



## **CITY COUNCIL REGULAR SESSION**

**Monday, May 09, 2022 – 6:00 PM**

109 North Kaufman Street, Mount Vernon, Texas 75457

*Our mission: to provide effective and fiscally responsible municipal services in a manner which promotes our high standard of community life.*

*Vision Statement Mount Vernon is a caring community committed to excellence and quality of life, aspiring to be the community of choice for ourselves, our children, and future generations – beautiful, clean, vibrant, and safe. We will strive to preserve our heritage, our friendly hometown atmosphere, and celebrate the diversity of all our citizens.*

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### **AGENDA**

**Call to order and announce a quorum is present.**

#### **Invocation and Pledges**

#### **Consent Agenda**

Items on the Consent Agenda are approved by a single action of the Council, with such approval applicable to all items appearing on the Consent Agenda. A Council Member may request any item to be removed from the Consent Agenda and considered as a separate item.

1. Minutes 4/11/2022  
April 2022 financials  
Historic Preservation Month Proclamation  
Boards 2022  
Utilities bad debt to collection agency

#### **Report on Items of Community Interest**

The City Council will have an opportunity to address items of community interest, including: expressions of thanks, congratulations, or condolence; information regarding holiday schedules; an honorary or salutary recognition of a public official, public employee, or other citizen; a reminder about an upcoming event organized or sponsored by the City of Mount Vernon; information regarding a social, ceremonial, or community event organized or sponsored by an entity other than the City of Mount Vernon that was attended or is scheduled to be attended by a member of the City Council or an official or employee of the City of Mount Vernon; and announcements involving an imminent threat to the public health and safety of people in the City of Mount Vernon that has arisen after posting the agenda.

#### **Citizen Participation (3 minutes)**

The Texas Open Meetings Act prohibits the Council from responding to any comments other than to refer the matter to a future agenda, to an existing policy, or to a staff person with specific information. Claims against the City, Council Members, or employees, as well as individual personnel appeals are not appropriate for citizens' forum.

## **SWEARING IN CEREMONY**

Swear in re-elected Mayor and Council members

### **Items to be Considered:**

2. Consider and Act upon approval of Resolution 22-11 to retain Robert Dransfield with Norton, Rose and Fulbright and L. Stanton Lowry with Boyle & Lowry, as the City's municipal bond counsel.

Join Zoom Meeting

<https://us02web.zoom.us/j/81815533971?pwd=YWRWMGM4eEVQQ09DZEE4SkxTcjZGUT09>

Meeting ID: 818 1553 3971 Passcode: 654909

3. Consider and Act upon Agreement/Contract to retain Nick Bulaich of Hilltop Securities as the City's financial advisor.
4. Consider and Act upon financing options for upgrades to the City's public infrastructure.
5. Consider and Act upon Resolution 22-12 Submitting a Hazard Mitigation Grant Application to the Texas Division of Emergency Management and authorize personnel to act as the City's Executive Officers and authorized representatives in all matters pertaining to the City's Participation in the DR-4485 Hazard Mitigation Grant Program.

### **Discussion Items and Mayor/Council/City Administrator Reports**

Water, Sewer, Streets, Park, Sidewalks, Grants (City Admin)

Council/EDC workshop

### **Executive Session:**

Personnel matters pursuant to *Texas Government Code*, §551.074, to deliberate the appointment, employment, evaluation, reassignment, duties, discipline, dismissal or resignation of a public officer: City Administrator

### **Reconvene:**

Reconvene into Open Session to Consider Matters Discussed in Closed Session (If Any)

### **Presiding Officer to Adjourn the City Council Meeting**

### **Notes to the Agenda:**

Items marked with an \* are consent items considered to be non-controversial and will be voted on in one motion unless a council member asks for separate discussion.

The Council may vote and/or act upon each of the items listed in this Agenda except for discussion-only items.

The Council reserves the right to retire into executive session under Sections 551.071/551.074 – of the Texas Open Meetings Act concerning any of the items listed on this Agenda, whenever it is considered necessary and legally justified under the Open Meetings Act.

Persons with disabilities who plan to attend this meeting and who may need assistance should contact the City Secretary at 903-537-2252 two working days prior to the meeting so that appropriate arrangements can be made.

## CERTIFICATION

I do hereby certify that this Public Meeting Notice was posted on the outside bulletin board, at the front entrance of City Hall located at 109 N Kaufman St., Mount Vernon, Texas, a place convenient and readily accessible to the general public at all times, and said Notice was posted on the following date and time:

**Posted May 6, 2022 by 4:00 p.m. and remained so posted at least 72 hours before said meeting was convened.**

Kathy Lovier, City Secretary

***NOTE:*** The City of Mount Vernon, Texas meets regularly on the second Monday night of each month at 6:00 p.m. The Council follows a printed Agenda for official action. ***Any individual desiring official action should submit his/her request to the office of the City Manager not later than fifteen (15) days prior to the Council Meeting.***

/s/ Kathy Lovier  
Kathy Lovier, City Secretary



## CITY COUNCIL REGULAR SESSION

**Monday, April 11, 2022 – 6:00 PM**

109 North Kaufman Street, Mount Vernon, Texas 75457

*Our mission: to provide effective and fiscally responsible municipal services in a manner which promotes our high standard of community life.*

*Vision Statement Mount Vernon is a caring community committed to excellence and quality of life, aspiring to be the community of choice for ourselves, our children, and future generations – beautiful, clean, vibrant, and safe. We will strive to preserve our heritage, our friendly hometown atmosphere, and celebrate the diversity of all our citizens.*

### MINUTES

**1,073**

Mayor Hyman called the meeting to order at 6:00 p.m. and announced a quorum present.

#### PRESENT

Mayor Brad Hyman  
 Mayor Pro Tem Mark Huddleston  
 Councilwoman Sherelyn Roberson  
 Councilman Harold Cason  
 Councilwoman Rebecca Bailey  
 Councilwoman Mary Keys  
 City Administrator Tina Rose  
 City Secretary Kathy Lovier

VISITORS: Annetta Hamilton, Josh Daily, Jeremy Cox, Tiffany Aguilar, Lillie Bush, Juleigh Lappin, Scott Ragsdale, Trent Rehnquist, Gene Keener

Councilwoman Keys lead the invocation and Mayor Hyman lead the pledges.

#### Consent Agenda

Items on the Consent Agenda are approved by a single action of the Council, with such approval applicable to all items appearing on the Consent Agenda. A Council Member may request any item to be removed from the Consent Agenda and considered as a separate item.

1. Minutes 3/14/2022  
 March 2022 financial report  
 Arbor Day Resolution 4/29/2022

Motion made by Councilwoman Roberson, Seconded by Councilwoman Bailey.

Voting Yea: Mayor Pro Tem Huddleston, Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

## Report on Items of Community Interest

City Administrator Rose reported Enterprise Fleet had provided dinner for Council.

### Citizen Participation (3 minutes)

Julie Ragsdale (CR 2050 Mt. Vernon) spoke regarding the volunteer fire department and her dislike of the way the Council has made decisions regarding the bylaws and stripping her father of his title as Chief. She further stated the Council's actions as being the reason for all volunteers stepping down.

### Presentation

Josh Daily - Franklin County Fire Department stats and information for hybrid Fire Dept. Mr. Daily gave statistic and information on how to make the transition into a combined fire department.

2. Hybrid FD information

### Items to be Considered:

3. Consider and Act upon Resolution 22-10 Center Point Energy rate increase.

Motion made by Councilman Cason, Seconded by Mayor Pro Tem Huddleston.

Voting Yea: Mayor Pro Tem Huddleston, Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

4. Consider and Act upon approval of Interlocal Agreement with Franklin County Tax Assessor Collector for tax collection

Motion made by Councilwoman Keys, Seconded by Councilwoman Bailey.

Voting Yea: Mayor Pro Tem Huddleston, Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

5. Consider and Act upon Franklin County Appraisal District adopted 2022-2023 budget.

Motion made by Councilwoman Roberson, Seconded by Councilman Cason.

Voting Yea: Mayor Pro Tem Huddleston, Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

6. Consider and Act upon Resolution 22-11 submitting a Hazard Mitigation Grant Application to the Texas Division of Emergency Management and authorizing personnel to act as the City's Executive Officers and authorized representatives in all matter pertaining to the City's participation in the DR-4485 Hazard Mitigation Grant Program.

Motion made by Councilwoman Roberson, Seconded by Councilwoman Bailey.

Voting Yea: Mayor Pro Tem Huddleston, Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

### Discussion Items and Mayor/Council/City Administrator Reports

Water, Sewer, Streets, Park, Sidewalks, Grants (City Admin)

City Administrator Rose spoke regarding TxDOT will be beginning a speed study on SH37, the scholarship winners have been selected for the City and EDC - they will be announced at the MVISD banquet in May, the Zubi family (owners of Dukes) have purchase land behind the businesses located on the south service road and

will be pursuing an apartment complex, the Industrial Foundation Board are entering contracts to purchase land south of MVIDS for a housing project, the sewer plant belt press that we tested is \$490k and Tina, Kathy and Annetta will be servicing coffee for the Tour de Cypress on Saturday, April 16th.

#### Main Street

Annetta Hamilton reported approximately 340 people entered the gates for the wine tasting and several hundred others were just attending the booths, shops and restaurants around the downtown area at the Wine Festival on April 2, 2022. Annetta and Kathy helped with the Community Events Committee on April 9, 2022 with Easter in the park. It also was a well attended and successful event.

EDC meeting moved to 4/21/2022

7. Zoning ordinance regarding parking-Trent Rehnquist approached the council with his dislike of having to bring this matter to the council. He referred to the council having given a variance to the past owners of the funeral. He further stated he did not have a copy of the variance nor could he find a copy of it. Mayor Hyman reported that staff had no knowledge of this variance either. Dr Rehnquist wants council to enforce codes as written.

Ms. Aguilar (owner of Mt Vernon Memorial Funeral Home) stated that she has just become the owner and that she was not aware of the issues with Dr Rehnquist and the previous owners. Ms. Aguilar said that she was in the process of trying to make a solution but she did just take over. She further assure the Council that is not her intent to be at odds with her neighbor.

**Executive Session:** Mayor Hyman closed the regular session at 7:37 p.m. and opened the Executive Session: Personnel matters pursuant to *Texas Government Code, §551.074*, to deliberate the appointment, employment, evaluation, reassignment, duties, discipline, dismissal or resignation of a public officer: City Administrator and Mt Vernon Fire Department

**Reconvene:** Mayor Hyman closed the Executive Session at 9:27 p.m. and re-opened the Regular Session: No Action was needed to be taken.

#### Presiding Officer to Adjourn the City Council Meeting

Motion made by Councilwoman Bailey at 9:27 p.m. to close the meeting, Seconded by Councilwoman Roberson. Voting Yea: Mayor Pro Tem Huddleston, Councilwoman Roberson, Councilman Cason, Councilwoman Bailey, Councilwoman Keys

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**Brad Hyman – Mayor**

**ATTEST:**

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**Kathy Lovier – City Secretary**

# City of Mount Vernon

## Proclamation

**WHEREAS,** historic preservation is an effective tool for managing growth and sustainable development, revitalizing neighborhoods, fostering local pride and maintaining community character while enhancing livability; and

**WHEREAS,** historic preservation is relevant for communities across the nation, both urban and rural and for Americans of all ages, all walks of life and all ethnic backgrounds; and

**WHEREAS,** it is important to celebrate the role of history in our lives and the contributions made by dedicated individuals in helping to preserve the tangible aspects of the heritage that has shaped us as people; and

**WHEREAS,** National Preservation Month 2022 is cosponsored by Historic Restorations and the National Trust for Historic Preservation.

**NOW, THEREFORE,** I Brad Hyman, do proclaim May 2022 as

## National Preservation Month

And call upon the people of the City of Mount Vernon, Texas to join their fellow citizens across the United States in recognizing and participating in this special observance.

**IN WITNESS WHEREOF,** I have hereunto set my hand and caused the Seal of the City of Mt. Vernon to be affixed this 9<sup>th</sup> day of May, 2022.

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Mayor, City of Mount Vernon

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<b>REVENUE SUMMARY</b>						
ALL REVENUE	2,443,045	181,671.64	1,732,755.67	0.00	710,289.33	70.93
<b>TOTAL REVENUES</b>	<b>2,443,045</b>	<b>181,671.64</b>	<b>1,732,755.67</b>	<b>0.00</b>	<b>710,289.33</b>	<b>70.93</b>
<b>EXPENDITURE SUMMARY</b>						
100 Administration	441,010	27,613.27	262,030.68	0.00	178,979.32	59.42
110 Maintenance	561,968	42,440.52	271,251.91	0.00	290,716.09	48.27
120 Fire	189,773	7,556.56	114,933.75	0.00	74,839.25	60.56
130 Police	733,437	79,654.87	482,345.77	0.00	251,091.23	65.77
135 Court	62,123	4,367.21	38,228.11	0.00	23,894.89	61.54
140 Sanitation	365,600	29,524.20	219,024.30	0.00	146,575.70	59.91
150 Main Street	93,985	126.49	29,445.41	0.00	64,539.59	31.33
180 Animal Control	32,718	1,293.74	19,678.37	0.00	13,039.63	60.15
190 Parks & Recreation	37,950	1,807.20	27,794.37	0.00	10,155.63	73.24
195 Code Enforcement	87,835	5,560.31	44,831.00	0.00	43,004.00	51.04
530 Due From EDC	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL EXPENDITURES</b>	<b>2,606,399</b>	<b>199,944.37</b>	<b>1,509,563.67</b>	<b>0.00</b>	<b>1,096,835.33</b>	<b>57.92</b>
<b>REVENUE OVER/(UNDER) EXPENDITURES</b>	<b>( 163,354)</b>	<b>( 18,272.73)</b>	<b>223,192.00</b>	<b>0.00</b>	<b>( 386,546.00)</b>	<b>136.63--</b>

<b>05-1000</b>	<b>EDC</b>	<b>\$ 1,480,015.40</b>
<b>07-1000</b>	<b>DEBT SERVICE</b>	<b>\$ 655,577.52</b>
<b>22-1000</b>	<b>CONFISCATED</b>	<b>\$ 2,963.66</b>
<b>23-1000</b>	<b>PARK PROJECT</b>	<b>\$ 5,707.00</b>
<b>25-1000</b>	<b>TxCDBG</b>	<b>\$ 355,638.02</b>



CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

01 -GENERAL FUND  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001	CURRENT AD VALOREM TAX	712,935	8,521.45	684,031.00	0.00	28,904.00 95.95
4002	AD VAL. TAX, DELINQUENT	25,000	372.82	11,149.08	0.00	13,850.92 44.60
4002.001	DEL. TAX ATTORNEY	6,500	144.03	3,151.59	0.00	3,348.41 48.49
4003	AD VALOREM TAX PEN & INT.	13,000	1,043.04	6,729.54	0.00	6,270.46 51.77
4004	LEOSE-POLICE TRAINING	1,100	0.00	0.00	0.00	1,100.00 0.00
4006	TRASH REVENUE (WASTE CONT.)	460,210	41,932.75	302,730.20	0.00	157,479.80 65.78
4007	TRASH BAG SALES REVENUE	800	33.80	371.80	0.00	428.20 46.48
4008	SALES TAX GARBAGE & TRASH	25,000	2,654.17	19,106.39	0.00	5,893.61 76.43
4009	FRANCHISE TAXES	157,000	24,453.03	97,411.46	0.00	59,588.54 62.05
4010	SALES TAX COLLECTIONS	730,000	55,573.35	458,633.84	0.00	271,366.16 62.83
4011	COLLECTION AGENCY	300	48.60	312.23	0.00 (	12.23) 104.08
4012	TEXAS SEATBELT	100	0.00	0.00	0.00	100.00 0.00
4013	COURT COSTS	23,000 (	2,502.54) (	454.51)	0.00	23,454.51 1.98--
4015	COURT FINES	55,000	5,270.19	22,475.82	0.00	32,524.18 40.87
4016	ANIMAL FEES	1,200	180.00	310.00	0.00	890.00 25.83
4017	RETURNED CHECKS	0	0.00	0.00	0.00	0.00 0.00
4018	MISCELLANEOUS	1,500	217.00	19,143.70	0.00 (	17,643.70) 1,276.25
4018.10	RENTAL INSPECTIONS	1,500	325.00	755.00	0.00	745.00 50.33
4018.20	FOOD INSPECTION PERMIT	1,000 (	500.00) (	250.00)	0.00	1,250.00 25.00--
4019	BUILDING PERMITS	25,000	1,374.00	20,971.12	0.00	4,028.88 83.88
4019.A	ELECTRICAL PERMITS	1,200	481.00	1,656.00	0.00 (	456.00) 138.00
4019.B	PLUMBING PERMIT	1,600	120.00	1,082.00	0.00	518.00 67.63
4019.C	MECHANICAL PERMITS	1,500	266.00	603.00	0.00	897.00 40.20
4019.D	FIRE SAFETY INSPECTIONS	0	0.00	0.00	0.00	0.00 0.00
4019.E	ALCOHOL PERMIT	350	0.00	290.00	0.00	60.00 82.86
4020	ZONING FEES	750	250.00	750.00	0.00	0.00 100.00
4021	COUNTY FIRE AGREEMENT	0	0.00	0.00	0.00	0.00 0.00
4022	INTEREST EARNED	7,000	987.66	4,940.85	0.00	2,059.15 70.58
4023	PARK FEES	900	0.00	350.00	0.00	550.00 38.89
4024	PARK/PLAZA DONATIONS	0	0.00	0.00	0.00	0.00 0.00
4025	MIXED BEVERAGE TAXES	5,000	748.29	6,027.56	0.00 (	1,027.56) 120.55
4026	INTERGOVERNMENTAL REVENUE	0	0.00	0.00	0.00	0.00 0.00
4027	GRANT REVENUES-POLICE GRANT	0	0.00	0.00	0.00	0.00 0.00
4028	TRANSFER FROM EDC	30,000	0.00	30,000.00	0.00	0.00 100.00
4029	MAIN STREET	10,000	0.00	800.00	0.00	9,200.00 8.00
4030	EVENTS	0	0.00	0.00	0.00	0.00 0.00
4031	GRANT INCOME	0	0.00	0.00	0.00	0.00 0.00
4032	PEDDLERS PERMIT	400	0.00	0.00	0.00	400.00 0.00
4033	RESALE OF VEHICLES	40,000	39,678.00	39,678.00	0.00	322.00 99.20
4047	ADMINISTRATION FEES	0	0.00	0.00	0.00	0.00 0.00
4050	TRANSFERS FROM EQUIP. FUND	104,200	0.00	0.00	0.00	104,200.00 0.00
4051	TRANSFER IN	0	0.00	0.00	0.00	0.00 0.00
4053	TRANSFER FROM DEBT SERVICE	0	0.00	0.00	0.00	0.00 0.00
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TOTAL REVENUE	2,443,045	181,671.64	1,732,755.67	0.00	710,289.33	70.93

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M100 Administration  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5100.001 WAGES	167,573	14,093.35	103,225.60	0.00	64,347.40	61.60
5100.003 BLDG. REPAIR CITY HALL	17,000	50.00	1,168.69	0.00	15,831.31	6.87
5100.004 FREIGHT/POSTAGE	800	172.96	877.60	0.00 (	77.60)	109.70
5100.005 CAR ALLOWANCE	0	0.00	0.00	0.00	0.00	0.00
5100.006 CONTRACTS JANITOR	4,710	0.00	2,775.00	0.00	1,935.00	58.92
5100.007 DUES & SUBSCRIPTIONS	5,000	0.00	2,472.00	0.00	2,528.00	49.44
5100.008 ELECTION EXPENSE	3,000	0.00	186.79	0.00	2,813.21	6.23
5100.009 SPECIAL PROJECTS	15,000	63.45	17,089.84	0.00 (	2,089.84)	113.93
5100.010 CITY ATTORNEY	15,000	0.00	4,875.00	0.00	10,125.00	32.50
5100.011 OFFICE EQUIPMENT REPAIR	4,000	0.00	13,814.38	0.00 (	9,814.38)	345.36
5100.012 AUDIT/LEGAL	16,000	1,442.81	9,276.07	0.00	6,723.93	57.98
5100.013 OFFICE EQUIP. AGREEMENT	21,000	1,244.03	7,121.85	0.00	13,878.15	33.91
5100.014 COUNCIL FEES	0	0.00	0.00	0.00	0.00	0.00
5100.015 ADVERTISING & NOTICES	1,500	0.00	756.50	0.00	743.50	50.43
5100.020 ENGINEERING FEES	12,000	525.00	3,478.13	0.00	8,521.87	28.98
5100.021 CAPITAL EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5100.022 INTERNET	5,000	429.43	2,654.43	0.00	2,345.57	53.09
5100.023 WEBSITE	8,000	0.00	6,020.96	0.00	1,979.04	75.26
5100.025 UNEMPLOYMENT EXPENSE (TEC)	600	25.68	25.68	0.00	574.32	4.28
5100.026 LIBRARY SERVICES	18,500	1,541.67	10,791.69	0.00	7,708.31	58.33
5100.031 MENTAL HEALTH CLINIC -SERVICES	0	0.00	0.00	0.00	0.00	0.00
5100.032 SOCIAL SECURITY (FICA)	10,389	871.50	6,670.02	0.00	3,718.98	64.20
5100.033 MEDICARE	2,429	203.80	1,559.82	0.00	869.18	64.22
5100.034 TML HEALTH INSURANCE	25,020	1,931.84	14,083.03	0.00	10,936.97	56.29
5100.035 RETIREMENT (TMRS)	17,528	1,362.33	11,442.88	0.00	6,085.12	65.28
5100.037 TELEPHONE	4,000	41.97	1,313.42	0.00	2,686.58	32.84
5100.038 UTILITIES	7,000	359.01	3,438.58	0.00	3,561.42	49.12
5100.039 OVERTIME	0	80.00	96.00	0.00 (	96.00)	0.00
5100.040 IRS PENALTIES	0	0.00	0.00	0.00	0.00	0.00
5100.042 SCHOOL/TRAINING/TRAVEL	5,000	75.00	807.23	0.00	4,192.77	16.14
5100.043 UNIFORMS	100	0.00	0.00	0.00	100.00	0.00
5100.044 SUPPLIES	6,000	995.82	3,343.14	0.00	2,656.86	55.72
5100.045 PROPERTY/LIABILITY INS.	7,000	0.00	1,687.18	0.00	5,312.82	24.10
5100.046 TAX APPRAISAL	20,461	1,705.08	11,935.56	0.00	8,525.44	58.33
5100.047 TAX COLLECTION	8,000	142.53	8,220.51	0.00 (	220.51)	102.76
5100.048 TAX ATTORNEY	7,000	256.01	3,988.85	0.00	3,011.15	56.98
5100.049 WORKERS COMP. INS.	1,500	0.00	1,934.25	0.00 (	434.25)	128.95
5100.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5100.053 LONGEVITY	4,900	0.00	4,900.00	0.00	0.00	100.00
5100.054 REGIONAL LAKE	0	0.00	0.00	0.00	0.00	0.00
5100.055 ACCRUED INTEREST	0	0.00	0.00	0.00	0.00	0.00
5100.056 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
5100.075 TMRS-PENSION COST AUDITORS	0	0.00	0.00	0.00	0.00	0.00
5100.999 PRIOR PERIOD ADJUSTMENTS	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 100 Administration</b>	<b>441,010</b>	<b>27,613.27</b>	<b>262,030.68</b>	<b>0.00</b>	<b>178,979.32</b>	<b>59.42</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M110 Maintenance  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5110.001 WAGES	111,580	7,255.40	57,539.58	0.00	54,040.42	51.57
5110.002 STREET MATERIAL HAULING	0	0.00	0.00	0.00	0.00	0.00
5110.003 BUILDING REPAIR	700	0.00	0.00	0.00	700.00	0.00
5110.004 FREIGHT/POSTAGE	50	0.00	0.00	0.00	50.00	0.00
5110.005 STREET MATERIALS	47,000	2,772.29	1,807.29	0.00	45,192.71	3.85
5110.006 STREET IMPROVEMENTS	32,000	0.00	9,764.50	0.00	22,235.50	30.51
5110.007 STREET REHAB DEBT.	0	0.00	0.00	0.00	0.00	0.00
5110.008 CONTRACT IMPROVEMENTS	50,000	0.00	0.00	0.00	50,000.00	0.00
5110.009 STREET SIGNS	4,000	0.00	787.99	0.00	3,212.01	19.70
5110.011 CONTRACT SWEEPING	5,571	0.00	4,062.80	0.00	1,508.20	72.93
5110.013 SPECIAL PROJECTS	2,000	0.00	160.00	0.00	2,160.00	8.00-
5110.014 EMPLOYEE PHYSICALS/DRUG TEST	400	0.00	442.00	0.00	42.00	110.50
5110.015 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5110.016 ENGINEERING EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5110.017 EQUIPMENT& REPAIRS	15,000	1,230.86	6,031.61	0.00	8,968.39	40.21
5110.018 REPAIR WATER DISTR. SYSTEM	0	2,060.76	2,066.34	0.00	2,066.34	0.00
5110.019 REPAIR SEWER COLL. SYSTEM	0	0.00	0.00	0.00	0.00	0.00
5110.021 CAPITAL OUTLAY	148,700	5,953.00	85,843.99	0.00	62,856.01	57.73
5110.022 PIPE SUPPLIES	0	0.00	0.00	0.00	0.00	0.00
5110.023 DAM SAFETY PLAN & MAINTENANCE	0	0.00	0.00	0.00	0.00	0.00
5110.024 TRANS TO EQUIP FUND	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5110.025 UNEMPLOYMENT EXPENSE (TEC)	900	40.93	423.14	0.00	476.86	47.02
5110.032 SOCIAL SECURITY (FICA)	7,290	481.57	3,780.00	0.00	3,510.00	51.85
5110.033 MEDICARE	1,704	112.62	884.01	0.00	819.99	51.88
5110.034 TML HEALTH INSU	25,020	2,086.47	15,300.78	0.00	9,719.22	61.15
5110.035 RETIREMENT (TMRS)	12,299	893.86	7,411.94	0.00	4,887.06	60.26
5110.036 FUEL (GAS & OIL)	10,000	638.98	7,315.80	0.00	2,684.20	73.16
5110.037 TELEPHONE	3,000	331.30	1,331.94	0.00	1,668.06	44.40
5110.038 UTILITIES	28,000	2,578.87	18,268.10	0.00	9,731.90	65.24
5110.039 OVERTIME	3,000	511.80	1,995.72	0.00	1,004.28	66.52
5110.040 LEASE VEHICLES	24,654	14,261.02	13,686.14	0.00	10,967.86	55.51
5110.042 SCHOOL/TRAINING	500	0.00	0.00	0.00	500.00	0.00
5110.043 UNIFORMS	3,500	603.01	4,989.96	0.00	1,489.96	142.57
5110.044 SUPPLIES	6,500	327.78	3,297.57	0.00	3,202.43	50.73
5110.045 PROPERTY/LIABILITY INS	4,000	0.00	12,653.86	0.00	8,653.86	316.35
5110.049 WORKERS COMP. INS.	8,500	0.00	7,126.85	0.00	1,373.15	83.85
5110.050 TERMINIATION PAY	0	0.00	0.00	0.00	0.00	0.00
5110.053 LONGEVITY	1,500	0.00	1,500.00	0.00	0.00	100.00
5110.056 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 110 Maintenance</b>	<b>561,968</b>	<b>42,440.52</b>	<b>271,251.91</b>	<b>0.00</b>	<b>290,716.09</b>	<b>48.27</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M120 Fire  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5120.003 BUILDING REPAIR	1,000	75.00	1,333.69	0.00 (	333.69)	133.37
5120.004 FREIGHT/POSTAGE	200	0.73	377.11	0.00 (	177.11)	188.56
5120.005 RETIREMENT, FIREMEN	7,000	0.00	2,732.00	0.00	4,268.00	39.03
5120.007 DUES & SUBSCRIPTIONS	1,500	0.00	0.00	0.00	1,500.00	0.00
5120.008 CONTRACTS, FIREMEN	32,000	2,167.53	17,611.14	0.00	14,388.86	55.03
5120.009 SPECIAL PROJECTS	4,000	0.00	145.99	0.00	3,854.01	3.65
5120.010 EQUIPMENT	10,000 (	2,589.30)	3,378.58	0.00	6,621.42	33.79
5120.011 NEW FIRE TRUCK	10,000	0.00	0.00	0.00	10,000.00	0.00
5120.012 FIRE HYDRANTS	0	0.00	0.00	0.00	0.00	0.00
5120.013 EQUIPMENT REPAIR	9,000 (	1,597.89)	2,597.70	0.00	6,402.30	28.86
5120.014 COMPUTER/TECH	250	0.00	0.00	0.00	250.00	0.00
5120.015 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5120.016 EQUIPMENT TESTING	8,000	0.00	2,766.70	0.00	5,233.30	34.58
5120.021 CAPITAL OUTLAY	67,530	0.00	60,777.04	0.00	6,752.96	90.00
5120.024 TRANSFER TO EQUIPMENT FUND	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5120.036 FUEL (GAS & OIL)	4,000	499.46	4,003.55	0.00 (	3.55)	100.09
5120.037 TELEPHONE	400	53.21	388.12	0.00	11.88	97.03
5120.038 UTILITIES	6,000	389.36	2,449.39	0.00	3,550.61	40.82
5120.040 LEASE VEHICLE	8,218	3,070.17	3,755.99	0.00	4,462.01	45.70
5120.042 SCHOOL/TRAINING	1,875	5,116.00	5,116.00	0.00 (	3,241.00)	272.85
5120.043 UNIFORMS	6,000	0.00	0.00	0.00	6,000.00	0.00
5120.044 SUPPLIES	1,200	72.29	444.61	0.00	755.39	37.05
5120.045 PROPERTY/LIABILITY INS.	5,500	0.00	2,530.77	0.00	2,969.23	46.01
5120.049 WORKERS COMP. INS.	1,500	0.00	1,425.37	0.00	74.63	95.02
5120.056 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 120 Fire</b>	<b>189,773</b>	<b>7,556.56</b>	<b>114,933.75</b>	<b>0.00</b>	<b>74,839.25</b>	<b>60.56</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M130 Police  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5130.001 WAGES	302,402	23,861.71	180,871.02	0.00	121,530.98	59.81
5130.002 CERTIFICATE PAY	6,000	207.69	2,838.43	0.00	3,161.57	47.31
5130.004 FREIGHT/POSTAGE	300	65.29	89.34	0.00	210.66	29.78
5130.005 CHIEF DEPUTY (CONTRACT)	0	0.00	0.00	0.00	0.00	0.00
5130.006 DISPATCHER CONTRACT (FR.CO)	112,994	9,416.17	65,913.19	0.00	47,080.81	58.33
5130.007 CHIEF ADMINISTRATOR (CONTRACT)	0	0.00	0.00	0.00	0.00	0.00
5130.009 REQUAL AMMO	4,000	0.00	1,906.00	0.00	2,094.00	47.65
5130.010 EMPLOYEE PHYSICAL	300	150.00	150.00	0.00	150.00	50.00
5130.011 TRANS TO EQUIP FUND	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5130.013 SPECIAL PROJECTS	3,000	0.00	145.99	0.00	2,854.01	4.87
5130.015 DPS FORENSIC ANALYSIS	4,000	0.00	0.00	0.00	4,000.00	0.00
5130.016 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5130.017 REPAIR, EQUIPMENT	26,744	1,977.21	21,742.67	0.00	5,001.33	81.30
5130.018 GRANT EXP. - SAFE-T	0	0.00	0.00	0.00	0.00	0.00
5130.019 LEASE	1,000	0.00	0.00	0.00	1,000.00	0.00
5130.021 CAPITAL EXPENSE	13,750	0.00	15,894.10	0.00	(2,144.10)	115.59
5130.024 POLICE (ADMIN. CONTRACT)	21,230	1,769.16	12,384.12	0.00	8,845.88	58.33
5130.025 UNEMPLOYMENT EXPENSE (TEC)	2,100	63.00	542.81	0.00	1,557.19	25.85
5130.029 COMPUTER/TECH/LICENSE	15,000	0.00	11,152.00	0.00	3,848.00	74.35
5130.030 SANE EXAMS	1,000	0.00	0.00	0.00	1,000.00	0.00
5130.032 SOCIAL SECURITY (FICA)	18,749	1,727.74	12,973.47	0.00	5,775.53	69.20
5130.033 MEDICARE	4,385	404.08	3,034.09	0.00	1,350.91	69.19
5130.034 TML HEALTH INSURANCE	58,380	4,868.43	37,575.72	0.00	20,804.28	64.36
5130.035 RETIREMENT (TMRS)	31,631	2,916.47	23,221.55	0.00	8,409.45	73.41
5130.036 FUEL (GAS & OIL)	20,000	3,057.60	18,130.03	0.00	1,869.97	90.65
5130.037 TELEPHONE	3,000	0.00	2,354.94	0.00	645.06	78.50
5130.039 OVERTIME	20,000	2,282.81	20,696.46	0.00	(696.46)	103.48
5130.040 LEASE VEHICLES	32,872	23,847.88	17,063.88	0.00	15,808.12	51.91
5130.042 TRAINING/SCHOOL/TRAVEL	4,000	656.53	2,071.70	0.00	1,928.30	51.79
5130.043 UNIFORMS - POLICE	2,500	0.00	3,971.31	0.00	(1,471.31)	158.85
5130.044 SUPPLIES	3,000	2,083.10	5,060.95	0.00	(2,060.95)	168.70
5130.045 PROPERTY/LIABILITY INS.	8,000	0.00	18,462.00	0.00	(10,462.00)	230.78
5130.049 WORKERS COMP. INS.	7,500	0.00	0.00	0.00	7,500.00	0.00
5130.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5130.053 LONGEVITY	1,000	0.00	1,000.00	0.00	0.00	100.00
5130.054 INTERGOVERNMENTAL	0	0.00	0.00	0.00	0.00	0.00
5130.055 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
5130.056 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 130 Police</b>	<b>733,437</b>	<b>79,654.87</b>	<b>482,345.77</b>	<b>0.00</b>	<b>251,091.23</b>	<b>65.77</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M135 Court  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5135.001 WAGES	35,120	2,640.00	19,917.16	0.00	15,202.84	56.71
5135.002 MUNICIPAL JUDGE (CONTRACT)	0	0.00	2,316.67	0.00	( 2,316.67)	0.00
5135.003 CERTIFICATE PAY	600	23.08	323.12	0.00	276.88	53.85
5135.004 POSTAGE	300	81.28	187.40	0.00	112.60	62.47
5135.005 STATE COURT COST	0	0.00	0.00	0.00	0.00	0.00
5135.006 WARRANT/FINES COLLECTION	250	0.00	( 188.00)	0.00	438.00	75.20
5135.007 APPEARANCE BOND	0	0.00	0.00	0.00	0.00	0.00
5135.008 JURY PAYMENTS	250	0.00	0.00	0.00	250.00	0.00
5135.009 SPECIAL PROJECTS	1,000	0.00	0.00	0.00	1,000.00	0.00
5135.010 PROSECUTING ATTORNEY	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5135.015 AUDIT	550	0.00	550.00	0.00	0.00	100.00
5135.025 UNEMPLOYMENT EXPENSE (TEC)	300	7.92	7.92	0.00	292.08	2.64
5135.029 COMPUTER MAINTENANCE/TECH	1,200	99.95	1,906.42	0.00	( 706.42)	158.87
5135.032 SOCIAL SECURITY (FICA)	2,134	165.11	1,298.28	0.00	835.72	60.84
5135.033 MEDICARE	499	38.62	303.71	0.00	195.29	60.86
5135.034 TML HEALTH INSU.	8,340	695.49	5,563.92	0.00	2,776.08	66.71
5135.035 RETIREMENT (TMRS)	3,600	276.14	2,290.31	0.00	1,309.69	63.62
5135.037 TELEPHONE	480	39.62	279.38	0.00	200.62	58.20
5135.042 SCHOOL/TRAINING	1,400	0.00	50.00	0.00	1,350.00	3.57
5135.044 SUPPLIES	900	0.00	621.82	0.00	278.18	69.09
5135.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5135.053 LONGEVITY	600	0.00	700.00	0.00	( 100.00)	116.67
5135.054 TRANSFER TO CHILD SAFETY FUND	1,000	0.00	0.00	0.00	1,000.00	0.00
<b>TOTAL 135 Court</b>	<b>62,123</b>	<b>4,367.21</b>	<b>38,228.11</b>	<b>0.00</b>	<b>23,894.89</b>	<b>61.54</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M140 Sanitation  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5140.002 SALES TAX - TRASH BAGS	100	6.94	28.38	0.00	71.62	28.38
5140.003 SALES TAX - TRASH	25,000	2,645.64	17,923.61	0.00	7,076.39	71.69
5140.004 POSTAGE	0	0.00	0.00	0.00	0.00	0.00
5140.005 TRASH BAG PURCHASE	0	0.00	0.00	0.00	0.00	0.00
5140.007 WASTE CONTRACT	340,000	26,909.45	200,655.34	0.00	139,344.66	59.02
5140.041 BAD DEBTS	500	( 37.83)	416.97	0.00	83.03	83.39
<b>TOTAL 140 Sanitation</b>	<b>365,600</b>	<b>29,524.20</b>	<b>219,024.30</b>	<b>0.00</b>	<b>146,575.70</b>	<b>59.91</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M150 Main Street  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5150.001 WAGES	35,000	2,647.38	2,647.38	0.00	32,352.62	7.56
5150.003 PROMOTIONAL	8,000	0.00	3,000.00	0.00	5,000.00	37.50
5150.004 POSTAGE	0	0.00	0.00	0.00	0.00	0.00
5150.005 DUES/SUBSCRIPTIONS	1,500	0.00	573.25	0.00	926.75	38.22
5150.006 COMPUTER/TECH	1,800	143.93	1,546.32	0.00	253.68	85.91
5150.007 FACADE GRANT	21,000	0.00	20,000.00	0.00	1,000.00	95.24
5150.008 MAIN STREET EVENTS	5,000 (	3,960.00) (	1,214.05)	0.00	6,214.05	24.28-
5150.009 SPECIAL PROJECTS	1,000	0.00	390.00	0.00	610.00	39.00
5150.025 UNEMPLOYMENT EXP (TEC)	300	0.00	0.00	0.00	300.00	0.00
5150.032 SOCIAL SECURITY (FICA)	2,176	164.13	164.13	0.00	2,011.87	7.54
5150.033 MEDICARE	508	38.38	38.38	0.00	469.62	7.56
5150.034 TML INSURANCE	8,340	695.49	1,390.98	0.00	6,949.02	16.68
5150.035 RETIREMENT (TMRS)	3,661	276.92	276.92	0.00	3,384.08	7.56
5150.037 TELEPHONE	1,000	39.62	279.38	0.00	720.62	27.94
5150.039 OVERTIME	0	0.00	0.00	0.00	0.00	0.00
5150.042 SCHOOL/TRAINING/TRAVEL	4,000	0.00	0.00	0.00	4,000.00	0.00
5150.044 SUPPLIES	700	80.64	352.72	0.00	347.28	50.39
5150.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 150 Main Street</b>	<b>93,985</b>	<b>126.49</b>	<b>29,445.41</b>	<b>0.00</b>	<b>64,539.59</b>	<b>31.33</b>



CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M180 Animal Control  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5180.001 ANIMAL CONTROL WAGES	0	0.00	0.00	0.00	0.00	0.00
5180.003 BUILDING REPAIR	800	0.00	0.00	0.00	800.00	0.00
5180.007 COMPUTER/TECH	700	0.00	0.00	0.00	700.00	0.00
5180.009 SPECIAL PROJECTS	500	54.11	54.11	0.00	445.89	10.82
5180.010 EQUIPMENT FUND	500	0.00	604.87	0.00	104.87	120.97
5180.015 ANIMAL DISPOSAL	500	0.00	201.59	0.00	298.41	40.32
5180.016 VET SERVICES	2,400	0.00	1,427.11	0.00	972.89	59.46
5180.018 ANIMAL IMPOUNDMENT	1,200	0.00	207.31	0.00	992.69	17.28
5180.019 AUDIT	550	0.00	550.00	0.00	0.00	100.00
5180.020 VEHICLE REPAIRS	500	17.00	119.00	0.00	381.00	23.80
5180.021 CAPITAL EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5180.024 TRANS TO EQUIP FUND	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5180.025 UNEMPLOYMENT EXPENSE (TEC)	0	0.00	0.00	0.00	0.00	0.00
5180.032 SOCIAL SECURITY EXPENSE (FICA)	0	3.54	54.01	0.00	54.01	0.00
5180.033 MEDICARE EXPENSE	0	0.82	12.63	0.00	12.63	0.00
5180.034 TML HEALTH INSU.	0	0.00	0.00	0.00	0.00	0.00
5180.035 RETIREMENT (TMRS)	0	0.00	0.00	0.00	0.00	0.00
5180.036 FUEL (GAS & OIL)	3,000	154.34	1,165.97	0.00	1,834.03	38.87
5180.037 TELEPHONE	500	0.00	230.19	0.00	269.81	46.04
5180.038 EMPLOYEE PHYSICAL/DRUG TEST	0	0.00	0.00	0.00	0.00	0.00
5180.039 OVERTIME	2,000	57.00	872.15	0.00	1,127.85	43.61
5180.040 LEASE VEHICLES	8,218	604.87	3,577.41	0.00	4,640.59	43.53
5180.041 UTILITIES	2,000	73.54	433.60	0.00	1,566.40	21.68
5180.042 TRAVEL/TRAINING/SCHOOLING	2,000	0.00	0.00	0.00	2,000.00	0.00
5180.043 UNIFORMS	300	19.17	185.30	0.00	114.70	61.77
5180.044 SUPPLIES	1,000	9.35	630.19	0.00	369.81	63.02
5180.045 PROPERTY/LIABILITY INS.	650	0.00	4,639.75	0.00	3,989.75	713.81
5180.049 WORKERS COMP. INS.	1,800	0.00	2,613.18	0.00	813.18	145.18
5180.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5180.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
5180.055 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
5180.056 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 180 Animal Control</b>	<b>32,718</b>	<b>1,293.74</b>	<b>19,678.37</b>	<b>0.00</b>	<b>13,039.63</b>	<b>60.15</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M190 Parks & Recreation  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5190.001 WAGES	0	0.00	0.00	0.00	0.00	0.00
5190.002 ENGINEERING	0	0.00	0.00	0.00	0.00	0.00
5190.003 REPAIRS & MAINTENANCE	10,000	0.00	379.61	0.00	9,620.39	3.80
5190.008 MOWING	0	0.00	0.00	0.00	0.00	0.00
5190.009 SPECIAL PROJECTS	15,000	0.00	9,750.00	0.00	5,250.00	65.00
5190.010 CONTRACT PLAZA MAINTENANCE	1,800	700.00	8,956.00	0.00 (	7,156.00)	497.56
5190.012 CHEMICALS	700	0.00	125.00	0.00	575.00	17.86
5190.013 EQUIPMENT REPAIR	800	663.82	2,565.61	0.00 (	1,765.61)	320.70
5190.015 AUDIT	0	0.00	0.00	0.00	0.00	0.00
5190.021 CAPITAL OUTLAY	0	0.00	0.00	0.00	0.00	0.00
5190.024 TRANS TO EQUIP FUND	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5190.025 UNEMPLOYMENT EXPENSE (TEC)	0	0.00	0.00	0.00	0.00	0.00
5190.032 SOCIAL SECURITY EXPENSE (FICA)	0	0.00	0.00	0.00	0.00	0.00
5190.033 MEDICARE	0	0.00	0.00	0.00	0.00	0.00
5190.036 FUEL (GAS & OIL)	400	0.00	0.00	0.00	400.00	0.00
5190.037 TELEPHONE	0	0.00	227.94	0.00 (	227.94)	0.00
5190.038 UTILITIES	1,700	143.38	1,430.21	0.00	269.79	84.13
5190.039 PARK OVERTIME	0	0.00	0.00	0.00	0.00	0.00
5190.042 SCHOOL/TRAINING/TRAVEL	0	0.00	0.00	0.00	0.00	0.00
5190.043 UNIFORMS	0	0.00	0.00	0.00	0.00	0.00
5190.044 SUPPLIES	1,200	0.00	281.92	0.00	918.08	23.49
5190.045 PROPERTY/LIABILITY INS.	2,500	0.00	1,265.39	0.00	1,234.61	50.62
5190.046 EQUIPMENT LEASE	0	0.00	0.00	0.00	0.00	0.00
5190.049 WORKERS COMP. INS.	250	0.00	712.69	0.00 (	462.69)	285.08
5190.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5190.055 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 190 Parks &amp; Recreation</b>	<b>37,950</b>	<b>1,807.20</b>	<b>27,794.37</b>	<b>0.00</b>	<b>10,155.63</b>	<b>73.24</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 -GENERAL FUND  
 DEPARTMENT -M195 Code Enforcement  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5195.001 CODE ENFORCEMENT OFFICIAL	0	0.00	0.00	0.00	0.00	0.00
5195.002 BUILDING OFFICIAL	48,780	3,387.69	25,344.85	0.00	23,435.15	51.96
5195.004 FREIGHT/POSTAGE	200	18.46	69.07	0.00	130.93	34.54
5195.007 DUES & SUBSCRIPTIONS	250	0.00	94.05	0.00	155.95	37.62
5195.008 INSPECTION FEES	0	0.00	75.00	0.00	75.00	0.00
5195.009 SPECIAL PROJECTS	200	0.00	0.00	0.00	200.00	0.00
5195.010 EMPLOYEE PHYSICAL	0	0.00	0.00	0.00	0.00	0.00
5195.014 DEMOLITION	4,000	0.00	0.00	0.00	4,000.00	0.00
5195.015 ADVERTISING	100	0.00	0.00	0.00	100.00	0.00
5195.016 COMPUTER/TECH	300	0.00	195.98	0.00	104.02	65.33
5195.017 EQUIPMENT REPAIRS & PURCHASE	500	28.58	130.58	0.00	369.42	26.12
5195.018 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5195.021 CAPITAL OUTLAY	0	0.00	0.00	0.00	0.00	0.00
5195.024 TRANSFER TO EQUIP FUND	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5195.025 UNEMPLOYMENT EXPENSE (TEC)	300	9.00	9.00	0.00	291.00	3.00
5195.032 SOCIAL SECURITY EXPENSE (FICA)	2,714	208.15	1,621.83	0.00	1,092.17	59.76
5195.033 MEDICARE	634	48.68	379.31	0.00	254.69	59.83
5195.034 TML HEALTH INSURANCE	8,340	695.49	5,563.92	0.00	2,776.08	66.71
5195.035 RETIREMENT (TMRS)	4,579	357.25	2,953.90	0.00	1,625.10	64.51
5195.036 FUEL (GAS & OIL)	1,000	0.00	574.16	0.00	425.84	57.42
5195.037 TELEPHONE	720	27.69	387.66	0.00	332.34	53.84
5195.039 OVERTIME	0	0.00	63.00	0.00	63.00	0.00
5195.040 LEASE VEHICLES	8,218	462.87	3,240.09	0.00	4,977.91	39.43
5195.042 SCHOOL/TRAINING/TRAVEL	500	0.00	0.00	0.00	500.00	0.00
5195.043 UNIFORMS	600	0.00	163.67	0.00	436.33	27.28
5195.044 SUPPLIES	500	16.45	64.93	0.00	435.07	12.99
5195.045 PROPERTY/LIABILITY INS.	0	0.00	0.00	0.00	0.00	0.00
5195.049 WORKERS COMP. INS.	0	0.00	0.00	0.00	0.00	0.00
5195.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5195.053 LONGEVITY	800	0.00	800.00	0.00	0.00	100.00
<b>TOTAL 195 Code Enforcement</b>	<b>87,835</b>	<b>5,560.31</b>	<b>44,831.00</b>	<b>0.00</b>	<b>43,004.00</b>	<b>51.04</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

01 --GENERAL FUND  
 DEPARTMENT --M530 Due From EDC  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5530.001 DUE FROM EDC	0	0.00	0.00	0.00	0.00	0.00
5530.032 FICA- DUE FROM EDC	0	0.00	0.00	0.00	0.00	0.00
5530.033 MEDICARE - DUE FROM EDC	0	0.00	0.00	0.00	0.00	0.00
5530.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
TOTAL 530 Due From EDC	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	2,606,399	199,944.37	1,509,563.67	0.00	1,096,835.33	57.92
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REVENUE OVER/(UNDER) EXPENDITURES	( 163,354)	( 18,272.73)	223,192.00	0.00	( 386,546.00)	136.63-

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

02 -UTILITY FUND  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	<u>1,840,742</u>	<u>136,438.29</u>	<u>955,082.61</u>	<u>0.00</u>	<u>885,659.39</u>	<u>51.89</u>
TOTAL REVENUES	1,840,742	136,438.29	955,082.61	0.00	885,659.39	51.89
<u>EXPENDITURE SUMMARY</u>						
140 Public Works	0	0.00	1.50	0.00	( 1.50)	0.00
150 Storm Water	44,100	0.00	47.02	0.00	44,052.98	0.11
160 Water	697,620	57,296.99	377,158.81	0.00	320,461.19	54.06
170 Sewer	817,897	37,071.04	298,404.14	0.00	519,492.86	36.48
505 Depreciation	<u>0</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
TOTAL EXPENDITURES	1,559,617	94,368.03	675,611.47	0.00	884,005.53	43.32
REVENUE OVER/(UNDER) EXPENDITURES	281,125	42,070.26	279,471.14	0.00	1,653.86	99.41

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

02 -UTILITY FUND  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4000 DISBURSEMENT UTILITIES	0	0.00	0.00	0.00	0.00	0.00
4001 WATER REVENUE	777,192	53,558.39	377,073.67	0.00	400,118.33	48.52
4002 SEWER REVENUE	651,600	54,260.42	372,831.69	0.00	278,768.31	57.22
4003 PENALTIES	20,000	2,313.07	17,280.76	0.00	2,719.24	86.40
4004 TAP FEES	10,000	1,200.00	13,200.00	0.00	3,200.00	132.00
4005 MISCELLANEOUS REVENUE	0	0.00	0.00	0.00	0.00	0.00
4006 TRANSFER FEE	250	0.00	60.00	0.00	190.00	24.00
4007 CASH OVER/SHORT	0	0.00	0.00	0.00	0.00	0.00
4008 BULK WATER REVENUE	5,000	0.00	625.00	0.00	4,375.00	12.50
4009 RETURN CHECK FEE REVENUE	400	0.00	125.00	0.00	275.00	31.25
4010 RECONNECT FEE REVENUE	9,000	730.00	3,920.00	0.00	5,080.00	43.56
4011 MISC. WATER & SEWER REVENUE	800	180.00	483.30	0.00	316.70	60.41
4012 BULK SEWER	3,500	520.00	2,440.00	0.00	1,060.00	69.71
4015 STORMWATER REVENUE	52,000	4,419.00	30,735.00	0.00	21,265.00	59.11
4016 2012 C.O-FNB-ASSESSMENT FEE	215,000	18,296.00	127,138.39	0.00	87,861.61	59.13
4022 INTEREST EARNED REVENUE	26,000	961.41	9,169.80	0.00	16,830.20	35.27
4033 RESALE OF VEHICLES	0	0.00	0.00	0.00	0.00	0.00
4040 TRANSFER FROM EDC	70,000	0.00	0.00	0.00	70,000.00	0.00
4044 TDA GRANT PROCEED	0	0.00	0.00	0.00	0.00	0.00
4999 TRANSFERS IN	0	0.00	0.00	0.00	0.00	0.00
4999.001 TRANSFER IN SH-37	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL REVENUE</b>	<b>1,840,742</b>	<b>136,438.29</b>	<b>955,082.61</b>	<b>0.00</b>	<b>885,659.39</b>	<b>51.89</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

02 -UTILITY FUND  
 DEPARTMENT -M140 Public Works  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5140.001 DIRECTOR OF PUBLIC WORKS WAGES	0	0.00	0.00	0.00	0.00	0.00
5140.002 CERTIFICATE/LICENSE PAY	0	0.00	0.00	0.00	0.00	0.00
5140.007 COMPUTER/TECH	0	0.00	0.00	0.00	0.00	0.00
5140.009 SPECIAL PROJECTS	0	0.00	0.00	0.00	0.00	0.00
5140.020 VEHICLE REPAIRS	0	0.00	0.00	0.00	0.00	0.00
5140.021 CAPITAL EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5140.024 TRANS TO EQUIP FUND	0	0.00	0.00	0.00	0.00	0.00
5140.025 UNEMPLOYMENT EXPENSE (TEC)	0	0.00	0.00	0.00	0.00	0.00
5140.032 SOCIAL SECURITY EXPENSE (FICA)	0	0.00	0.00	0.00	0.00	0.00
5140.033 MEDICARE EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5140.034 TML HEALTH INS.	0	0.00	1.50	0.00 (	1.50)	0.00
5140.035 RETIREMENT (TMRS)	0	0.00	0.00	0.00	0.00	0.00
5140.036 FUEL (GAS & OIL)	0	0.00	0.00	0.00	0.00	0.00
5140.037 TELEPHONE	0	0.00	0.00	0.00	0.00	0.00
5140.039 OVERTIME	0	0.00	0.00	0.00	0.00	0.00
5140.040 LEASE VEHICLES	0	0.00	0.00	0.00	0.00	0.00
5140.042 TRAVEL/TRAINING/SCHOOL	0	0.00	0.00	0.00	0.00	0.00
5140.043 UNIFORMS	0	0.00	0.00	0.00	0.00	0.00
5140.044 SUPPLIES	0	0.00	0.00	0.00	0.00	0.00
5140.045 PROPERTY/LIABILITY INS	0	0.00	0.00	0.00	0.00	0.00
5140.049 WORKERS COMP INS.	0	0.00	0.00	0.00	0.00	0.00
5140.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
TOTAL 140 Public Works	0	0.00	1.50	0.00 (	1.50)	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

02 -UTILITY FUND  
DEPARTMENT -M150 Storm Water  
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5150.001 DRAINAGE MAINTENANCE	14,000	0.00	0.00	0.00	14,000.00	0.00
5150.002 STREET DRAINAGE	30,000	0.00	0.00	0.00	30,000.00	0.00
5150.041 BAD DEBT STORM WATER	100	0.00	47.02	0.00	52.98	47.02
TOTAL 150 Storm Water	44,100	0.00	47.02	0.00	44,052.98	0.11



CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

02 -UTILITY FUND  
DEPARTMENT -M160 Water  
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5160.001 WAGES	156,337	9,052.17	81,467.86	0.00	74,869.14	52.11
5160.002 CERTIFICATE/LICENSE PAY	3,600	92.31	1,615.39	0.00	1,984.61	44.87
5160.003 DUES & SUBSCRIPTIONS	200	0.00	60.00	0.00	140.00	30.00
5160.004 FREIGHT/POSTAGE	3,280	412.60	1,862.72	0.00	1,417.28	56.79
5160.005 PERMITS/ASSESS./LICENSE	7,500	1,695.00	6,057.25	0.00	1,442.75	80.76
5160.006 LAB SUPPLIES & FEES	16,000	1,028.00	6,973.71	0.00	9,026.29	43.59
5160.007 COMPUTER/TECH	1,000	0.00	489.49	0.00	510.51	48.95
5160.008 CONTRACT - FCWD (RAW WATER)	90,000	7,583.33	60,666.64	0.00	29,333.36	67.41
5160.009 LEGAL	0	0.00	0.00	0.00	0.00	0.00
5160.010 WATER PLANT REPAIRS	35,000	687.98	23,885.99	0.00	11,114.01	68.25
5160.011 SERVICE CONTRACT FEES	7,500	0.00	6,955.15	0.00	544.85	92.74
5160.012 CHEMICALS - WATER PLANT	75,000	509.95	31,202.07	0.00	43,797.93	41.60
5160.013 SLUDGE DISPOSAL	32,000	0.00	0.00	0.00	32,000.00	0.00
5160.014 REPAIR WATER DIST. SYSTEM	15,000	5,239.88	13,494.35	0.00	1,505.65	89.96
5160.015 INT. DUE ON DEPOSITS	3,500	7.43	3,193.90	0.00	306.10	91.25
5160.016 FIRE HYDRANTS AND VALVES	8,000	0.00	3,047.00	0.00	4,953.00	38.09
5160.017 REPAIR VEHICLE	500	17.00	419.05	0.00	80.95	83.81
5160.018 SPECIAL PROJECTS	1,000	0.00	154.26	0.00	845.74	15.43
5160.019 ENGINEER EXPENSE/ADM	20,000	14,250.00	16,841.40	0.00	3,158.60	84.21
5160.020 PIPE SUPPLIES	2,000	2,244.98	18,621.52	0.00	16,621.52	931.08
5160.021 CAPITAL EXPENSE	75,000	0.00	9,480.00	0.00	65,520.00	12.64
5160.022 WATER METER/REPAIR/FLUSH	10,000	0.00	4,185.16	0.00	5,814.84	41.85
5160.023 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5160.024 TRANS TO EQUIP FUND	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5160.025 UNEMPLOYMENT EXPENSE (TEC)	900	19.17	119.30	0.00	780.70	13.26
5160.026 METER READING DEVICE MAINT.	9,000	0.00	0.00	0.00	9,000.00	0.00
5160.027 STREET REPAIR FOR WATER LEAKS	2,500	0.00	0.00	0.00	2,500.00	0.00
5160.028 DAM CLEANING	5,000	0.00	0.00	0.00	5,000.00	0.00
5160.032 SOCIAL SECURITY (FICA)	10,189	592.48	5,568.42	0.00	4,620.58	54.65
5160.033 MEDICARE	2,382	138.58	1,302.37	0.00	1,079.63	54.68
5160.034 TML HEALTH INSU.	25,020	2,086.47	18,082.74	0.00	6,937.26	72.27
5160.035 TMRS	13,589	1,038.49	9,804.34	0.00	3,784.66	72.15
5160.036 GAS & OIL	800	237.74	1,405.62	0.00	605.62	175.70
5160.037 TELEPHONE	4,750	393.55	2,599.62	0.00	2,150.38	54.73
5160.038 UTILITIES	20,655	1,886.94	14,304.83	0.00	6,350.17	69.26
5160.039 OVERTIME	8,000	384.00	4,328.64	0.00	3,671.36	54.11
5160.040 LEASE VEHICLES	8,218	667.82	4,242.64	0.00	3,975.36	51.63
5160.041 BAD DEBT EXPENSE	800	2.10	825.63	0.00	25.63	103.20
5160.042 SCHOOL/TRAINING/TRAVEL	5,000	0.00	3,575.42	0.00	1,424.58	71.51
5160.043 UNIFORMS	600	0.00	361.47	0.00	238.53	60.25
5160.044 SUPPLIES	3,500	284.82	2,082.24	0.00	1,417.76	59.49
5160.045 PROPERTY/LIABILITY INS.	4,600	6,448.40	10,244.56	0.00	5,644.56	222.71
5160.047 ADMINISTRATION FEE	0	0.00	0.00	0.00	0.00	0.00
5160.049 WORKERS COMP. INS.	2,700	0.00	2,138.06	0.00	561.94	79.19
5160.050 TERMININATION PAY	0	0.00	0.00	0.00	0.00	0.00
5160.051 2007 WTP CONSTRUCTION LOAN	0	0.00	0.00	0.00	0.00	0.00
5160.052 2007 WTP CONSTRUCTION DEBT TRF	0	0.00	0.00	0.00	0.00	0.00
5160.053 LONGEVITY	2,400	0.00	2,400.00	0.00	0.00	100.00
5160.054 2008 USDA CONSTRUCTION LOAN	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

02 --UTILITY FUND  
DEPARTMENT --M160 Water  
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5160.055 2008 USDA CONSTRUCTION DEBT	0	0.00	0.00	0.00	0.00	0.00
5160.056 TRANSFER OUT	0	0.00	0.00	0.00	0.00	0.00
5160.075 TMRS--PENSION COST AUDITORS	0	0.00	0.00	0.00	0.00	0.00
5160.076 OPEB EXPENSE	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 160 Water</b>	<b>697,620</b>	<b>57,296.99</b>	<b>377,158.81</b>	<b>0.00</b>	<b>320,461.19</b>	<b>54.06</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

02 -UTILITY FUND  
 DEPARTMENT -M170 Sewer  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5170.001 WAGES	128,136	10,184.06	75,144.13	0.00	52,991.87	58.64
5170.002 BUILDING REPAIR	500	0.00	0.00	0.00	500.00	0.00
5170.003 DUES & SUBSCRIPTIONS	150	0.00	0.00	0.00	150.00	0.00
5170.004 FREIGHT/POSTAGE	3,000	412.61	1,862.74	0.00	1,137.26	62.09
5170.005 PERMITS/ASSESS./LICENSE	5,600	0.00	2,608.74	0.00	2,991.26	46.58
5170.006 LAB FEES	16,500	1,085.00	7,618.00	0.00	8,882.00	46.17
5170.007 TRANSFER TO WWTP FUND	0	0.00	0.00	0.00	0.00	0.00
5170.008 TRANS TO OPR FUND	0	0.00	0.00	0.00	0.00	0.00
5170.009 LEGAL	0	0.00	0.00	0.00	0.00	0.00
5170.010 PLANT/LIFT STA. REPAIR	30,000	19.53	9,919.94	0.00	20,080.06	33.07
5170.011 LIFT STA. & WW PLANT REHAB.	0	0.00	0.00	0.00	0.00	0.00
5170.012 CHEMICALS - WASTE WATER PLANT	22,000	1,656.99	6,942.13	0.00	15,057.87	31.56
5170.013 SLUDGE DISPOSAL SERVICE	80,000	4,286.53	20,011.74	0.00	59,988.26	25.01
5170.014 REPAIR SEWER COLL. SYSTEM	140,000	0.00	2,637.09	0.00	137,362.91	1.88
5170.015 COMPUTER/TECH	1,000	0.00	299.50	0.00	700.50	29.95
5170.016 AERATORS/MAINTENANCE	8,000	0.00	2,777.20	0.00	5,222.80	34.72
5170.017 REPAIR VEHICLES	500	287.63	928.43	0.00	(428.43)	185.69
5170.018 SPECIAL PROJECTS	3,000	0.00	1,154.29	0.00	1,845.71	38.48
5170.019 ENGINEER EXPENSE	20,000	9,250.00	41,845.00	0.00	(21,845.00)	209.23
5170.020 PIPE SUPPLIES	2,500	0.00	3,472.05	0.00	(972.05)	138.88
5170.021 CAPITAL EXPENSE	42,465	0.00	0.00	0.00	42,465.00	0.00
5170.022 2012-C.O-FIRST NATIONAL BANK	183,493	0.00	33,916.94	0.00	149,576.06	18.48
5170.023 AUDIT	1,000	0.00	1,000.00	0.00	0.00	100.00
5170.024 TRANS TO EQUIP FUND	3,600	300.00	2,100.00	0.00	1,500.00	58.33
5170.025 UNEMPLOYMENT EXPENSE (TEC)	500	18.00	18.00	0.00	482.00	3.60
5170.026 2013 CO TWDB DEBT	0	0.00	0.00	0.00	0.00	0.00
5170.027 STREET REPAIR ON SEWER LEAKS	3,000	0.00	0.00	0.00	3,000.00	0.00
5170.028 2013 CO'S TWDB DEBT	0	0.00	0.00	0.00	0.00	0.00
5170.029 CERTIFICATE/LICENSE PAY	3,000	115.38	1,615.32	0.00	1,384.68	53.84
5170.032 SOCIAL SECURITY (FICA)	8,564	719.94	5,487.11	0.00	3,076.89	64.07
5170.033 MEDICARE	2,002	168.38	1,283.23	0.00	718.77	64.10
5170.034 TML HEALTH INSU.	25,020	2,086.47	16,691.90	0.00	8,328.10	66.71
5170.035 RETIREMENT (TMRS)	14,449	1,230.38	9,953.51	0.00	4,495.49	68.89
5170.036 FUEL (GAS & OIL)	3,000	261.00	1,540.61	0.00	1,459.39	51.35
5170.037 TELEPHONE	2,500	55.38	788.92	0.00	1,711.08	31.56
5170.038 UTILITIES	30,000	2,960.82	22,084.69	0.00	7,915.31	73.62
5170.039 OVERTIME	10,000	1,301.03	7,995.19	0.00	2,004.81	79.95
5170.040 LEASE VEHICLES	8,218	655.46	4,588.22	0.00	3,629.78	55.83
5170.041 BAD DEBTS (SEWER SERVICE)	800	0.00	747.54	0.00	52.46	93.44
5170.042 SCHOOL/TRAINING/TRAVEL	1,500	0.00	949.91	0.00	550.09	63.33
5170.043 UNIFORMS	500	0.00	0.00	0.00	500.00	0.00
5170.044 SUPPLIES	5,000	16.45	1,187.88	0.00	3,812.12	23.76
5170.045 PROPERTY/LIABILITY INS.	3,000	0.00	3,796.14	0.00	(796.14)	126.54
5170.047 ADMINISTRATION FEE	0	0.00	0.00	0.00	0.00	0.00
5170.049 WORKERS COMP. INS.	2,100	0.00	2,138.05	0.00	(38.05)	101.81
5170.050 TERMINATION PAY	0	0.00	0.00	0.00	0.00	0.00
5170.053 LONGEVITY	3,300	0.00	3,300.00	0.00	0.00	100.00
5170.054 TRANSFER OUT	0	0.00	0.00	0.00	0.00	0.00
5170.056 INTEREST EXPENSE	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 170 Sewer</b>	<b>817,897</b>	<b>37,071.04</b>	<b>298,404.14</b>	<b>0.00</b>	<b>519,492.86</b>	<b>36.48</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

02 -UTILITY FUND  
 DEPARTMENT -M505 Depreciation  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5505.000 CIP	0	0.00	0.00	0.00	0.00	0.00
5505.002 DEPRECIATION	0	0.00	0.00	0.00	0.00	0.00
5505.999 PRIOR PERIOD ADJUSTMENTS	0	0.00	0.00	0.00	0.00	0.00
TOTAL 505 Depreciation	0	0.00	0.00	0.00	0.00	0.00
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TOTAL EXPENDITURES	1,559,617	94,368.03	675,611.47	0.00	884,005.53	43.32
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REVENUE OVER/(UNDER) EXPENDITURES	281,125	42,070.26	279,471.14	0.00	1,653.86	99.41

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

03 -1998 WWTP EXPANSION  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
300 WWTP FUND	0	0.00	0.00	0.00	0.00	0.00
502 1998 WWTO EXPANSION	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

03 -1998 WWTP EXPANSION  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4022 INTEREST INCOME	0	0.00	0.00	0.00	0.00	0.00
4051 ADV. TAX REVENUE	0	0.00	0.00	0.00	0.00	0.00
4051.001 DEL. TAX REVENUE	0	0.00	0.00	0.00	0.00	0.00
4052 ADV TAX REV - PEN & INT	0	0.00	0.00	0.00	0.00	0.00
4999 TRANSFERS IN	0	0.00	0.00	0.00	0.00	0.00
4999.001 TRANSFER FROM DEBT SERVICES	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL REVENUE</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

03 -1998 WWTP EXPANSION  
 DEPARTMENT -M300 WWTP FUND  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5300.002 GENERAL EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5300.003 DEBT SERVICE ADMINISTRATION	0	0.00	0.00	0.00	0.00	0.00
5300.008 INTEREST	0	0.00	0.00	0.00	0.00	0.00
5300.009 DEBT SERVICE	0	0.00	0.00	0.00	0.00	0.00
5300.020 TRANSFER TO UTILITY FUND	0	0.00	0.00	0.00	0.00	0.00
5300.025 DEPRECIATION EXP	0	0.00	0.00	0.00	0.00	0.00
TOTAL 300 WWTP FUND	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

03 -1998 WWTP EXPANSION  
DEPARTMENT -M502 1998 WWTO EXPANSION  
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5502.002 DEPRECIATION EXP	0	0.00	0.00	0.00	0.00	0.00
TOTAL 502 1998 WWTO EXPANSION	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

\*\*\* END OF REPORT \*\*\*



CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

04 -HOTEL/MOTEL FUND  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	<u>35,600</u>	<u>0.00</u>	<u>26,277.60</u>	<u>0.00</u>	<u>9,322.40</u>	<u>73.81</u>
TOTAL REVENUES	35,600	0.00	26,277.60	0.00	9,322.40	73.81
<u>EXPENDITURE SUMMARY</u>						
400-HOTEL/MOTEL	<u>45,075</u>	<u>7,050.00</u>	<u>22,050.00</u>	<u>0.00</u>	<u>23,025.00</u>	<u>48.92</u>
TOTAL EXPENDITURES	45,075	7,050.00	22,050.00	0.00	23,025.00	48.92
REVENUE OVER/(UNDER) EXPENDITURES	( 9,475)	( 7,050.00)	4,227.60	0.00	( 13,702.60)	44.62-

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

04 -HOTEL/MOTEL FUND  
 FINANCIAL SUMMARY

REVENUES		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001	HOTEL/MOTEL TAX REVENUE	35,000	0.00	26,277.60	0.00	8,722.40	75.08
4002	MISC. REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022	INT. EARNED	600	0.00	0.00	0.00	600.00	0.00
<b>TOTAL REVENUE</b>		<b>35,600</b>	<b>0.00</b>	<b>26,277.60</b>	<b>0.00</b>	<b>9,322.40</b>	<b>73.81</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

04 -HOTEL/MOTEL FUND  
 DEPARTMENT -M400-HOTEL/MOTEL  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5400.002 ARTS ALLIANCE	6,500	0.00	6,500.00	0.00	0.00	100.00
5400.003 CHAMBER OF COMMERCE	5,000	0.00	0.00	0.00	5,000.00	0.00
5400.004 UNDESIGNATED FUNDS	0	0.00	0.00	0.00	0.00	0.00
5400.005 HISTORICAL ASSN. DONATION	8,500	0.00	8,500.00	0.00	0.00	100.00
5400.006 SRS AUCTION SERVICES	2,400	0.00	0.00	0.00	2,400.00	0.00
5400.007 THE ALAMO MISSION	2,000	0.00	0.00	0.00	2,000.00	0.00
5400.008 GENEALOGICIAL SOCIETY	0	0.00	0.00	0.00	0.00	0.00
5400.009 MOUNT VERNON MUSIC	0	0.00	0.00	0.00	0.00	0.00
5400.010 FRANKLIN CO. YOUTH BASEBALL	7,500	7,050.00	7,050.00	0.00	450.00	94.00
5400.011 BIKE TOUR	3,175	0.00	0.00	0.00	3,175.00	0.00
5400.012 MAIN STREET	10,000	0.00	0.00	0.00	10,000.00	0.00
5400.013 THE HOLBROOK BED & BREAKFAST	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 400-HOTEL/MOTEL</b>	<b>45,075</b>	<b>7,050.00</b>	<b>22,050.00</b>	<b>0.00</b>	<b>23,025.00</b>	<b>48.92</b>
<hr/>						
<b>TOTAL EXPENDITURES</b>	<b>45,075</b>	<b>7,050.00</b>	<b>22,050.00</b>	<b>0.00</b>	<b>23,025.00</b>	<b>48.92</b>
<hr/>						
REVENUE OVER/ (UNDER) EXPENDITURES	( 9,475)	( 7,050.00)	4,227.60	0.00	( 13,702.60)	44.62-

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

05 -EDC  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	366,000	28,826.61	234,027.16	0.00	131,972.84	63.94
TOTAL REVENUES	366,000	28,826.61	234,027.16	0.00	131,972.84	63.94
<u>EXPENDITURE SUMMARY</u>						
300 EDC	355,950	14,711.00	69,448.98	0.00	286,501.02	19.51
TOTAL EXPENDITURES	355,950	14,711.00	69,448.98	0.00	286,501.02	19.51
REVENUE OVER/(UNDER) EXPENDITURES	10,050	14,115.61	164,578.18	0.00	( 154,528.18)	1,637.59

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

05 -EDC  
FINANCIAL SUMMARY

REVENUES		CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001	EDC TAX REV.	360,000	27,786.68	229,316.92	0.00	130,683.08	63.70
4018	MISCELLANEOUS	0	0.00	0.00	0.00	0.00	0.00
4022	INTEREST	6,000	1,039.93	4,710.24	0.00	1,289.76	78.50
TOTAL REVENUE		366,000	28,826.61	234,027.16	0.00	131,972.84	63.94

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

05 -EDC  
 DEPARTMENT -M300 EDC  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5300.001 WAGES/CONSULTANT	63,000	14,711.00	29,100.39	0.00	33,899.61	46.19
5300.002 COMPUTER	500	0.00	796.00	0.00 (	296.00)	159.20
5300.003 PROMOTIONAL/MARKETING	18,000	0.00	0.00	0.00	18,000.00	0.00
5300.004 POSTAGE	100	0.00	2.85	0.00	97.15	2.85
5300.005 AUDIT EXPENSE	1,000	0.00	1,000.00	0.00	0.00	100.00
5300.007 LEG. OUTREACH	500	0.00	0.00	0.00	500.00	0.00
5300.008 SCHOLORSHIP	2,000	0.00	2,000.00	0.00	0.00	100.00
5300.009 PUBLICATIONS	0	0.00	301.75	0.00 (	301.75)	0.00
5300.010 ATTORNEY FEES	12,000	0.00	4,400.00	0.00	7,600.00	36.67
5300.011 WEBSITE	500	0.00	0.00	0.00	500.00	0.00
5300.012 HIST. FACADE GRANT	20,000	0.00	20,000.00	0.00	0.00	100.00
5300.014 DISCRETIONARY FUNDS	0	0.00	0.00	0.00	0.00	0.00
5300.017 ADVERTISING/PUBLIC NOTICES	500	0.00	0.00	0.00	500.00	0.00
5300.018 BUSINESS INCENTIVES	3,000	0.00	900.88	0.00	2,099.12	30.03
5300.019 RENTAL ASSISTANCE PROGRAM	15,000	0.00	0.00	0.00	15,000.00	0.00
5300.020 JOB CREATION INCENTIVE	10,000	0.00	0.00	0.00	10,000.00	0.00
5300.021 EXISTING BUS. STRUCTURE	25,000	0.00	0.00	0.00	25,000.00	0.00
5300.022 SPECIAL PROJECT	100,000	0.00	0.00	0.00	100,000.00	0.00
5300.023 MAIN STREET ONGOING	10,000	0.00	10,000.00	0.00	0.00	100.00
5300.024 BUSINESS RETENTION	0	0.00	0.00	0.00	0.00	0.00
5300.025 UNEMPLOYMENT EXP (TEC)	0	0.00	0.00	0.00	0.00	0.00
5300.026 BUSINESS RECRUITMENT	0	0.00	0.00	0.00	0.00	0.00
5300.027 DUES	1,000	0.00	0.00	0.00	1,000.00	0.00
5300.028 BUS ANALYTICS	0	0.00	0.00	0.00	0.00	0.00
5300.029 INFRASTRUCTURE	70,000	0.00	0.00	0.00	70,000.00	0.00
5300.030 SPLASH PAD	0	0.00	0.00	0.00	0.00	0.00
5300.032 SOCIAL SECURITY (FICA)	0	0.00	0.00	0.00	0.00	0.00
5300.033 MEDICARE	0	0.00	0.00	0.00	0.00	0.00
5300.034 TML INSURANCE	0	0.00	0.00	0.00	0.00	0.00
5300.035 RETIREMENT (TMRS)	0	0.00	0.00	0.00	0.00	0.00
5300.037 TELEPHONE	750	0.00	354.08	0.00	395.92	47.21
5300.042 SCHOOL/TRAINING/TRAVEL	2,500	0.00	79.00	0.00	2,421.00	3.16
5300.044 SUPPLIES	600	0.00	514.03	0.00	85.97	85.67
5300.053 LONGEVITY	0	0.00	0.00	0.00	0.00	0.00
5300.075 TMRS-PENSION COST AUDITORS	0	0.00	0.00	0.00	0.00	0.00
5300.999 PRIOR PERIOD ADJUSTMENTS	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL 300 EDC</b>	<b>355,950</b>	<b>14,711.00</b>	<b>69,448.98</b>	<b>0.00</b>	<b>286,501.02</b>	<b>19.51</b>
=====						
<b>TOTAL EXPENDITURES</b>	<b>355,950</b>	<b>14,711.00</b>	<b>69,448.98</b>	<b>0.00</b>	<b>286,501.02</b>	<b>19.51</b>
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<b>REVENUE OVER/(UNDER) EXPENDITURES</b>	<b>10,050</b>	<b>14,115.61</b>	<b>164,578.18</b>	<b>0.00 (</b>	<b>154,528.18)</b>	<b>1,637.59</b>

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

07 -DEBT FUND  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	145,756	2,320.45	135,628.26	0.00	10,127.74	93.05
TOTAL REVENUES	145,756	2,320.45	135,628.26	0.00	10,127.74	93.05
<u>EXPENDITURE SUMMARY</u>						
000 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
700 DEBT FUND	151,191	0.00	5,046.25	0.00	146,144.75	3.34
TOTAL EXPENDITURES	151,191	0.00	5,046.25	0.00	146,144.75	3.34
REVENUE OVER/(UNDER) EXPENDITURES	( 5,435)	2,320.45	130,582.01	0.00	( 136,017.01)	2,402.61-

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

07 -DEBT FUND  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 TAX REVENUE	135,056	1,510.58	129,756.23	0.00	5,299.77	96.08
4002 DEL. TAX REV	4,000	84.58	1,980.42	0.00	2,019.58	49.51
4002.001 I&S TAX ATT.	1,200	36.44	575.13	0.00	624.87	47.93
4003 DEBT SERVICE P & I	2,500	229.33	1,307.70	0.00	1,192.30	52.31
4022 INTEREST EARNED	3,000	459.52	2,008.78	0.00	991.22	66.96
4999 TRANSFER	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL REVENUE</b>	<b>145,756</b>	<b>2,320.45</b>	<b>135,628.26</b>	<b>0.00</b>	<b>10,127.74</b>	<b>93.05</b>



CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

07 -DEBT FUND  
DEPARTMENT -M000 TRANSFERS  
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5000 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
TOTAL 000 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

07 -DEBT FUND  
 DEPARTMENT -M700 DEBT FUND  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5700.000 DEBT SERVICE FEES	0	0.00	0.00	0.00	0.00	0.00
5700.026 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
5700.027 MISC. EXP.	0	0.00	0.00	0.00	0.00	0.00
5700.028 2012 C.O. FIRST NATIONAL BANK	0	0.00	0.00	0.00	0.00	0.00
5700.029 2013 C.O. TWDB DEBT	24,693	0.00	5,046.25	0.00	19,646.75	20.44
5700.030 2018 C.O. FIRST NATIONAL BANK	126,498	0.00	0.00	0.00	126,498.00	0.00
<b>TOTAL 700 DEBT FUND</b>	<b>151,191</b>	<b>0.00</b>	<b>5,046.25</b>	<b>0.00</b>	<b>146,144.75</b>	<b>3.34</b>
<hr/>						
<b>TOTAL EXPENDITURES</b>	<b>151,191</b>	<b>0.00</b>	<b>5,046.25</b>	<b>0.00</b>	<b>146,144.75</b>	<b>3.34</b>
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	( 5,435)	2,320.45	130,582.01	0.00	( 136,017.01)	2,402.61-

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

09 -EQUIPMENT FUND  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	33,800	2,400.00	16,800.00	0.00	17,000.00	49.70
TOTAL REVENUES	33,800	2,400.00	16,800.00	0.00	17,000.00	49.70
<u>EXPENDITURE SUMMARY</u>						
900 EQUIPMENT	104,200	0.00	0.00	0.00	104,200.00	0.00
TOTAL EXPENDITURES	104,200	0.00	0.00	0.00	104,200.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	( 70,400)	2,400.00	16,800.00	0.00	( 87,200.00)	23.86-

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

09 -EQUIPMENT FUND  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4022 INT. EARNED	1,400	0.00	0.00	0.00	1,400.00	0.00
4027 SALE OF ASSETS	0	0.00	0.00	0.00	0.00	0.00
4028 DONATION FROM FC FIREFIGHTERS	0	0.00	0.00	0.00	0.00	0.00
4029 MISC. REVENUE	0	0.00	0.00	0.00	0.00	0.00
4050 TRANSFERS IN	32,400	2,400.00	16,800.00	0.00	15,600.00	51.85
<b>TOTAL REVENUE</b>	<b>33,800</b>	<b>2,400.00</b>	<b>16,800.00</b>	<b>0.00</b>	<b>17,000.00</b>	<b>49.70</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

09 -EQUIPMENT FUND  
 DEPARTMENT -M900 EQUIPMENT  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5900.001 TRANSFER OUT	104,200	0.00	0.00	0.00	104,200.00	0.00
TOTAL 900 EQUIPMENT	104,200	0.00	0.00	0.00	104,200.00	0.00
<hr/>						
TOTAL EXPENDITURES	104,200	0.00	0.00	0.00	104,200.00	0.00
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	( 70,400)	2,400.00	'16,800.00	0.00	( 87,200.00)	23.86-

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

10 -CHILD SAFETY  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	1,110	0.00	50.00	0.00	1,060.00	4.50
TOTAL REVENUES	1,110	0.00	50.00	0.00	1,060.00	4.50
<u>EXPENDITURE SUMMARY</u>						
CHILD SAFETY	1,000	0.00	1,000.00	0.00	0.00	100.00
TOTAL EXPENDITURES	1,000	0.00	1,000.00	0.00	0.00	100.00
REVENUE OVER/(UNDER) EXPENDITURES	110	0.00	( 950.00)	0.00	1,060.00	863.64-

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

10 -CHILD SAFETY  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 CHILD SAFETY REVENUE	100	0.00	50.00	0.00	50.00	50.00
4022 INT. EARNED	10	0.00	0.00	0.00	10.00	0.00
4023 TRANSFER FROM GENERAL FUND	1,000	0.00	0.00	0.00	1,000.00	0.00
<b>TOTAL REVENUE</b>	<b>1,110</b>	<b>0.00</b>	<b>50.00</b>	<b>0.00</b>	<b>1,060.00</b>	<b>4.50</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

10 -CHILD SAFETY  
 DEPARTMENT -MCHILD SAFETY  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5010.001 CHILD SAFETY EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5010.002 ETCADA KID PROGRAM	1,000	0.00	1,000.00	0.00	0.00	100.00
<b>TOTAL CHILD SAFETY</b>	<b>1,000</b>	<b>0.00</b>	<b>1,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>
<b>TOTAL EXPENDITURES</b>	<b>1,000</b>	<b>0.00</b>	<b>1,000.00</b>	<b>0.00</b>	<b>0.00</b>	<b>100.00</b>
REVENUE OVER/(UNDER) EXPENDITURES	110	0.00	( 950.00)	0.00	1,060.00	863.64--

\*\*\* END OF REPORT \*\*\*



CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

12 -GENERAL FIXED ASSETS  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
FIXED ASSETS	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

12 -GENERAL FIXED ASSETS  
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4050 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

12 -GENERAL FIXED ASSETS  
DEPARTMENT -MFIXED ASSETS  
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5012.001 PRIOR PERIOD ADJUSTMENTS	0	0.00	0.00	0.00	0.00	0.00
TOTAL FIXED ASSETS	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

14 -TECHNOLOGY  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	500	113.81	585.69	0.00	( 85.69)	117.14
TOTAL REVENUES	500	113.81	585.69	0.00	( 85.69)	117.14
<u>EXPENDITURE SUMMARY</u>						
014 TECHNOLOGY	400	0.00	0.00	0.00	400.00	0.00
TOTAL EXPENDITURES	400	0.00	0.00	0.00	400.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	100	113.81	585.69	0.00	( 485.69)	585.69

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

14 -TECHNOLOGY  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 TECHNOLOGY REVENUE	400	113.81	585.69	0.00 (	185.69)	146.42
4022 INT. EARNED	100	0.00	0.00	0.00	100.00	0.00
<b>TOTAL REVENUE</b>	<b>500</b>	<b>113.81</b>	<b>585.69</b>	<b>0.00 (</b>	<b>85.69)</b>	<b>117.14</b>

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

14 -TECHNOLOGY  
DEPARTMENT -M014 TECHNOLOGY  
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5014.001 TECHNOLOGY EXPENSES	400	0.00	0.00	0.00	400.00	0.00
TOTAL 014 TECHNOLOGY	400	0.00	0.00	0.00	400.00	0.00
TOTAL EXPENDITURES	400	0.00	0.00	0.00	400.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	100	113.81	585.69	0.00 (	485.69)	585.69

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

15 -SECURITY  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	300	2.40	23.03	0.00	276.97	7.68
TOTAL REVENUES	300	2.40	23.03	0.00	276.97	7.68
<u>EXPENDITURE SUMMARY</u>						
015 SECURITY	300	0.00	0.00	0.00	300.00	0.00
TOTAL EXPENDITURES	300	0.00	0.00	0.00	300.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	2.40	23.03	0.00 (	23.03)	0.00

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

15 -SECURITY  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 SECURITY REVENUE	300	2.40	23.03	0.00	276.97	7.68
4022 INT EARNED	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL REVENUE</b>	<b>300</b>	<b>2.40</b>	<b>23.03</b>	<b>0.00</b>	<b>276.97</b>	<b>7.68</b>



CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

15 -SECURITY  
DEPARTMENT -M015 SECURITY  
DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5015.001 SECURITY EXPENSES	300	0.00	0.00	0.00	300.00	0.00
TOTAL 015 SECURITY	300	0.00	0.00	0.00	300.00	0.00
TOTAL EXPENDITURES	300	0.00	0.00	0.00	300.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	2.40	23.03	0.00 (	23.03)	0.00

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

20 -ENDOWEMENT FUND  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	3,500	0.00	273.86	0.00	3,226.14	7.82
TOTAL REVENUES	3,500	0.00	273.86	0.00	3,226.14	7.82
<u>EXPENDITURE SUMMARY</u>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/ (UNDER) EXPENDITURES	3,500	0.00	273.86	0.00	3,226.14	7.82

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

20 -ENDOWEMENT FUND  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4020 ENDOWEMENT CD'S	0	0.00	0.00	0.00	0.00	0.00
4022 ENDOWEMENT INTEREST	3,500	0.00	273.86	0.00	3,226.14	7.82
<b>TOTAL REVENUE</b>	<b>3,500</b>	<b>0.00</b>	<b>273.86</b>	<b>0.00</b>	<b>3,226.14</b>	<b>7.82</b>
<b>TOTAL EXPENDITURES</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>REVENUE OVER/(UNDER) EXPENDITURES</b>	<b>3,500</b>	<b>0.00</b>	<b>273.86</b>	<b>0.00</b>	<b>3,226.14</b>	<b>7.82</b>

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

21 --TWDB WATERLINE GRANT  
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

21 -TWDB WATERLINE GRANT  
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 TWDB REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

22 -CONFISCATED FUNDS  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

22 -CONFISCATED FUNDS  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 CONFISCATED REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

23 -PARK PROJECT  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	100	4.00	18.90	0.00	81.10	18.90
TOTAL REVENUES	100	4.00	18.90	0.00	81.10	18.90
<u>EXPENDITURE SUMMARY</u>						
PARK PROJECT	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	100	4.00	18.90	0.00	81.10	18.90



CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

23 -PARK PROJECT  
 FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 PARK REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	100	4.00	18.90	0.00	81.10	18.90
4023 A/R-AUDITORS ADJ	0	0.00	0.00	0.00	0.00	0.00
<b>TOTAL REVENUE</b>	<b>100</b>	<b>4.00</b>	<b>18.90</b>	<b>0.00</b>	<b>81.10</b>	<b>18.90</b>

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

23 -PARK PROJECT  
 DEPARTMENT -MPARK PROJECT  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5023.040 RAGBALL	0	0.00	0.00	0.00	0.00	0.00
5023.041 REPAIRS	0	0.00	0.00	0.00	0.00	0.00
5023.042 SPLASH PAD	0	0.00	0.00	0.00	0.00	0.00
5023.044 SUPPLIES	0	0.00	0.00	0.00	0.00	0.00
TOTAL PARK PROJECT	0	0.00	0.00	0.00	0.00	0.00
=====						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	100	4.00	18.90	0.00	81.10	18.90

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

24 -HOME PROGRAM  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
HOME PROGRAM	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

24 -HOME PROGRAM  
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 HOME PROGRAM REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

24 -HOME PROGRAM  
 DEPARTMENT -MHOME PROGRAM  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5024.001 CONSTRUCTION	0	0.00	0.00	0.00	0.00	0.00
5024.002 CONSULTANTS	0	0.00	0.00	0.00	0.00	0.00
5024.003 CITY EXPENSE	0	0.00	0.00	0.00	0.00	0.00
TOTAL HOME PROGRAM	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
REVENUE OVER/ (UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

25 -TXCDGB  
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
TXCDBG	0	0.00	66,854.89	0.00	( 66,854.89)	0.00
TOTAL EXPENDITURES	0	0.00	66,854.89	0.00	( 66,854.89)	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	( 66,854.89)	0.00	66,854.89	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

25 -TXCDGB  
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 TXCDBG REVENUE	0	0.00	0.00	0.00	0.00	0.00
4002 A/R-AUDITORS ADJ	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
4050 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

25 -TXCDGB  
 DEPARTMENT -MTXCDBG  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5025.001 CONSTRUCTION-SIDEWALK	0	0.00	67,525.29	0.00 (	67,525.29)	0.00
5025.002 ENGINEERS - SIDEWALK	0	0.00	0.00	0.00	0.00	0.00
5025.003 CONSULTANTS - SIDEWALK	0	0.00	0.00	0.00	0.00	0.00
5025.004 CITY ADMINISTRATION - SIDEWALK	0	0.00	0.00	0.00	0.00	0.00
5025.005 CONSTRUCTION - WATER PLANT	0	0.00	0.00	0.00	0.00	0.00
5025.006 ENGINEERS - WATER PLANT	0	0.00	0.00	0.00	0.00	0.00
5025.007 CONSULTANTS - WATER PLANT	0	0.00	0.00	0.00	0.00	0.00
5025.008 ADMINISTRATION - WATER PLANT	0	0.00	0.00	0.00	0.00	0.00
5025.009 GRANT-CLFRF	0	0.00 (	670.40)	0.00	670.40	0.00
TOTAL TXCDBG	0	0.00	66,854.89	0.00 (	66,854.89)	0.00
<hr/>						
TOTAL EXPENDITURES	0	0.00	66,854.89	0.00 (	66,854.89)	0.00
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00 (	66,854.89)	0.00	66,854.89	0.00

\*\*\* END OF REPORT \*\*\*



CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

26 -2013 WASTEWATER REP/IMP  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUES	0	0.00	0.00	0.00	0.00	0.00
<u>EXPENDITURE SUMMARY</u>						
2013 WW REPL/IMP	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

26 -2013 WASTEWATER REP/IMP  
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 2013 WASTEWATER REVENUE	0	0.00	0.00	0.00	0.00	0.00
4022 INTEREST EARNED	0	0.00	0.00	0.00	0.00	0.00
4999 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
TOTAL REVENUE	0	0.00	0.00	0.00	0.00	0.00

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

26 -2013 WASTEWATER REP/IMP  
 DEPARTMENT -M2013 WW REPL/IMP  
 DEPARTMENTAL EXPENDITURES

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
5026.001 CONSTRUCTION	0	0.00	0.00	0.00	0.00	0.00
5026.002 DEBT PAYMENT	0	0.00	0.00	0.00	0.00	0.00
5026.003 ENGINEERING	0	0.00	0.00	0.00	0.00	0.00
5026.004 TRANSFERS	0	0.00	0.00	0.00	0.00	0.00
5026.005 DEBT SERVICE EXPENSE	0	0.00	0.00	0.00	0.00	0.00
5026.006 EASEMENTS	0	0.00	0.00	0.00	0.00	0.00
TOTAL 2013 WW REPL/IMP	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
<hr/>						
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

27 -LOCAL TRUANCY PREVENT  
FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	300	138.29	693.75	0.00 (	393.75)	231.25
TOTAL REVENUES	300	138.29	693.75	0.00 (	393.75)	231.25
REVENUE OVER/(UNDER) EXPENDITURES	300	138.29	693.75	0.00 (	393.75)	231.25

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

27 -LOCAL TRUANCY PREVENT  
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 LOCAL TRUANCY PREVENTION FUND	300	138.29	693.75	0.00 (	393.75)	231.25
TOTAL REVENUE	300	138.29	693.75	0.00 (	393.75)	231.25
REVENUE OVER/(UNDER) EXPENDITURES	300	138.29	693.75	0.00 (	393.75)	231.25

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

28 -LOCAL MUNICIPAL JURY FUND  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>REVENUE SUMMARY</u>						
ALL REVENUE	10	2.76	13.87	0.00 (	3.87)	138.70
TOTAL REVENUES	10	2.76	13.87	0.00 (	3.87)	138.70
REVENUE OVER/(UNDER) EXPENDITURES	10	2.76	13.87	0.00 (	3.87)	138.70

CITY OF MOUNT VERNON  
REVENUE & EXPENSE REPORT (UNAUDITED)  
AS OF: APRIL 30TH, 2022

Item 1.

28 -LOCAL MUNICIPAL JURY FUND  
FINANCIAL SUMMARY

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
4001 LOCAL MUNICIPAL JURY FUND	10	2.76	13.87	0.00 (	3.87)	138.70
TOTAL REVENUE	10	2.76	13.87	0.00 (	3.87)	138.70
REVENUE OVER/(UNDER) EXPENDITURES	10	2.76	13.87	0.00 (	3.87)	138.70

\*\*\* END OF REPORT \*\*\*

CITY OF MOUNT VERNON  
 REVENUE & EXPENSE REPORT (UNAUDITED)  
 AS OF: APRIL 30TH, 2022

Item 1.

99 - POOLED CASH  
 FINANCIAL SUMMARY

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	TOTAL ENCUMBERED	BUDGET BALANCE	% YTD BUDGET
<u>EXPENDITURE SUMMARY</u>						
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
TOTAL EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00
REVENUE OVER/(UNDER) EXPENDITURES	0	0.00	0.00	0.00	0.00	0.00

\*\*\* END OF REPORT \*\*\*



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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
BANK: \* ALL BANKS  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
1280	ALLIANCE BANK							
	C-CHECK ALLIANCE BANK	VOIDED	V 4/08/2022			060856		2,790.72CR
	C-CHECK VOID CHECK		V 4/08/2022			060876		
10	COLIN J. CLASBY							
	C-CHECK COLIN J. CLASBY	VOIDED	V 4/14/2022			060889		74.50CR

\* \* T O T A L S \* \*

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	0	0.00	0.00	0.00
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	0	0.00	0.00	0.00
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	3	VOID DEBITS 0.00		
		VOID CREDITS 2,865.22CR	2,865.22CR	0.00

TOTAL ERRORS: 0

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
VENDOR SET: 99 BANK: * TOTALS:	3	2,865.22CR	0.00	0.00
BANK: * TOTALS:	3	2,865.22CR	0.00	0.00

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A/P HISTORY CHECK REPORT

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Item 1.

VENDOR SET: 99 City of Mount Vernon  
 BANK: 99 POOLED CASH  
 DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
1	CODY BRADFORD I-202204029976 WINE FEST SECURITY	R	4/02/2022	200.00		060850		200.00
1280	ALLIANCE BANK I-202204089977 ALLIANCE BANK	V	4/08/2022	2,790.72		060856		2,790.72
1280	ALLIANCE BANK M-CHECK ALLIANCE BANK	VOIDED V	4/08/2022			060856		2,790.72CR
0040	ANA-LAB CORPORATION I-A0543986 WWTP ANA-LAB CORPORATION I-A0543987 WTP	R	4/08/2022	1,085.00		060857		
		R	4/08/2022	1,028.00		060857		2,113.00
195	CINTAS CORPORATION #495 I-4115712015 CINTAS CORPORATION #495 ACCT # 16552586	R	4/08/2022	114.86		060858		114.86
27	CORE & MAIN I-Q447046 CORE & MAIN MAINTENANCE DEPT I-Q596105 CORE & MAIN WTP I-Q598594 CORE & MAIN WTP	R	4/08/2022	12.45		060859		
		R	4/08/2022	2,879.60		060859		
		R	4/08/2022	5.28		060859		2,897.33
0110	DPC INDUSTRIES, INC. I-797000895-22 DPC INDUSTRIES, INC. WTP I-797000896-22 DPC INDUSTRIES, INC. WWTP	R	4/08/2022	509.95		060860		
		R	4/08/2022	169.99		060860		679.94
2420	ECHO PUBLISHING CO INC I-8464 ECHO PUBLISHING CO INC POSTCARDS REGARDING COMMUNICATING W SOFTWARE	R	4/08/2022	264.00		060861		264.00
26	FRANKLIN COUNTY YOUTH BASEBALL I-202204089978 FRANKLIN COUNTY YOUTH BASEBALL REIMBURSEMENT FOR HOT FUNDS	R	4/08/2022	7,050.00		060862		7,050.00
102	FRONTIER COMMUNICATIONS I-202204089979 FRONTIER COMMUNICATIONS ACCT # 210-188-2366-091312-5	R	4/08/2022	136.43		060863		136.43

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VENDOR SET: 99 City of Mount Vernon  
 BANK: 99 POOLED CASH  
 DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0070	GEOTAB USA, INC I-IN301362 VEHICLE TRACKERS	R	4/08/2022	153.00		060864		153.00
45	HALE ELECTRIC, INC I-29016 WTP	R	4/08/2022	459.00		060865		459.00
3250	LEGAL SHIELD I-202204089980 ALLEN H HINES	R	4/08/2022	15.95		060866		15.95
0320	MAL TECHNOLOGIES FLEET I-202204089981 POLICE DEPT	R	4/08/2022	2,083.10		060867		2,083.10
3280	MT. VERNON TIRE I-0202270 MAINTENANCE DEPT	R	4/08/2022	368.85		060868		
	I-0202351 POLICE DEPT	R	4/08/2022	131.80		060868		
	I-0202353 POLICE DEPT	R	4/08/2022	131.80		060868		632.45
6990	NETWORK TECHNOLOGIES I-07-35437 MAIN STREET	R	4/08/2022	99.95		060869		99.95
5030	O'REILLY AUTO PARTS I-1991-354720 MAINTENANCE DEPT	R	4/08/2022	47.47		060870		
	I-1991-356289 POLICE DEPT	R	4/08/2022	70.28		060870		117.75
7460	OMNIBASE SERVICES OF TEXAS I-202204089982 COURT COLLECTION	R	4/08/2022	6.00		060871		6.00
0940	PEOPLES TELEPHONE I-202204089983 ACCT # 0001339701	R	4/08/2022	365.86		060872		365.86
7750	POLYDYNE INC. I-1597324 WWTP	R	4/08/2022	693.99		060873		693.99

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
 BANK: 99 POOLED CASH  
 DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
7740	REPUBLIC SERVICES #070							
	I-0070-003158368 REPUBLIC SERVICES #070	R	4/08/2022	4,286.53		060874		4,286.53
	ACCT # 3-0070-0033995							
0840	SOUTHWESTERN ELECTRIC POWER CO							
	I-202204089984 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	5,308.59		060875		
	ACCT # 961-786-536-1-2							
	I-202204089985 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	2.16		060875		
	ACCT # 969-023-655-0-9							
	I-202204089986 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	24.89		060875		
	ACCT # 967-535-845-0-5							
	I-202204089987 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	30.04		060875		
	ACCT # 965-078-837-0-8							
	I-202204089988 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	29.50		060875		
	ACCT # 966-135-0021-0-4							
	I-202204089989 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	2.26		060875		
	ACCT # 963-224-875-0-3							
	I-202204089990 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	2.87		060875		
	ACCT # 968-705-996-0-0							
	I-202204089991 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	4.35		060875		
	ACCT # 964-109-166-0-3							
	I-202204089992 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	73.54		060875		
	ACCT # 962-667-590-0-8							
	I-202204089993 SOUTHWESTERN ELECTRIC POWER CO	R	4/08/2022	2.26		060875		5,480.46
	ACC T# 964-722-104-0-8							
6650	SUDDENLINK							
	I-202204089994 SUDDENLINK	R	4/08/2022	14.28		060877		14.28
	ACCT # 07707-119434-01-2							
28	SUDDENLINK B2B, DEPT. 1264 (IN							
	I-100745280 SUDDENLINK B2B, DEPT. 1264 (IN	R	4/08/2022	63.57		060878		63.57
	ACCT # 7309593011							
190	TEXAS MUNICIPAL LEAGUE							
	I-202204089995 TEXAS MUNICIPAL LEAGUE	R	4/08/2022	6,448.40		060879		6,448.40
	DAM INSURANCE							
5830	THE HOME DEPOT - FORMERLY SUPP							
	I-676577869 THE HOME DEPOT - FORMERLY SUPP	R	4/08/2022	115.16		060880		115.16
	ACCT # 470329							
0460	TOM SCOTT LUMBER YARD							
	I-202204089996 TOM SCOTT LUMBER YARD	R	4/08/2022	215.06		060881		215.06

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
 BANK: 99 POOLED CASH  
 DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
1000	U. S. POSTMASTER							
I-202204089997	U. S. POSTMASTER POSTAGE FOR POST CARDS	R	4/08/2022	186.60		060882		186.60
4220	UNDERGROUND UTILITY SUPPL							
I-247014	UNDERGROUND UTILITY SUPPL WTP	R	4/08/2022	2,355.00		060883		
I-247102	UNDERGROUND UTILITY SUPPL MAINTENANCE DEPT	R	4/08/2022	2,060.76		060883		4,415.76
199	VIDALYON STUDIOS							
I-530E5D3C-016	VIDALYON STUDIOS MAIN ST WEB HOSTING	R	4/08/2022	43.98		060884		43.98
2390	AMERICAN MUNICIPAL SERVICES CO							
I-53188	AMERICAN MUNICIPAL SERVICES CO COURT COLLECTION	R	4/14/2022	129.30		060885		129.30
3140	CARD SERVICE CENTER							
I-202204149998	CARD SERVICE CENTER	R	4/14/2022	2,790.72		060886		2,790.72
0055	CHARLES EDWARD RUSSELL							
I-202204140011	CHARLES EDWARD RUSSELL FIRE DEPT	R	4/14/2022	107.76		060887		107.76
195	CINTAS CORPORATION #495							
I-4116295171	CINTAS CORPORATION #495 ACCT # 16552586	R	4/14/2022	114.86		060888		114.86
10	COLIN J. CLASBY							
I-202204149999	COLIN J. CLASBY FIRE DEPT PAY	V	4/14/2022	74.50		060889		74.50
10	COLIN J. CLASBY							
M-CHECK	COLIN J. CLASBY	VOIDED	V	4/14/2022		060889		74.50CR
41	COMPLETE SUPPLY, INC.							
I-284890	COMPLETE SUPPLY, INC. WTP	R	4/14/2022	99.02		060890		99.02
2660	DAVID AARON JANES							
I-202204140005	DAVID AARON JANES FIRE DEPT	R	4/14/2022	180.00		060891		180.00

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
BANK: 99 POOLED CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0060	DEREK K. HENDRIX							
I-202204140004	DEREK K. HENDRIX	R	4/14/2022	87.56		060892		87.56
	FIRE DEPT							
2420	ECHO PUBLISHING CO INC							
I-8492	ECHO PUBLISHING CO INC	R	4/14/2022	249.09		060893		249.09
	OFFICE SUPPLIES							
1770	EDC FUND							
I-202204140013	EDC FUND	R	4/14/2022	27,786.68		060894		27,786.68
2220	FIRE IN TEXAS							
I-8299	FIRE IN TEXAS	R	4/14/2022	2,558.00		060895		2,558.00
	COLIN CLASBY							
0160	FRANKLIN CO. TREASURER							
I-202204140014	FRANKLIN CO. TREASURER	R	4/14/2022	142.53		060896		142.53
	TAX COLLECTION							
3880	FUELMAN							
I-NP61954522	FUELMAN	R	4/14/2022	269.64		060897		269.64
	ACCT # BG121381							
9970	JAYME HALEY							
I-202204140003	JAYME HALEY	R	4/14/2022	124.00		060898		124.00
	FIRE DEPT							
6530	JEREMY D. COX							
I-202204140000	JEREMY D. COX	R	4/14/2022	165.00		060899		165.00
	FIRE DEPT							
9950	JESSE SCOTT RAGSDALE							
I-202204140009	JESSE SCOTT RAGSDALE	R	4/14/2022	511.50		060900		511.50
	FIRE DEPT							
0085	JOHNNY D. GLASCO							
I-202204140001	JOHNNY D. GLASCO	R	4/14/2022	176.44		060901		176.44
	FIRE DEPT							
9890	JOHNNY R. GLASCO							
I-202204140002	JOHNNY R. GLASCO	R	4/14/2022	322.00		060902		322.00
	FIRE DEPT							

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
BANK: 99 POOLED CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
7680	JOSHUA M. TUCKER							
I-202204140012	JOSHUA M. TUCKER	R	4/14/2022	229.00		060903		229.00
	FIRE DEPT							
4930	LINEBARGER, GOGGAN, BLAIR & SA							
I-202204140015	LINEBARGER, GOGGAN, BLAIR & SA	R	4/14/2022	256.01		060904		256.01
	TAX COLLECTION							
48	MICHAEL JONES							
I-040722	MICHAEL JONES	R	4/14/2022	1,350.00		060905		1,350.00
	HEALTH INSPECTIONS							
1950	MOUNT VERNON NEWS							
I-202204140016	MOUNT VERNON NEWS	R	4/14/2022	40.00		060906		40.00
	NEWSPAPER SUBSCRIPTION							
9910	NATHAN EDWIN RHOADES							
I-202204140010	NATHAN EDWIN RHOADES	R	4/14/2022	79.60		060907		79.60
	FIRE DEPT							
5030	O'REILLY AUTO PARTS							
I-1991-357229	O'REILLY AUTO PARTS	R	4/14/2022	19.53		060908		19.53
	WWTP							
7750	POLYDYNE INC.							
I-1630002	POLYDYNE INC.	R	4/14/2022	693.99		060909		693.99
	WWTP							
9180	RICHARD DRAKE CONSTRUCTION COM							
I-183319	RICHARD DRAKE CONSTRUCTION COM	R	4/14/2022	2,088.29		060910		2,088.29
	TYPE D COLD MIX							
9980	ROBERT WADE POWELL							
I-202204140008	ROBERT WADE POWELL	R	4/14/2022	74.50		060911		74.50
	FIRE DEPT							
0132	SEAN PERRY MEDDERS							
I-202204140007	SEAN PERRY MEDDERS	R	4/14/2022	21.50		060912		21.50
	FIRE DEPT							
107	SHANE MARKER							
I-202204140006	SHANE MARKER	R	4/14/2022	88.67		060913		88.67
	FIRE DEPT							
I-202204140017	SHANE MARKER	R	4/14/2022	37.87		060913		126.54
	REIMBURSEMENT FOR FIRE DEPT BATTERIES							

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
BANK: 99 POOLED CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
1140	STATE COMPTROLLER I-202204140018 STATE COMPTROLLER COURT COSTS	R	4/14/2022	5,037.88		060914		5,037.88
7870	ALLIANCE DOCUMENT SHREDDING I-54924 ALLIANCE DOCUMENT SHREDDING ANNUAL DOUCMENT SHREDDING	R	4/21/2022	150.20		060919		150.20
7220	AVENU INSIGHTS & ANALYTICS I-INV06-014005 AVENU INSIGHTS & ANALYTICS ACCT # 435029067110	R	4/21/2022	1,442.81		060920		1,442.81
0880	CENTER POINT ENERGY I-202204210019 CENTER POINT ENERGY ACCT # 8000040366-9	R	4/21/2022	669.40		060921		669.40
195	CINTAS CORPORATION #495 I-4117100036 CINTAS CORPORATION #495 ACCT # 16552586	R	4/21/2022	240.92		060922		240.92
7020	COLLVINS COLLISION I-2332 COLLVINS COLLISION 2020 TAHOE POLICE DEPT	R	4/21/2022	370.00		060923		370.00
27	CORE & MAIN I-Q653811 CORE & MAIN WTP	R	4/21/2022	559.08		060924		559.08
36	CUB CADET OF SULPHUR SPRINGS I-9356 CUB CADET OF SULPHUR SPRINGS PARK MOWER	R	4/21/2022	642.84		060925		642.84
57	ENTERPRISE FM TRUST I-FBN4436193 ENTERPRISE FM TRUST ACCT #600645	R	4/21/2022	7,255.79		060926		7,255.79
2220	FIRE IN TEXAS I-8326 FIRE IN TEXAS DAVID JANES TRAINING	R	4/21/2022	2,558.00		060927		2,558.00
0170	FIRMIN'S BUSINESS ESSENTIALS I-809773-0 FIRMIN'S BUSINESS ESSENTIALS OFFICE SUPPLIES	R	4/21/2022	135.45		060928		135.45



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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
BANK: 99 POOLED CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0180	FRANKLIN CO. WATER DIST. I-MAY 2022 MAY 2022 ACCT # W00002	R	4/21/2022	7,583.33		060929		7,583.33
7040	GARY'S TERMITE & PEST CONTROL I-126899 FIRE DEPT	R	4/21/2022	75.00		060930		75.00
1	GHC IMAGING I-81081 NEWMAN XRAYS ACCT # 12357	R	4/21/2022	150.00		060931		150.00
7590	GT DISTRIBUTORS, INC. I-INV0896367 POLICE DEPT	R	4/21/2022	1,109.88		060932		1,109.88
6070	HOPKINS COUNTY FIRE EXTINGUISH I-45832 FIRE DEPT	R	4/21/2022	241.00		060933		241.00
0280	JON-WAYNE COMPANY I-A-62263 OFFICE A/C MAINTENANCE	R	4/21/2022	50.00		060934		50.00
4970	KSA ENGINEERS CORP. I-ARIV1002140 WWTP	R	4/21/2022	1,750.00		060935		
	KSA ENGINEERS CORP. I-ARIV1002141 WTP	R	4/21/2022	14,250.00		060935		
	KSA ENGINEERS CORP. I-ARIV1002142 WWTP	R	4/21/2022	7,500.00		060935		
	KSA ENGINEERS CORP. I-ARIV1002265 GENERAL ENGINEERING	R	4/21/2022	525.00		060935		24,025.00
5030	O'REILLY AUTO PARTS I-1991-357997 FIRE DEPT	R	4/21/2022	17.97		060936		
	O'REILLY AUTO PARTS I-1991-358581 POLICE DEPT	R	4/21/2022	154.95		060936		
	O'REILLY AUTO PARTS I-1991-358951 CODE DEPT	R	4/21/2022	11.58		060936		184.50

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
BANK: 99 POOLED CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0940	PEOPLES TELEPHONE							
I-202204210020	PEOPLES TELEPHONE	R	4/21/2022	365.86		060937		365.86
	ACCT# 1339701							
	INTERNET SERVICE							
9150	SANITATION SOLUTIONS							
I-4607998V200	SANITATION SOLUTIONS	R	4/21/2022	22,042.70		060938		
	ACCT # 5200-29856-001							
I-4608004V200	SANITATION SOLUTIONS	R	4/21/2022	4,866.75		060938		26,909.45
	ACCT # 5200-31040-001							
0840	SOUTHWESTERN ELECTRIC POWER CO							
I-202204210021	SOUTHWESTERN ELECTRIC POWER CO	R	4/21/2022	2,242.06		060939		2,242.06
	ACCT # 964-476-563-0-5							
3940	STAPLES CREDIT PLAN							
I-P919400F209A0TZ16	STAPLES CREDIT PLAN	R	4/21/2022	45.01		060940		45.01
	ACCT # 6035 5178 2038 7362							
6650	SUDDENLINK							
I-202204210022	SUDDENLINK	R	4/21/2022	39.62		060941		
	ACCT # 07707-141822-01-1							
I-202204210023	SUDDENLINK	R	4/21/2022	53.21		060941		
	ACCT # 07707-119585-01-3							
I-202204210024	SUDDENLINK	R	4/21/2022	39.62		060941		132.45
	ACCT # 07707-141823-01-9							
9420	TYLER TECHNOLOGIES							
I-025-376066	TYLER TECHNOLOGIES	R	4/21/2022	1,087.24		060942		1,087.24
	MAINTENANCE							
4530	U.S. UNDERWATER SERVICE,							
I-S217027TX.00-1	U.S. UNDERWATER SERVICE,	R	4/21/2022	1,695.00		060943		1,695.00
	WATER TOWER INSPECTIONS							
4220	UNDERGROUND UTILITY SUPPL							
I-247700	UNDERGROUND UTILITY SUPPL	R	4/21/2022	40.00		060944		40.00
	MAINTENANCE DEPT							
0520	WEX ENTERPRISE							
I-3-28 THRU 4-10-22	WEX ENTERPRISE	R	4/21/2022	2,696.97		060945		2,696.97
	ACCT # 0496007051741							

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
BANK: 99 POOLED CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
2010	AFLAC I-948531 RICHARD BROWNING AND ANNETTA HAMILTON	R	4/27/2022	188.40		060946		188.40
8350	ALLSTATE I-202204270025 JASON KNOX	R	4/27/2022	35.28		060947		35.28
2390	AMERICAN MUNICIPAL SERVICES CO I-202204270027 AMERICAN MUNICIPAL SERVICES CO UTILITY COLLECTION AGENCY	R	4/27/2022	13.31		060948		13.31
1610	BROOKSEY CROW INC. I-23020967 BROOKSEY CROW INC. WHITE ROCK	R	4/27/2022	684.00		060949		684.00
8700	COLONIAL LIFE I-39055020408324 COLONIAL LIFE CLASBY, CRANE, HINES, POOL	R	4/27/2022	170.00		060950		170.00
27	CORE & MAIN I-Q507104 CORE & MAIN WTP	R	4/27/2022	1,685.90		060951		1,685.90
1830	DHARMA KAY REEVES I-4/21/22 DHARMA KAY REEVES PLANTINGS/CLEAN UP AT PARK	R	4/27/2022	700.00		060952		700.00
0210	FRANKLIN CO. APPRISAL DIS I-202204270030 FRANKLIN CO. APPRISAL DIS	R	4/27/2022	1,705.08		060953		1,705.08
0160	FRANKLIN CO. TREASURER I-202204270028 FRANKLIN CO. TREASURER LIBRARY 1541.67 DISPATCHER 9416.17 ADMIN 1769.16	R	4/27/2022	12,727.00		060954		12,727.00
3880	FUELMAN I-NP62008039 FUELMAN ACCT # BG121381	R	4/27/2022	113.57		060955		113.57
62	LONDON RAMSAY I-202204270029 LONDON RAMSAY PROSECUTOR FEE	R	4/27/2022	300.00		060956		300.00

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A/P HISTORY CHECK REPORT

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Item 1.

VENDOR SET: 99 City of Mount Vernon  
BANK: 99 POOLED CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0126	LIBERTY NATIONAL LIBERTY NATIONAL ACCT # 84974	R	4/27/2022	655.40		060957		655.40
6810	MT. VERNON CEMETERY MT. VERNON CEMETERY INTEREST BRUCE CD	R	4/27/2022	200.94		060958		200.94
6990	NETWORK TECHNOLOGIES NETWORK TECHNOLOGIES RUBY'S COMPUTER	R	4/27/2022	99.95		060959		99.95
5030	O'REILLY AUTO PARTS O'REILLY AUTO PARTS PARK DEPT	R	4/27/2022	20.98		060960		20.98
8770	PITNEY BOWES, INC. PITNEY BOWES, INC. ACCT # 8000-9090-0342-4137	R	4/27/2022	520.99		060961		520.99
0099	ROBIN FOWLER ROBIN FOWLER CITY HALL JANITORIAL SERVICES	R	4/27/2022	370.00		060962		370.00
6650	SUDDENLINK SUDDENLINK ACCT # 07707-123517-01-0 ACCT # 07707-140665-01-6	R	4/27/2022	194.87		060963		194.87
0850	TEXAS MUNICIPAL RETIREMENT TEXAS MUNICIPAL RETIREMENT	R	4/27/2022	13,941.01		060964		13,941.01
1690	TML - HEALTH TML - HEALTH	R	4/27/2022	16,377.44		060965		16,377.44
1000	U. S. POSTMASTER U. S. POSTMASTER	R	4/27/2022	408.03		060966		408.03
0520	WEX ENTERPRISE WEX ENTERPRISE ACCT # 0496007051741	R	4/27/2022	1,768.94		060967		1,768.94

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
BANK: 99 POOLED CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
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\* \* T O T A L S \* \*

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	106	223,586.90	0.00	220,721.68
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	0	0.00	0.00	0.00
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	2	VOID DEBITS 0.00		
		VOID CREDITS 2,865.22CR	0.00	

TOTAL ERRORS: 0

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
VENDOR SET: 99 BANK: 99 TOTALS:	108	220,721.68	0.00	220,721.68
BANK: 99 TOTALS:	108	220,721.68	0.00	220,721.68

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A/P HISTORY CHECK REPORT

PAGE:

Item 1.

VENDOR SET: 99 City of Mount Vernon  
BANK: EDC EDC CASH  
DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
2370	SANCHEZ AND ASSOCIATES							
I-6101-14	SANCHEZ AND ASSOCIATES	R	4/21/2022	14,711.00		003973		14,711.00
	INVOICE # 6101-14							

\* \* T O T A L S \* \*

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	1	14,711.00	0.00	14,711.00
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	0	0.00	0.00	0.00
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	0	VOID DEBITS	0.00	
		VOID CREDITS	0.00	0.00

TOTAL ERRORS: 0

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
VENDOR SET: 99 BANK: EDC TOTALS:	1	14,711.00	0.00	14,711.00
BANK: EDC TOTALS:	1	14,711.00	0.00	14,711.00

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A/P HISTORY CHECK REPORT

VENDOR SET: 99 City of Mount Vernon  
 BANK: PY POOLED-PAYROLL  
 DATE RANGE: 4/01/2022 THRU 4/30/2022

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
0980	SOCIAL SECURITY DEPOSIT							
I-T3 04072022	SOCIAL SECURITY	D	4/07/2022	4,674.60		000013		
I-T4 04072022	MEDICARE	D	4/07/2022	1,093.26		000013		5,767.86
0990	FED. WITHHOLDING DEPOSIT							
I-T1 04072022	EMP. WITHHOLDING	D	4/07/2022	2,674.39		000014		2,674.39
0980	SOCIAL SECURITY DEPOSIT							
I-T3 04212022	SOCIAL SECURITY	D	4/21/2022	5,193.72		000015		
I-T4 04212022	MEDICARE	D	4/21/2022	1,214.66		000015		6,408.38
0990	FED. WITHHOLDING DEPOSIT							
I-T1 04212022	EMP. WITHHOLDING	D	4/21/2022	3,097.45		000016		3,097.45
5090	TEXAS CHILD SUPPORT DISB. UNIT							
I-CC 04072022	CHILD CARE	R	4/07/2022	244.58		060855		244.58
5090	TEXAS CHILD SUPPORT DISB. UNIT							
I-CC 04212022	CHILD CARE	R	4/21/2022	244.58		060918		244.58

* * T O T A L S * *	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	2	489.16	0.00	489.16
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	4	17,948.08	0.00	17,948.08
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	0	VOID DEBITS	0.00	
		VOID CREDITS	0.00	0.00

TOTAL ERRORS: 0

VENDOR SET: 99	BANK: PY	TOTALS:	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
			6	18,437.24	0.00	18,437.24
BANK: PY	TOTALS:		6	18,437.24	0.00	18,437.24
REPORT TOTALS:			115	253,869.92	0.00	253,869.92

SELECTION CRITERIA

-----  
VENDOR SET: 99-City of Mt. Vernon  
VENDOR: ALL  
BANK CODES: All  
FUNDS: All  
-----

CHECK SELECTION

-----  
CHECK RANGE: 000000 THRU 999999  
DATE RANGE: 4/01/2022 THRU 4/30/2022  
CHECK AMOUNT RANGE: 0.00 THRU 999,999,999.99  
INCLUDE ALL VOIDS: YES  
-----

PRINT OPTIONS

SEQUENCE: CHECK NUMBER

-----  
PRINT TRANSACTIONS: YES  
PRINT G/L: NO  
UNPOSTED ONLY: NO  
EXCLUDE UNPOSTED: NO  
MANUAL ONLY: NO  
STUB COMMENTS: YES  
REPORT FOOTER: NO  
CHECK STATUS: NO  
PRINT STATUS: \* - All  
-----





**MT. VERNON CEMETERY ASSOCIATION**

Bobby Elbert – president

Thomas Tower

Margaret Sears

Larry Scott

Linda Tinsley – secretary

**MT. VERNON-FRANKLIN COUNTY REINVESTMENT ZONE**

Mayor

Franklin County Judge

City Manager

Bill Kent – Business Owner

Bob Stinson – Franklin Co. Industrial Foundation

Genea Burnaman – Resident at Large

**ECONOMIC DEVELOPMENT** 2 year terms

Kevin Anthony	Place 1	2024
Jack Carlson	Place 2	2023
Gail Reed - Sec	Place 3	2024
Mark Sachse -Pres	Place 4	2023
Julia Munoz	Place 5	2024
G W Sharp	Place 6	2023
Roger Gekiere - Vice Pres	Place 7	2024

**APPEALS BOARD (INTERNATIONAL PROPERTY MAINTENANCE CODE)**

Harlen Cooper

Bill Wilson

Steve Slaton

Bob Stinson - alternate

Charlie Wilson – alternate

G/L POSTING DATE: 5/03/2022

\*\* TRANSFER TO BAD DEBT \*\*

Item 1.

PACKET: 11912

POSTING TYPE: BOTH

COMMENT CODE: BAD -BAD DEBT

FLAG ACCOUNT: NO

ACCOUNT NO	NAME	BALANCE LAST BILL							
01-0913-00-0	ORTIZ, CANDIDO	161.91	100-WATER	49.93	200-ST WTR	5.77	400-SEWER	58.49	
** LAST PAYMENT MADE:	6/15/2021	9/01/2021	500-GARBAG	36.98	700-TAX	3.05	850-PENALT	7.69	
01-1281-14-0	KAUL, AMBER L	22.49	100-WATER	8.43	400-SEWER	9.37	500-GARBAG	4.33	
** LAST PAYMENT MADE:	1/22/2022	3/01/2022	700-TAX	0.36					
01-1820-16-0	DUNCAN, DI ANA	102.99	100-WATER	36.28	200-ST WTR	2.30	400-SEWER	40.30	
** LAST PAYMENT MADE:	10/25/2021	1/01/2022	500-GARBAG	18.95	700-TAX	1.56	850-PENALT	3.60	
02-0390-00-0	SHOEMAKE, BILL	111.51	100-WATER	38.90	200-ST WTR	2.57	400-SEWER	43.23	
** LAST PAYMENT MADE:	11/01/2021	1/01/2022	500-GARBAG	20.48	700-TAX	1.68	850-PENALT	4.65	
02-1310-39-0	BONDS, CHAD	56.35	100-WATER	18.04	200-ST WTR	1.54	400-SEWER	20.77	
** LAST PAYMENT MADE:	8/03/2021	10/01/2021	500-GARBAG	12.73	700-TAX	1.05	850-PENALT	2.22	
02-1570-05-0	WHITTINGTON, ZAKK	86.74	100-WATER	41.12	400-SEWER	41.83	500-GARBAG	3.50	
** LAST PAYMENT MADE:	1/18/2022	3/01/2022	700-TAX	0.29					
02-1741-09-0	SMITH, SHANE	30.56	100-WATER	11.46	400-SEWER	12.73	500-GARBAG	5.88	
** LAST PAYMENT MADE:	12/22/2021	2/01/2022	700-TAX	0.49					
02-1861-08-0	KORSMO-DAVIS, SIETSKE	30.41	100-WATER	11.89	400-SEWER	13.10	500-GARBAG	5.00	
** LAST PAYMENT MADE:	10/15/2021	12/01/2021	700-TAX	0.42					
02-2130-03-0	WILBORN, JOANNA	964.23	100-WATER	431.09	200-ST WTR	11.15	400-SEWER	443.85	
** LAST PAYMENT MADE:	10/01/2021	1/01/2022	500-GARBAG	58.66	700-TAX	4.83	850-PENALT	14.65	
02-2280-04-0	BRIGHT, LANDON	60.46	100-WATER	15.33	110-RECONN	10.32	200-ST WTR	1.24	
** LAST PAYMENT MADE:	9/29/2021	12/01/2021	400-SEWER	17.49	500-GARBAG	11.04	700-TAX	0.91	
			850-PENALT	4.13					
02-2360-15-0	CROWDER, MORRIS	1,094.40	100-WATER	485.32	200-ST WTR	5.40	400-SEWER	479.35	
** LAST PAYMENT MADE:	9/14/2021	12/01/2021	500-GARBAG	31.55	700-TAX	2.61	850-PENALT	90.17	
02-2380-01-0	GARNER, MICHAEL K	84.56	100-WATER	26.98	200-ST WTR	1.81	400-SEWER	30.41	
** LAST PAYMENT MADE:	11/29/2021	2/01/2022	500-GARBAG	19.26	700-TAX	1.59	850-PENALT	4.51	
02-2390-15-0	HAGGARD, SHAWN M	111.53	100-WATER	38.29	200-ST WTR	2.57	400-SEWER	42.44	
** LAST PAYMENT MADE:	9/27/2021	12/01/2021	500-GARBAG	18.64	700-TAX	1.54	850-PENALT	8.05	
03-0178-12-0	RASH, TRICIA J	150.35	100-WATER	53.83	200-ST WTR	3.02	400-SEWER	59.66	
** LAST PAYMENT MADE:	11/22/2021	2/01/2022	500-GARBAG	26.18	700-TAX	2.16	850-PENALT	5.50	
03-0250-13-0	SANCHEZ, CRYSTAL	59.60	100-WATER	20.25	200-ST WTR	1.43	400-SEWER	23.09	
** LAST PAYMENT MADE:	7/26/2021	10/01/2021	500-GARBAG	12.13	700-TAX	1.00	850-PENALT	1.70	
03-0700-07-0	EVANS, RETTA	116.04	100-WATER	32.88	111-SA	19.63	200-ST WTR	3.00	
** LAST PAYMENT MADE:	9/16/2021	11/01/2021	400-SEWER	36.93	500-GARBAG	21.80	700-TAX	1.80	
03-1582-03-0	ARDEN, LAUREN	179.02	100-WATER	78.71	400-SEWER	83.59	500-GARBAG	15.45	
** LAST PAYMENT MADE:	9/19/2021	11/01/2021	700-TAX	1.27					

05-03-2022 09:59 AM  
 G/L POSTING DATE: 5/03/2022  
 PACKET: 11912  
 POSTING TYPE: BOTH  
 COMMENT CODE: BAD -BAD DEBT  
 FLAG ACCOUNT: NO

WRITE OFF AUDIT REGISTER  
 \*\* TRANSFER TO BAD DEBT \*\*

Item 1.

ACCOUNT NO	NAME	BALANCE LAST BILL						
03-6020-02-0	ROEUN, RIEM	203.56	100-WATER	46.40	200-ST WTR	5.50	400-SEWER	45.07
	** LAST PAYMENT MADE: 9/07/2021	12/01/2021	500-GARBAG	92.29	700-TAX	7.61	850-PENALT	6.69
03-7027-19-0	GONZALEZ, GUADALUPE	70.52	100-WATER	30.92	200-ST WTR	1.51	400-SEWER	34.70
	** LAST PAYMENT MADE: 8/26/2021	12/01/2021	850-PENALT	3.39				
04-0811-15-0	SCHONFELD, JASON	152.96	100-WATER	56.71	200-ST WTR	3.15	400-SEWER	62.37
	** LAST PAYMENT MADE: 12/26/2021	3/01/2022	500-GARBAG	21.96	700-TAX	1.81	850-PENALT	6.96
04-0839-17-0	FOUNTAIN, DAKOTA	177.41	100-WATER	65.37	200-ST WTR	3.39	400-SEWER	71.87
	** LAST PAYMENT MADE: 9/13/2021	12/01/2021	500-GARBAG	26.64	700-TAX	2.20	850-PENALT	7.94
04-0881-11-0	FRITZ, JAMES	80.51	100-WATER	30.78	200-ST WTR	0.02	400-SEWER	34.06
	** LAST PAYMENT MADE: 9/27/2021	11/01/2021	500-GARBAG	14.46	700-TAX	1.19		
04-0913-11-0	RUDDER, CARRIE	5.23	100-WATER	2.05	400-SEWER	2.26	500-GARBAG	0.85
	** LAST PAYMENT MADE: 11/22/2021	1/01/2022	700-TAX	0.07				
04-0914-27-0	ROBERTS, PAMELA	150.13	100-WATER	59.06	200-ST WTR	0.75	400-SEWER	64.63
	** LAST PAYMENT MADE: 11/30/2021	1/01/2022	500-GARBAG	20.34	700-TAX	1.67	850-PENALT	3.68
04-1370-04-0	GLASPIE, LAQUIN M	166.15	100-WATER	57.63	200-ST WTR	4.53	400-SEWER	64.55
	** LAST PAYMENT MADE: 6/14/2021	9/01/2021	500-GARBAG	29.86	700-TAX	2.46	850-PENALT	7.12
04-1688-13-0	EVANS, SHEILA	57.53	100-WATER	22.54	400-SEWER	24.84	500-GARBAG	9.38
	** LAST PAYMENT MADE: 8/23/2021	10/01/2021	700-TAX	0.77				
04-1689-25-0	CARNES, NEDRA	10.23	100-WATER	3.67	400-SEWER	4.12	500-GARBAG	2.25
	** LAST PAYMENT MADE: 9/01/2021	11/01/2021	700-TAX	0.19				
**TOTALS**	NUMBER OF ACCOUNTS: 27	4,497.38	100-WATER	1,773.86	110-RECONN	10.32	111-SA	19.63
			200-ST WTR	60.65	400-SEWER	1,865.10	500-GARBAG	540.59
			700-TAX	44.58	850-PENALT	182.65		

ACCOUNT	SOURCE NAME	AMOUNT
01 -1012	ACCOUNTS RECEIVABLE	585.17CR
01 -5140.041	BAD DEBTS	585.17
02 -1014	ACCOUNTS RECEIVABLE	3,912.21CR
02 -5150.041	BAD DEBT STORM WATER	60.65
02 -5160.041	BAD DEBT EXPENSE	1,966.83
02 -5170.041	BAD DEBTS (SEWER SERVICE)	1,884.73

WARNINGS: 0  
 ERRORS: 0

\*\* END OF REPORT \*\*

**RESOLUTION NO. 22-11****A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF MOUNT VERNON, TEXAS, APPROVING AN ENGAGEMENT AGREEMENT FOR BOND COUNSEL LEGAL SERVICES WITH NORTON ROSE FULBRIGHT US LLP; AND OTHER MATTERS IN CONNECTION THEREWITH**

WHEREAS, City of Mount Vernon, Texas (the *City*) anticipates accessing the public or private markets from time to time to issue securities to finance certain capital improvement projects within the City or to refinance securities previously issued by the City, which will require the City to comply with the applicable laws and administrative rules of the State of Texas (the *State*) and federal securities and federal tax laws related thereto; and

WHEREAS, the City requires legal counsel which specializes in public finance matters and is well versed in State and federal securities and federal tax laws and applicable administrative procedures to provide bond counsel legal services pertaining to the City's issuance of securities;

WHEREAS, the payment of such legal services shall be payable from the proceeds of such securities; and

WHEREAS, the City desires to engage Norton Rose Fulbright US LLP to provide bond counsel legal services on all of the City's publicly offered or privately placed securities issues, an engagement agreement for bond counsel legal services pertaining to the City's anticipated future issuances of securities, a copy of which is attached hereto as Exhibit A (the "Engagement Agreement"); and

WHEREAS, House Bill No. 2826, 86th Leg., R.S, effective September 1, 2019 (*HB 2826*), requires that a political subdivision of the State, including the City, enter into a contingent fee contract for legal services only after: (i) the governing body of the political subdivision has provided written notice to the public stating certain provisions enumerated within HB 2826; (ii) the governing body of the political subdivision approved such contract in an open meeting called for the purposes of considering such contract; (iii) the governing body of the political subdivision has stated in writing certain findings made by the governing body upon the approval of such contract, and (iv) the Texas Attorney General need not approve the Engagement Agreement pursuant to the exception provided by Section 2254.102(e) of HB 2826; and

WHEREAS, the City caused notice of this resolution (the *Resolution*), this meeting, and the following provisions enumerated within HB 2826 to be provided to the public in accordance with the Texas Open Meetings Act and HB 2826:

1. The City intends to engage Norton Rose Fulbright US LLP to provide bond counsel legal services pertaining to the City's issuance of securities on the public or private market, including advising the City on any "official statement" to potential investors pursuant to federal securities laws and issuing a legal opinion as to the foregoing;

2. Norton Rose Fulbright US LLP has demonstrated to the City its competence, qualifications, and experience as an industry leader in public finance matters through the provision of bond counsel legal services;
3. Accessing the public or private markets through the issuance of securities and providing an “official statement” of the City to potential investors is governed by State and federal securities and federal tax laws and requires the advice of legal advisors that specialize in public finance matters that are well versed in public finance legal matters;
4. Engaging an attorney in private practice whose fees are charged on an hourly fee arrangement would result in fees incurred that would be payable by the City by amounts on deposit in the City’s General Fund, whether or not the securities are issued;
5. Fees for legal services in public finance matters, including bond counsel legal services, have traditionally been paid pursuant to a fixed fee arrangement, where such fees become payable only upon the successful issuance of the securities and are payable solely out of the proceeds of the securities;
6. Entering into a contract for bond counsel legal services with Norton Rose Fulbright US LLP (a firm that specializes in public finance matters and is well versed in state and federal securities and federal tax laws) payment of which is payable out of the proceeds received from the issuance of the securities is in the best interest of the City and the fee(s) payable under the contract are reasonable in the public finance market;
7. For each of the reasons state above, the execution of the Engagement Agreement with Norton Rose Fulbright US LLP is in the best interest of the residents of the City; and

WHEREAS, the meeting at which this Resolution is being considered is an open meeting called, in part, for the purposes of considering (i) the need for obtaining the bond counsel legal services that are the subject of the Engagement Agreement, (ii) the terms of the Engagement Agreement, (iii) the competence, qualifications, and experience of Norton Rose Fulbright US LLP, and (iv) the reasons the Engagement Agreement is in the best interest of the residents of the City and in compliance with HB 2826; and

WHEREAS, the City hereby finds and determines that the adoption of this Resolution is in the best interests of the residents of the City; now, therefore,

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF MOUNT VERNON, TEXAS THAT:

SECTION 1. The recitals contained in the preamble hereof are hereby found to be true, and such recitals are hereby made a part of this Resolution for all purposes and are adopted as a part of the judgment and findings of the City.

SECTION 2. The City hereby finds that: (i) there is a substantial need for the bond counsel legal services that are the subject of the Engagement Agreement with Norton Rose Fulbright US LLP; (ii) the City does not currently employ attorneys and supporting personnel qualified to provide bond counsel legal services; (iii) the bond counsel legal services cannot reasonably be obtained from attorneys in private practice under a contract providing only for the payment of hourly fees, without regard to the outcome of the matter, as such fees have not been budgeted by the City and the City does not have budgeted funds to pay the estimated amounts required under a contract providing only for the payment of hourly fees which are not contingent on the issuance of the securities; and (iv) the relationship between the City and Norton Rose Fulbright US LLP is not improper and would not appear improper to a reasonable person.

SECTION 3. Based on the findings by the City described above, the City hereby approves entering into the Engagement Agreement with Norton Rose Fulbright US LLP and authorizes the City Mayor to execute the Engagement Agreement.

SECTION 4. All orders and resolutions, or parts thereof, which are in conflict or inconsistent with any provision of this Resolution are hereby repealed to the extent of such conflict, so that the provisions of this Resolution shall be and remain controlling as to the matters resolved herein.

SECTION 5. This Resolution shall be construed and enforced in accordance with the laws of the State of Texas and the United States of America.

SECTION 6. If any provision of this Resolution or the application thereof to any person or circumstance shall be held to be invalid, the remainder of this Resolution and the application of such provision to other persons and circumstances shall nevertheless be valid, and the City hereby declares that this Resolution would have been enacted without such invalid provision.

SECTION 7. It is officially found, determined, and declared that the meeting at which this Resolution is adopted was open to the public and public notice of the time, place, and subject matter of the public business to be considered at such meeting, including this Resolution, was given, all as required by Chapter 551, as amended, Texas Government Code.

SECTION 8. This Resolution shall be in force and effect from and after its final passage, and it is so resolved.

PASSED AND APPROVED this the 9<sup>th</sup> day of May, 2022.

CITY OF MOUNT VERNON, TEXAS

\_\_\_\_\_  
Mayor

ATTEST:

\_\_\_\_\_  
City Secretary

(City Seal)



**EXHIBIT A**  
**Engagement Agreement**


**NORTON ROSE FULBRIGHT**

February 25, 2022

Norton Rose Fulbright US LLP  
2200 Ross Avenue, Suite 3600  
Dallas, Texas 75201-7932  
United States

Hon. Brad Hyman  
Mayor  
City of Mount Vernon  
109 North Kaufman Street  
Mount Vernon, Texas 75457

**Robert D. Dransfield**  
**Partner**  
Direct line +1 214 855 8068  
robert.dransfield@nortonrosefulbright.com

Tel +1 214 855 8000  
Fax +1 214 855 8200  
nortonrosefulbright.com

Re: Bond Counsel Services – City of Mount Vernon, Texas

Dear Mayor Hyman:

We sincerely appreciate the opportunity to serve as bond counsel to the City of Mount Vernon, Texas, (the “City”). This letter confirms that Norton Rose Fulbright US LLP will represent the City in connection with the issuance of various debt obligations (the “Matter”). Our acceptance of that representation (the “Representation”) becomes effective upon the execution of this letter and return of an executed copy for our files.

### **Terms of Engagement**

This letter sets out the terms of our engagement in the Representation. Certain of those terms are included in the body of this letter, and additional terms are contained in the attached document, entitled *Additional Terms of Engagement*. That document is expressly incorporated into this letter, and it should be read carefully. The execution and return of a copy of this letter constitutes an unqualified agreement to all the terms set forth in this letter and in the attached *Additional Terms of Engagement*.

As Bond Counsel, we will prepare, or assist the appropriate City officials and staff in the preparation of, all required legal proceedings and will perform certain other necessary legal work in connection with the City’s authorization, issuance, and sale of the Securities (as defined herein). Our services as Bond Counsel will include the following services:

- preparation of any order, resolution, ordinance, trust indenture, or trust agreement authorizing or securing bonds, certificates of obligation, notes, contractual obligations, or other forms of indebtedness (collectively, the “Securities”), as well as redemption orders, ordinances and resolutions to redeem outstanding Securities;
- performance of required federal, State, and local tax analysis involved in planning and executing Securities transactions;

Norton Rose Fulbright US LLP is a limited liability partnership registered under the laws of Texas.

95896141.2/08006101

Norton Rose Fulbright US LLP, Norton Rose Fulbright LLP, Norton Rose Fulbright Australia, Norton Rose Fulbright Canada LLP and Norton Rose Fulbright South Africa Inc are separate legal entities and all of them are members of Norton Rose Fulbright Verein, a Swiss verein. Norton Rose Fulbright Verein helps coordinate the activities of the members but does not itself provide legal services to clients. Details of each entity, with certain regulatory information, are available at [nortonrosefulbright.com](http://nortonrosefulbright.com).

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Page 2

- providing legal advice to ensure compliance by the City with all federal and State securities laws including, but not limited to, establishing internal procedures to comply with the City's requirements concerning continuing secondary market disclosure;
- attendance at meetings with City staff to the extent required or requested with reference to the authorization and issuance of Securities;
- attendance at meetings with the City's financial advisors or purchasers of the City's Securities and with rating agencies and bond insurers to the extent required or requested by the City;
- review, negotiation, and modification of offering and sale documentation;
- preparation of necessary closing documentation and supporting tax certifications and filings;
- submission of transcripts of proceedings to the Office of the Attorney General of Texas requesting approval of Securities and coordination of registration of initial Securities with the Comptroller of Public Accounts of Texas;
- supervision of the printing and execution of Securities and the delivery thereof to the underwriters or other purchasers or the coordination of the delivery of the Securities to The Depository Trust Company;
- representation of the City at the closing of each issue or installment of Securities;
- reviewing City policies and procedures, as well as providing guidance for change and implementation of additional policies;
- conducting research and providing memoranda related to questions arising as to elections, debt offerings, and post-issuance compliance;
- presentation of our Firm's market opinion addressing the validity of the Securities, the source of payment and security for the Securities, and whether and to what extent interest on the Securities is exempt from federal income taxation;
- guidance with questions that arise from time to time relating to previously-issued Securities, Securities to be issued, tax laws, State laws, and other questions related to Securities financing and utilization of such funds;
- providing the City with litigation support in the event of bond election contests, Texas ethics complaints, or other bond-related controversies;
- presenting to the City industry-developments that could impact the City or its operational activities; and
- conducting seminars and training events for City staff involved in managing the City's debt portfolio.

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Our engagement is to advise you with respect to legal issues only. Unless we are separately engaged to provide such services, our duties as Bond Counsel specifically do not include:

1. except as described above, or except as specifically engaged for such purpose, assisting in the preparation or review of an official statement or any other disclosure document with respect to the Securities, or performing an independent investigation to determine the accuracy, completeness or sufficiency of any such document or rendering advice that the official statement or other disclosure document does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements contained therein, in the light of the circumstances under which they were made, not misleading;
2. preparing requests for tax rulings from the Internal Revenue Service;
3. preparing blue sky or investment surveys with respect to the Securities;
4. drafting of state constitutional amendments or preparation of authorizing legislation;
5. making an investigation or expressing any view of the creditworthiness of the City or of the Securities or any obligor therefor;
6. responding to Internal Revenue Service audits or Securities and Exchange Commission investigations or other regulatory entities;
7. responding to litigation challenging or otherwise affecting the issuance and delivery of the Securities; or
8. any other matter not specifically set forth above that is not required to render the Bond Opinion.

It is understood and agreed that our engagement is limited to the Representation. We are not being retained as general counsel, and our acceptance of this engagement does not imply any undertaking to provide legal services other than those set forth in this letter.

#### **Our Personnel Who Will Be Working on the Matter**

I will be the City's first line of contact with the firm. Joy Ellis, one of my partners, will be providing federal tax counsel services. Other firm personnel, including firm lawyers and paralegals, will participate in the Matter if, in our judgment, their participation is necessary or appropriate.

#### **Our Legal Fees and Other Charges**

Our fee per series of obligations would be \$10,000.00, plus \$1.00 per \$1,000 in principal amount of obligations issued. For refundings add \$5,000.00. In addition to our fee, we would also seek reimbursement for our out-of-pocket expenses incurred, such as telephone, travel, reproduction charges (at 10 cents per page), delivery charges, etc. Assuming no travel costs

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Page 4

are incurred and exclusive of the processing fee of the Attorney General of Texas, such out-of-pocket expenses generally do not exceed \$1,000.00 per issue.

If an election is required to authorize the issuance of Securities, add \$10,000.00, which will be included in the first issue following the passage of the election. If the election fails, we will bill for legal services rendered in connection with the election at our standard hourly rates and cap the amount due at \$10,000. Any third party provider costs (i.e., Spanish translations, publication costs) will be billed directly to the City or included as a cost reimbursement in our statement for services rendered.

Unusual or unique structures and financings: negotiated at the time of issuance when structure is known.

### Conflicts of Interest

Before accepting the Representation, we have undertaken reasonable and customary efforts to determine whether there are any potential conflicts of interest that would bar our firm from representing the City in the Matter. Based on the information available to us, we are not aware of any potential disqualification. We reviewed that issue in accordance with the rules of professional responsibility adopted in Texas. We believe that those rules, rather than the rules of any other jurisdiction, are applicable to the Representation; and the execution and return of a copy of this letter by the City of Mount Vernon represents an express agreement to the applicability of those rules.

### Conclusion

This letter and the attached *Additional Terms of Engagement* constitute the entire terms of the engagement of Norton Rose Fulbright US LLP in the Representation. These written terms of engagement are not subject to any oral agreements or understandings, and they can be modified only by further written agreement signed both by the City of Mount Vernon and Norton Rose Fulbright US LLP. Unless expressly stated in these terms of engagement, no obligation or undertaking shall be implied on the part of either the City of Mount Vernon or Norton Rose Fulbright US LLP.

Please carefully review this letter and the attached *Additional Terms of Engagement*. If there are any questions about these terms of engagement, or if these terms are inaccurate in any way, please let me know.

Sincerely,



Robert D. Dransfield

RDD/smh

February 25, 2022  
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City of Mount Vernon Agrees to and Accepts this Letter and the Attached Terms of Engagement:

CITY OF MOUNT VERNON

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

## NORTON ROSE FULBRIGHT US LLP

### *Additional Terms of Engagement*

This is a supplement to our engagement letter, dated February 25, 2022. The purpose of this document is to set out additional terms of our agreement to provide the representation described in our engagement letter (the “Representation”) concerning bond counsel services. Because these additional terms of engagement are a part of our agreement to provide legal services, qualified staff of the City of Mount Vernon (the “City”) should review them carefully and should promptly communicate to us any questions concerning this document. We suggest that the City retain this statement of additional terms along with our engagement letter and any related documents.

### **The Scope of the Representation**

As lawyers, we undertake to provide representation and advice on the legal matters for which we are engaged. It is important for our clients to have a clear understanding of the legal services that we have agreed to provide. Thus, if there are any questions about the scope of the Representation that we are to provide in the Matter, please raise those questions promptly, so that we may resolve them at the outset of the Representation.

Any expressions on our part concerning the outcome of the Representation, or any other legal matters, are based on our professional judgment and are not guarantees. Such expressions, even when described as opinions, are necessarily limited by our knowledge of the facts and are based on our views of the state of the law at the time they are expressed.

Upon accepting this engagement on the City’s behalf, Norton Rose Fulbright US LLP agrees to do the following: (1) provide legal counsel in accordance with these terms of engagement and the related engagement letter, and in reliance upon information and guidance provided by the City; and (2) keep the City reasonably informed about the status and progress of the Representation.

To enable us to provide effective representation, the City agrees to do the following: (1) disclose to us, fully and accurately and on a timely basis, all facts and documents that are or might be material or that we may request; (2) keep us apprised on a timely basis of all developments relating to the Representation that are or might be material; (3) attend meetings, conferences, and other proceedings when it is reasonable to do so; and (4) otherwise cooperate fully with us.

Our firm has been engaged to provide legal services in connection with the Representation in the Matter, as specifically defined in our engagement letter. After completion of the Representation, changes may occur in the applicable laws or regulations that could affect the City’s future rights and liabilities in regard to the Matter. Unless we are actually engaged after the completion of the Representation to provide additional advice on such issues, the firm has no continuing obligation to give advice with respect to any future legal developments that may pertain to the Matter.

It is agreed that the attorney-client relationship terminates upon our completion of the services for which we have been retained in the Representation.

### **Who Will Provide the Legal Services**

As our engagement letter confirms, Norton Rose Fulbright US LLP will represent the City in the Matter. Norton Rose Fulbright US LLP is a registered limited liability partnership under Chapter 152 of the Texas Business Organizations Code.

Although our firm will be providing legal services, each client of the firm customarily has a relationship principally with one attorney, or perhaps a few attorneys. At the same time, however, the work required in the Representation, or parts of it, may be performed by other firm personnel, including lawyers and paralegals. Such delegation may be for the purpose of involving other firm personnel with experience in a given area or for the purpose of providing services on an efficient and timely basis.

### **Our Relationships With Others**

Our law firm represents many companies and individuals. In some instances, the applicable rules of professional conduct may limit our ability to represent clients with conflicting or potentially conflicting interests. Those rules of conduct often allow us to exercise our independent judgment in determining whether our relationship with one client prevents us from representing another. In other situations, we may be permitted to represent a client only if the other clients consent to that representation.

Rules concerning conflicts of interest vary with the jurisdiction. In order to avoid any uncertainty, it is our policy that the governing rules will be those applicable to the particular office of our firm that prepares the engagement letter for a particular matter. The acceptance by the City of our engagement letter constitutes an express agreement with that policy, unless the engagement letter specifically states that some other rules of professional responsibility will govern our attorney-client relationship.

If a controversy unrelated to the Matter develops between the City and any other client of the firm, we will follow the applicable rules of professional responsibility to determine whether we may represent either the City or the other client in the unrelated controversy.

In addition to our representation of other companies and individuals, we also regularly represent lawyers and law firms. As a result, opposing counsel in the Matter may be a lawyer or law firm that we may represent now or in the future. Likewise, opposing counsel in the Matter may represent our firm now or in the future. Further, we have professional and personal relationships with many other attorneys, often because of our participation in bar associations and other professional organizations. It is our professional judgment that such relationships with other attorneys do not adversely affect our ability to represent any client. The acceptance of these terms of engagement represents an unqualified consent to any such relationships between our firm and other lawyers or law firms, even counsel who is representing a party that is adverse to the City in the Matter that is the subject of this engagement or in some other matter.

### **Communications and Confidentiality**

We have available Internet communication procedures that allow our attorneys to use e-mail for client communications in many instances. Accordingly, unless the City specifically directs us otherwise, we may use unencrypted e-mail sent on the Internet to communicate with the City and personnel and to send documents we have prepared or reviewed.



We recognize our obligation to preserve the confidentiality of attorney-client communications as well as client confidences, as required by the governing rules of professional responsibility. If the Matter involves transactions, litigation, or administrative proceedings or like proceedings in which we appear as counsel of record for the City in publicly available records, we reserve the right to inform others of the fact of our representation of the City in the Matter and (if likewise reflected of record in publicly available records) the results obtained, unless the City specifically directs otherwise.

Norton Rose Fulbright US LLP, Norton Rose Fulbright LLP, Norton Rose Fulbright Australia, Norton Rose Fulbright Canada LLP and Norton Rose Fulbright South Africa Inc., each of which is a separate legal entity, are members in Norton Rose Fulbright Verein, a Swiss verein that does not itself provide legal services. Although the members in Norton Rose Fulbright remain separate legal entities, we operate as a single legal practice. We share with other members non-privileged information about our practice and clients for research, practice management, training, administrative and other business purposes. Confidentiality agreements are in place among all members. If you have any concerns about this sharing of non-privileged information that relates to you, please promptly notify us so that we can address your concerns.

### **Disclaimer**

Norton Rose Fulbright US LLP has made no promises or guarantees to the City about the outcome of the Representation or the Matter, and nothing in these terms of engagement shall be construed as such a promise or guarantee.

### **Termination**

At any time, the City may, with or without cause, terminate the Representation by notifying us of the City's intention to do so.

We are subject to the codes or rules of professional responsibility for the jurisdictions in which we practice. There are several types of conduct or circumstances that could result in our withdrawing from representing a client, including, for example, the following: non-payment of fees or charges; misrepresentation or failure to disclose material facts; fraudulent or criminal conduct; action contrary to our advice; and conflict of interest with another client. The right of Norton Rose Fulbright US LLP to withdraw in such circumstances is in addition to any rights created by statute or recognized by the governing rules of professional conduct. Further, a failure by the City to meet any obligations under these terms of engagement shall entitle us to terminate the Representation. We try to identify in advance and discuss with our clients any situation that may lead to our withdrawal.

Termination of the Representation will not affect the City's obligation to pay for legal services rendered and expenses and charges incurred before termination, as well as additional services and charges incurred in connection with an orderly transition of the Matter. Further, in the event of termination of the Representation, the City will take all steps necessary to release Norton Rose Fulbright US LLP of any further obligations in the Representation or the Matter, including without limitation the execution of any documents necessary to effectuate our withdrawal from the Representation or the Matter.

### **Billing Arrangements and Terms of Payment**

Our engagement letter specifically explains our fees for services in the Matter. It is agreed that the City will make full payment within 30 days of receiving our statement. We will give notice if

an account becomes delinquent, and it is further agreed that any delinquent account must be paid upon the giving of such notice. If the delinquency continues and the City does not arrange satisfactory payment terms, we may withdraw from the Representation and pursue collection of our account.

Notwithstanding the foregoing, billing for the matters covered by the engagement letter will be billed at the conclusion of the transaction (as evidenced by delivery of Securities) and, unless agreed to otherwise between us and the City, will be paid at closing from the proceeds of such Securities.

### **Document Retention**

At the close of any matter, we send our files in that matter to a storage facility for storage at our expense. The attorney closing the file determines how long we will maintain the files in storage. After that time, we will destroy the documents in the stored files.

At the conclusion of the Representation, we return to the client any documents that are specifically requested to be returned. As to any documents so returned, we may elect to keep a copy of the documents in our stored files.

### **Standards of Professionalism and Attorney Complaint Information**

Pursuant to rules promulgated by the Texas Supreme Court and the State Bar of Texas, we are to advise our clients of the contents of the Texas Lawyer's Creed, a copy of which is attached. In addition, we are to advise clients that the State Bar of Texas investigates and prosecutes complaints of professional misconduct against attorneys licensed in Texas. A brochure entitled *Attorney Complaint Information* is available at all of our Texas offices and is likewise available upon request. A client that has any questions about State Bar's disciplinary process should call the Office of the General Counsel of the State Bar of Texas at 1-800-932-1900 toll free.

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## THE TEXAS LAWYER'S CREED — A MANDATE FOR PROFESSIONALISM

The Texas Supreme Court and the Texas Court of Criminal Appeals adopted this Creed, with the requirement that lawyers advise their clients of its contents when undertaking representation.

I am a lawyer; I am entrusted by the People of Texas to preserve and improve our legal system. I am licensed by the Supreme Court of Texas. I must therefore abide by the Texas Disciplinary Rules of Professional Conduct, but I know that Professionalism requires more than merely avoiding the violation of laws and rules. I am committed to this Creed for no other reason than it is right.

**I. OUR LEGAL SYSTEM.** A lawyer owes to the administration of justice personal dignity, integrity, and independence. A lawyer should always adhere to the highest principles of professionalism. I am passionately proud of my profession. Therefore, "My word is my bond." I am responsible to assure that all persons have access to competent representation regardless of wealth or position in life. I commit myself to an adequate and effective pro bono program. I am obligated to educate my clients, the public, and other lawyers regarding the spirit and letter of this Creed. I will always be conscious of my duty to the judicial system.

**II. LAWYER TO CLIENT.** A lawyer owes to a client allegiance, learning, skill, and industry. A lawyer shall employ all appropriate means to protect and advance the client's legitimate rights, claims, and objectives. A lawyer shall not be deterred by any real or imagined fear of judicial disfavor or public unpopularity, nor be influenced by mere self-interest. I will advise my client of the contents of this Creed when undertaking representation. I will endeavor to achieve my client's lawful objectives in legal transactions and in litigation as quickly and economically as possible. I will be loyal and committed to my client's lawful objectives, but I will not permit that loyalty and commitment to interfere with my duty to provide objective and independent advice. I will advise my client that civility and courtesy are expected and are not a sign of weakness. I will advise my client of proper and expected behavior. I will treat adverse parties and witnesses with fairness and due consideration. A client has no right to demand that I abuse anyone or indulge in any offensive conduct. I will advise my client that we will not pursue conduct which is intended primarily to harass or drain the financial resources of the opposing party. I will advise my client that we will not pursue tactics which are intended primarily for delay. I will advise my client that we will not pursue any course of action which is without merit. I will advise my client that I reserve the right to determine whether to grant accommodations to opposing counsel in all matters that do not adversely affect my client's lawful objectives. A client has no right to instruct me to refuse reasonable requests made by other counsel. I will advise my client regarding the availability of mediation, arbitration, and other alternative methods of resolving and settling disputes.

**III. LAWYER TO LAWYER.** A lawyer owes to opposing counsel, in the conduct of legal transactions and the pursuit of litigation, courtesy, candor, cooperation, and scrupulous observance of all agreements and mutual understandings. Ill feelings between clients shall not influence a lawyer's conduct, attitude, or demeanor toward opposing counsel. A lawyer shall not engage in unprofessional conduct in retaliation against other unprofessional conduct. I will be courteous, civil, and prompt in oral and written communications. I will not quarrel over matters of form or style, but I will concentrate on matters of substance. I will identify for other counsel or parties all changes I have made in documents submitted for review. I will attempt to prepare documents which correctly reflect the agreement of the parties. I will not include provisions which have not been agreed upon or omit provisions which are necessary to reflect the agreement of the parties. I will notify opposing counsel, and, if appropriate, the Court or other persons, as soon as practicable.

when hearings, depositions, meetings, conferences or closings are canceled. I will agree to reasonable requests for extensions of time and for waiver of procedural formalities, provided legitimate objectives of my client will not be adversely affected. I will not serve motions or pleadings in any manner that unfairly limits another party's opportunity to respond. I will attempt to resolve by agreement my objections to matters contained in pleadings and discovery requests and responses. I can disagree without being disagreeable. I recognize that effective representation does not require antagonistic or obnoxious behavior. I will neither encourage nor knowingly permit my client or anyone under my control to do anything which would be unethical or improper if done by me. I will not, without good cause, attribute bad motives or unethical conduct to opposing counsel nor bring the profession into disrepute by unfounded accusations of impropriety. I will avoid disparaging personal remarks or acrimony towards opposing counsel, parties and witnesses. I will not be influenced by any ill feeling between clients. I will abstain from any allusion to personal peculiarities or idiosyncrasies of opposing counsel. I will not take advantage, by causing any default or dismissal to be rendered, when I know the identity of an opposing counsel, without first inquiring about that counsel's intention to proceed. I will promptly submit orders to the Court. I will deliver copies to opposing counsel before or contemporaneously with submission to the court. I will promptly approve the form of orders which accurately reflect the substance of the rulings of the Court. I will not attempt to gain an unfair advantage by sending the Court or its staff correspondence or copies of correspondence. I will not arbitrarily schedule a deposition, Court appearance, or hearing until a good faith effort has been made to schedule it by agreement. I will readily stipulate to undisputed facts in order to avoid needless costs or inconvenience for any party. I will refrain from excessive and abusive discovery. I will comply with all reasonable discovery requests. I will not resist discovery requests which are not objectionable. I will not make objections nor give instructions to a witness for the purpose of delaying or obstructing the discovery process. I will encourage witnesses to respond to all deposition questions which are reasonably understandable. I will neither encourage nor permit my witness to quibble about words where their meaning is reasonably clear. I will not seek Court intervention to obtain discovery which is clearly improper and not discoverable. I will not seek sanctions or disqualification unless it is necessary for protection of my client's lawful objectives or is fully justified by the circumstances.

**IV. LAWYER AND JUDGE.** Lawyers and judges owe each other respect, diligence, candor, punctuality, and protection against unjust and improper criticism and attack. Lawyers and judges are equally responsible to protect the dignity and independence of the Court and the profession. I will always recognize that the position of judge is the symbol of both the judicial system and administration of justice. I will refrain from conduct that degrades this symbol. I will conduct myself in court in a professional manner and demonstrate my respect for the Court and the law. I will treat counsel, opposing parties, the Court, and members of the Court staff with courtesy and civility. I will be punctual. I will not engage in any conduct which offends the dignity and decorum of proceedings. I will not knowingly misrepresent, mischaracterize, misquote or miscite facts or authorities to gain an advantage. I will respect the rulings of the Court. I will give the issues in controversy deliberate, impartial and studied analysis and consideration. I will be considerate of the time constraints and pressures imposed upon the Court, Court staff and counsel in efforts to administer justice and resolve disputes.

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## Robert D. Dransfield

**Partner**

**Dallas**

**Norton Rose Fulbright US LLP**

Tel +1 214 855 8068

robert.dransfield@nortonrosefulbright.com



Bob Dransfield has over 40 years of experience representing clients as bond counsel, underwriter's counsel, issuer's, user's and trustee's counsel and credit enhancer's counsel in a wide variety of public and private activity financings.

Some of the financed projects include traditional infrastructure improvements, toll roads, airports, stadiums and convention centers, private manufacturing facilities, multifamily and single family housing projects, hospitals and other health care projects. Bob has participated in the refunding and restructuring of previously issued debt, including the drafting of all necessary documents, analysis of state and federal laws and applicable tax and securities provisions, processing through state and federal regulatory bodies and delivery of appropriate opinions.

### Related services

- Public and government finance
- Public-Private Partnerships (PPP)
- Banking and finance

### Key industry sectors

- Energy, infrastructure and resources
- Transport

### Education

JD, Southern Methodist University School of Law, 1976

BA, Psychology, University of Texas, 1973

Bob was admitted to practice law in Texas in 1976.

### Representative experience

- Water and waste water infrastructure
- Electric utility system infrastructure
- New construction and renovations, and refundings
  - City and county financings
  - School districts financings

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- University system financings
  - Healthcare financings - including continuing care and retirement facilities (CCRC)
  - Housing
    - Single family
    - Multifamily
  - Transportation

### **Admissions**

- Texas State Bar

### **Rankings and recognitions**

- Lawyer of the Year, Dallas: Public Finance Law, Best Lawyers, 2014, 2017
- The Best Lawyers in America, Best Lawyers, 2010 - 2021
- Best Lawyers - Public Finance Law, *Best Lawyers*, 2022
- Texas Top Rated Lawyer, LexisNexis Martindale-Hubbell, 2012 - 2014
- Texas Super Lawyer, Thomson Reuters, 2012 - 2018

### **Publications**

- Co Author, "Texas Public Finance Legislative Review – 82nd Session", *The International Law Firm of Fulbright & Jaworski - Public Finance*, September 9, 2011
- Co Author, "Texas Public Finance Legislative Review - 81st Session", *The International Law Firm of Fulbright & Jaworski - Public Finance*, July 2009

### **Speaking engagements**

Bob is a frequent speaker and panelist on public finance topics.

### **Memberships and activities**

- American College of Bond Counsel-Fellow
- National Association of Bond Lawyers
- Government Finance Officers Association of Texas
- Texas Public Power Association and the Texas Municipal League
- American Bar Association
- State Bar of Texas
- Dallas Bar Association
- Texas Bar Foundation

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- Dallas Bar Foundation
  - Salesmanship Club of Dallas
  - Center for BrainHealth-Advisory Board
  - Catch up and Read-Boardmember
  - Center for Education and Research, Dallas Metrocare-Advisory Committee
  - Highland Park Independent School District, Board of Trustees, 1993-2002, President, 1998-2002

## MUNICIPAL ADVISORY AGREEMENT

This Municipal Advisory Agreement (the “Agreement”) is made and entered into by and between **City of Mount Vernon, Texas** (the “Issuer”) and Hilltop Securities Inc. (“HilltopSecurities”), and is dated, and shall be effective as of, the date executed by the Issuer as set forth on the signature page hereof (the “Effective Date”).

### WITNESSETH:

**WHEREAS**, the Issuer will have under consideration from time to time the authorization and issuance of municipal securities, including but not limited to the issuance and sale of evidences of indebtedness or debt obligations that may currently or in the future be authorized and issued or otherwise created or assumed by the Issuer, in amounts and forms which cannot presently be determined; and

**WHEREAS**, in connection with the authorization, sale, issuance and delivery of such municipal securities, as well as in connection with any matters relating to municipal financial products of the Issuer, the Issuer desires to retain a municipal advisor; and

**WHEREAS**, the Issuer desires to obtain the professional services of HilltopSecurities as a municipal advisor to advise the Issuer regarding the issuance of municipal securities and any municipal financial products, all as more fully described herein, during the period in which this Agreement shall be effective; and

**WHEREAS**, HilltopSecurities is willing to provide its professional services and its facilities as a municipal advisor in connection with the Issuer’s issuances of municipal securities and any municipal financial products, all as more fully described herein, during the period in which this Agreement shall be effective.

**NOW, THEREFORE**, the Issuer and HilltopSecurities, in consideration of the mutual covenants and agreements herein contained and other good and valuable consideration, do hereby agree as follows:

### SECTION I SCOPE OF SERVICES

#### A. Scope of Services and Discharge of Responsibilities.

##### 1. *Scope of Services.*

(a) HilltopSecurities is engaged by the Issuer as its municipal advisor to provide the services set forth in **Appendix A** hereto (the “Municipal Advisory Services”). The Municipal Advisory Services, together with any services to be provided by HilltopSecurities as the Issuer’s independent registered municipal advisor (“IRMA”) pursuant to subparagraph B.1 of this Section I, are hereinafter collectively referred to as the “Scope of Services” hereunder. The Scope of Services to be provided by HilltopSecurities may be changed only as provided in paragraph D of this Section I.

(b) If the Issuer engages HilltopSecurities or any of its affiliates, in a capacity other than as municipal advisor, to provide additional services that are not municipal advisory activities (“Non-Municipal Advisor Services”), such engagement for Non-Municipal Advisor Services shall be evidenced by a separate agreement between the Issuer and such party. The parties hereto acknowledge that such Non-Municipal Advisor Services shall not be governed by this Agreement and are intended to consist of activities not requiring registration as a municipal advisor under the Securities Exchange Act.

(c) The Issuer shall provide written notice to HilltopSecurities of any other municipal advisor engaged by the Issuer, whether in regard to all or any portion of the Municipal Advisory Services or for any other aspects of the issuance of municipal securities or municipal financial products outside the scope of the Municipal Advisory Services, as described in clause (c) of subparagraph B.1 of this Section I.

2. ***Inquiries and Information in Connection with HilltopSecurities' Duties.*** If and to the extent provided in the Scope of Services, HilltopSecurities is called upon to make recommendations to the Issuer or to review recommendations made by others to the Issuer, and in connection therewith to determine whether such recommendations are suitable for the Issuer, in order to fulfill its duties with respect to such recommendations and any associated suitability determinations, HilltopSecurities is required under applicable regulations to make reasonable inquiries of the Issuer as to the relevant facts. Such facts include, at a minimum, information regarding the Issuer's financial situation and needs, objectives, tax status, risk tolerance, liquidity needs, experience with municipal securities transactions or municipal financial products generally or of the type and complexity being recommended, financial capacity to withstand changes in market conditions during the term of the municipal financial product or the period that municipal securities to be issued in the municipal securities transaction are reasonably expected to be outstanding, and any other material information known by HilltopSecurities about the Issuer and the municipal securities transaction or municipal financial product. In addition, HilltopSecurities is required under applicable regulations to use reasonable diligence to know the essential facts about the Issuer and the authority of each person acting on behalf of the Issuer so as to effectively service HilltopSecurities' municipal advisory relationship with the Issuer, to act in accordance with any special directions from the Issuer, to understand the authority of each person acting on behalf of the Issuer, and to comply with applicable laws, regulations and rules.

Accordingly, the Issuer hereby agrees to provide accurate and complete information reasonably designed to permit HilltopSecurities to fulfill its responsibilities in connection with any such recommendations and suitability determinations and to provide to HilltopSecurities reasonable access to relevant documents and personnel in connection with its required investigation to determine that any recommendations are not based on materially inaccurate or incomplete information. The Issuer acknowledges that HilltopSecurities may not be able to make requested recommendations or suitability determinations if it is not provided access to such information and that the Issuer shall be estopped from claiming a violation of HilltopSecurities' fiduciary duty to the Issuer in connection with a recommendation or suitability determination made by HilltopSecurities based on materially inaccurate or incomplete information provided by the Issuer.

3. ***Actions Independent of or Contrary to Advice.*** The parties hereto acknowledge that the Issuer shall not be required to act in accordance with any advice or recommendation provided by HilltopSecurities to the Issuer. Upon providing such advice or recommendation to the Issuer, together with the basis for such advice or recommendation, HilltopSecurities shall have discharged its duties with regard to such advice or recommendation and shall not be liable for any financial or other damages resulting from the Issuer's election not to act in accordance with such advice or recommendation. Furthermore, the Issuer shall be estopped from claiming a violation of HilltopSecurities' fiduciary duty to the Issuer as a result of its election not to act in accordance with any advice or recommendation by HilltopSecurities, including but not limited to any claim that HilltopSecurities should have taken steps, in addition to providing its advice or recommendation together with the basis therefor, to cause the Issuer to follow its advice or recommendation.

4. ***Preparation of Official Statement in Connection with Issuance of Municipal Securities.*** If and to the extent provided in the Scope of Services, HilltopSecurities is called upon to assist the Issuer in the preparation of its official statement in connection with the issuance of municipal securities, the Issuer hereby agrees to provide accurate and complete information to HilltopSecurities reasonably designed to permit HilltopSecurities to fulfill its responsibility to have a reasonable basis for any information HilltopSecurities provides about the Issuer, its financial condition, its operational status and its municipal securities in connection with the preparation of the official statement. While HilltopSecurities may participate in the due diligence process in connection with the preparation of the official statement, if such



participation is within the Scope of Services, HilltopSecurities shall not be obligated to undertake any inquiry or investigation in connection with such due diligence beyond any inquiries or investigations otherwise required by this Agreement. Furthermore, HilltopSecurities shall not be responsible for certifying the accuracy or completeness of the official statement, other than with respect to information about HilltopSecurities provided for inclusion in the official statement, if applicable. The Issuer agrees that HilltopSecurities may rely on any information provided to it by the Issuer for purposes of this paragraph.

5. ***Representations and Certifications.*** If and to the extent provided in the Scope of Services, HilltopSecurities is called upon to make representations and certifications with regard to certain aspects of matters pertaining to the Issuer, its municipal securities or municipal financial products arising as part of the Municipal Advisory Services to be provided pursuant to this Agreement, the Issuer hereby agrees to provide accurate and complete information to HilltopSecurities as may be reasonably necessary or otherwise helpful to HilltopSecurities in fulfilling its responsibility to have a reasonable basis for any representations, other than representations by HilltopSecurities regarding itself, made in a certificate signed by HilltopSecurities that may be relied upon by the Issuer, any other party involved in any matter arising as part of the Municipal Advisory Services, or investors in the Issuer's municipal securities. The Issuer agrees that HilltopSecurities may rely on any information provided to it by the Issuer for purposes of this paragraph.

**B. Services as Independent Registered Municipal Advisor.**

1. ***Designation as IRMA and Scope of Designation.***

(a) Subject to clause (b) of this subparagraph B.1, if the Issuer elects to designate HilltopSecurities, and HilltopSecurities agrees to represent the Issuer, as the Issuer's IRMA for purposes of Securities Exchange Commission ("SEC") Rule 15Ba1-1(d)(3)(vi) (the "IRMA exemption") with respect to the Municipal Advisory Services, HilltopSecurities will treat such role as IRMA as within the scope of Municipal Advisory Services. Any reference to HilltopSecurities, its personnel and its role as IRMA in the written representation of the Issuer contemplated under SEC Rule 15Ba1-1(d)(3)(vi)(B) shall be subject to prior approval by HilltopSecurities.

If there are any other aspects of the issuance of municipal securities or municipal financial products outside the scope of the Municipal Advisory Services with respect to which the Issuer seeks to have HilltopSecurities serve as its IRMA, such aspects, which are separate and distinct from Municipal Advisory Services for purposes of this Agreement, shall be included in Appendix A hereto and may be changed only as provided in paragraph D of this Section I. HilltopSecurities' duties as IRMA shall be strictly limited to the provision of advice to the Issuer with regard to third-party recommendations on any aspects of the issuance of municipal securities or municipal financial products outside the scope of the Municipal Advisory Services, subject to subparagraph B.3 of this Section I, and the provision of advice by HilltopSecurities to the Issuer with respect to such matters shall not result in a change in scope of the Municipal Advisory Services. By way of example, if HilltopSecurities serves as municipal advisor for an issuance of municipal securities within the scope of Municipal Advisory Services, but is asked to review a recommendation made by a third party with respect to a different issuance of municipal securities not within the scope of Municipal Advisory Services, any advice with respect to such review would not, by itself, cause such other issuance to come within the scope of Municipal Advisory Services, and HilltopSecurities would not be obligated to undertake any of the services set forth in Appendix A with regard to such issuance unless the scope of Municipal Advisory Services hereunder is amended to include such issuance.

(b) If the Issuer elects not to designate HilltopSecurities to serve as an IRMA for purposes of the IRMA exemption with respect to the Municipal Advisory Services, or if the Issuer elects to designate

HilltopSecurities to serve as IRMA for less than the full range of Municipal Advisory Services, such election shall be set forth in Appendix A.

(c) The Issuer shall provide written notice to HilltopSecurities of any other municipal advisor engaged by the Issuer, whether such other municipal advisor has been designated as an IRMA, and such notice shall include the scope of services of such municipal advisor. If the Issuer has engaged, or has caused HilltopSecurities to engage through subcontract, any other party to serve as municipal advisor to the Issuer with regard to all or any portion of the Municipal Advisory Services (“Joint Municipal Advisory Services”), whether engaged jointly with or separately from HilltopSecurities (a “Co-Municipal Advisor”), the Issuer agrees that such Co-Municipal Advisor shall not be entitled to treat HilltopSecurities as an IRMA with respect to the Joint Municipal Advisory Services. Notwithstanding the preceding sentence, the Issuer may seek to have HilltopSecurities provide advice on any recommendation made by a Co-Municipal Advisor with regard to matters within the scope of Joint Municipal Advisory Services on the same terms as set forth in subparagraph B.3 of this Section I, provided that any such advice provided by HilltopSecurities shall not serve to eliminate or reduce such Co-Municipal Advisor’s fiduciary or other duties as municipal advisor to the Issuer.

2. ***HilltopSecurities Not Responsible for Independence from Third Parties.*** Notwithstanding HilltopSecurities’ status as an IRMA, HilltopSecurities shall not be responsible for ensuring that it is independent, within the meaning of the IRMA exemption as interpreted by the SEC, from another party wishing to rely on the exemption from the definition of municipal advisor afforded under the IRMA exemption or for otherwise ensuring that any such party not be treated as a municipal advisor for purposes of Section 15B of the Securities Exchange Act or any SEC or Municipal Securities Rulemaking Board (“MSRB”) rule thereunder. The Issuer expressly acknowledges that it is the responsibility of such other party to make its own determination of independence and that such other party shall not be entitled to cause HilltopSecurities to make any personnel changes to allow such party to qualify for the IRMA exemption.

3. ***Recommendations Provided by Third Parties Relying on IRMA Exemption.*** The Issuer agrees that, to the extent the Issuer seeks to have HilltopSecurities provide advice with regard to any recommendation made by a third party relying on the IRMA exemption, the Issuer shall provide to HilltopSecurities written direction to provide advice with regard to such third party recommendation as well as any information it has received from such third party. In connection therewith, HilltopSecurities shall be authorized to communicate with such third party as necessary or appropriate in order for HilltopSecurities to have the information it needs to provide informed advice to the Issuer with regard to such recommendation. HilltopSecurities shall provide to the Issuer recommendations it receives directly from any third party but shall not be required to provide advice to the Issuer with regard to any such recommendation unless the Issuer has provided to HilltopSecurities the written direction as described above in this subparagraph B.3.

Except as may be otherwise expressly provided in writing by HilltopSecurities, no recommendation by a third-party (including but not limited to a Co-Municipal Advisor) shall be deemed to be a recommendation by HilltopSecurities, and the failure by HilltopSecurities to specifically address any aspect of a third-party recommendation shall not be viewed as HilltopSecurities having implicitly accepted or approved such aspect of the recommendation or otherwise having adopted the recommendation or any aspect thereof as its own recommendation. Furthermore, the Issuer agrees that, to the extent the Issuer does not seek to have HilltopSecurities provide advice with regard to any recommendation made by a third party relying on the IRMA exemption, HilltopSecurities shall not be required to provide any advice with regard to such recommendation notwithstanding any information it may have received from such third party.

HilltopSecurities may rely on the absence of the Issuer’s written direction to provide advice with regard to a third party recommendation as indicative that the Issuer does not seek to have HilltopSecurities provide such advice.

**C. Limitations on Scope of Engagement.**

1. ***Express Limitations.*** The Scope of Services with respect to HilltopSecurities’ engagement as municipal advisor shall be solely as provided in paragraphs A and B of this Section I and Appendix A of this Agreement, subject to the express limitations set forth in this paragraph C. The failure of the parties hereto to set out any particular service or responsibility, or any particular type or aspect of the issuance of municipal securities or municipal financial products, within the express limitations in this paragraph C shall not, by its omission, cause such service, responsibility or product to be within the scope of this engagement if not contemplated by the mutual agreement of the parties hereto or if not reasonably viewed as encompassed by the description of the Municipal Advisory Services set forth in this Agreement.

2. ***Limitation as to Matters Within Then-Current Scope of Engagement.*** It is expressly understood that HilltopSecurities serves as municipal advisor to the Issuer only with respect to the matters, and with respect to specific aspects of matters, within the then-current Scope of Services. The Issuer acknowledges that HilltopSecurities is not a municipal advisor to the Issuer with respect to matters expressly excluded from such Scope of Services as set forth in this paragraph C or matters otherwise not within the Scope of Services as set forth in paragraphs A and B of this Section I and Appendix A hereto. Without limiting the generality of the preceding sentence, the parties hereto agree that HilltopSecurities’ service as municipal advisor for one issuance of municipal securities would not result in HilltopSecurities being a municipal advisor to the Issuer for any other issuances of municipal securities if such other issuances are not within the Scope of Services. It is expressly understood that HilltopSecurities shall be municipal advisor with respect to a particular issuance of municipal securities or a particular municipal financial product beginning on the earlier of (a) the date on which HilltopSecurities is assigned to serve or is otherwise put on notice by the Issuer that it will serve as municipal advisor for such particular matter or (b) the date on which HilltopSecurities first provides advice to the Issuer with respect to such particular matter, and it is further understood that HilltopSecurities shall not be deemed to be a municipal advisor to the Issuer with respect to any such particular matter prior to such date merely due to the fact that the matter falls within the general description of the Scope of Services.

3. ***Transactions and Services Outside Scope of Engagement.*** To the extent that the Issuer engages in any transaction with HilltopSecurities, or any affiliate of HilltopSecurities, as principal relating to municipal securities (including but not limited to as underwriter for the issuance of municipal securities) or municipal financial products that are not within the Scope of Services and with respect to which HilltopSecurities does not in fact provide advice other than as permitted within the exceptions and exclusions of SEC Rule 15Ba1-1, the Issuer agrees that it would not view HilltopSecurities as serving as its municipal advisor with respect to such transaction or any related issuance of municipal securities or municipal financial product. In addition, as noted in clause (b) of subparagraph A.1 of this Section I, the Issuer understands that Non-Municipal Advisor Services are outside the scope of this engagement.

4. ***Issuer Consent to Limitation in Scope.*** The Issuer expressly consents to the limitations in scope of the engagement as described in this paragraph C.

**D. Change in Scope of Services.** The scope of services to be provided by HilltopSecurities, whether within or outside of the scope of the Municipal Advisory Services, may be changed only by written amendment to Appendix A, and the parties hereto agree to amend such appendix promptly to reflect any

material changes or additions to the scope of such services, as applicable. Furthermore, the parties hereto agree to amend paragraph C of this Section I to reflect any material changes or additions to the limitations on the overall Scope of Services.

The parties hereto agree that if, on an infrequent or inadvertent basis, HilltopSecurities takes any actions for or on behalf of the Issuer that constitute municipal advisory activities within the meaning of MSRB Rule G-42(f)(iv) but which are not within the Scope of Services under this Agreement, such actions shall not, by themselves, serve to change the Scope of Services under this Agreement without a written amendment as provided in this paragraph. Furthermore, to the extent that any such activities not within the Scope of Services under this Agreement consists of inadvertent advice provided with respect to the issuance of municipal securities or municipal financial products that are not within the Scope of Services under this Agreement, HilltopSecurities may take such action, if any, as it deems appropriate pursuant to Supplementary Material .07 of MSRB Rule G-42 with respect to such inadvertent advice, to maintain the Scope of Services under this Agreement consistent with the intent of the parties hereto.

Amendments to Appendix A may be effected by replacement of the prior version of the appendix with a new version or by the addition of an addendum to such appendix, provided that any such amended appendix shall be dated as of its effective date and shall cause Appendix A, taken together with the provisions of this Section I, to clearly set forth the then-current scope of HilltopSecurities' engagement hereunder and any limitations to such scope.

**E. Non-Municipal Advisory Activities Related to Scope of Services.** The Scope of Services under this Agreement is intended to encompass activities subject to the provisions of Securities Exchange Act Section 15B and the rules of the SEC and MSRB thereunder relating to municipal advisory activities. However, the Issuer and HilltopSecurities acknowledge that in some cases the range of activities necessary or appropriate to provide the intended services hereunder in a fair, effective and efficient manner for the benefit of the Issuer may involve a combination of actions that consist of municipal advisory activities and actions that may not qualify as municipal advisory activities. Unless otherwise prohibited by Securities Exchange Act Section 15B or any rule of the SEC or MSRB thereunder, the fact that HilltopSecurities serves as municipal advisor to the Issuer in connection with a particular matter shall not prohibit HilltopSecurities from undertaking such necessary or appropriate non-municipal advisory activities in connection therewith, and the fact that HilltopSecurities undertakes such non-municipal advisory activities within the Scope of Services under this Agreement would not, by itself, cause such activities to become municipal advisory activities for purposes Securities Exchange Act Section 15B or any rule of the SEC or MSRB thereunder.

## SECTION II TERM AND TERMINATION

**A. Term of this Engagement.** The term of this Agreement begins on the Effective Date and ends, unless terminated pursuant to paragraph B of this Section II, on the last day of the month in which the fifth anniversary date of the Effective Date shall occur (the "Original Termination Date"). Unless HilltopSecurities or the Issuer shall notify the other party in writing at least thirty (30) days in advance of the Original Termination Date that this Agreement will not be renewed, this Agreement will be automatically renewed on the Original Termination Date for an additional one (1) year period and thereafter will be automatically renewed on each anniversary date of the Original Termination Date for successive one (1) year periods unless HilltopSecurities or the Issuer shall notify the other party in writing at least thirty (30) days in advance of such successive anniversary date.

**B. Termination of this Engagement.** This Agreement may be terminated with or without cause by the Issuer or HilltopSecurities upon the giving of at least thirty (30) days' prior written notice to the other party of its intention to terminate, specifying in such notice the effective date of such termination. In the event of such termination, it is understood and agreed that only the amounts due HilltopSecurities for services provided and expenses incurred to the date of termination will be due and payable. No penalty will be assessed for termination of this Agreement.

### SECTION III COMPENSATION, EXPENSES, LIABILITY AND OTHER FINANCIAL MATTERS

**A. Compensation.** The fees due to HilltopSecurities for the Municipal Advisory Services and any other services set forth in Appendix A hereto shall be as provided in Appendix B hereto. The Issuer has agreed to the compensation arrangements set forth in Appendix B and believes that they are reasonable and not excessive. If at any time the Issuer becomes concerned that, notwithstanding its initial belief that the compensation arrangements set forth in this Agreement are reasonable, the actual amount of compensation to be paid in accordance with such arrangements for any particular matter during the course of this engagement may potentially become excessive, the Issuer shall immediately notify HilltopSecurities in writing of its concern in that regard.

**B. Expenses.** HilltopSecurities shall be entitled to reimbursement of expenses incurred in connection with any services provided hereunder as set forth in Appendix B.

**C. Third-Party Payments.** The Issuer agrees that any request it makes to HilltopSecurities to make payments to any third party on its behalf (other than with any underwriter), whether pursuant to a fee-splitting arrangement or otherwise, shall be in writing and shall set forth the name of the recipient, the amount of payment, and a brief statement of the purpose of such payment. The Issuer agrees that the counter signature by HilltopSecurities of any such written request shall be satisfactory disclosure of such third-party payment or fee-splitting arrangement for purposes of MSRB Rule G-42(e)(i)(D) and shall, in the case of any such arrangements made after the Effective Date, serve as satisfactory written disclosure of any conflict of interest arising from such third-party payment or fee-splitting arrangement for purposes of MSRB Rule G-42(b)(i)(D) and (c)(ii).

**D. No Custody of Issuer Funds.** This engagement does not contemplate that HilltopSecurities receive deposit of or maintain custody of the Issuer's funds unless otherwise provided in Appendix A hereto.

**E. Limitation on Liability.** In the absence of willful misconduct, bad faith, gross negligence or reckless disregard of obligations or duties hereunder on the part of HilltopSecurities or any of its associated persons, HilltopSecurities and its associated persons shall have no liability to the Issuer for any act or omission in the course of, or connected with, rendering services hereunder or for any error of judgment, mistake of law, or any loss arising out of any issuance of municipal securities, any municipal financial product or any other investment.

### SECTION IV REQUIRED DISCLOSURES

**A. Disclosure of Conflicts of Interest and Information Regarding Legal or Disciplinary Events.** The Issuer hereby acknowledges receipt of, and has read and understands the content of, the Municipal Advisor Disclosure Statement, attached hereto as Appendix C, current as of the date of this Agreement,

setting forth disclosures by HilltopSecurities of material conflicts of interest (the "Conflict Disclosures"), if any, and of any legal or disciplinary events required to be disclosed pursuant to MSRB Rule G-42(b) and (c)(ii). The Conflict Disclosures also describe how HilltopSecurities addresses or intends to manage or mitigate any disclosed conflicts of interest, as well as the specific type of information regarding, and the date of the last material change, if any, to the legal and disciplinary events required to be disclosed on Forms MA and MA-I filed by HilltopSecurities with the SEC.

**B. Waiver of Disclosed Conflicts of Interest.** By executing this Agreement, the Issuer hereby waives any conflicts of interest disclosed by HilltopSecurities in the Conflict Disclosures as of the date of this Agreement.

**C. Consent to Electronic Delivery of Disclosures.** By executing this Agreement, the Issuer consents, for the full term of this Agreement, to the electronic delivery of the Conflict Disclosures at no cost to the Issuer, in lieu of delivery of hard copy. The Conflict Disclosures may be delivered by email to the Issuer at **mayor@comvtx.com**, or at such other email address as the Issuer may hereafter provide in writing to HilltopSecurities.

**SECTION V  
MISCELLANEOUS**

**A. Choice of Law.** This Agreement shall be construed and given effect in accordance with the laws of the State of Texas.

**B. Binding Effect; Assignment.** This Agreement shall be binding upon and inure to the benefit of the Issuer and HilltopSecurities, their respective successors and assigns; provided however, neither party hereto may assign or transfer any of its rights or obligations hereunder without the prior written consent of the other party.

**C. Entire Agreement.** This instrument, including all appendices hereto, contains the entire agreement between the parties relating to the rights herein granted and obligations herein assumed. Any oral or written representations or modifications concerning this Agreement shall be of no force or effect except for a subsequent modification in writing signed by all parties hereto, subject to the provisions of paragraph D of Section I hereof.

*Signature page follows*

**HILLTOP SECURITIES INC.**

**CITY OF MOUNT VERNON, TEXAS**

By:   
Nick Bulaich

By: \_\_\_\_\_

Title: Managing Director

Name: Brad Hyman

Title: Mayor

Date: \_\_\_\_\_

## APPENDIX A MUNICIPAL ADVISORY SERVICES

This Appendix A sets out the scope of the Municipal Advisory Services to be performed by HilltopSecurities pursuant to the Agreement, subject to the limitations in scope set out in paragraph C of Section I of the Agreement, and with the understanding that:

(a) Individual actions taken within this scope shall be consistent with any request or direction provided by an authorized representative of the Issuer or as HilltopSecurities determines to be necessary or appropriate in furtherance of any matter for which it serves as municipal advisor. However, not all listed activities will be appropriate, necessary or applicable to any particular matter subject to this Agreement.

(b) For purposes of this Agreement, an issuance of municipal securities (an “issuance”) shall encompass any and all stages in the life of an issuance, from the pre-issuance planning stage to the repayment stage.

**I. New Issuances of Municipal Securities.** At the direction of or upon the request of the Issuer, HilltopSecurities shall provide advice to the Issuer on any new issuances, including reofferings of outstanding issuances that are treated for purposes of the federal securities laws and/or federal tax laws as new issuances, throughout the term of this Agreement. The activities to be performed by HilltopSecurities may include, depending on the specific circumstances of an issuance and any request or direction of the Issuer, one or more of the following:

### **Planning for New Issuance**

1. ***Survey and Analysis.*** Surveying the financial resources of the Issuer in connection with its capacity to authorize, issue and service the contemplated issuance. This survey would be expected to include an analysis of any existing debt structure as compared with the existing and projected sources of revenues which may be pledged to secure payment of debt service and, where appropriate, would include a study of the trend of the assessed valuation, taxing power and present and future taxing requirements of the Issuer. In the event revenues of existing or projected facilities operated by the Issuer are to be pledged to repayment of the contemplated issuance, the survey would be expected to take into account any outstanding indebtedness payable from such revenues, additional revenues to be available from any proposed rate increases, and additional revenues resulting from improvements to be financed by the contemplated issuance, as projected by consulting engineers engaged by the Issuer.

2. ***Future Financings.*** In connection with the contemplated issuance, considering and analyzing future financing needs as projected by the Issuer's staff and consulting engineers or other experts, if any, engaged by the Issuer.

3. ***Recommendations.*** Making recommendations to the Issuer on the contemplated issuance, including such elements as the date of issue, interest payment dates, schedule of principal maturities, options for prepayment, security provisions, and such other provisions as may be appropriate.

4. **Market Information.** Advising the Issuer of HilltopSecurities' view of current bond market conditions, other related forthcoming bond issues and general information (including applicable economic data) which might normally be expected to influence interest rates or bidding conditions relevant to setting an appropriate date and time for the sale of the issuance.

5. **Elections.** In the event it is necessary to hold an election to authorize the contemplated issuance, assisting in coordinating the assembly of such data as may be required for the preparation of necessary petitions, orders, resolutions, ordinances, notices and certificates in connection with the election, including assistance in the transmission of such data to the Issuer's bond counsel.

#### **Debt Management and Financial Implementation for New Issuance**

6. **Method of Sale.** Evaluating the particular financing being contemplated, giving consideration to the complexity, market acceptance, rating, size and structure in order to make a recommendation as to an appropriate method of sale, and:

- a. If the issuance is to be sold by a competitive sale:
  - (1) Supervising the sale of the municipal securities;
  - (2) Disseminating information to prospective bidders, organizing such informational meetings as may be necessary, and facilitating prospective bidders' efforts in making timely submission of proper bids;
  - (3) Assisting the staff of the Issuer in coordinating the receipt of bids, the safekeeping of good faith checks and the tabulation and comparison of submitted bids;
  - (4) Advising the Issuer regarding the best bid and provide advice regarding acceptance or rejection of the bids; and
  - (5) Obtaining CUSIP numbers on behalf of the Issuer.
- b. If the issuance is to be sold by negotiated sale:
  - (1) Recommending for the Issuer's final approval and acceptance one or more investment banking firms, as sole underwriter or as managers of an underwriting syndicate, for the purpose of negotiating the purchase of the municipal securities;
  - (2) Cooperating with and assisting any selected sole or managing underwriter and its counsel, as well as any disclosure counsel retained by the Issuer, in connection with the preparation of any preliminary or final official statement or offering memorandum. HilltopSecurities will cooperate with and assist the underwriters in the preparation of a bond purchase contract, an underwriters' agreement and other related documents;
  - (3) Assisting the staff of the Issuer in the safekeeping of any good faith checks and providing a cost comparison to the then-current market of expenses, interest rates and prices which are proposed by the underwriters;
  - (4) Advising the Issuer on the fairness of the price offered by the underwriters;



(5) Advising the Issuer in connection with any terms and conditions it may wish to establish with respect to order priorities and other similar matters relating to the underwriting of the new issuance;

(6) If the new issuance will have a retail order period, advising the Issuer on retail eligibility criteria and other features of the retail order period and reviewing information provided by the underwriters to the Issuer in connection with retail orders received; and

(7) At the request of the Issuer, reviewing required disclosures by underwriters to the Issuer relating to their role as underwriter, conflicts of interests, material terms and risks of the issuance, and any other matters, and providing any appropriate advice to the Issuer in connection with such disclosures.

7. **Offering Documents for Competitive Offerings.** Coordinating the preparation of the notice of sale and bidding instructions, preliminary official statement (including cooperating with and assisting any disclosure counsel retained by the Issuer), official bid form and such other documents as may be required and submitting all such documents to the Issuer for examination, approval and certification. After such examination, approval and certification, HilltopSecurities shall provide the Issuer with a supply of all such documents sufficient to its needs and distribute sets of the same to prospective bidders for the municipal securities. HilltopSecurities also shall provide copies of the final official statement to the winning bidder purchasing the municipal securities in the MSRB-designated electronic format and in accordance with the notice of sale and bidding instructions promptly after the Issuer approves the final official statement for distribution.

8. **Credit Ratings.** Making recommendations to the Issuer on the advisability of obtaining one or more credit ratings for the issuance and, when directed by the Issuer, coordinating the preparation of such information as may be appropriate for submission to any rating agency. In those cases where the advisability of personal presentation of information to a rating agency may be indicated, HilltopSecurities will arrange for such personal presentations, utilizing such composition of representatives from the Issuer as may be approved or directed by the Issuer.

9. **Trustee, Paying Agent, Registrar, Professionals and Other Transaction Participants.** Upon request, providing advice to the Issuer in the selection of a trustee and/or paying agent/registrar, legal, accounting or other professionals, and other transaction participants relating to any issuance, and assisting in the negotiation of agreements pertinent to these services and the fees incident thereto.

10. **Financial Publications.** When appropriate, advising financial publications of the forthcoming sale of the municipal securities and providing them with all pertinent information.

11. **Consultants.** After consulting with and receiving directions from the Issuer, arranging for such reports and opinions of recognized independent consultants as may be appropriate for the successful marketing of the issuance.

12. **Auditors.** In the event formal verification by an independent auditor of any calculations incident to the issuance is required, making arrangements for such services.

13. **Issuer Meetings.** Attending meetings of the governing body of the Issuer, its staff, representatives or committees as requested when HilltopSecurities may be of assistance or service and matters within the scope of this engagement are to be discussed.
14. **Printing.** To the extent authorized by the Issuer, coordinating all work incident to printing or final production, physical or electronic, of the offering documents.
15. **Bond Counsel.** Maintaining liaison with bond counsel in the preparation of all legal documents pertaining to the authorization, sale and issuance of the municipal securities.
16. **Delivery of the Municipal Securities.** As soon as a bid for the purchase of a competitive issuance is accepted by the Issuer or the bond purchase contract for a negotiated issuance is signed by the Issuer, coordinating the efforts of all concerned to the end that the municipal securities may be delivered and paid for as expeditiously as possible and assisting the Issuer in the preparation or verification of final closing figures incident to the delivery of the municipal securities.
17. **Debt Service Schedule; Authorizing Resolution.** After the closing of the sale and delivery of the issuance, delivering to the Issuer a schedule of annual debt service requirements for the issuance and, in coordination with bond counsel, assuring that the paying agent/registrars and/or trustee has been provided with a copy of the authorizing ordinance, order or resolution.
18. **Continuing Disclosure.** Providing advice to the Issuer with regard to its continuing disclosure undertakings for its new issuances and its selection of a dissemination agent under its continuing disclosure undertakings; provided that, upon the mutual agreement of the Issuer and HilltopSecurities, HilltopSecurities may serve as dissemination agent under one or more of the Issuer's continuing disclosure undertakings upon such terms as the parties shall agree, with such service as dissemination agent being expressly excluded from the scope of this Agreement.

**II. Baseline Advice on Outstanding Issuances of Municipal Securities.** HilltopSecurities shall provide baseline on-going advice to the Issuer on any outstanding issuances throughout the term of this Agreement, which may include, depending on the specific circumstances of such issuance and any request or direction of the Issuer:

1. **Exercising Calls.** Providing advice and assistance to the Issuer with regard to exercising any calls of outstanding municipal securities unrelated to a refunding of such securities.
2. **Refundings and Tender Offers.** Providing advice to the Issuer with regard to opportunities for refundings of outstanding issuances or to make tender offers for outstanding issuances, whether by means of a new issuance, bank loans, or other funds of the Issuer, but not including serving as advisor in connection with the specific transaction through which such refunding or tender offer is effected. Transaction-based advice in connection with a specific new issuance of bonds to effectuate any such refunding or tender offer would be provided within the scope of Municipal Advisory Services for new issuances described in Section I above. Transaction-based advice in connection with a specific bank loan or other transaction to effectuate any such refunding or tender offer, other than by means of a new issuance of bonds would be provided pursuant to a separate agreement as described in Section IV below.

3. **Continuing Disclosure.** Providing advice to the Issuer with regard to continuing disclosure undertakings for outstanding issuances; processes, policies and procedures to comply with continuing disclosure undertakings; and coordination of continuing disclosure obligations arising from different continuing disclosure undertakings for its various issuances. However, the preparation of continuing disclosure documents, other than in the capacity of dissemination agent under a continuing disclosure undertaking, would be provided within the scope of other services described in Section V. below.

**III. Particularized Services on Outstanding Issuances of Municipal Securities.** HilltopSecurities may provide to the Issuer certain additional advisory or related services in connection with particular outstanding issuances or matters affecting multiple outstanding issuances throughout the term of this Agreement, which may include, depending on the specific circumstances of such issuance and any request or direction of the Issuer:

1. **Other Post-Sale Services.** Reviewing the transaction features and documentation of outstanding issuances with legal counsel for the Issuer, bond counsel, auditors and other experts and consultants retained by the Issuer and assisting in developing appropriate responses to legal processes, audit procedures, inquiries, internal reviews and similar matters, or other services related to one or more outstanding issuances as may be agreed to by the Issuer and HilltopSecurities.

2. **Brokerage of Municipal Escrow Investments.** At the request of the Issuer, brokering the purchase of municipal escrow investments in connection with a refunding of an outstanding issuance, together with any recommendations by HilltopSecurities (but not by Hilltop Securities Asset Management, LLC as an investment adviser) with respect to such brokerage.

**IV. Services as Independent Registered Municipal Advisor (“IRMA”).** At the written request of the Issuer, HilltopSecurities shall, as the Issuer’s IRMA, review and provide advice to the Issuer in connection with any recommendations, proposals, ideas or matters suggested or otherwise communicated by a third party to the Issuer with respect to the same aspects of the issuance of municipal securities or municipal financial products that are within the scope of Municipal Advisory Services. There are no aspects of the issuance of municipal securities or municipal financial products that are outside the scope of Municipal Advisory Services set forth in this Appendix.

**V. Other Services Relating to Municipal Securities.** HilltopSecurities agrees to make available to the Issuer other services relating to municipal securities, when so requested by the Issuer and subject to the agreement by Issuer and HilltopSecurities regarding the specific requirements with respect to such services, which requirements shall be made part of the scope of Municipal Advisory Services and included in this Appendix as an amendment or addendum, which services may include, without limitation:

1. **Capital Improvement Programs.** Providing advice and assistance in the development of any capital improvement programs of the Issuer.

2. **Long-Range Planning.** Providing advice and assistance in the development of other long-range financing plans of the Issuer.

3. **Refundings and Tender Offers.** Providing advice and assistance in executing a refunding or tender offer of an outstanding issuance other than by means of refunding bonds, such as by means of a bank loan or other funds of the Issuer.

4. ***Continuing Disclosure Documents.*** Preparing and providing advice with regard to the content of continuing disclosure documents in compliance with the Issuer's continuing disclosure undertakings for its outstanding issuances, other than in the capacity of dissemination agent under a continuing disclosure undertaking.

\* \* \* \* \*

As provided in paragraph D of Section I of the Agreement, amendments to this Appendix A may be effected by replacement of this Appendix A with a new version hereof or by the addition of an addendum to this Appendix A, and this Appendix A, as it may have been amended, shall be dated and effective as of the most recent of the date set forth in any such amendment or the date set forth in any addendum to this Appendix A.

**APPENDIX B  
FORM AND BASIS OF COMPENSATION**

This Appendix B sets out the form and basis of compensation to HilltopSecurities for the Municipal Advisory Services provided under this Agreement as set forth in Appendix A; provided that the compensation arrangements set forth in this Appendix B shall also apply to any additional services hereafter added to the scope of the Municipal Advisory Services, unless otherwise provided in the amendment to the Agreement relating to such change in scope of Municipal Advisory Services as provided in paragraph D of Section I of the Agreement.

**I. New Issuances of Municipal Securities.** The fees due HilltopSecurities in connection with the Municipal Advisory Services set forth in Section I of Appendix A hereto for each new issuance of municipal securities will not exceed those contained in our fee schedule as listed below:

<b>\$15,000</b>	<b>for the first</b>	<b>\$1,000,000 of municipal securities issued</b>
<b>plus \$5.00 per \$1,000</b>	<b>for the next</b>	<b>\$4,000,000 of municipal securities issued</b>
<b>plus \$3.00 per \$1,000</b>	<b>thereafter]</b>	

The above charges shall be multiplied by 1.25 for an issuance of municipal securities for which HilltopSecurities participates in the completion of an application to a federal or state government agency or for the issuance of revenue bonds, refunding bonds or variable rate bonds, reflecting the additional services required.

The payment of charges as set forth in this Section I for new issuances shall be contingent upon the delivery of the new issuance and shall be due at the time that the municipal securities are delivered.

**II. Baseline Advice on Outstanding Issuances of Municipal Securities.** There shall be no additional fees due HilltopSecurities in connection with the Municipal Advisory Services set forth in Section II of Appendix A hereto, with the understanding that such services are integral to HilltopSecurities’ engagement as municipal advisor to the Issuer and HilltopSecurities shall be compensated for such services through and as part of the fees paid for the other services provided by HilltopSecurities hereunder.

**III. Particularized Services on Outstanding Issuances of Municipal Securities.** In connection with Other Post-Sale Services described in Section III of Appendix A hereto, HilltopSecurities shall charge a fee based on an hourly rate for services rendered in accordance with the following schedule:

In connection with the brokerage of municipal escrow investments described in Section III of Appendix A hereto, HilltopSecurities shall charge a commission that is normal and customary for investments of that type under then-current market conditions and shall disclose such commission to the Issuer so that the Issuer may consider the information in making its investment decision.

**IV. Third-Party Recommendations, Proposals, Ideas or Other Matters as IRMA.** In connection with its review of and advice on third-party recommendations to Issuers as an IRMA as described in Section IV of Appendix A hereto, HilltopSecurities shall charge a fee based on an hourly rate for services rendered in accordance with the schedule included above in Section III of this Appendix.

**V. Other Services Relating to Municipal Securities.** In connection with any services described in Section V of Appendix A hereto requested by the Issuer and agreed to by HilltopSecurities, the fees due with respect to any such services shall be as agreed to by the parties hereto, which terms shall be made part of the compensation provided under this Agreement and shall be included in this Appendix as an amendment or addendum hereto.

**VI. Expenses.** The Issuer shall be responsible for the following expenses in connection with the Municipal Advisory Services (including any additional services hereafter added to the scope of the Municipal Advisory Services), if and when applicable, whether they are charged to the Issuer directly as expenses or charged to the Issuer by HilltopSecurities as reimbursable expenses: bond counsel fees and expenses, bond printing costs, bond ratings fees and expenses, computer structuring costs, credit enhancement fees and expenses, accountant fees for verifications and related activities in connection with refundings, official statement preparation and printing, paying agent/registrar/trustee fees and expenses, travel expenses, underwriter and underwriter's counsel fees and expenses, and other miscellaneous expenses incurred by HilltopSecurities in the furtherance of any matter for which it serves as municipal advisor, including copy, delivery, phone and other charges normally incurred in connection with engagements of this type.

The Issuer agrees that any expense that it requests that HilltopSecurities pay to any third party on the Issuer's behalf shall be made in writing and shall be in accordance with paragraph C of Section III of the Agreement.

The payment of reimbursable expenses that HilltopSecurities has assumed on behalf of the Issuer shall NOT be contingent upon the delivery of a new issuance of municipal securities or the completion of any other transactions for which such expenses have been assumed and shall be due at the time that services are rendered and payable upon receipt of an invoice therefor submitted by HilltopSecurities, unless otherwise provided for in any amendment or addendum hereto in connection with the compensation arrangements for any services provided under the Agreement for which such amendment or addendum is required.

## APPENDIX C MUNICIPAL ADVISOR DISCLOSURE STATEMENT

This disclosure statement (“Conflict Disclosures”) is provided by **Hilltop Securities Inc.** (“the Firm”) to you (the “Client”) in connection with our current municipal advisory agreement, (“the Agreement”). These Conflict Disclosures provide information regarding conflicts of interest and legal or disciplinary events of the Firm that are required to be disclosed to the Client pursuant to MSRB Rule G-42(b) and (c)(ii).

### **PART A – Disclosures of Conflicts of Interest**

MSRB Rule G-42 requires that municipal advisors provide to their clients disclosures relating to any actual or potential material conflicts of interest, including certain categories of potential conflicts of interest identified in Rule G-42, if applicable.

***Material Conflicts of Interest*** – The Firm makes the disclosures set forth below with respect to material conflicts of interest in connection with the Scope of Services under the Agreement with the Firm, together with explanations of how the Firm addresses or intends to manage or mitigate each conflict.

***General Mitigations*** – As general mitigations of the Firm’s conflicts, with respect to all of the conflicts disclosed below, the Firm mitigates such conflicts through its adherence to its fiduciary duty to Client, which includes a duty of loyalty to Client in performing all municipal advisory activities for Client. This duty of loyalty obligates the Firm to deal honestly and with the utmost good faith with Client and to act in Client’s best interests without regard to the Firm’s financial or other interests. In addition, because the Firm is a broker-dealer with significant capital due to the nature of its overall business, the success and profitability of the Firm is not dependent on maximizing short-term revenue generated from individualized recommendations to its clients but instead is dependent on long-term profitability built on a foundation of integrity, quality of service and strict adherence to its fiduciary duty. Furthermore, the Firm’s municipal advisory supervisory structure, leveraging our long-standing and comprehensive broker-dealer supervisory processes and practices, provides strong safeguards against individual representatives of the Firm potentially departing from their regulatory duties due to personal interests. The disclosures below describe, as applicable, any additional mitigations that may be relevant with respect to any specific conflict disclosed below.

**I. Affiliate Conflict.** The Firm, directly and through affiliated companies, provides or may provide services/advice/products to or on behalf of clients that are related to the Firm’s advisory activities within the Scope of Services outlined in the Agreement. Hilltop Securities Asset Management (HSAM), a SEC-registered affiliate of the Firm, provides post issuance services including arbitrage rebate and treasury management. The Firm’s arbitrage team verifies rebate and yield restrictions on the investments of bond proceeds on behalf of clients in order to meet IRS restrictions. The treasury management division performs portfolio management/advisor services on behalf of public sector clients. The Firm, through affiliate Hilltop Securities Asset Management (HSAM), provides a multi-employer trust tailor-made for public entities which allows them to prefund Other Post-Employment Benefit liabilities. The Firm has a structured products desk that provides advice to help clients mitigate risk through investment management, debt management and commodity price risk management products. These products consist of but are not limited to swaps (interest rate, currency, commodity), options, repos, escrow structuring and other securities. Continuing Disclosure services provided by the Firm work with issuers to assist them in meeting disclosure requirements set forth in SEC rule 15c2-12. Services include but are not limited to ongoing maintenance of issuer compliance, automatic tracking of issuer’s annual filings and public notification of material events. The Firm administers government investment pools. These programs offer governmental entities

investment options for their cash management programs based on the entities specific needs. The Firm and the aforementioned affiliate's business with a client could create an incentive for the Firm to recommend to a client a course of action designed to increase the level of a client's business activities with the affiliates or to recommend against a course of action that would reduce or eliminate a client's business activities with the affiliates. This potential conflict is mitigated by the fact that the Firm and affiliates are subject to their own comprehensive regulatory regimes.

**II. PlainsCapital Bank Affiliate Conflict.** The Firm, directly and through affiliated companies, provides or may provide services/advice/products to or on behalf of clients that are related to the Firm's advisory activities within the Scope of Services outlined in the Agreement. Affiliate, PlainsCapital Bank, provides banking services to municipalities including loans and custody. The Firm and the aforementioned affiliate's business with a client could create an incentive for the Firm to recommend to a client a course of action designed to increase the level of a client's business activities with the affiliates or to recommend against a course of action that would reduce or eliminate a client's business activities with the affiliates. This potential conflict is mitigated by the fact that the Firm and affiliates are subject to their own comprehensive regulatory regimes.

**III. Other Municipal Advisor or Underwriting Relationships.** The Firm serves a wide variety of other clients that may from time to time have interests that could have a direct or indirect impact on the interests of Client. For example, the Firm serves as municipal advisor to other municipal advisory clients and, in such cases, owes a regulatory duty to such other clients just as it does to Client. These other clients may, from time to time and depending on the specific circumstances, have competing interests, such as accessing the new issue market with the most advantageous timing and with limited competition at the time of the offering. In acting in the interests of its various clients, the Firm could potentially face a conflict of interest arising from these competing client interests. In other cases, as a broker-dealer that engages in underwritings of new issuances of municipal securities by other municipal entities, the interests of the Firm to achieve a successful and profitable underwriting for its municipal entity underwriting clients could potentially constitute a conflict of interest if, as in the example above, the municipal entities that the Firm serves as underwriter or municipal advisor have competing interests in seeking to access the new issue market with the most advantageous timing and with limited competition at the time of the offering. None of these other engagements or relationships would impair the Firm's ability to fulfill its regulatory duties to Client.

**IV. Secondary Market Transactions in Client's Securities.** The Firm, in connection with its sales and trading activities, may take a principal position in securities, including securities of Client, and therefore the Firm could have interests in conflict with those of Client with respect to the value of Client's securities while held in inventory and the levels of mark-up or mark-down that may be available in connection with purchases and sales thereof. In particular, the Firm or its affiliates may submit orders for and acquire Client's securities issued in an Issue under the Agreement from members of the underwriting syndicate, either for its own account or for the accounts of its customers. This activity may result in a conflict of interest with Client in that it could create the incentive for the Firm to make recommendations to Client that could result in more advantageous pricing of Client's bond in the marketplace. Any such conflict is mitigated by means of such activities being engaged in on customary terms through units of the Firm that operate independently from the Firm's municipal advisory business, thereby reducing the likelihood that such investment activities would have an impact on the services provided by the Firm to Client under this Agreement.

**V. Broker-Dealer and Investment Advisory Business.** The Firm is dually registered as a broker-dealer and an investment advisor that engages in a broad range of securities-related activities to service its clients, in addition to serving as a municipal advisor or underwriter. Such securities-related activities, which



may include but are not limited to the buying and selling of new issue and outstanding securities and investment advice in connection with such securities, including securities of Client, may be undertaken on behalf of, or as counterparty to, Client, personnel of Client, and current or potential investors in the securities of Client. These other clients may, from time to time and depending on the specific circumstances, have interests in conflict with those of Client, such as when their buying or selling of Client's securities may have an adverse effect on the market for Client's securities, and the interests of such other clients could create the incentive for the Firm to make recommendations to Client that could result in more advantageous pricing for the other clients. Furthermore, any potential conflict arising from the firm effecting or otherwise assisting such other clients in connection with such transactions is mitigated by means of such activities being engaged in on customary terms through units of the Firm that operate independently from the Firm's municipal advisory business, thereby reducing the likelihood that the interests of such other clients would have an impact on the services provided by the Firm to Client.

**VI. Compensation-Based Conflicts.** Fees that are based on the size of the issue are contingent upon the delivery of the Issue. While this form of compensation is customary in the municipal securities market, this may present a conflict because it could create an incentive for the Firm to recommend unnecessary financings or financings that are disadvantageous to Client, or to advise Client to increase the size of the issue. This conflict of interest is mitigated by the general mitigations described above.

Fees based on a fixed amount are usually based upon an analysis by Client and the Firm of, among other things, the expected duration and complexity of the transaction and the Scope of Services to be performed by the Firm. This form of compensation presents a potential conflict of interest because, if the transaction requires more work than originally contemplated, the Firm may suffer a loss. Thus, the Firm may recommend less time-consuming alternatives, or fail to do a thorough analysis of alternatives. This conflict of interest is mitigated by the general mitigations described above.

Hourly fees are calculated with, the aggregate amount equaling the number of hours worked by Firm personnel times an agreed-upon hourly billing rate. This form of compensation presents a potential conflict of interest if Client and the Firm do not agree on a reasonable maximum amount at the outset of the engagement, because the Firm does not have a financial incentive to recommend alternatives that would result in fewer hours worked. This conflict of interest is mitigated by the general mitigations described above.

## **PART B – Disclosures of Information Regarding Legal Events and Disciplinary History**

MSRB Rule G-42 requires that municipal advisors provide to their clients certain disclosures of legal or disciplinary events material to its client's evaluation of the municipal advisor or the integrity of the municipal advisor's management or advisory personnel.

Accordingly, the Firm sets out below required disclosures and related information in connection with such disclosures.

**I. Material Legal or Disciplinary Event.** The Firm discloses the following legal or disciplinary events that may be material to Client's evaluation of the Firm or the integrity of the Firm's management or advisory personnel:

- For related disciplinary actions please refer to the Firm's [BrokerCheck](#) webpage.

- The Firm self-reported violations of SEC Rule 15c2-12: Continuing Disclosure. The Firm settled with the SEC on February 2, 2016. The firm agreed to retain independent consultant and adopt the consultant's finding. Firm paid a fine of \$360,000.
- The Firm settled with the SEC in matters related to violations of MSRB Rules G-23(c), G-17 and SEC rule 15B(c) (1). The Firm disgorged fees of \$120,000 received as financial advisor on the deal, paid prejudgment interest of \$22,400.00 and a penalty of \$50,000.00.
- The Firm entered into a Settlement Agreement with Rhode Island Commerce Corporation. Under the Settlement Agreement, the firm agreed to pay \$16.0 million to settle any and all claims in connection with The Rhode Island Economic Development Corporation Job Creation Guaranty Program Taxable Revenue Bond (38 Studios, LLC Project) Series 2010, including the litigation thereto. The case, filed in 2012, arose out of a failed loan by Rhode Island Economic Development Corporation. The firm's predecessor company, First Southwest Company, LLC, was one of 14 defendants. HilltopSecurities' engagement was limited to advising on the structure, terms, and rating of the underlying bonds. Hilltop settled with no admission of liability or wrongdoing.
- On April 30, 2019, the Firm entered into a Settlement Agreement with Berkeley County School District of Berkeley County, South Carolina. The case, filed in March of 2019, arose in connection with certain bond transactions occurring from 2012 to 2014, for which former employees of Southwest Securities, Inc., a predecessor company, provided financial advisory services. The Firm agreed to disgorge all financial advisory fees related to such bond transactions, which amounted to \$822,966.47, to settle any and all claims, including litigation thereto. Under the Settlement Agreement, the Firm was dismissed from the lawsuit with prejudice, no additional penalty, and with no admission of liability or wrongdoing.
- From July 2011 to October 2015, Hilltop failed to submit required MSRB Rule G-32 information to EMMA in connection with 122 primary offerings of municipal securities for which the Firm served as placement agent. During the period January 2012 to September 2015, the Firm failed to provide MSRB Rule G-17 letters to issuers in connection with 119 of the 122 offerings referenced above. From October 2014 to September 2015, the Firm failed to report on Form MSRB G-37 that it had engaged in municipal securities business as placement agent for 45 of these 122 offerings. This failure was a result of a misunderstanding by one branch office of Southwest Securities. Hilltop discovered these failures during the merger of FirstSouthwest and Southwest Securities and voluntarily reported them to FINRA. The Firm paid a fine of \$100,000 for these self-reported violations.
- In connection with a settlement on July 9, 2021, the U.S. Securities and Exchange Commission found that, between January 2016 and April 2018, the Firm bought municipal bonds for its own account from another broker-dealer and that, on occasion during that time period, the other broker-dealer mischaracterized the Firm's orders when placing them with the lead underwriter. The SEC found that, among other things, the Firm lacked policies and procedures with respect to how stock orders were submitted for new issues bonds to third parties, including the broker-dealer that mischaracterized the Firm's orders. The SEC found violations of MSRB Rules G-27, G-17, and SEC rule 15B(c)(1) and a failure to reasonably supervise within the meaning of Section 15(b)(4)(E) of the Securities Exchange Act of 1934. The Firm was censured and ordered to pay disgorgement of \$206,606, prejudgment interest of \$48,587 and a penalty of \$85,000.

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**PART C – MSRB Rule G-10 Disclosure**

MSRB Rule G-10 covers Investor and Municipal Advisory Client education and protection. This rule requires that municipal advisors make certain disclosures to all municipal advisory clients. This communication is a disclosure only and does not require any action on your part. The disclosures are noted below.

1. Hilltop Securities Inc. is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board as a Municipal Advisor.
2. You can access the website for the Municipal Securities Rulemaking Board at [www.msrb.org](http://www.msrb.org)
3. The Municipal Securities Rulemaking Board has posted a municipal advisory client brochure. A copy of the brochure is attached to the memo. This link will take to you to the electronic version [MA Client Brochure](#)

**PART D – Future Supplemental Disclosures**

As required by MSRB Rule G-42, this Municipal Advisor Disclosure Statement may be supplemented or amended, from time to time as needed, to reflect changed circumstances resulting in new conflicts of interest or changes in the conflicts of interest described above, or to provide updated information with regard to any legal or disciplinary events of the Firm. The Firm will provide Client with any such supplement or amendment as it becomes available throughout the term of the Agreement.



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May 9, 2022

## A Trusted Leader in Public Finance

- More than 75 years of industry experience and approximately 200 employees committed to public finance
- Our Municipal Products Include:

Municipal Advisory

Underwriting

Investment Banking

Structured Finance

Continuing Disclosure

Government Investment Pools

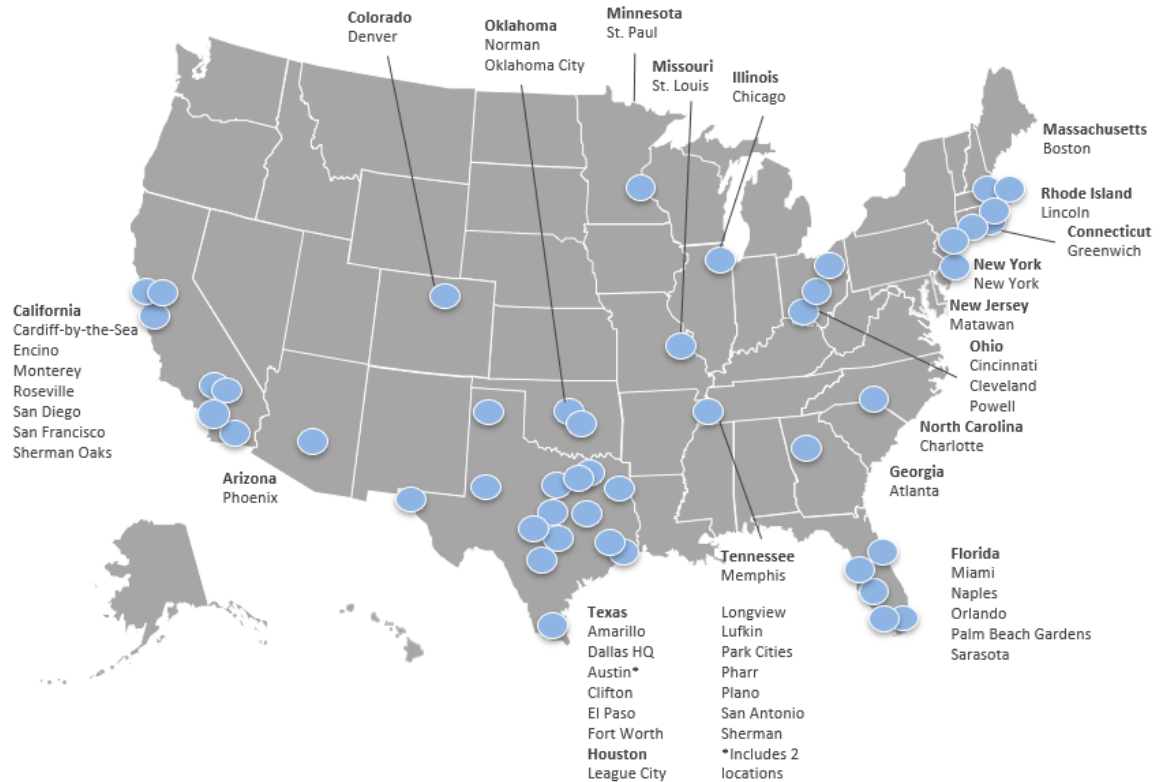
Asset Management

Arbitrage Rebate

Pension and OPEB Consulting

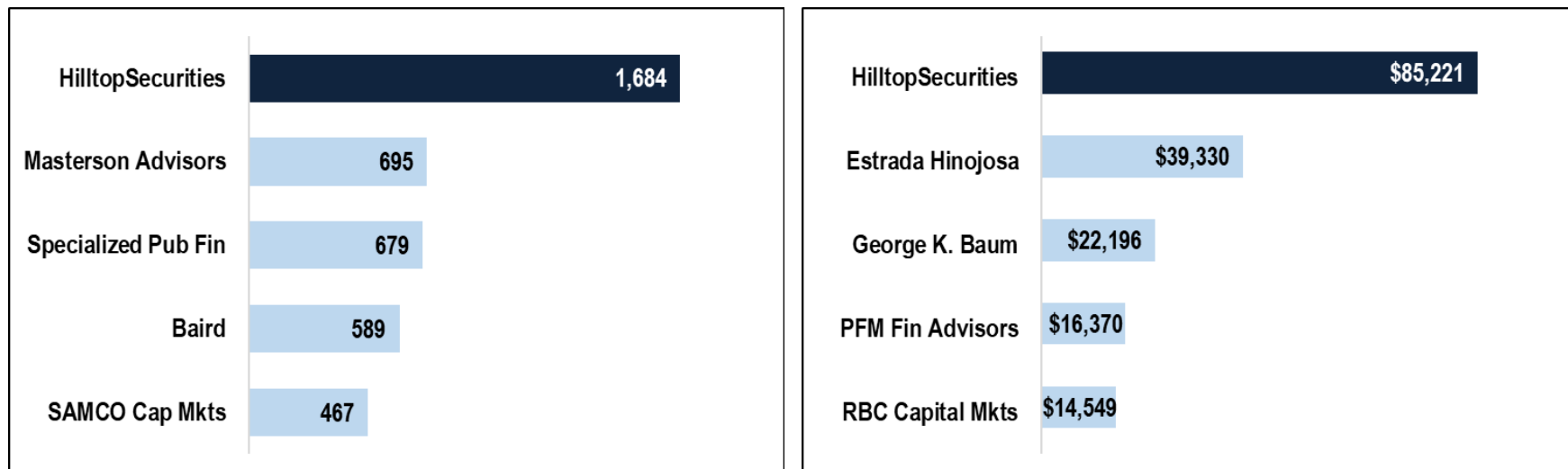
### HilltopSecurities National Platform as of January 1, 2022

#### 47 Office Locations in 18 States



## Top Municipal Advisor in Texas

### Texas Municipal Advisor Rankings March 1, 2017 – February 28, 2022 Number of Issues and Par Amount (millions)



For the five-year period ending February 28, 2022, HilltopSecurities is the **number-one ranked municipal advisor in Texas**, based on number of issues and par amount, with 1,684 issues completed for a par amount of \$85.22 billion.

Source: Ipreo MuniAnalytics

## Managing Director



### Areas of Focus

- Specializes in public finance

### Profile

- Joined the Firm in 2001
- Oversees the general processing of financings
- Supplies refunding analyses, debt structuring, cash flow models, and credit analysis for a wide range of issuers
- Works with cities, counties, school districts, and water districts
- Extensive experience with financings through the Texas Water Development Board
- Extensive experience with economic development tools and incentive packages (PIDs, TIRZs, 380s)

### Education

- Bachelor of Business Administration in Finance with a minor in Accounting, Texas Christian University

### Licenses Held

- Registered Representative of the Financial Industry Regulatory Authority (FINRA)
  - General Securities Representative, Series 7
  - Municipal Advisor Representative, Series 50
  - Uniform Securities Agent, Series 63
  - Investment Banking Representative, Series 79

### Contact Information

777 Main Street  
Suite 1525  
Fort Worth, Texas 76102

Telephone: 817.332.9710  
nick.bulaich@hilltopsecurities.com

# Disclaimer

Item 3.

This communication is intended for issuers for educational and informational purposes only and does not constitute legal or investment advice, nor is it an offer or a solicitation of an offer to buy or sell any investment or other specific product or service. Financial transactions may be dependent upon many factors such as, but not limited to, interest rate trends, tax rates, supply, change in laws, rules and regulations, as well as changes in credit quality and rating agency considerations. The effect of such changes in such assumptions may be material and could affect the projected results. Any outcome or result HilltopSecurities, or any of its employees, may have achieved on behalf of our clients in previous matters does not necessarily indicate similar results can be obtained in the future for current or potential clients. HilltopSecurities makes no claim the use of this communication will assure a successful outcome. This communication is intended for institutional use only. For additional information, comments or questions, please contact Hilltop Securities Inc.



This disclosure statement (“Conflict Disclosures”) is provided by Hilltop Securities Inc. (“the Firm”) to disclose information regarding conflicts of interest and legal or disciplinary events of the Firm that are required to be disclosed to potential clients pursuant to MSRB Rule G-42(b) and (c)(ii).

## **PART A – Disclosures of Conflicts of Interest**

MSRB Rule G-42 requires that municipal advisors provide to their clients disclosures relating to any actual or potential material conflicts of interest, including certain categories of potential conflicts of interest identified in Rule G-42, if applicable.

**Material Conflicts of Interest** – The Firm makes the disclosures set forth below with respect to material conflicts of interest in connection with the Scope of Services under the Agreement with the Firm, together with explanations of how the Firm addresses or intends to manage or mitigate each conflict.

**General Mitigations** – As general mitigations of the Firm’s conflicts, with respect to all of the conflicts disclosed below, the Firm mitigates such conflicts through its adherence to its fiduciary duty to clients, which includes a duty of loyalty to clients in performing all municipal advisory activities for clients. This duty of loyalty obligates the Firm to deal honestly and with the utmost good faith with client and to act in the client’s best interests without regard to the Firm’s financial or other interests. In addition, because the Firm is a broker-dealer with significant capital due to the nature of its overall business, the success and profitability of the Firm is not dependent on maximizing short-term revenue generated from individualized recommendations to its clients but instead is dependent on long-term profitability built on a foundation of integrity, quality of service and strict adherence to its fiduciary duty. Furthermore, the Firm’s municipal advisory supervisory structure, leveraging our long-standing and comprehensive broker-dealer supervisory processes and practices, provides strong safeguards against individual representatives of the Firm potentially departing from their regulatory duties due to personal interests. The disclosures below describe, as applicable, any additional mitigations that may be relevant with respect to any specific conflict disclosed below.

**I. Affiliate Conflict.** The Firm, directly and through affiliated companies, provides or may provide services/advice/products to or on behalf of clients that are related to the Firm’s advisory activities. Hilltop Securities Asset Management (HSAM), a SEC-registered affiliate of the Firm, provides post issuance services including arbitrage rebate and treasury management. The Firm’s arbitrage team verifies rebate and yield restrictions on the investments of bond proceeds on behalf of clients in order to meet IRS restrictions. The treasury management division performs portfolio management/advisor services on behalf of public sector clients. The Firm, through affiliate Hilltop Securities Asset Management (HSAM), provides a multi-employer trust tailor-made for public entities which allows them to prefund Other Post-Employment Benefit liabilities. The Firm has a structured products desk that provides advice to help clients mitigate risk through investment management, debt management and commodity price risk management products. These products consist of but are not limited to swaps (interest rate, currency, commodity), options, repos, escrow structuring and other securities. Continuing Disclosure services provided by the Firm work with issuers to assist them in meeting disclosure requirements set forth in SEC rule 15c2-12. Services include but are not limited to ongoing maintenance of issuer compliance, automatic tracking of issuer’s annual filings and public notification of material events. The Firm administers government investment pools. These programs offer governmental entities investment options for their cash management programs based on the entities specific needs. The Firm and the aforementioned affiliate’s business with a client could create an incentive for the Firm to recommend to a client a course of action designed to increase the level of a client’s business activities with the affiliates or to recommend against a course of action that would reduce or eliminate a client’s business activities with the affiliates. This potential conflict is mitigated by the fact that the Firm and affiliates are subject to their own comprehensive regulatory regimes.

**II. PlainsCapital Bank Affiliate Conflict.** The Firm, directly and through affiliated companies, provides or may provide services/advice/products to or on behalf of clients that are related to the Firm’s advisory activities. Affiliate, PlainsCapital Bank, provides banking services to municipalities including loans and custody. The Firm and the aforementioned affiliate’s business with a client could create an incentive for the Firm to recommend to a client a course of action designed to increase the level of a client’s business activities with the affiliates or to recommend against a course of action that would reduce or eliminate a client’s business activities with the affiliates. This potential conflict is mitigated by the fact that the Firm and affiliates are subject to their own comprehensive regulatory regimes.

# Municipal Advisor Disclosure Statement

Item 3.

— III. Other Municipal Advisor or Underwriting Relationships. The Firm serves a wide variety of other clients that may from time to time have interests that could have a direct or indirect impact on the interests of other clients. For example, the Firm serves as municipal advisor to other municipal advisory clients and, in such cases, owes a regulatory duty to such other clients just as it does to all its municipal advisory clients. These other clients may, from time to time and depending on the specific circumstances, have competing interests, such as accessing the new issue market with the most advantageous timing and with limited competition at the time of the offering. In acting in the interests of its various clients, the Firm could potentially face a conflict of interest arising from these competing client interests. In other cases, as a broker-dealer that engages in underwritings of new issuances of municipal securities by other municipal entities, the interests of the Firm to achieve a successful and profitable underwriting for its municipal entity underwriting clients could potentially constitute a conflict of interest if, as in the example above, the municipal entities that the Firm serves as underwriter or municipal advisor have competing interests in seeking to access the new issue market with the most advantageous timing and with limited competition at the time of the offering. None of these other engagements or relationships would impair the Firm's ability to fulfill its regulatory duties to any specific client.

IV. Secondary Market Transactions in Client's Securities. The Firm, in connection with its sales and trading activities, may take a principal position in securities, including securities of its clients, and therefore the Firm could have interests in conflict with a client with respect to the value of the client's securities while held in inventory and the levels of mark-up or mark-down that may be available in connection with purchases and sales thereof. In particular, the Firm or its affiliates may submit orders for and acquire a municipal advisory client's securities issued in an issue under a municipal advisory agreement from members of the underwriting syndicate, either for its own account or for the accounts of its customers. This activity may result in a conflict of interest with the client in that it could create the incentive for the Firm to make recommendations to the client that could result in more advantageous pricing of the client's bond in the marketplace. Any such conflict is mitigated by means of such activities being engaged in on customary terms through units of the Firm that operate independently from the Firm's municipal advisory business, thereby reducing the likelihood that such investment activities would have an impact on the services provided by the Firm to any client under a municipal advisory agreement.

V. Broker-Dealer and Investment Advisory Business. The Firm is dually registered as a broker-dealer and an investment advisor that engages in a broad range of securities-related activities to service its clients, in addition to serving as a municipal advisor or underwriter. Such securities-related activities, which may include but are not limited to the buying and selling of new issue and outstanding securities and investment advice in connection with such securities, including securities of the firm's municipal advisory clients, may be undertaken on behalf of, or as counterparty to, the client, personnel of the client, and current or potential investors in the securities of the client. These other clients may, from time to time and depending on the specific circumstances, have interests in conflict with those of the Firm's municipal advisory clients, such as when their buying or selling of the municipal advisory client's securities may have an adverse effect on the market for municipal advisory client's securities, and the interests of such other clients could create the incentive for the Firm to make recommendations to the municipal advisory client that could result in more advantageous pricing for the other clients. Furthermore, any potential conflict arising from the firm effecting or otherwise assisting such other clients in connection with such transactions is mitigated by means of such activities being engaged in on customary terms through units of the Firm that operate independently from the Firm's municipal advisory business, thereby reducing the likelihood that the interests of such other clients would have an impact on the services provided by the Firm to its municipal advisory clients.

VI. Compensation-Based Conflicts. Fees that are based on the size of the issue are contingent upon the delivery of the Issue. While this form of compensation is customary in the municipal securities market, this may present a conflict because it could create an incentive for the Firm to recommend unnecessary financings or financings that are disadvantageous to its clients, or to advise clients to increase the size of the issue. This conflict of interest is mitigated by the general mitigations described above.

Fees based on a fixed amount are usually based upon an analysis by the client and the Firm of, among other things, the expected duration and complexity of the transaction and the scope of municipal services to be performed by the Firm. This form of compensation presents a potential conflict of interest because, if the transaction requires more work than originally contemplated, the Firm may suffer a loss. Thus, the Firm may recommend less time-consuming alternatives, or fail to do a thorough analysis of alternatives. This conflict of interest is mitigated by the general mitigations described above.

# Municipal Advisor Disclosure Statement

Item 3.

Hourly fees are calculated with, the aggregate amount equaling the number of hours worked by Firm personnel times an agreed-upon hourly billing rate. This form of compensation presents a potential conflict of interest if the client and the Firm do not agree on a reasonable maximum amount at the outset of the engagement, because the Firm does not have a financial incentive to recommend alternatives that would result in fewer hours worked. This conflict of interest is mitigated by the general mitigations described above.

## **PART B – Disclosures of Information Regarding Legal Events and Disciplinary History**

MSRB Rule G-42 requires that municipal advisors provide to their clients certain disclosures of legal or disciplinary events material to its client's evaluation of the municipal advisor or the integrity of the municipal advisor's management or advisory personnel.

Accordingly, the Firm sets out below required disclosures and related information in connection with such disclosures.

**I. Material Legal or Disciplinary Event.** The Firm discloses the following legal or disciplinary events that may be material to Client's evaluation of the Firm or the integrity of the Firm's management or advisory personnel:

- For related disciplinary actions please refer to the Firm's [BrokerCheck](#) webpage.
- The Firm self-reported violations of SEC Rule 15c2-12: Continuing Disclosure. The Firm settled with the SEC on February 2, 2016. The firm agreed to retain independent consultant and adopt the consultant's finding. Firm paid a fine of \$360,000.
- The Firm settled with the SEC in matters related to violations of MSRB Rules G-23(c), G-17 and SEC rule 15B(c) (1). The Firm disgorged fees of \$120,000 received as financial advisor on the deal, paid prejudgment interest of \$22,400.00 and a penalty of \$50,000.00.
- The Firm entered into a Settlement Agreement with Rhode Island Commerce Corporation. Under the Settlement Agreement, the firm agreed to pay \$16.0 million to settle any and all claims in connection with The Rhode Island Economic Development Corporation Job Creation Guaranty Program Taxable Revenue Bond (38 Studios, LLC Project) Series 2010, including the litigation thereto. The case, filed in 2012, arose out of a failed loan by Rhode Island Economic Development Corporation. The firm's predecessor company, First Southwest Company, LLC, was one of 14 defendants. FirstSouthwest's engagement was limited to advising on the structure, terms, and rating of the underlying bonds. Hilltop settled with no admission of liability or wrongdoing.
- On April 30, 2019, the Firm entered into a Settlement Agreement with Berkeley County School District of Berkeley County, South Carolina. The case, filed in March of 2019, arose in connection with certain bond transactions occurring from 2012 to 2014, for which former employees of Southwest Securities, Inc., a predecessor company, provided financial advisory services. The Firm agreed to disgorge all financial advisory fees related to such bond transactions, which amounted to \$822,966.47, to settle any and all claims, including litigation thereto. Under the Settlement Agreement, the Firm was dismissed from the lawsuit with prejudice, no additional penalty, and with no admission of liability or wrongdoing.
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## **PART D – Future Supplemental Disclosure**

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## RESOLUTION NO. 2022-12

A RESOLUTION OF THE CITY OF MOUNT VERNON, FRANKLIN COUNTY, TEXAS, AUTHORIZING THE SUBMISSION OF A HAZARD MITIGATION GRANT PROGRAM (HMGP) APPLICATION THROUGH THE TEXAS DIVISION OF EMERGENCY MANAGEMENT AND AUTHORIZING THE MAYOR AND CITY ADMINISTRATOR TO ACT AS THE CITY'S EXECUTIVE OFFICERS AND AUTHORIZED REPRESENTATIVES IN ALL MATTERS PERTAINING TO THE CITY'S PARTICIPATION IN THE DR-4485 HAZARD MITIGATION GRANT PROGRAM.

WHEREAS, the City Council of the City of Mount Vernon, Franklin County, Texas desires to reduce or eliminate the long-term risk of loss of life, injury, damage to and loss of property, and suffering and hardship, by lessening the impact of future disasters; and

WHEREAS, the City desires to develop a viable community, including decent housing and a suitable living environment; and

WHEREAS, it is necessary and in the best interests of the City to apply for funding under the DR-4485 Hazard Mitigation Grant Program;

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF MOUNT VERNON, FRANKLIN COUNTY, TEXAS:

1. That one or more Hazard Mitigation Grant Program applications is hereby authorized to be filed on behalf of the City with the Texas Division of Emergency Management for eligible projects.
2. That the City's application(s) be placed in competition for funding under the DR-4485 program.
3. That the application(s) be for up to \$2,260,519.20 of grant funds to construct improvements, project engineering, acquisition, and grant administration.
4. That the City Council directs and designates the Mayor and City Administrator as the City's Chief Executive Officers and Authorized Representatives to act in all matters in connection with this application and the City's participation in the Hazard Mitigation Grant Program.
5. That all funds will be used in accordance with all applicable federal, state, local and programmatic requirements including but not limited to procurement, environmental review, labor standards, real property acquisition, and civil rights requirements.
6. That it further be stated that the City is committing up to \$251,168.88 from its General Fund as a cash contribution and/or in-kind services toward the administration and construction activities of the selected project.

Passed and approved this \_\_\_ day of May, 2022.

\_\_\_\_\_  
Brad Hyman, Mayor

ATTEST:

\_\_\_\_\_  
Kathy Lovier, City Secretary

<b>City of Mount Vernon</b>					
2022 Hazard Mitigation Grant Program					
Opinion of Probable Construction Cost					
Item No.	Description	Quantity	Unit	Unit Price	Total
<b>Schedule 1.0 - Raw Water Pump Station Improvements</b>					
1.01	Mobilization, Insurance, and Bonding	1	LS	\$ 25,000.00	\$ 25,000.00
1.02	Raw Water Pump Station Generator	1	LS	\$ 185,000.00	\$ 185,000.00
1.03	Install New Raw Water Pumps with VFDs	2	EA	\$ 50,000.00	\$ 100,000.00
1.04	Electrical and Control Improvements	1	EA	\$ 35,000.00	\$ 35,000.00
Subtotal :					\$ 345,000.00
Construction Contingencies (15%):					\$ 51,750.00
Opinion of Probable Construction Cost:					\$ 396,750.00
Professional Services:					\$ 79,350.00
<b>Project Cost:</b>					<b>\$ 476,100.00</b>
<b>Schedule 2.0 - WWTP Improvements</b>					
2.01	Mobilization, Insurance, and Bonding	1	LS	\$ 25,000.00	\$ 25,000.00
2.02	Construct Flow Equalization Basin	1	LS	\$ 250,000.00	\$ 250,000.00
2.03	Electrical and Control Improvements	1	EA	\$ 35,000.00	\$ 35,000.00
Subtotal :					\$ 310,000.00
Construction Contingencies (15%):					\$ 46,500.00
Opinion of Probable Construction Cost:					\$ 356,500.00
Professional Services:					\$ 82,000.00
<b>Project Cost:</b>					<b>\$ 438,500.00</b>
<b>Schedule 3.0 - Backup Generators</b>					
3.01	200 kW Portable Generator	1	LS	\$ 125,000.00	\$ 125,000.00
3.02	Backup Generator for City Hall	1	LS	\$ 165,000.00	\$ 165,000.00
3.03	Generator Foundation and Site Work	1	EA	\$ 50,000.00	\$ 50,000.00
3.04	Electrical and Control Improvements	2	EA	\$ 35,000.00	\$ 70,000.00
Subtotal :					\$ 410,000.00
Construction Contingencies (15%):					\$ 61,500.00
Opinion of Probable Construction Cost:					\$ 471,500.00
Professional Services:					\$ 94,300.00
<b>Project Cost:</b>					<b>\$ 565,800.00</b>
<b>Schedule 4.0 - City Lake Earthen Dam Improvements</b>					
4.01	Mobilization, Insurance, and Bonding	1	LS	\$ 75,000.00	\$ 75,000.00
4.02	Improvements and Rehabilitation of City Lake Dam Slope	850	LF	\$ 725.00	\$ 616,250.00
4.03	Remove and Reinstall Intruder Resistant Fencing	200	LF	\$ 50.00	\$ 10,000.00
4.04	Site preparation and Reestablishing Surface Vegetation	1.0	AC	\$ 8,000.00	\$ 8,000.00
4.05	Miscellaneous Owner's Allowance	1	LS	\$ 75,000.00	\$ 75,000.00
Subtotal :					\$ 784,250.00
Construction Contingencies (15%):					\$ 117,638.00
Opinion of Probable Construction Cost:					\$ 901,888.00
Professional Services:					\$ 189,400.00
<b>Project Cost:</b>					<b>\$ 1,091,288.00</b>

## Assumptions:

Unit costs are in 2022 dollars.

Assumes relocation of franchise utilities by others.

THIS DOCUMENT IS RELEASED FOR THE PURPOSE OF INTERIM REVIEW UNDER THE AUTHORITY OF Blake T. Powell, P.E., 133210, ON  
March 23, 2022.

IT IS NOT TO BE USED FOR CONSTRUCTION, BIDDING, OR PERMIT PURPOSES.