Signed this 2nd day of October, 2021

Robin Wallace

(Print Authorized Representative Name)

Robin Wallace

(Applicant Signature)

Appendix A: Property Owner's Certification

Property Owner Name	Robin Wallace		
Property Owner Phone Number	903-588-4778		
Property Owner Email	jlbranch4@aol.com		
Property Address	403 Highway 37		
City	State	Zip Code	
Mt. Vernon	TX	75457	
Lease Amount		Period (Annually/Monthly)	

Anticipated Construction Start Date	ASAP
Anticipated Construction Completion Date	ASAP
Description of Improvements to be Made	
<p>See attached documentation.</p>	

I have reviewed all information above and certify that it is true and correct. Further, I certify that I have reviewed and approved all improvements to be made to the property as described above.

Robin Wallace
Owner Signature Robin Wallace

10/2/21
Date

Mount Vernon Overview

City of Mount Vernon, Texas

Existing Debt & Tax Rate Analysis

<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>	<u>9</u>
FYE 30-Sep	Taxable Valuation ⁽¹⁾	Tax Base Growth Rate	Existing Debt Service ⁽²⁾			LESS: Debt Paid from W&S Revenues ⁽³⁾	Existing Debt Service	
			Principal	Interest	Total		Projected Difference to be paid by Debt Tax Levy	Total Projected Debt Service Tax Rate ⁽⁴⁾
2021	\$ 139,325,601	2.89%	\$ 262,154	\$ 84,693	\$ 346,847	\$ (183,493)	\$ 163,354	\$ 0.0891
2022	148,661,580	6.70%	262,154	72,530	334,684	(183,493)	151,191	0.0956
2023	156,094,659	5.00%	262,154	67,253	329,407	(122,329)	207,078	0.1347
2024	162,338,445	4.00%	152,154	62,093	214,247	(122,329)	91,919	0.0575
2025	167,208,599	3.00%	152,154	58,862	211,016	(122,329)	88,687	0.0538
2026	172,224,857	3.00%	152,154	55,759	207,913	(122,329)	85,585	0.0505
2027	177,391,602	3.00%	152,154	52,645	204,799	(122,329)	82,471	0.0472
2028	177,391,602	0.00%	152,154	49,635	201,789	(122,329)	79,460	0.0455
2029	177,391,602	0.00%	152,154	46,385	198,539	(122,329)	76,211	0.0436
2030	177,391,602	0.00%	152,154	43,241	195,395	(122,329)	73,067	0.0418
2031	177,391,602	0.00%	152,154	40,090	192,244	(122,329)	69,915	0.0400
2032	177,391,602	0.00%	157,154	37,014	194,168	(122,329)	71,839	0.0411
2033	177,391,602	0.00%	157,154	33,635	190,789	(122,329)	68,460	0.0392
2034	177,391,602	0.00%	157,154	30,332	187,486	(122,329)	65,157	0.0373
2035	177,391,602	0.00%	157,154	27,025	184,179	(122,329)	61,850	0.0354
2036	177,391,602	0.00%	157,154	23,767	180,921	(122,329)	58,592	0.0335
2037	177,391,602	0.00%	157,154	20,398	177,552	(122,329)	55,224	0.0316
2038	177,391,602	0.00%	157,154	17,077	174,231	(122,329)	51,903	0.0297
2039	177,391,602	0.00%	157,154	13,750	170,904	(122,329)	48,575	0.0278
2040	177,391,602	0.00%	157,154	10,440	167,594	(122,329)	45,265	0.0259
2041	177,391,602	0.00%	162,154	7,078	169,232	(122,329)	46,903	0.0268
2042	177,391,602	0.00%	160,766	3,597	164,363	(122,329)	42,034	0.0241
2043	177,391,602	0.00%	5,000	141	5,141	-	5,141	0.0029
			<u>\$ 3,746,000</u>	<u>\$ 857,440</u>	<u>\$ 4,603,440</u>	<u>\$ (2,813,559)</u>	<u>\$ 1,789,880</u>	

(1) FYE 2021 & 2022 Taxable Valuations are per the City's Franklin County Appraisal District Reports. Future AV Growth as provided.

(2) Includes the City's 2013 CO and 2021 GO Refunding Bonds.

(3) Assumes that \$15 per month charge is reduced to \$10 (33% reduction) effective FYE 2023

(4) Actual debt service tax rates for 2021 and 2022. Assumes collection percentage of 98.5% for all other years.

WS Analysis

City of Mount Vernon, Texas

Water and Sewer Revenue Debt Pro-Forma

1	2	3	4	5	6	7	8	9	10	11	12	13	14
						Potential New Funding Analysis				Tax Rate/Utility Rate Impact			
						December 2022 - 5.00% - 30 Year				Utility Revenue Shortfall to Pay for NEW Debt Service	Assumed 100% I&S Tax Rate Impact ⁽⁴⁾	Monthly Sewer Project Impact Per Connection on 1097	Monthly Water Project Impact Per Connection on 1253
Utility Fund		Projected Operating Income	Existing Debt ⁽³⁾ Repaid by W&S Revenues	Excess Revenues after Existing Debt Service	\$4.5 Mil	\$8 Mil	\$3.3 Mil	15.8 Mil					
FYE	Projected Revenues ⁽¹⁾				Projected Expenses ⁽²⁾	WW Treatment Plant Total D/S	WW Collection System Total D/S	Water Distribution Total D/S	Grand Total D/S				
30-Sep													
2021	\$ 1,428,303	\$ 1,199,363	\$ 228,940	\$ 183,493	\$ 45,447								
2022	1,840,742	1,559,617	281,125	183,493	97,632								
2023	1,757,742	1,559,617	198,125	122,329	75,796								
2024	1,757,742	1,559,617	198,125	122,329	75,796	\$ 306,750	\$ 544,000	\$ 224,250	\$ 1,075,000	\$ (999,204)	\$ 0.6249	\$ 60	\$ 14
2025	1,757,742	1,559,617	198,125	122,329	75,796	308,250	547,750	226,750	1,082,750	(1,006,954)	0.6114	61	14
2026	1,757,742	1,559,617	198,125	122,329	75,796	309,500	546,000	229,000	1,084,500	(1,008,704)	0.5946	61	14
2027	1,757,742	1,559,617	198,125	122,329	75,796	305,500	544,000	226,000	1,075,500	(999,704)	0.5721	60	14
2028	1,757,742	1,559,617	198,125	122,329	75,796	306,500	546,750	228,000	1,081,250	(1,005,454)	0.5754	60	14
2029	1,757,742	1,559,617	198,125	122,329	75,796	307,250	544,000	224,750	1,076,000	(1,000,204)	0.5724	60	14
2030	1,757,742	1,559,617	198,125	122,329	75,796	307,750	546,000	226,500	1,080,250	(1,004,454)	0.5749	60	14
2031	1,757,742	1,559,617	198,125	122,329	75,796	308,000	547,500	228,000	1,083,500	(1,007,704)	0.5767	61	14
2032	1,757,742	1,559,617	198,125	122,329	75,796	308,000	543,500	224,250	1,075,750	(999,954)	0.5723	60	14
2033	1,757,742	1,559,617	198,125	122,329	75,796	307,750	544,250	225,500	1,077,500	(1,001,704)	0.5733	60	14
2034	1,757,742	1,559,617	198,125	122,329	75,796	307,250	544,500	226,500	1,078,250	(1,002,454)	0.5737	60	14
2035	1,757,742	1,559,617	198,125	122,329	75,796	306,500	544,250	227,250	1,078,000	(1,002,204)	0.5736	60	14
2036	1,757,742	1,559,617	198,125	122,329	75,796	310,500	543,500	227,750	1,081,750	(1,005,954)	0.5757	60	14
2037	1,757,742	1,559,617	198,125	122,329	75,796	309,000	547,250	228,000	1,084,250	(1,008,454)	0.5771	61	14
2038	1,757,742	1,559,617	198,125	122,329	75,796	307,250	545,250	228,000	1,080,500	(1,004,704)	0.5750	60	14
2039	1,757,742	1,559,617	198,125	122,329	75,796	310,250	542,750	227,750	1,080,750	(1,004,954)	0.5751	60	14
2040	1,757,742	1,559,617	198,125	122,329	75,796	307,750	544,750	227,250	1,079,750	(1,003,954)	0.5746	60	14
2041	1,757,742	1,559,617	198,125	122,329	75,796	310,000	546,000	226,500	1,082,500	(1,006,704)	0.5761	61	14
2042	1,757,742	1,559,617	198,125	122,329	75,796	306,750	546,500	225,500	1,078,750	(1,002,954)	0.5740	60	14
2043	1,757,742	1,559,617	198,125	-	198,125	308,250	546,250	229,250	1,083,750	(885,625)	0.5069	53	12
2044	1,757,742	1,559,617	198,125	-	198,125	309,250	545,250	227,500	1,082,000	(883,875)	0.5058	53	12
2045	1,757,742	1,559,617	198,125	-	198,125	309,750	543,500	225,500	1,078,750	(880,625)	0.5040	53	12
2046	1,757,742	1,559,617	198,125	-	198,125	309,750	546,000	228,250	1,084,000	(885,875)	0.5070	53	12
2047	1,757,742	1,559,617	198,125	-	198,125	309,250	542,500	225,500	1,077,250	(879,125)	0.5031	53	12
2048	1,757,742	1,559,617	198,125	-	198,125	308,250	543,250	227,500	1,079,000	(880,875)	0.5041	53	12
2049	1,757,742	1,559,617	198,125	-	198,125	306,750	543,000	229,000	1,078,750	(880,625)	0.5040	53	12
2050	1,757,742	1,559,617	198,125	-	198,125	309,750	546,750	225,000	1,081,500	(883,375)	0.5056	53	12
2051	1,757,742	1,559,617	198,125	-	198,125	307,000	544,250	225,750	1,077,000	(878,875)	0.5030	53	12
2052	1,757,742	1,559,617	198,125	-	198,125	308,750	545,750	226,000	1,080,500	(882,375)	0.5050	53	12
2053	1,757,742	1,559,617	198,125	-	198,125	309,750	546,000	225,750	1,081,500	(1,081,500)	0.6190	65	15
					\$ 2,813,559	\$ 9,247,000	\$ 16,351,000	\$ 6,802,500	\$ 32,400,500	\$ (28,979,120)			

(1) FYE 2021 based on City's FYE 2021 Audit. Includes Operating Revenues and Investment Income. FYE 2022 based on FYE 2022 Budget. FYE 2023 and beyond assumes \$83K less rev (reduced monthly charge from \$15.50 to \$10)

(2) FYE 2021 based on 2021 Audit. Includes Operating Expenses except for depreciation expense. FYE 2022 and beyond based on FYE 2022 Budget.

(3) Assumes the lessor of \$183,000 or the actual debt service of the 2021 GO Refunding.

(4) Assumes collection percentage of 98.5%. Based on tax base assumptions as shown on page 1 of analysis.

WARNINGS ISSUED FOR MAY, 2022			
		Number per Officer	
		Warnings	Violations
AC	ANIMAL CONTROL		
MV180	COLIN CLASBY	0	0
CE	CODE COMPLIANCE		
008	MIKE BROWNING	31	37
PD	POLICE DEPARTMENT		
401	THOMAS, LEAH R	0	0
402	KNOX, JASON D	0	0
403	RHOADES, CHRISTOPHER L	0	0
404	MARTIN, TIMOTHY	32	36
405	NEWMAN, DAVID	40	43
406	REDAR, MICHAEL D	0	0
407	RESENDIZ, BARNABY R	5	8
408	(Alternate)	0	0
Grand Totals		108	124

CITATIONS ISSUED FOR MAY, 2022			
		Number per Officer	
		Citations	Violations
AC	ANIMAL CONTROL		
MV180	COLIN CLASBY	1	1
CE	CODE COMPLIANCE		
008	MIKE BROWNING	0	0
PD	POLICE DEPARTMENT		
401	THOMAS, LEAH R	0	0
402	KNOX, JASON D	0	0
403	RHOADES, CHRISTOPHER L	0	0
404	MARTIN, TIMOTHY	12	18
405	NEWMAN, DAVID	7	15
406	REDAR, MICHAEL D	0	0
407	RESENDIZ, BARNABY R	3	9
408	(Alternate)	0	0
SC	SCHOOL		
700	CANNADAY, MAX	3	3
COUNTY, TEXAS HP, & PRIVATE CITIZEN			
Grand Totals		26	46

MOUNT VERNON MUNICIPAL COURT	
MAY, 2022	
TRAFFIC CASES FILED:	
Non-Parking	36
Traffic Parking	0
City Ordinance	0
NON-TRAFFIC CASES FILED:	
Penal Code	1
State Law	5
City Ordinance	4
FINES AND COURT COSTS COLLECTED:	
	\$4,632.00
COMMUNITY SERVICE/JAIL CREDIT:	
	\$1,045.00

MOUNT VERNON MUNICIPAL COURT	
APRIL, 2022	
TRAFFIC CASES FILED:	
Non-Parking	37
Traffic Parking	0
City Ordinance	0
NON-TRAFFIC CASES FILED:	
Penal Code	1
State Law	11
City Ordinance	4
FINES AND COURT COSTS COLLECTED:	
	\$8,382.20
COMMUNITY SERVICE/JAIL CREDIT:	
	\$1,259.10

CITATIONS ISSUED FOR APRIL, 2022			
		Number per Officer	
		Citations	Violations
AC	ANIMAL CONTROL		
MV180	COLIN CLASBY	1	4
CE	CODE COMPLIANCE		
008	MIKE BROWNING	0	0
PD	POLICE DEPARTMENT		
401	THOMAS, LEAH R	0	0
402	KNOX, JASON D	0	0
403	RHOADES, CHRISTOPHER L	0	0
404	MARTIN, TIMOTHY	11	21
405	NEWMAN, DAVID	12	16
406	REDAR, MICHAEL D	0	0
407	RESENDIZ, BARNABY R	0	0
408	(Alternate)	0	0
SC	SCHOOL		
700	CANNADAY, MAX	4	5
COUNTY, TEXAS HP, & PRIVATE CITIZEN			
Grand Totals		28	46

WARNINGS ISSUED FOR APRIL, 2022			
		Number per Officer	
		Warnings	Violations
AC	ANIMAL CONTROL		
MV180	COLIN CLASBY	0	0
CE	CODE COMPLIANCE		
008	MIKE BROWNING	7	12
PD	POLICE DEPARTMENT		
401	THOMAS, LEAH R	0	0
402	KNOX, JASON D	1	1
403	RHOADES, CHRISTOPHER L	0	0
404	MARTIN, TIMOTHY	30	39
405	NEWMAN, DAVID	34	38
406	REDAR, MICHAEL D	0	0
407	RESENDIZ, BARNABY R	3	5
408	(Alternate)	0	0
Grand Totals		75	95

MOUNT VERNON MUNICIPAL COURT	
MARCH, 2022	
TRAFFIC CASES FILED:	
Non-Parking	52
Traffic Parking	0
City Ordinance	0
NON-TRAFFIC CASES FILED:	
Penal Code	1
State Law	5
City Ordinance	0
FINES AND COURT COSTS COLLECTED:	\$6,338.30
COMMUNITY SERVICE/JAIL CREDIT:	\$2,122.00

WARNINGS ISSUED FOR MARCH, 2022			
		Number per Officer	
		Warnings	Violations
AC	ANIMAL CONTROL		
MV180	COLIN CLASBY	0	0
CE	CODE COMPLIANCE		
008	MIKE BROWNING	3	4
PD	POLICE DEPARTMENT		
401	THOMAS, LEAH R	0	0
402	KNOX, JASON D	0	0
403	RHOADES, CHRISTOPHER L	2	2
404	MARTIN, TIMOTHY	54	64
405	NEWMAN, DAVID	24	26
406	REDAR, MICHAEL D	0	0
407	RESENDIZ, BARNABY R	22	27
408	(Alternate)	0	0
Grand Totals		105	123

CITATIONS ISSUED FOR MARCH, 2022			
		Number per Officer	
		Citations	Violations
AC	ANIMAL CONTROL		
MV180	COLIN CLASBY	0	0
CE	CODE COMPLIANCE		
008	MIKE BROWNING	0	0
PD	POLICE DEPARTMENT		
401	THOMAS, LEAH R	0	0
402	KNOX, JASON D	0	0
403	RHOADES, CHRISTOPHER L	1	1
404	MARTIN, TIMOTHY	16	26
405	NEWMAN, DAVID	9	17
406	REDAR, MICHAEL D	0	0
407	RESENDIZ, BARNABY R	4	6
408	(Alternate)	0	0
SC	SCHOOL		
700	CANNADAY, MAX	2	3
COUNTY, TEXAS HP, & PRIVATE CITIZEN			
Grand Totals		32	53