



## CITY COUNCIL REGULAR MEETING

Clearlake City Hall Council Chambers

14050 Olympic Dr, Clearlake, CA

Thursday, March 19, 2026

Workshop 5:00 PM

Regular Meeting 6:00 PM

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The City Council meetings are viewable in person in the Council Chambers, via livestreaming on the City's YouTube Channel ([https://www.youtube.com/channel/UCTyifT\\_nKS-3woxEu1ilBXA](https://www.youtube.com/channel/UCTyifT_nKS-3woxEu1ilBXA)) or "Lake County PEG TV Live Stream" at <https://www.youtube.com/user/LakeCountyPegTV/featured> and the public may participate through Zoom at the link listed below. The public will not be allowed to provide verbal comment during the meeting if attending via Zoom. The public can submit comments in writing for City Council consideration by commenting via the Q&A function in the Zoom platform or by sending comments to the Administrative Services Director/City Clerk at [mwsanson@clearlake.ca.us](mailto:mwsanson@clearlake.ca.us). To give the City Council adequate time to review your comments, you must submit your written emailed comments prior to 4:00 p.m. on the day of the meeting.

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### REVISED AGENDA

**MEETING PROCEDURES:** *All items on agenda will be open for public comments before final action is taken. Citizens wishing to introduce written material into the record at the public meeting on any item are requested to provide a copy of the written material to the Administrative Services Director/City Clerk prior to the meeting date so that the material may be distributed to the City Council prior to the meeting. Speakers must restrict comments to the item as it appears on the agenda and stay within a three minutes time limit. The Mayor has the discretion of limiting the total discussion time for an item.*

*Pursuant to Senate Bill 1100 and the City Council Norms and Procedures, any member of the public making personal, impertinent, and/or slanderous or profane remarks, or who becomes boisterous or belligerent while addressing the City Council, staff or general public, or while attending the City Council meeting and refuses to come to order at the direction of the Mayor/Presiding Officer, shall be removed from the Council Chambers or the Zoom by the sergeant-at-arms or the City Clerk and may be barred from further attendance before the Council during that meeting. Unauthorized remarks from the audience, stamping of feet, whistles, yells, and similar demonstrations shall not be permitted by the Mayor/Presiding Officer. The Mayor/Presiding Officer may direct the sergeant-at-arms to remove such offenders from the room.*

## AMERICANS WITH DISABILITY ACT (ADA) REQUESTS

If you need disability related modification, including auxiliary aids or services, to participate in this meeting, please contact Melissa Swanson, Administrative Services Director/City Clerk at the Clearlake City Hall, 14050 Olympic Drive, Clearlake, California 95422, phone (707) 994-8201, ext 106, or via email at [mswanson@clearlake.ca.us](mailto:mswanson@clearlake.ca.us) at least 72 hours prior to the meeting, to allow time to provide for special accommodations.

### AGENDA REPORTS

Staff reports for each agenda item are available for review at [www.clearlake.ca.us](http://www.clearlake.ca.us). Any writings or documents pertaining to an open session item provided to a majority of the City Council less than 72 hours prior to the meeting, shall be made available for public inspection on the City's website at [www.clearlake.ca.us](http://www.clearlake.ca.us).

### Zoom Link:

Join from PC, Mac, iPad, or Android:

<https://clearlakeca.zoom.us/j/81321043062?pwd=DyNy4bpN3jJ3G0FdKH6wk3Xx81g7fc.1>

Passcode:106151

#### A. 5:00 PM ANNEXATION WORKSHOP

#### B. ROLL CALL

#### C. PLEDGE OF ALLEGIANCE

**D. INVOCATION/MOMENT OF SILENCE:** *The City Council invites members of the clergy, as well as interested members of the public in the City of Clearlake, to voluntarily offer an invocation before the beginning of its meetings for the benefit and blessing of the City Council. This opportunity is voluntary and invocations are to be less than three minutes, offered in a solemn and respectful tone, and directed at the City Council. Invocational speakers who do not abide by these simple rules of respect and brevity shall be given a warning and/or not invited back to provide a subsequent invocation for a reasonable period of time, as determined appropriate by the City. This policy is not intended, and shall not be implemented or construed in any way, to affiliate the City Council with, nor express the City Council's preference for, any faith or religious denomination. Rather, this policy is intended to acknowledge and express the City Council's respect for the diversity of religious denominations and faith represented and practiced among the citizens of Clearlake. If a scheduled invocational speaker does not appear at the scheduled meeting, the Mayor will ask that the City Council observe a moment of silence in lieu of the invocation. More information about the City's invocation policy is available upon request by contacting the Administrative Services Director/City Clerk at (707) 994-8201x106 or via email at [mswanson@clearlake.ca.us](mailto:mswanson@clearlake.ca.us).*

**E. ADOPTION OF THE AGENDA** *(This is the time for agenda modifications.)*

**F. PUBLIC COMMENT:** *This is the time for any member of the public to address the City Council on any matter not on the agenda that is within the subject matter jurisdiction of the City. **The Brown Act, with limited exceptions, does not allow the Council or staff to discuss issues brought forth under Public Comment.** The Council cannot take action on non-agenda items. Concerns may be referred to staff or placed on the next available agenda. Please note that comments from the public will also be taken on each agenda item. Comments shall be limited to three (3) minutes per person.*

**G. CONSENT AGENDA:** *All items listed under the Consent Agenda are considered to be routine in nature and will be approved by one motion. There will be no separate discussion of these items unless a member of the Council requests otherwise, or if staff has requested a change under Adoption of the Agenda, in which case the item will be removed for separate consideration. Any item so removed will be taken up following the motion to approve the Consent Agenda.*

1. 2025 Annual Housing Element Progress Report  
Recommended Action: Receive and File the 2025 Annual Progress Report
2. Warrants  
Recommended Action: Receive and file
3. Consideration of Resolution No. 2026-11, A Resolution of the City of Clearlake Approving a Temporary Street Closure for the April 4th, 2026 Easter Egg Hunt  
Recommended Action: Adopt resolution
4. Discussion and Consideration of Application for Proposition 64 Public Health and Safety Grant Program, Cohort 4; Resolution 2026-12  
Recommended Action: Adopt resolution

#### **H. BUSINESS**

5. Consideration of Information and Updates from the Lake County Air Quality Management District  
Recommended Action: Provide Direction to Staff
6. Introduction of First Reading of Ordinance 286-2026 Amending Section 6-10.111 of the Clearlake Municipal Code Regarding Tobacco Retail Licensing  
Recommended Action: Hold first reading of Ordinance No. 286-2026, read by title only, waive further reading, and set second reading and adoption for the next City Council meeting and Authorize the City Manager to Execute an MOU with the County for Administration of the Program.
7. Provide Update on the 2026 Robin Lane Sewer Spill  
Recommended Action: Receive Update
8. Discussion and Consideration of a Joint Letter Advocating for Opportunity Zones Selection  
Recommended Action: Approve Joint Letter and Authorize the Mayor to Sign
9. Discussion and Consideration of Update to the Council Norms and Procedures  
Recommended Action: Adopt Updated Council Norms and Procedures

#### **I. CITY MANAGER AND COUNCILMEMBER REPORTS**

#### **J. FUTURE AGENDA ITEMS**

#### **K. CLOSED SESSION**

**(10)** Pursuant to Government Code Section 54957: PUBLIC EMPLOYEE PERFORMANCE  
EVALUATION: Title: City Manager

**(11)** Conference with Legal Counsel: Existing Litigation: Pursuant to Government Code Section  
54956.9(d)(1): Case No. CV-424401: Koi Nation of Northern California v. City of Clearlake, et  
al., Lake County Superior Court

**L. ANNOUNCEMENT OF ACTION FROM CLOSED SESSION**

**M. ADJOURNMENT**

POSTED: March 16, 2025

BY:

A handwritten signature in blue ink that reads "Melissa Swanson". The signature is written in a cursive, flowing style.

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Melissa Swanson, Administrative Services Director/City Clerk



STAFF REPORT	
<b>SUBJECT:</b> 2025 Annual Housing Element Progress Report	<b>MEETING DATE:</b> March 19 <sup>th</sup> , 2026
<b>SUBMITTED BY:</b> Mark Roberts – Senior Planner	
<b>PURPOSE OF REPORT:</b> <input checked="" type="checkbox"/> <b>Information only</b> <input type="checkbox"/> Discussion <input type="checkbox"/> Action Item	

**WHAT IS BEING ASKED OF THE CITY COUNCIL/BOARD:**

California Government Code Section 65400 requires the City Council to review the Annual Housing Progress Report (APR). The Annual Progress Report is required to be submitted to the Department of Housing/Community Development (HCD) by April 1 of each year. The City’s 2025 Annual Report (Attachment 1) has been submitted on March 12<sup>th</sup>, 2026, to the required agency.

HCD considers the Annual Progress Report when considering potential grants. The 2025 Annual Progress Report provides an update on the City’s progress in implementing the current General Plan/Housing Elements, including meeting our local housing needs and compliance with State Housing Elements.

In summary, the City received eighteen (18) Building Permits in 2025 for the development of Single-Family Dwellings and/or Affordable Housing Developments. Below is a breakdown of the permits issued:

- *Single Family Dwelling (Stick Built): Eleven (11) Building Permits*
- *Manufactured Homes (MH): Four (4) Building Permits*
- *Accessory Dwelling Units (ADUs): Two (2) Building Permits*
- *Multi- Family Affordable Housing Development: One (1) - 80 Unit Development*

**SUGGESTED MOTIONS:**

- **Accept and File the 2025 Annual Progress Report**

**Attachments:**            1) 2025 Annual Housing Progress Report

**Please Start Here**

General Information	
Jurisdiction Name	Clearlake
Reporting Calendar Year	2025
Contact Information	
First Name	Mark
Last Name	Roberts
Title	Senior Planner
Email	mroberts@clearlake.ca.us
Phone	7079948201
Mailing Address	
Street Address	14050 Olympic Drive
City	Clearlake
Zipcode	95422

**Optional:** Click here to import last year's data. This is best used when the workbook is new and empty. You will be prompted to pick an old workbook to import from. Project and program data will be copied exactly how it was entered in last year's form and must be updated. If a project is no longer has any reportable activity, you may delete the project by selecting a cell in the row and typing ctrl + d.

[Click here to download APR Instructions](#)

Click here to add rows to a table. If you add too many rows, you may select a cell in the row you wish to remove and type ctrl + d.

v\_01\_07\_26

**Optional:** This runs a macro which checks to ensure all required fields are filled out. The macro will create two files saved in the same directory this APR file is saved in. One file will be a copy of the APR with highlighted cells which require information. The other file will be list of the problematic cells, along with a description of the nature of the error.

**Optional:** Save before running. This copies data on Table A2, and creates another workbook with the table split across 4 tabs, each of which can fit onto a single page for easier printing. Running this macro will remove the comments on the column headers, which contain the instructions. Do not save the APR file after running in order to preserve comments once it is

**Optional:** This macro identifies dates entered that occurred outside of the reporting year. RHNA credit is only given for building permits issued during the reporting year.

Link to the online system: <https://hcd.my.site.com/hcdconnect>

Toggles formatting that turns cells green/yellow/red based on data validation rules.

Submittal Instructions
<p><b>Please save your file as Jurisdictionname2025 (no spaces).</b> Example: the City of San Luis Obispo would save their file as SanLuisObispo2025</p> <p>Housing Element Annual Progress Reports (APRs) forms and tables must be submitted to HCD and the Governor's Office of Planning and Research (OPR) on or before April 1 of each year for the prior calendar year; submit separate reports directly to both HCD and OPR pursuant to Government Code section 65400. There are two options for submitting APRs:</p> <p><b>1. Online Annual Progress Reporting System - Please see the link to the online system to the left.</b> This allows you to upload the completed APR form into directly into HCD's database limiting the risk of errors. If you would like to use the online system, email <a href="mailto:APR@hcd.ca.gov">APR@hcd.ca.gov</a> and HCD will send you the login information for your jurisdiction. <i>Please note: Using the online system only provides the information to HCD. The APR must still be submitted to OPR. Their email address is opr.apr@opr.ca.gov.</i></p> <p><b>2. Email</b> - If you prefer to submit via email, you can complete the excel Annual Progress Report forms and submit to HCD at <a href="mailto:APR@hcd.ca.gov">APR@hcd.ca.gov</a> and to OPR at <a href="mailto:opr.apr@opr.ca.gov">opr.apr@opr.ca.gov</a>. Please send the Excel workbook, not a scanned or PDF copy of the tables.</p>

Jurisdiction	Clearlake	
Reporting Year	2025	(Jan. 1 - Dec. 31)
Housing Element Planning Period	6th Cycle	08/15/2019 - 08/15/2027

Building Permits Issued by Affordability Summary		
Income Level		Current Year
Acutely Low	Deed Restricted	0
	Non-Deed Restricted	0
Extremely Low	Deed Restricted	0
	Non-Deed Restricted	0
Very Low	Deed Restricted	0
	Non-Deed Restricted	0
Low	Deed Restricted	0
	Non-Deed Restricted	0
Moderate	Deed Restricted	0
	Non-Deed Restricted	0
Above Moderate		0
<b>Total Units</b>		<b>0</b>

Units by Structure Type	Entitled	Permitted	Completed
Single-family Attached	0	0	0
Single-family Detached	6	0	0
2 to 4 units per structure	0	0	0
5+ units per structure	80	0	0
Accessory Dwelling Unit	1	0	0
Mobile/Manufactured Home	3	0	0
<b>Total</b>	<b>90</b>	<b>0</b>	<b>0</b>

Infill Housing Developments and Infill Units Permitted	# of Projects	Units
Indicated as Infill	0	0
Not Indicated as Infill	0	0

Housing Applications Summary	
Total Housing Applications Submitted:	17
Number of Proposed Units in All Applications Received:	96
Total Housing Units Approved:	94
Total Housing Units Disapproved:	0

Use of SB 423 Streamlining Provisions - Applications	
Number of SB 423 Streamlining Applications	0
Number of SB 423 Streamlining Applications Approved	0

Units Constructed - SB 423 Streamlining Permits			
Income	Rental	Ownership	Total
Acutely Low	0	0	0
Extremely Low	0	0	0
Very Low	0	0	0
Low	0	0	0
Moderate	0	0	0
Above Moderate	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>

Streamlining Provisions Used - Permitted Units	# of Projects	Units
SB 9 (2021) - Duplex in SF Zone	0	0
SB 9 (2021) - Residential Lot Split	0	0
AB 2011 (2022)	0	0
SB 6 (2022)	0	0
SB 423 (2023)	0	0

Ministerial and Discretionary Applications	# of	Units
Ministerial	17	97
Discretionary	0	0

Density Bonus Applications and Units Permitted	
Number of Applications Submitted Requesting a Density Bonus	0
Number of Units in Applications Submitted Requesting a Density Bonus	0
Number of Projects Permitted with a Density Bonus	0
Number of Units in Projects Permitted with a Density Bonus	0

Housing Element Programs Implemented and Sites Rezoned	Count
Programs Implemented	39
Sites Rezoned to Accommodate the RHNA	0

Jurisdiction	Clearlake
Reporting Year	2025 (Jan. 1 - Dec. 31)
Planning Period	6th Cycle 08/15/2019 - 08/15/2027

**ANNUAL ELEMENT PROGRESS REPORT**  
**Housing Element Implementation**

Note: "+" indicates an optional field  
 Cells in grey contain auto-calculation formulas

**Table A**  
**Housing Development Applications Submitted**

Project Identifier				Unit Types		Date Application Submitted	Proposed Units - Affordability by Household Incomes													Total Approved Units by Project	Total Disapproved Units by Project	Streamlining	Historic Sites	Density Bc Applic
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21				
Prior APN*	Current APN	Street Address	Project Name*	Local Jurisdiction Tracking ID	Unit Category (SFA, SFD, 2 to 4.5+, ADU, MH)	Tenure R=Renter O=Owner	Date Application Submitted (see instructions)	Acutely Low-Income Deed Restricted	Acutely Low-Income Non Deed Restricted	Extremely Low-Income Deed Restricted	Extremely Low-Income Non Deed Restricted	Very Low-Income Deed Restricted	Very Low-Income Non Deed Restricted	Low-Income Deed Restricted	Low-Income Non Deed Restricted	Moderate-Income Deed Restricted	Moderate-Income Non Deed Restricted	Above Moderate-Income	Total PROPOSED Units by Project	Total APPROVED Units by Project	Total DISAPPROVED Units by Project	Please select state streamlining provisions the application was submitted pursuant to.	Is this project located on a site with an associated historical designation as outlined in Government Code Section 65400(a)(2)(N) and reported on Table L?	Did the housing development application seek incentives or concessions pursuant to Government Code section 65915?
Summary Row: Start Data Entry Below							0	0	0	0	0	0	0	0	0	0	0	0	80	96	94	0		
	042-177-31	15999 20TH AVE, Clearlake, CA 9542		BLDG 25-104	SFD	O	4/14/2025											1	1	1		NONE	No	No
	041-172-62	16003 39th Avenue, Clearlake, CA 95422		BLDG 25-139	SFD	O	5/12/2025											1	1	1		NONE	No	No
	012-036-25	16375 DAM RD, Clearlake, CA 95422		BLDG 25-149	MH	O	5/27/2025											1	1	1		NONE	No	No
	041-171-33	16081 40th Ave, Clearlake, CA 95422		BLDG 25-159	SFD	O	6/4/2025											1	1	1		NONE	No	No
	041-212-48	15225 34TH AVE, Clearlake, CA 954		BLDG 25-180	SFD	O	6/19/2025											1	1	1		NONE	No	No
	037-401-03	OAKMONT DR, Clearlake, CA 954		BLDG 25-189	SFD	O	6/26/2025											1	1	1		NONE	No	No
	010-043-01	15837 Dam Road Extension, Clearlake, CA 95422		BLDG 25-215	5+	R	7/18/2025								80				80	80		NONE	No	No
	040-035-03	760 ROBINSON AVE, Clearlake, CA 95422		BLDG 25-295	SFD	O	10/6/2026											1	1	1		NONE	No	No
	041-382-269	15634 38TH AVE, Clearlake, CA 95422		BLDG 25-372	SFD	O	11/24/2025											1	1	1		NONE	No	No
	039-283-10	14960 SARONI PKWY, Clearlake, CA 95422		BLDG 25-387	MH	O	12/8/2025											1	1	1		NONE	No	No
	037-354-03	13555 LOWER LAKESHORE DR, Clearlake, CA 95422		BLDG 25-395	SFD	O	12/17/2025											1	1	1		NONE	No	No
	042-331-12	6650 BOYLES AVE, Clearlake, CA 95422		BLDG 25-396	SFD	O	12/28/2025											1	1	1		NONE	No	No
	041-051-57	4225 SNOOK AVE, Clearlake, CA 95422		BLDG 25-89	ADU	R	4/1/2025											1	1	1		NONE	No	No
	039-353-08	14840 BURNS VALLEY RD, Clearlake, CA 95422		BLDG 25-51	MH	O	2/15/2025											1	1	1		NONE	No	No
	039-114-06	3970 MANAKEE AVE, Clearlake, CA 95422		BLDG 25-96	SFD	O	4/7/2025											1	1	1		NONE	No	No
	039-163-10	3578 Blue Gum Street, Clearlake, CA 95422		BLDG 25-207	ADU	O	7/14/2025											1	1	1		NONE	No	No
	040-137-13	3841 Manchester Avenue, Clearlake, CA 95422		BLDG 25-357	MH	O	11/10/2025											1	1	1		NONE	No	No
	042-335-10	16219 15TH Avenue, Clearlake, CA 95422		bldg 25-370	SFD	O	11/20/2025											1	1	1		NONE	No	No
																			0	0	0			
																			0	0	0			
																			0	0	0			
																			0	0	0			
																			0	0	0			

<b>Jurisdiction</b>	Clearlake
<b>Reporting Year</b>	2025 (Jan. 1 - Dec. 31)
<b>Planning Period</b>	6th Cycle 08/15/2019 - 08/15/2027

**ANNUAL ELEMENT PROGRESS REPORT  
Housing Element Implementation**

This table is auto-populated once you enter your jurisdiction name and current year data. Past year information comes from previous APRs.  
Please contact HCD if your data is different than the material supplied here

Table B														
Regional Housing Needs Allocation Progress														
Permitted Units Issued by Affordability														
		1	Projection Period	2									3	4
Income Level		RHNA Allocation by Income Level	Projection Period - 01/01/2019-08/14/2019	2019	2020	2021	2022	2023	2024	2025	2026	2027	Total Units to Date (all years)	Total Remaining RHNA by Income Level
Acutely Low	Deed Restricted	-	-	-	-	-	-	-	-	-	-	-	-	-
	Non-Deed Restricted	-	-	-	-	-	-	-	-	-	-	-	-	-
Extremely Low	Deed Restricted	-	-	-	-	-	-	-	-	-	-	-	-	-
	Non-Deed Restricted	-	-	-	-	-	-	-	-	-	-	-	-	-
Very Low	Deed Restricted	97	-	-	-	-	-	-	-	-	-	-	5	92
	Non-Deed Restricted	-	4	1	-	-	-	-	-	-	-	-	-	-
Low	Deed Restricted	65	-	-	-	-	-	-	-	-	-	-	116	-
	Non-Deed Restricted	-	-	-	3	113	-	-	-	-	-	-	-	-
Moderate	Deed Restricted	-	-	-	-	-	-	-	-	-	-	-	-	-
	Non-Deed Restricted	72	4	1	2	5	-	-	-	-	-	-	12	60
Above Moderate		200	4	1	1	-	-	-	11	-	-	-	17	183
Total RHNA		434												
Total Units			12	3	6	118	-	-	11	-	-	-	150	335

\*For years prior to 2025, Acutely Low-Income units are reported within the Extremely Low-Income category

\*For jurisdictions that received RHNA determinations for the current cycle prior to the passage of AB 3093 (September 19, 2024):  
 - You were not allocated Acutely Low-Income and Extremely Low-Income RHNA targets, therefore the allocations in Field 1 are listed as "0"  
 - If you wish to set your own targets in these income categories for informational purposes, contact HCD staff at [apr@hcd.ca.gov](mailto:apr@hcd.ca.gov).  
 - All Acutely Low-Income and Extremely Low-Income units reported during the cycle are counted towards Very-Low Income RHNA progress

\*For years prior to 2025, data on deed-restricted vs. non-deed restricted Extremely Low-Income units is approximated from whether the projects reported any deed-restricted Very Low-Income Units. If you wish to edit this historical data for accuracy or have any questions about the data, you may login to HCD's online APR system, or contact HCD staff at [apr@hcd.ca.gov](mailto:apr@hcd.ca.gov).

Please Note: Table B does not currently contain data from Table F or Table F2 for prior years. You may login to the APR system to see Table B that contains this data.

Please note: The APR form can only display data for one planning period. To view progress for a different planning period, you may login to HCD's online APR system, or contact HCD staff at [apr@hcd.ca.gov](mailto:apr@hcd.ca.gov).



## ANNUAL ELEMENT PROGRESS REPORT Housing Element Implementation

Jurisdiction		Clearlake						
Reporting Year		2025		(Jan. 1 - Dec. 31)				
Table D								
Program Implementation Status pursuant to GC Section 65583								
Housing Programs Progress Report								
Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element.								
1	2	3	4	5	6	7	8	9
Name of Program	Objective	Projected Completion Date in Housing Element	Applicable Cycle	Status of Program Implementation	Program Implementation Details	Quantified Outcomes: Category	Quantified Outcomes: Count	Supporting Documents
Program H1.1 Vacant Land Inventory 5th and 6th Cycle	The City will maintain an updated inventory of vacant residential parcels in the City. Information on these parcels will be available at City Hall for the public. The inventory will specify sites suitable for special needs housing, including emergency shelter and transitional housing. The Housing Resources section contains an inventory of vacant land sufficient to meet the City's RHNP allocation. In order to provide accurate information to prospective developers, particularly developers of low- and moderate-income housing, the City will maintain an updated inventory of vacant residential parcels within the City.	Timeframe: Updated in 2019 and annually updated thereafter with the Annual Housing Report in accordance with California Government Code Section 65400 and consistent with Housing Element Program H2.2.	6th Cycle	Continuous	Responsibility: Community Development Department. Funding: General Fund. Status: Completed and ongoing.	Other		None
Program H1.2 Publicly Owned Surplus Land Review 6th Cycle	The City shall identify publicly owned surplus land to determine its suitability for low- and very low-income households and to develop procedures for land swaps if sites more suitable for affordable workforce housing are identified. Surplus public lands that are found to be feasible for lower-income housing shall be considered for re-designation to an appropriate residential zoning designation.	Timeframe: Annual review concurrently with the Annual Housing Report in March and April consistent with Housing Element Programs H1.1, H2.1, H2.2 and H3.3.	6th Cycle	Continuous	Responsibility: Community Development Department Funding: General Fund Objective: Creation of 20 lower income housing units by 2027. Status: Ongoing	Other		Routinely check surplus lands available, coordinate with developers

<p><b>Program H2.1 Incentives for Infill Housing 5th and 6th Cycle</b></p>	<p>Incentives for encouraging infill development will include density bonuses for projects that include affordable housing in accordance with State law, use of PD overlay zone to allow exceptions or alternative approaches to meeting zoning standards that are consistent with standards met by surrounding properties, distribution of the infill site inventory to local homebuilder groups and nonprofit organizations; Apply for state and/or federal economic planning and development funding for infrastructure in support of infill development</p>	<p>Timeframe: Ongoing; at least one outreach program annually to be included with the Annual Housing Report per Housing Element Programs H1.1, H2.2, H 3.2 and H 3.3.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: City Manager, City Council, Planning Commission and Community Development, Engineering, Building and Finance Departments. Results: The City did obtain a CDBG grant for road improvements to the Avenues area which should improve infill development opportunities. Status: Retain Program, but include specific outreach objectives. Objective: Creation of 10 lower income housing units by 2023 and 15 lower income housing units by 2027 (for a cumulative of 25 lower income housing units).</p>	<p>Other</p>		<p>none</p>
<p><b>Program H2.2 Periodic Housing Element Review 5th and 6th Cycle</b></p>	<p>The City will ensure that residential development projects are consistent with the goals and policies of the General Plan. To the extent that the City has financial resources, an annual evaluation of the General Plan will comprise the City's monitoring program for the Housing Element. This will include a review of progress toward achieving Housing Element objectives by the City and other responsible agencies and departments-meeting timing and funding commitments for implementing actions, as well as the number of housing units provided or other measurable indicators achieved for each established measure. This will include statutory reporting to the Department of Housing and Community Development regarding annual housing element reporting.</p>	<p>Timeframe: Annual reporting, ongoing to include update of the vacant land inventory and outreach to the housing development community by the end of 2020. Annual reporting beginning March, 2015 and periodic review beginning 2017.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department, City Manager, Public Works, Engineering and Finance Departments, Planning Commission and City Council. Funding: General Fund and Development and Development Review Fund Objective: Creation of 10 lower income housing units by 2023 and 15 lower income housing units by 2027 (for a cumulative of 25 lower income housing units). Status: Ongoing</p>	<p>Households</p>		<p>Will be in progress.</p>

<p>Program H3.1 Reasonable Accommodation 5th and 6th Cycle</p>	<p>The City will continue to permit accessory structures, building modifications and site plans that provide accessibility for persons with disabilities and will continue to implement the state and federal building standards for handicapped accessibility. The Community Development Department will continue to provide brochures and assistance to residents about accessibility for persons with disabilities. The City will also ensure that necessary safety and mobility modifications can be made in a timely and cost-efficient manner and will make all reasonable attempts to disseminate information about reasonable accommodations to City residents.</p>	<p>Timeframe: Ongoing.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Accomplishments: Zoning Code Amended in 2014 to include new Reasonable Accommodation provisions. Objective: Maintain enforcement of the City's Reasonable Accommodation Code and update this code to comply with related fair housing code provisions in 2020 and as amended in 2025. The City will reach out to Legal Services of Northern California upon receiving reasonable accommodation requests for applicant assistance. Results: No requests for reasonable accommodation made during the 5th Housing Cycle. Status: Retain program to administer regulations and keep Reasonable Accommodation regulations in the 2020 Zoning Code Update. Responsibility: All City</p>	<p>Units</p>	<p>none</p>
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<p>Program H3.2 Congregate Care and Group Housing for Elderly and Disabled Persons 5th and 6th Cycle</p>	<p>The City will obtain comments from the public, developers and other agencies about the need for, and development of, congregate care and group-assisted housing. The City will review potential funding sources and, if need and feasibility are established, will pursue grants and financing to aid in the development of congregate care and group assisted housing. The Zoning Ordinance will be modified to allow community care facilities with six or less persons with a certificate of occupancy</p>	<p>Timeframe: The city will provide opportunities to meet with developers on an ongoing basis. The city will support funding. Annual outreach concurrently with the Annual Housing Report in March and April consistent with Housing Element Programs H1.1, H2.1, H2.2 and H3.3.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: CDBG, HOME, Section 202, and Section 811 programs. Accomplishments: The City amended the Zoning Code in 2014 to allow community care facilities in all residential zones in accordance with State Law. The City has reviewed funding opportunities for this type of housing, but did not find this feasible. Results: Development of community care facilities is less restrictive. The City continues to obtain input about the need for development of congregate care and group-assisted housing. On October 16, 2018, the city approved conversion of a 6,100 square foot office building to a transitional care support facility for 20 occupants of</p>	<p>Meetings</p>	<p>none</p>
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<p><b>PROGRAM H3.3</b>  <b>Assistance for</b>  <b>Transitional</b>  <b>and Supportive Housing:</b>  <b>5th and 6th Cycle</b></p>	<p>Meet periodically with local non-profit service providers upon request to assess the transitional and supportive housing needs of the community and work with nonprofit organizations to identify suitable sites for the placement of facilities.  Pending available resources, the City will assist developers in finding sites for the creation of transitional and supportive housing facilities, provide support in accessing state or federal funds, such as supporting requests on behalf of a nonprofit provider, expedited permit approvals in support of a non-profit application, and/or contributing information to an application to support the need for the proposed project or activity.</p>	<p>Timeframe: The city will provide opportunities to meet with non-profits and developers on an ongoing basis. The City will support funding requests as needed based on project/program proposals each year. Annual outreach to local non-profit service providers will be conducted concurrently with the Annual Housing Report in March and April consistent with Housing Element Programs H1.1, H2.1, H2.2, and 3.2.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department  Funding: HOME and CDBG programs.  Objective: Creation of 8 transitional and/or supportive housing facilities by 2027.  Accomplishments: City met with several non-profit agencies to assess the City's needs. The City coordinated approval for a 10-room transitional shelter that is being developed in the City by Adventist Health Care.  Results: Creation of a 10-room transitional housing facility to provide services to 20 very low- and extremely low-income people by the end of 2020.  Status: Retain program.</p>	<p>Other</p>	<p>none</p>	
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<p><b>PROGRAM H 3.4</b>  <b>Assistance for Emergency Shelters</b>  <b>5th and 6th Cycle</b></p>	<p>Meet periodically with local non-profit service providers upon request to assess the shelter needs of the community and work with nonprofit organizations to identify suitable sites for the placement of facilities. Provide support in accessing state or federal funds, such as supporting requests on behalf of a nonprofit provider, expedited permit approvals in support of a non-profit application, and/or contributing information to an application to support the need for the proposed project or activity. The City will consider exempting on a case by case basis planning entitlement fees, such as general plan/zoning amendment, variances, design review (if applicable) and environmental applications for emergency shelter projects proposed by non-profit service providers.</p>	<p>Timeframe: To the extent resources are available, the City will support-funding requests as needed based on project/program proposals each year. Annual outreach to local non-profit service providers will be conducted concurrently with the Annual Housing Report in March and April consistent with Housing Element Programs H1.1, H2.1, H2.2, 3.2 and H 3.3.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility:          Community Development Department          Funding: HOME, CDBG programs and California Proposition 41 Bond Act Funding for homeless veterans          Objective: To the extent resources are available, provide support for the establishment of a regional emergency shelter within the Lake County region by 2022.          Accomplishments: City met with several non-profit agencies to assess the City's needs and identify funding for emergency shelters.          Results: On October 16, 2018, the city approved conversion of a 6,100 square foot office buiding to a transitional care support facility for 20 occupants of special needs, to be operational in 2019.          Status: Retain program.</p>	<p>Other</p>		<p>When necceesary, the city will coordinarte with various agencies/organozations to</p>
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<p><b>PROGRAM H 3.5</b> Support Services for Elderly and Disabled Persons 5th and 6th Cycle</p>	<p>To the extent the City has financial capability, continue to support Lake County Transit, Dial-A-Ride, the Senior Center and other programs providing supportive services for seniors or persons with disabilities. Support shall include promotion of these services through the placement of informational materials at City offices and/or assistance in accessing state or federal funding for such services.</p>	<p>Timeframe: Ongoing</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: All City Departments. Funding: Transit funding sources, state supportive service programs (HCD, Department of Aging, and Department of Rehabilitation), federal supportive service programs (HUD, Health and Human Services). Accomplishments: The City continues to support these services and maintains a senior center. Information has been posted. Results: Many seniors have been serviced with the senior center and will continue to be served. On October 16, 2018, the city approved conversion of a 6,100 square foot office building to a transitional care support facility for 20 occupants of special needs. This project has started construction but due to a delayed timeline plans on being operational</p>	<p>Other</p>	<p>none</p>
<p><b>PROGRAM H 3.6</b> Americans with Disabilities Act 5th and 6th Cycle</p>	<p>The City will continue to ensure that all construction projects requiring building permits comply with the Americans with Disabilities Act (ADA) as provided by the Uniform Building Code. The City will assist property owners and contractors in complying with ADA requirements when retrofit or rehabilitation projects for public, residential or commercial structures.</p>	<p>Timeframe: Ongoing</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development and Building Departments. Funding: Development Permit Fees and the General Fund. Accomplishments: Completed and ongoing Results: All development complies. Status: Retain program</p>	<p>Other</p>	<p>none</p>

<p><b>PROGRAM H 3.7</b> Residential Infill Study 5th and 6th Cycle</p>	<p>To address concentrated higher density and mixed use housing strategies in the 2040 General Plan there is a need to identify potential opportunities within existing buildings for conversion to housing units, particularly in the upper floors in three of the identified growth areas: Cluster 1 (Austin Park), Cluster 2 (The Avenues) and Cluster 4 (Lower Lakeshore). This would lead to more building renovations, create live work studios and improve affordable housing opportunities in the focused growth areas. The presence of additional residents in these areas will also improve the City's economic vitality. The City will prepare (contingent upon grant funding) a residential infill study that identifies these opportunities, working with property owners to evaluate the economic potential for creating more housing within existing buildings and identify funding sources to develop this housing.</p>	<p>Timeframe: 2022 pending grant funding. In the next few years the city will target homeowners and survey parcels in each of these clusters in order to complete a detailed assessment that will allow us to identify specific areas where infill development would be appropriate.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development and Finance Departments. Funding: CDBG Accomplishments: The City did not apply for grant funding to conduct this study. Objective: To the extent resources are available, complete infill study, market infill opportunities to developers, and convert several buildings to create 40 units of infill housing units by 2027. Results: Conversion of upper floor housing units will be limited, as the majority of buildings are one-story, but opportunities are available. A comprehensive study is still needed. Status: The City will consider applying for grant funding, such as SB-2 funding to accomplish this study. Retain program.</p>	<p>Other</p>	<p>none</p>
<p><b>PROGRAM H 3.8</b> Supportive and Transitional Housing Regulations 6th Cycle</p>	<p>The City shall amend the Zoning Code to redefine supportive and transitional housing in compliance with State Housing Law (Government Code sections 65582(g) and 65582(j)). In addition, pursuant to AB 2162, the City will allow supportive housing projects that satisfy certain requirements by right in all zones where multi-family and mixed uses are permitted, including nonresidential zones permitting multi-family uses. The Code shall also be amended to provide shortened application processing for low income housing projects in accordance with State Housing law.</p>	<p>Timeframe: To be adopted with the 2020 Zoning Code Update, but not to exceed three years from adoption of the 2019-2027 Housing Element.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: General Fund Objective: Provide the opportunity for development of at least 40 supportive and/or transitional housing units by 2027. as of 2026, we have had an 80 units and a 102 unit affordable housing development completed. Additionally, an existing transitional operations will be expanding.</p>	<p>Other</p>	<p>none</p>

<p><b>PROGRAM H 4.1</b> Monitor Policies and Programs 5th and 6th Cycle</p>	<p>Continue to monitor and review housing related policies and programs to minimize constraints to housing production and maintenance. This will include developing new design review programs from the 2040 General Plan that results in ministerial reviews of multiple family housing and facilitated processing of affordable housing projects.</p>	<p>Timeframe: Update the Zoning Code and create a new Design Review Manual in 2020 and begin administering new regulations. These documents are in the approval process and are expected to go to city council by July.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Accomplishments: The City is in the process of completing a comprehensive update to the Zoning Code and preparation of a Design Review Manual to address this program. Funding: General Fund and funding from disbanded Redevelopment Agency originally allocated to Housing Set-Aside. Results: Due to resource limitations, the City was unable to fully accomplish this in the 5th Housing Cycle, but is currently about 60% completed. Status: Retain program and complete this program by end of 2020.</p>	<p>Other</p>	<p>none</p>
<p><b>PROGRAM H 4.2</b> Zoning Ordinance Review and Implementation 5th and 6th Cycle</p>	<p>To implement the 2040 General Plan and to achieve housing and other City objectives, the City will conduct a complete update of the Zoning Code. Revisions will be made to the Zoning Code to promote flexibility in densities and uses, to improve incentives for affordable housing production and to bring applicable regulations into compliance with State Law. The PD Combining District, for example, shall be amended to omit the requirement for a Homeowners Association or Property Management Association. The Zoning Code will then be reviewed periodically for consistency and compliance and amended as needed.</p>	<p>Timeframe: Update the Zoning Code and create a new Design Review Manual in 2020 and begin administering new regulations. These documents are in the approval process and are expected to go to city council by July. Periodically at least once every 5 years thereafter.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department, Planning Commission and City Council Funding: General Fund, Developer Fees and other funds as appropriate. Accomplishments: The City is in the process of completing a comprehensive update to the Zoning Code and preparation of a Design Review Manual to address this program. Results: Due to resource limitations, the City was unable to fully accomplish this in the 5th Housing Cycle, but is currently about 60% completed. Status: Retain program and complete this program by end of 2020.</p>	<p>Other</p>	<p>none</p>

<p><b>PROGRAM H 4.3</b> Design Review 5th and 6th Cycle</p>	<p>As part of the 2015 Zoning Code update, per implementation of the 2040 General Plan, new regulations will be created for Design Review. This new program will include Design Guidelines for the development of multiple family housing. Particular attention should be paid to avoiding architectural barriers that could adversely affect disabled persons and persons with developmental disabilities. In addition, the Design Review provisions of the Zoning Code will address the need to minimize constraints to the development of multiple family housing and provide for ministerial (staff review) and facilitated development review for these types of development applications</p>	<p>Timeframe: Update the Zoning Code and create a new Design Review Manual in 2020 and begin administering new regulations. These documents are in the approval process and are expected to go to city council by July.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department, Planning Commission and City Council. Funding: General Fund, and other funds as appropriate. Accomplishments: The City is in the process of completing a comprehensive update to the Zoning Code and preparation of a Design Review Manual to address this program. Results: Due to resource limitations, the City was unable to fully accomplish this in the 5th Housing Cycle, but is currently about 60% completed. Status: Retain program and complete this program by end of 2020.</p>	<p>Other</p>	<p>none</p>
<p><b>PROGRAM H 4.4</b> Affordable Housing Water/ Sewer Priority 5th and 6th Cycle</p>	<p>In accordance with Government Code Section 6558.7, the City will monitor Lake County Sanitation District Resolution No. 2014-45), Highlands Water Company (Resolution No. 014-30 and Konocti County Water District (Resolution No. 14-01 to assure sufficient priority sewer/water capacities are reserved for future low-income housing development per the City's Regional Housing Needs Allocation for the 2014-19 period; 175 equivalent dwelling unit services for lower-income households.</p>	<p>Monitor every 3 years or as needed starting 2020</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: General Fund Accomplishments: The City conducted monitoring for this housing cycle. Results: This 6th Cycle RHNA was reduced, but the current reservations still apply so no further changes need to be made. Status: Retain program.</p>	<p>Households</p>	<p>none</p>
<p><b>PROGRAM H 4.5</b> Accessory Dwelling Units 6th Cycle</p>	<p>The City shall add a new section to the Zoning Code to provide for accessory housing units in accordance with SB 1226.</p>	<p>Timeframe: To be adopted with the 2020 Zoning Code Update in 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: General Fund Objective: Provide the opportunity for development of accessory units, mostly for lower income families.</p>	<p>Households</p>	<p>none</p>

<p><b>PROGRAM H 4.6</b> Off Street Parking Regulations 6th Cycle</p>	<p>The City shall incorporate reduced parking standards to limit off-street parking requirements to not exceed one space per dwelling units (regardless of number of bedrooms or size) for lower income housing projects.</p>	<p>Timeframe: To be adopted with the 2020 Zoning Code Update in 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: General Fund Objective: Provide reduce development constraints for lower income housing units</p>	<p>Other</p>		<p>none</p>
<p><b>PROGRAM H 4.7</b> Climate Adaption Program 6th Cycle</p>	<p>In accordance with SB 379 the City will amend the Safety Element of the of the General Plan to include analysis and policies regarding climate adaption.</p>	<p>Timeframe: To be adopted in 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Planning Department Funding: General Fund Objective: Reduce development constraints for residential development.</p>	<p>Other</p>		<p>none</p>
<p><b>PROGRAM H 4.8</b> Flood Hazards Program 6th Cycle</p>	<p>In accordance with AB 162 the City will amend the Safety Element of the General Plan to include analysis and policies regarding flood hazards and flood management to address flood-related constraints to housing development in the City.</p>	<p>Timeframe: To be adopted in 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Planning Department Funding: General Fund Objective: Reduce development constraints for residential development.</p>	<p>Other</p>		<p>none</p>
<p><b>PROGRAM H 4.9</b> Fire Safety Program 6th Cycle</p>	<p>In accordance with SB 1241 the City will amend the Safety Element of the General Plan to include analysis and policies regarding fire hazards and impacts and mitigation for housing in the City.</p>	<p>Timeframe: To be adopted in 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Planning Department Funding: General Fund Objective: Reduce development constraints for residential development.</p>	<p>Other</p>		<p>none</p>
<p><b>PROGRAM H 4.10</b> Affordable Housing Regulations 6th Cycle</p>	<p>The City shall amend the Zoning Code to comply with California Government Code Section 65583.2(c) to allow residential uses by right for housing developments which at least 20 percent of the units are affordable to lower income households on vacant sites that were identified in the two previous housing elements as referenced in Table 8.39 of this Housing Element.</p>	<p>Timeframe: To be adopted with the 2020 Zoning Code Update, but not to exceed three years from adoption of the 2019-2027 Housing Element.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: General Fund Objective: In order to improve the opportunity to create more affordable housing units from new development.</p>	<p>Other</p>		<p>none</p>

<p><b>PROGRAM H 4.11</b> Affordable Housing Replacement Regulations: 6th Cycle</p>	<p>In accordance with California Government Code Section 65915 (c) (3) the City shall require replacement housing units on sites identified in the site inventory when any new development (residential, mixed-use or non-residential) occurs on a site that has been occupied by or restricted for the use of lower-income households any time during the previous five years (generally as a condition of project approval). This requirement shall apply to non-vacant sites and vacant sites with previous residential uses that have been vacated or demolished.</p>	<p>Timeframe: The requirement will be implemented immediately and applied as applications on identified sites are received and processed.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: General Fund Objective: In order to mitigate the loss of affordable housing units, require new housing developments to replace all affordable housing units lost due to new development.</p>	<p>Other</p>		<p>none</p>
<p><b>PROGRAM H 5.1</b> Tax Exempt Bonds 5th and 6th Cycle</p>	<p>The City does not have the capacity to issue bonds. The City is, however, a member of the California Statewide Communities Development Authority JPA who issues federal tax credits for low-income housing projects. The City will continue to be a member of the Committee for pooling resources to issue tax exempt bonds for financing the construction of affordable housing or providing financial assistance to low- and moderate-income homebuyer assistance.</p>	<p>Timeframe: Ongoing</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: City Manager's Office Funding: General Fund-no cost for membership in JPA Accomplishments: City continues to be a member of the Committee. Results: Not applicable Status: Ongoing</p>	<p>Other</p>		<p>none</p>

<p><b>PROGRAM H 5.2</b>  <b>Preservation of At-Risk Housing</b>  <b>5th and 6th Cycle</b></p>	<p>The City has identified 99 affordable rental units being at risk of converting to market rate housing over the next twenty years. These units are listed in Table 8.34 and consist of the Autumn Village Apartments (32 affordable rental units), Highlands Village (40 affordable rental units) and Olympic Villa (27 affordable units).          The City will work with property owners, other public agencies, and non-profit housing organizations to preserve existing subsidized rental housing in which the owner could potentially increase rents to market rates within the next twenty years.          The City will encourage existing owners to maintain the affordability of such rental housing. Furthermore, pending available resources, the City will help owners of at-risk units to obtain funding for improvements to these units to extend affordable housing contracts. Also, to the extent the City has available resources, the City shall continue to cooperate with the Lake County Housing Commission in its administration of the federal Section 8, Housing Choice Voucher rental assistance program to maintain the availability of housing vouchers in Clearlake.          The City's role will be to: Provide necessary documentation to the Housing Commission to apply for annual commitments from the U.S. Department of Housing and Urban Development and provide information on the rental assistance program at the Community Development Department's public counter. The City shall continue to cooperate with the Lake County Housing Commission in its administration of the federal Section 8, Housing Choice Voucher rental assistance program to maintain the availability of housing vouchers in Clearlake</p>	<p>Monitor yearly</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility:          Community Development Department          Funding: General Fund          Accomplishments:          Autumn Village and Highlands Village are no longer at risk, so there are only 27 units left, Olympic Villa that are now at risk.          Results: There are now 527 preserved assisted housing units in the City with only 27 that are now at risk.          Status: The tax credit agreement expired for Olympic Villa; however, the USDA loan does not mature until 2038. The owner can apply to prepay the USDA loan, ending the affordability restriction at any time, and convert those units to market rate. According to the owners, Clearlake Associated Ltd. (phone conversation with Tina Williams on April 19, 2019), there is no intention or interest to prepay the</p>	<p>Other</p>	<p>none</p>
<p><b>PROGRAM H 5.3</b>  <b>Rental Assistance</b>  <b>5th and 6th Cycle</b></p>	<p>The City shall continue to cooperate with the Lake County Housing Commission in its administration of the federal Section 8, Housing Choice Voucher rental assistance program to maintain the availability of housing vouchers in Clearlake.          The City's role will be to: Provide necessary documentation to the Housing Commission to apply for annual commitments from the U.S. Department of Housing and Urban Development and provide information on the rental assistance program at the Community Development Department's public counter. The City shall continue to cooperate with the Lake County Housing Commission in its administration of the federal Section 8, Housing Choice Voucher rental assistance program to maintain the availability of housing vouchers in Clearlake</p>	<p>Timeframe: Housing Rehabilitation Program - Ongoing</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility:          Community Development Department          Funding: General Fund          Results: No substantive changes have occurred to the program during the 5th Housing Cycle.          Status: Retain program.</p>	<p>Other</p>	<p>none</p>

<p><b>PROGRAM H 5.4</b> Community Reinvestment Act 5th and 6th Cycle</p>	<p>The City will work with financial institutions, serving Clearlake as requested to identify low- and moderate-income housing projects as part of their responsibility under the federal Community Reinvestment Act.</p>	<p>Timeframe: Meet with specific lenders as requested</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: City Finance Department Funding: General Fund Accomplishments: No requests received during the 5th Housing Cycle. Results: No changes. Status: Retain program.</p>	<p>Other</p>		<p>none</p>
<p><b>PROGRAM H 5.5</b> Encourage Development of Market Rate Ownership and Rental Housing 5th and 6th Cycle</p>	<p>The City will encourage the production of market-rate rental and ownership housing for moderate- and above moderate-income households through its land development policies. All of the multi-family rental housing developed in Clearlake over the past twenty years has been subsidized housing for lower-income households. To provide a wider variety of housing for all income levels, the City should encourage the development of market-rate rental housing.</p>	<p>Timeframe: Ongoing</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department, Planning Commission and City Council. Funding: General Fund and Development Review Fund Accomplishments: The City continues to reach out to market rate developers to develop this type of housing. Results: No significant residential development in the 5th Housing Cycle. Only six new market rate single-family homes were produced during that time. Status: Retain program</p>	<p>Other</p>		<p>none</p>

<p><b>PROGRAM H 6.1</b> Housing Rehabilitation 5th and 6th Cycle</p>	<p>The City will review options for re-opening the Housing Rehabilitation Loan Program to promote housing rehabilitation for low-, very low- and extremely low-income households. To improve the quality of rental housing, the City, to the extent financially feasible, will expand the program to include rental housing. The City will update their CDBG and HOME Housing Rehabilitation Guidelines to include rental housing (if operating this program become economically feasible). The City has maintained a Housing Rehabilitation Loan Program to provide financing for the rehabilitation of dwelling units owned or occupied by lower income households (including rental housing). The City will look at the feasibility of providing financial assistance in the form of low-interest loans, deferred when necessary to maintain the affordability of rehabilitated housing when additional grant funding is obtained. This program is a valuable resource for community residents and should be continued into the future. The City and the County offer housing rehabilitation and homeowner assistance programs to Clearlake</p>	<p>Timeline: Ongoing. Expanding the Housing Rehabilitation Program to include rental units to include update of the Guidelines in 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department and the City Council Funding: CBDG and HOME grants, Coordinated Funding Between the City and Lake County and General Fund Objective: To the extent resources are available, rehabilitate 30 units by 2027. Accomplishments: The City continued to operate the Housing Rehabilitation Program if determined financially feasible. Results: Nine (9) houses were rehabilitated during the 5th Housing Cycle providing improved housing for at least 9 low income families. The City reached out to the County regarding combining forces, but there has been staffing changes at the County so this was not feasible during the 5th Housing Cycle.</p>	<p>Other</p>	<p>none</p>
<p><b>PROGRAM H 6.3</b> Public Awareness Program 5th and 6th Cycle</p>	<p>The City will disseminate informational brochures to the public on its Housing Rehabilitation and Housing Assistance programs and provide copies at the public counter of the Senior Resource Directory maintained by the Community Care and the Area on Aging in Lake and Mendocino Counties. The Directory provides important information on housing needs that include, not only housing rehabilitation, but also, homeless and transitional housing, housing support agencies, subsidized housing complexes, senior housing, and residential care facilities.</p>	<p>Timeframe: Immediately (2015/2016) and ongoing</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: General Fund and CBDG funding for the Housing Rehabilitation and Housing Assistance brochures. Accomplishments: Brochures and directory made available. Results: Greater public awareness established. Status: Retain Program</p>	<p>Other</p>	<p>none</p>

<p><b>PROGRAM H 6.4</b> Utilize Code Enforcement to Minimize Nuisances and Protect Neighborhood Nuisances and Protect Neighborhood Integrity 5th and 6th Cycle</p>	<p>The City will continue to operate its code enforcement program with the goals of abating dangerous structures and addressing nuisance concerns related to housing and neighborhood quality. The City Council placed "Measure R" on the ballot for November 4, 2014, for a specific transaction and use sales tax to support citywide clean-up and improvement. Since the measure did not pass, the City has allocated over \$500,000 of Community Development Block Grant (CDBG) funding towards an enhanced code enforcement program. This program will improve efforts by the City to address abating dangerous structures. In addition, the City will seek other grant funding to further improve this program. The Rental Housing Inspection Program began in 2001 to address ongoing safety and sanitary concerns with rental units in Clearlake. The program was discontinued in 2005 due to financial limitations but should continue by the end of 2020. The City will also look at the feasibility of resurrecting this program based on current funding. Also, the City will seek additional grant funding to further enhance this program once it has been re-established.</p>	<p>Timeframe: Commences in 2020 and continue through 2027. May be extended upon funding availability</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Building and Police Departments and the City Council Funding: CDBG, General Fund and potentially other grant funding Accomplishments: The City continued developing an expanded Code Enforcement Program during the 5th Cycle, but continued to lack funding to allocate towards a Rental Housing Inspection Program. Objective: To the extent resources are available, rehabilitate 50 housing units. The information document for financial assistance residential property improvements and rehabilitation will be prepared by the end of 2020. The Code Enforcement Program process will be amended by the end of 2020, to include a staff procedure for providing this information to those</p>	<p>Other</p>	<p>none</p>	
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<p><b>PROGRAM H 6.5</b> Preservation and Replacement of Mobile home and Mobile home Parks 5th and 6th Cycle</p>	<p>Many of the mobile home parks in Clearlake are substandard and do not provide safe affordable housing. The City will meet with mobile home park owners (as requested and to the extent that the City has financial capacity) to discuss long-term goals for their properties and the condition of park infrastructure and buildings, the condition of mobile homes located in the park, parcel size, accessibility to services, and surrounding land uses. For those parks that are feasible to preserve, the City will consider (1) providing information to park owners on state and federal programs, and/or (2) providing referrals to nonprofit organizations who can assist in preparing funding requests. The City will also encourage the mobile home parks with serious problems to convert to another use. The City shall require the owners to provide relocation or other assistance to mitigate the displacement of park residents in accordance with Government Code Section 65863.7.</p>	<p>2015 and Ongoing.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: CDBG, HOME, California Housing Finance Agency HELP program, California Mobile home Park Resident Ownership Program. Accomplishments: This is a current and ongoing process. The city has already contacted several property owners in order to discuss preservation and replacement of substandard mobile homes in order to provide more affordable housing. Objective: Prevent conversion of residential units. Results: No changes Status: Pending funding availability to expand the City's code enforcement program and other funding needs. Retain program to the extent funding resources are available.</p>	<p>Other</p>	<p>none</p>
<p><b>PROGRAM H 6.7</b> Moderate- and Above-Income Housing 6th Cycle</p>	<p>The City will encourage the production of market-rate rental and ownership housing for moderate- and above moderate-income households through its land development policies.</p>	<p>Timeframe: 2019 and ongoing.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development Department Funding: General Fund. Objective: Increase the supply of at least 40 units of market rate housing units by 2028.</p>	<p>Other</p>	<p>none</p>
<p><b>PROGRAM H 7.1</b> Assistance to the Public Regarding Discrimination in Housing 5th and 6th Cycle</p>	<p>The City will continue to serve as liaison between the public and appropriate agencies in matters concerning housing discrimination. The City will promote equal housing opportunities through the posting of information and distribution of literature at City buildings. The City will continue to refer discrimination complaints to Redwood Legal Assistance and the State Fair Employment and Housing Commission. The City will also train staff on how to receive and refer fair housing complaints.</p>	<p>Timeframe: Ongoing.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development, Building and other Departments Funding: General Fund Accomplishments: Potentially reduced housing discrimination. Results: The City continues to serve as liaison between the public and agencies on housing discrimination per this program. Status: Retain this program</p>	<p>Other</p>	<p>none</p>

<p><b>PROGRAM H 7.2</b>  <b>Reasonable Accommodation Regulations</b>  <b>6th Cycle</b></p>	<p>Article 18-7 of the Zoning Code addresses regulations to address Federal Fair Housing Amendments Act of 1988 and California Fair Employment and Housing Act, Government Code Section 12901 et. seq., to provide people with disabilities reasonable accommodation rules, policies, practices and procedures that are necessary to ensure equal access to housing. The City did not receive any requests for a reasonable accommodation during the 2014-2019 5th Housing Cycle. There are some limits to what the City can do to restrict reasonable accommodations. The current regulations need to be relaxed to make this process easier for applicants. This program consists of amending the Zoning Code to create an easier process for processing a reasonable accommodation so as to make this process easier and more available to the public. This process shall be posted on the City's Website, and made available to the public at the City's Community Development Department to improve public outreach. Combined with referring reasonable accommodations to Legal Services of Northern California, as per Program</p>	<p>Timeframe: Amend regulations by the end of 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development, Building and other Departments  Funding: General Fund</p>	<p>Other</p>	<p>none</p>	
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<p><b>PROGRAM H 8.1</b> Support of Existing Energy Conservation Programs 5th and 6th Cycle</p>	<p>The City will continue to support residential energy conservation programs offered by Pacific Gas and Electric Company, North Coast Energy Services, and others. This support will include referrals to these programs for homeowners expressing interest in energy conservation, as well as placement of brochures (if available) for such programs at City offices.</p>	<p>Timeframe: To the extent resources are available, rehabilitate 30 lower income housing units within the City by 2027.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development and Building Departments, City Council and Lake County. Funding: CDBG, Home and General Fund Accomplishments: Improved house energy efficiencies. Results: The City continues to support residential energy conservation programs. The City's and Lake County's Housing Rehabilitation Programs include energy conservation programs. Status: Retain this program and assess the feasibility of teaming with the County to provide greater leverage for obtaining grant funding to expand housing rehabilitation and energy conservation programs that serve Clearlake.</p>	<p>Other</p>		<p>none</p>
<p><b>PROGRAM H 8.2</b> Implementation of Energy Conservation Standards 5th and 6th Cycle</p>	<p>The City will continue to implement the energy conservation standards under Title 24 of the California Code of Regulations, as required by State law. The City will provide information at the permit counter from the California Energy Commission on compliance with Title 24 and other energy conservation techniques. Program CO 6.1.1.2 of the 2014 General Plan, Conservation Element includes creating incentives for development proposals and exceed Title 24 by 15 percent. These incentives could include reduced building permit fees and streamlined plan check review.</p>	<p>Timeframe: Establish and implement the Energy Conservation Incentives Program, per the 2040 General Plan in 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: City Council and Community Development, Finance and Building Departments. Funding: Development Permit Fees and the General Fund. Accomplishments: Improved house energy efficiencies. Results: All new housing and rehabilitations require energy conservation measures based on improved State regulations. Status: Retain this program. Programs being drafted for implementation in the Draft General Plan Update will be included in the Building Code updates as adopted.</p>	<p>Other</p>		<p>none</p>

<p><b>PROGRAM H 9.1</b> Energy Conservation for New Residential Development 5th and 6th Cycle</p>	<p>Through the City's plan review process, City staff shall encourage additional energy conservation measures with respect to the siting of buildings, landscaping, and solar access. The Zoning Code shall be updated to include additional energy conservation measures with respect to the siting of buildings, landscaping, and solar access for new residential development. In order to promote the use of energy efficient construction, the City will continue to provide information on energy conservation measures with the development application packets.</p>	<p>Timeframe: Complete energy conservation measures in the Zoning Code in 2020.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development and Building Funding: General Fund and other funding as needed. Accomplishments: The City increased the energy efficiency in new residential developments. Results: The City issued building permits for 13 new housing units. In addition, the City issued a number of residential remodels. These new and rehabilitated housing units incorporated additional energy conservation measures per more recently adopted State regulations. Status: Retain this program (as modified with some recommended zoning code changes to address energy conservation building siting and solar access provisions).</p>	<p>Other</p>	<p>none</p>	
<p><b>PROGRAM H 9.2</b> Stricter Energy Efficiency Standards for New Development 5th and 6th Cycle</p>	<p>Through the City's General Plan Update and Zoning Ordinance amendment process, City staff shall incorporate additional energy conservation measures with respect to the siting of buildings, landscaping, and solar access for new residential and commercial development. In order to promote the use of energy efficient construction, the City will provide information on energy conservation measures with the development application packets.</p>	<p>Timeframe: Ongoing.</p>	<p>6th Cycle</p>	<p>Continuous</p>	<p>Responsibility: Community Development and Building Departments. Funding: Development Permit Fees and the General Fund. Accomplishments: See H 9.1 above. The City is in the process of completing a comprehensive update to the Zoning Code to be completed in 2020. Results: The Zoning Code will be updated soon. Status: Retain program to assure the Zoning Code is updated to meet this energy efficiency standard.</p>	<p>Other</p>	<p>none</p>	

<b>Jurisdiction</b>	Clearlake
<b>Reporting Period</b>	2025 (Jan. 1 - Dec. 31)
<b>Planning Period</b>	6th Cycle 08/15/2019 - 08/15/2027

## ANNUAL ELEMENT PROGRESS REPORT

### Housing Element Implementation

Note: "+" indicates an optional field  
 Cells in grey contain auto-calculation formulas

**Table F**

**Units Rehabilitated, Preserved and Acquired for Alternative Adequate Sites pursuant to Government Code section 65583.1(c)**

Please note this table is optional: The jurisdiction can use this table to report units that have been substantially rehabilitated, converted from non-affordable to affordable by acquisition, and preserved, including mobilehome park preservation, consistent with the standards set forth in Government Code section 65583.1, subdivision (c). Please note, motel, hotel, hostel rooms or other structures that are converted from non-residential to residential units pursuant to Government Code section 65583.1(c)(1)(D) are considered net-new housing units and must be reported in Table A2 and not reported in Table F.

Activity Type	Units that Do Not Count Towards RHNA <sup>+</sup> Listed for Informational Purposes Only				Units that Count Towards RHNA <sup>+</sup> Note - Because the statutory requirements severely limit what can be counted, please contact HCD at <a href="mailto:apr@hcd.ca.gov">apr@hcd.ca.gov</a> and we will unlock the form which enable you to populate these fields.			TOTAL UNITS <sup>+</sup>	The description should adequately document how each unit complies with subsection (c) of Government Code Section 65583.1 <sup>+</sup> . For detailed reporting requirements, see the <a href="https://www.hcd.ca.gov/community-development/docs/adequate-sites-checklist.pdf">checklist here</a> :  <a href="https://www.hcd.ca.gov/community-development/docs/adequate-sites-checklist.pdf">https://www.hcd.ca.gov/community-development/docs/adequate-sites-checklist.pdf</a>
	Extremely Low-Income <sup>+</sup>	Very Low-Income <sup>+</sup>	Low-Income <sup>+</sup>	TOTAL UNITS <sup>+</sup>	Extremely Low-Income <sup>+</sup>	Very Low-Income <sup>+</sup>	Low-Income <sup>+</sup>		
Rehabilitation Activity									
Preservation of Units At-Risk									
Acquisition of Units									
Mobilehome Park Preservation									
Total Units by Income									



<b>Jurisdiction</b>	Clearlake	<b>NOTE: This table lists the number of ALL surplus units in Clearlake jurisdiction over the reporting period.</b>
<b>Reporting Period</b>	2025	

**ANNUAL ELEMENT PRO  
Housing Element Imp**

**Table H  
Locally Owned Sur**

<b>Parcel Identifier</b>			
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>
<b>APN</b>	<b>Street Address/Intersection</b>	<b>Existing Use</b>	<b>Number of Units</b>
<b>Summary Row: Start Data Entry Below</b>			
039-625-06	3141 Mint Street	Vacant	1
039-625-01	13940 Sonoma Avenue	Vacant	1
039-626-16	3444 Boxwood Street	Vacant	1
039-626-07	3423 Acacia Street	Vacant	1
039-626-11	3453 Acacia Street	Vacant	1
039-626-12	3463 Acacia Street	Vacant	1
039-626-01	13980 Sonoma Avenue	Vacant	1
039-530-50	14061 Lakeshore Drive	Other	1
041-102-12	15662 40th Avenue	Vacant	1
041-363-09	4999 Cass Avenue	Vacant	1
041-363-10	5019 Cass Avenue	Vacant	1
039-434-19	15817 Olympic Drive	Vacant	1
040-031-11	14180 Division Avenue	Vacant	1
039-530-49	14071 Lakeshore Drive	Other	1
010-026-29	14795 Burns Valley Road	Other	1
040-253-03	14800 Ballpark Avenue	Other	1
039-175-21	3578 Redwood Street	Vacant	1
041-103-26	4438 Fir Avenue	Vacant	1
040-364-25	6145 Old Highway 53	Vacant	1
039-112-06	13981 Morgan Avenue	Vacant	1
039-626-17	3494 Boxwood	Vacant	1
039-625-03	3471 Boxwood	Vacant	1



# CITY OF CLEARLAKE

City Council



<b>STAFF REPORT</b>	
<b>SUBJECT:</b> Discussion and Consideration of Application for Proposition 64 Public Health and Safety Grant Program, Cohort 4	<b>MEETING DATE:</b> March 19, 2026
<b>SUBMITTED BY:</b> Alan D. Flora, City Manager	
<b>PURPOSE OF REPORT:</b> <input type="checkbox"/> Information only <input checked="" type="checkbox"/> Discussion <input checked="" type="checkbox"/> Action Item	

**WHAT IS BEING ASKED OF THE CITY COUNCIL/BOARD:**

The City Council is being asked to approve a resolution authorizing the application of funding through the Bureau of State and Community Corrections.

**BACKGROUND/DISCUSSION:**

The City of Clearlake is eligible to apply for funding under the Board of State and Community Corrections Proposition 64 Public Health and Safety Grant Program, Cohort 4. The program provides competitive funding to local jurisdictions to address cannabis-related public health and safety impacts through eligible enforcement, youth prevention, public safety infrastructure, code compliance, and related activities.

Staff is evaluating a project concept centered on cannabis-related enforcement and compliance, with an emphasis on illicit cultivation, associated investigations, code enforcement, nuisance abatement, and related public safety impacts within the City. Staff is also evaluating whether a limited portion of the request should support youth-focused prevention or intervention activities that are appropriately tied to cannabis-related impacts.

Adoption of the attached resolution would authorize staff to submit an application on behalf of the City and designate the City Manager, or designee, as the authorized official for purposes of application and, if awarded, grant administration. Adoption of the resolution does not commit the City to accept grant funds if the final grant conditions are determined to be infeasible or inconsistent with City priorities.

Staff is currently compiling enforcement and workload data and refining the project scope, budget, implementation structure, and performance measures. The current working concept emphasizes cannabis-related enforcement, investigation, compliance, and abatement activities, while reserving a smaller portion of funding for eligible youth or community-based activities. Staff will continue coordinating with the Clearlake Police Department and other implementation partners as appropriate before submission.

**OPTIONS:**

- 1. Adopt Resolution 2026-12
- 2. Provide Direction to Staff.

**FISCAL IMPACT:**

None       \$ Budgeted Item?  Yes  No

Budget Adjustment Needed?  Yes  No      If yes, amount of appropriation increase: \$

Affected fund(s):  General Fund  Measure P Fund  Measure V Fund  Other: Projects

Comments: There is no direct fiscal impact associated with adoption of the resolution. If awarded, the grant would provide outside funding to support eligible project activities, subject to final grant terms, City acceptance, and any future budget actions that may be required for implementation.

**STRATEGIC PLAN IMPACT:**

- Goal #1: Economic Development
- Goal #2: Public Facilities and Infrastructure
- Goal #3: Celebrate Clearlake
- Goal #4: Clean
- Goal #5: Fiscal Sustainability
- Goal #6: Safe

**SUGGESTED MOTIONS:**

I move to adopt resolution 2026-12 and Authorize the Mayor to Sign.

**Attachments:**

- 1. Draft Resolution 2026-12

**CITY OF CLEARLAKE  
RESOLUTION NO. 2026-12**

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF CLEARLAKE  
AUTHORIZING SUBMISSION OF A GRANT PROPOSAL AND EXECUTION OF A GRANT AGREEMENT  
FOR THE PROPOSITION 64 PUBLIC HEALTH AND SAFETY GRANT PROGRAM (COHORT 4)**

**WHEREAS**, the City of Clearlake desires to participate in the Proposition 64 Public Health and Safety Grant Program (Cohort 4), funded through the California State and Local Government Law Enforcement Account and administered by the Board of State and Community Corrections (BSCC); and

**WHEREAS**, Proposition 64 grant funds are intended to support local programs addressing public health and safety impacts associated with the implementation of the Control, Regulate and Tax Adult Use of Marijuana Act; and

**WHEREAS**, the City of Clearlake allows the retail sale of cannabis in storefronts within the City and is therefore eligible to apply for funding under the program; and

**WHEREAS**, the City Council finds that grant funding will help the City strengthen coordinated illicit cannabis enforcement efforts and related activities, and support youth prevention and intervention efforts that address local cannabis impacts; and

**WHEREAS**, there is no match requirement for this grant program; and

**NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Clearlake as follows:**

1. The City Manager, Alan Flora, is authorized on behalf of the City of Clearlake to submit a grant proposal to the BSCC for Proposition 64 Public Health and Safety Grant Program (Cohort 4) funding, and to execute the Grant Agreement with the BSCC, including any amendments thereto, in accordance with program requirements.
2. The City of Clearlake agrees to abide by all terms and conditions of the Grant Agreement as set forth by the BSCC, including all programmatic, fiscal, reporting, evaluation, and audit requirements.
3. This Resolution shall take effect immediately upon its adoption.

PASSED, APPROVED, AND ADOPTED by the City Council of the City of Clearlake at a regular meeting thereof held on March 19, 2026, by the following vote:

AYES: \_\_\_\_\_

NOES: \_\_\_\_\_

ABSENT: \_\_\_\_\_

ABSTAIN: \_\_\_\_\_

\_\_\_\_\_  
Mayor Dirk Slooten  
ATTEST:

Date: \_\_\_\_\_

\_\_\_\_\_  
City Clerk Melissa Swanson

Date: \_\_\_\_\_

# CITY OF CLEARLAKE

City Council



<b>STAFF REPORT</b>	
<b>SUBJECT:</b> Consideration of Information and Updates from the Lake County Air Quality Management District	<b>MEETING DATE:</b> March 19, 2026
<b>SUBMITTED BY:</b> Alan D. Flora, City Manager	
<b>PURPOSE OF REPORT:</b> <input type="checkbox"/> Information only <input checked="" type="checkbox"/> Discussion <input type="checkbox"/> Action Item	

**WHAT IS BEING ASKED OF THE CITY COUNCIL/BOARD:**

The City Council is being asked to receive updates from the Lake County Air Quality Management District.

**BACKGROUND/DISCUSSION:**

Staff have coordinated with the Lake County Air Quality Management District to provide a presentation on the annual Air Toxics Hot Spots report. In addition, the Council may want to discuss other AQMD related issues and concerns.

**OPTIONS:**

- 1. Receive Update.
- 2. Provide Direction to Staff.

**FISCAL IMPACT:**

None     \$ Budgeted Item?     Yes     No

Budget Adjustment Needed?     Yes     No    If yes, amount of appropriation increase: \$

Affected fund(s):     General Fund     Measure P Fund     Measure V Fund     Other: Projects

Comments:

**STRATEGIC PLAN IMPACT:**

- Goal #1: Economic Development
- Goal #2: Public Facilities and Infrastructure
- Goal #3: Celebrate Clearlake
- Goal #4: Clean
- Goal #5: Fiscal Sustainability

Goal #6: Safe

**SUGGESTED MOTIONS:**

N/A

**Attachments:**

1. Lake County Air Toxics Hot Spots Report

**LAKE COUNTY  
AIR QUALITY MANAGEMENT DISTRICT  
2617 S. MAIN ST., LAKEPORT, CA 95453**



**AB 2588 AIR TOXICS “HOT SPOTS” PROGRAM  
2024 ANNUAL REPORT**

BY DOUGLAS GEARHART, APCO, ELIZABETH KNIGHT, DAPCO  
AND NATHAN KING, AQE  
DECEMBER 16, 2025

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### **Preface**

The Air Toxics "Hot Spots" Information and Assessment Act of 1987 (AB 2588) is a State of California public right-to-know law requiring local air quality management and air pollution control districts to collect information about the location, type, and quantity of toxic compounds emitted into the air from specified local businesses and industry. The AB 2588 Program Annual Report is published to provide the public with information regarding the AB 2588 Program of the Lake County Air Quality Management District (District). The enabling statutes (California Health & Safety Code (HSC) Sections 44300-44394) require the California Air Resources Board (CARB) and local air districts to implement the "Hot Spots" Program. This report describes the current reporting and evaluation status for facilities being tracked under this program. This annual report has been prepared pursuant to California HSC Section 44363. This report will be distributed to the required agencies prior to the public hearing on January 13, 2026 (see Appendix 1 for legal notice).

### **Introduction**

The goals of the AB 2588 Program are to collect emission data, to identify facilities having localized impacts, to ascertain health risks, and to notify nearby residents of significant risks.

The AB 2588 program requires certain facilities emitting airborne toxic compounds to submit toxic emissions inventory reports and updates. Facilities exceeding a prioritization score of 10 must complete Health Risk Assessments (HRAs) and develop and submit a risk reduction plan. Facilities exceeding a score of 20 must modify or remove their equipment to reduce its risk to below 10 or shut down. AB 2588 further requires the District to prepare an annual report summarizing progress on the implementation of the program.

HSC Section 44363 requires that the District Board conduct a public hearing concerning the subject report, which must include the following information:

- i. The risk prioritization score of facilities for the purpose of performing an HRA for air emissions of listed substances;
- ii. The ranking and identification of facilities according to the degree of cancer risk posed to surrounding receptors;
- iii. The identification of facilities that expose individuals or populations to any non-cancer health risks; and

- iv. The status of development of control measures to reduce emissions of toxic air contaminants, if any.

### **Air Toxics “Hot Spots” Program**

The AB 2588 Program (HSC Sections 44300 et seq.) established a process to compile an inventory of air toxics emissions from specified facility categories in California and assess the potential risks to public health as a result of exposure to those emissions. AB 2588 also requires that the public be notified of facilities whose emissions pose significant health risks. AB 2588 specifies activities that the CARB, the Office of Environmental Health Hazard Assessment (OEHHA), and the districts must carry out to implement the Act. CARB is required to adopt a fee recovery regulation to assess fees on facilities subject to the requirements to ensure that costs to implement and administer the AB 2588 Program are recovered. (HSC Section 44380.)

This report addresses the statutory requirements of the AB 2588 Program. This report does not seek to address other toxics related issues or risks. For example, the District also addresses air toxics exposures during permitting of new and modified sources of air pollutants. The source permitting process may require issuance of a public notice if the proposed source releases hazardous air pollutants within 1,000 feet of a school. The District also implements State Airborne Toxic Control Measures (ATCMs) and federal National Emission Standards for Hazardous Air Pollutants (NESHAPs). ATCM and NESHAP requirements are usually implemented through source permitting or equipment registration. During this reporting year, the District has not developed additional control measures beyond those required by an ATCM or NESHAP.

### **Program Categories of District Facilities**

The District began implementing the AB 2588 Program in 1992. Facilities are categorized based on their reporting status in the program. Unless the District is performing an industry-wide survey for a facility category, facilities subject to the AB 2588 Program are required to file an emissions inventory plan and report with the local air district. The District reviews and approves the plan and reports, and based on the reported emissions, performs a prioritization evaluation (resulting in the assigning of a prioritization score for the facility).

If the prioritization score indicates further evaluation is necessary, the facility is required to perform an HRA. If the results from the HRA indicate a potentially significant risk to the public, the facility is required to notify the public exposed to the emissions. A facility subject to the public notification requirement may then need to develop a risk reduction plan to lower the emissions below significant levels. At this time, there are 10 facilities with emergency backup power equipment identified in the District posing a significant risk to the public.

As an alternative to this process, the facility or the District can conduct an HRA as part of a permitting action, provided the results identify any significant risk to the public. After complying with the initial reporting requirements, based on the prioritization scores or HRA results, facilities may be required to submit updated reports every four years.

Table 1 below is a summary of the current facility categories as identified in the Facilities List in Appendix 2.

*Table 1. Facility Count for 2024*

Facility Category	High	Intermediate	Exempt	Total
Major	0	0	0	0
Exempt			0	0
Industry-Wide Surveys:				
-Asphalt Production	0	0	1	1
-Diesel Internal Combustion Engine	8*	45	61	114
-Multiple Categories	2	8	3	13
Not Yet Prioritized			2	2
Totals:	10*	53	67	130

\* One high priority facility has an ongoing Risk Reduction Plan.

District staff annually review facility status as indicated on the list in Appendix 2 of this report. The above facility count may vary each year due to closures, additions of new facilities, and/or emergency backup power needs due to power outages.

This year, the District was required to conduct the Quadrennial Update, covering emission years 2020-2024. The Quadrennial Update includes high and intermediate priority facilities to evaluate toxic air emissions to determine if further evaluation is

needed. After re-prioritizing facilities based on emissions, the District found that no facilities needed further evaluation in the form of an HRA.

### **Health Risk Assessments**

In the last four years, the District completed one (1) HRA during the permitting process. HRAs assess the health risks to the public resulting from toxic air emissions from new or modified facilities. The health risk was determined to be high, and the generator was augmented with a diesel particulate filter. This HRA also satisfies the AB 2588 Program requirement for evaluating toxic air emissions. Appendix 2 shows HRA values before the filter's introduction and prioritization scores after its addition. HRAs will be conducted as warranted during the coming year.

District staff use the California Air Pollution Control Officers Association's Prioritization Guidelines to evaluate source risk. Analysis of emission factors from the U.S. Environmental Protection Agency (EPA) or CARB is used to determine potential risk from a project for a specific site or location if factors are available. The results from AP-42 calculations are used when actual emissions data are unavailable.

### **Development of Control Measures**

During this reporting period, the District has continued to work with affected sources to comply with the NESHAPs at area sources. By State law, NESHAPs that do not have a corresponding ATCM become ATCMs for the State. Area sources are smaller sources such as auto body shops, metal fabricators, paint manufacturers, and various other spray coating operations.

NESHAPs, Subpart ZZZZ is a federal Area Source regulation to reduce Hazardous Air Pollutants (HAPs) from stationary reciprocating internal combustion engines. This regulation affects both spark-ignited (gasoline, natural gas, and LPG) and compression ignition (diesel) engines. Most commercial, institutional, and residential emergency engines are exempt from this Subpart; however, larger industrial and agricultural engines are subject to control requirements to reduce HAPs from the incomplete combustion of fuel. Regulatory requirements (primarily maintenance provisions) of this federal regulation have been incorporated into facility permits. At this time, the District has identified ten (10) sources that require additional controls beyond what is currently permitted to comply with the regulations.

### **Update to OEHHA Risk Assessment Guidelines**

The passage of the Children's Health Protection Act of 1999 (SB 25, Stats. 1999) required OEHHA to re-evaluate risk assessment methodologies to ensure infants and children are explicitly addressed in assessing risk. In the last decade, advances in science have shown that early-life exposures to air toxics contribute to an increased lifetime risk of developing cancer or other adverse health effects, compared to exposures that occur in adulthood. On March 6, 2015, OEHHA adopted revised guidelines to address this greater sensitivity and incorporated the most recent data on childhood and adult exposure to air toxics (See Appendix 3 for the Updated Health Values). At their July 23, 2015, meeting, CARB (working with CAPCOA) adopted Risk Management Guidance implementing the new guidelines.

At the December 2015 meeting, the District Board adopted amendments to the existing HRA evaluation criteria, incorporating the new OEHHA guidelines. At the December 2016 meeting, the District Board adopted revised Prioritization Guidelines based on the guidance from CAPCOA. The District will be reviewing and recommending updates to the other existing District AB2588 program guidance as necessary. Although emission levels and actual exposure have not changed, the new methodology calculations will show a 1.5 to 3 times increase in inhalation health risk due to the new awareness of increased sensitivity in infants and children.

Staff from districts across the State, through CAPCOA, have been working to update guidance and procedures to incorporate the new risk assessment methods. The Public Notification Guidelines have been under review and are being finalized.

### **Summary**

The AB2588 Emission Inventory Criteria and Guidelines require districts to review and update toxic emissions inventory data at least every four (4) years (H&SC 44344). District staff recently reviewed and updated the toxic inventory for applicable sources for the 2024 emissions inventory reporting. The District will work with the ten (10) sources identified as high risk to meet requirements and reduce emissions as necessary.

## Appendix 1: Notice of Public Hearing

### NOTICE OF PUBLIC HEARING

#### Legal Notice

The Lake County Air Quality Management District (LCAQMD) will hold a public hearing in the Lake County Board of Supervisors Chambers, 255 N. Forbes St., Lakeport, CA 95453, on January 13, 2026, at 9:45 AM to present the Air Toxics “Hot Spots” Information and Assessment Act of 1987 annual 2024. Pertinent documents are available for review at [WWW.LCAQMD.NET](http://WWW.LCAQMD.NET) or by calling the District at 707-263-7000. Comments may be submitted by mail to the District office at 2617 S. Main St., Lakeport, CA 95453, by fax (707) 263-0421, or in person.

Dated: December 16, 2025 Douglas Gearhart  
AIR POLLUTION CONTROL OFFICER

## Appendix 2: 2024 Facility Status List

Owner(s)	Facility Name	Prioritization Score			Health Risk Assessment			Source Type
		Carcinogen	Acute	Chronic	Carcinogen	Acute	Chronic	
Lake County Special Districts	Southeast Treatment Plant - Pump Station 1	45.15	3.65	41.65				Emergency ICE
Lake County Special Districts	Northwest Treatment Plant - Pump Station 1	10.88	2.51	32.16				Emergency ICE
Lake County Special Districts	Southeast Treatment Plant - Pump Station 3	11.65	2.36	28.24				Emergency ICE
Lake County Special Districts	Southeast Treatment Plant - Pump Station 2	6.83	2.30	26.36				Emergency ICE
Lake County Special Districts	Soda Bay Water Treatment Facility	1.29	2.55	23.83				Water Treatment and Emergency ICE
Lake County Special Districts	Northwest Treatment Plant - Pump Station 2	1.10	0.22	16.29				Emergency ICE
County of Lake, Facilities Maintenance	Lake County Courthouse	3.06	2.38	15.95				Emergency ICEs and Boiler
City of Lakeport	Wastewater Pump Station - C Street	2.14	1.02	11.43				Emergency ICE
Pacific Bell (dba AT&T)	Nice Facility	3.39	1.03	10.09				Emergency ICE
Pacific Bell (dba AT&T)	Clearlake Oaks Facility (2)	3.10	0.97	9.88				Emergency ICE
Pacific Bell (dba AT&T)	Clearlake Facility (2)	1.49	1.35	8.48				Emergency ICE
Pacific Bell (dba AT&T)	Kelseyville Facility	2.16	0.75	7.45				Emergency ICE
California Water Service	Lucerne Water Treatment Plant*	0.73	1.05	7.21	23.55	3.674	0.00533	Emergency ICE
Twin Pine Casino and Hotel	Twin Pine Casino and Hotel	6.92	0.39	5.17				Emergency ICEs
Clearlake Oaks Water Plant	Clearlake Oaks Water Plant	0.46	1.44	6.67				Emergency ICE
Pacific Gas & Electric Company	PG&E Clearlake Service Center	0.69	1.37	6.40				Emergency ICE

## Appendix 2 Cont'd

Cobb Pacific, Inc.	Cobb Pacific	3.62	0.49	5.46				Retail Gas Station w/ Generator
Pacific Bell (dba AT&T)	Lakeport Facility (4)	1.57	0.50	5.37				Emergency ICE
Waste Connections US, Inc.	Quackenbush Mountain Resource Recovery & Compost Facility	5.32	0.14	0.86				Diesel Engines and Waste Processing Equipment
City of Clearlake	Clearlake Community Senior Center	0.88	1.04	5.24				Emergency ICE
Lake County Special Districts	North Lakeport Water Treatment Facility	0.35	0.40	5.13				Emergency ICE
City of Clearlake	City Hall	0.63	1.02	4.55				Emergency ICE
Pacific Bell (dba AT&T)	Middletown Facility	0.81	1.00	4.25				Emergency ICE
Habematolel Pomo of Upper Lake	Running Creek Casino	3.86	0.07	1.38				Emergency ICEs
Konocti County Water District	Lake Pump Station	0.12	0.94	3.48				Emergency ICE
City of Lakeport	Lakeport Blvd. Sewer Lift Station	0.19	0.61	3.12				Emergency ICE
Pacific Bell (dba AT&T)	Upper Lake Facility (2)	0.71	0.39	2.88				Emergency ICE
Hardester's Markets	Cobb Grocery Store	0.04	1.56	2.86				Emergency ICE
City of Lakeport	Wastewater Treatment Plant - Linda Lane	0.63	0.25	2.83				Emergency ICE
County of Lake, Sheriff's Department	Lake County Correctional Facility	0.37	0.54	2.76				Emergency ICE
Hidden Valley Lake Community Services District	Flood Protection	0.19	0.33	2.64				Emergency ICE
Hidden Valley Lake Community Services District	Lift Station #1	0.37	0.33	2.64				Emergency ICE
Pacific Bell (dba AT&T)	Cobb Facility	1.84	0.29	2.63				Emergency ICE
City of Lakeport	Lakeport City Hall - Park Street	1.04	0.05	2.63				Emergency ICE

## Appendix 2 Cont'd

Hidden Valley Lake Association	Hidden Valley Lake Association - Golf Course	0.77	0.31	2.60				Emergency ICE
Konocti County Water District	Water Treatment Plant	0.83	0.45	2.46				Emergency ICE
Mohammed Abid Hussain	3 Brothers Travel Plaza	1.29	0.43	2.44				Retail Gas Station w/ Generator
Hardester's Markets	Middletown Grocery Store	0.01	1.42	2.44				Emergency ICEs
Scully Packing Company, LLC	Scotts Valley Packing House	0.01	0.32	2.19				Emergency ICEs
Verizon Wireless	Downtown Clearlake Cell Site	0.08	0.45	2.15				Emergency ICE
Verizon Wireless	Kelseyville Cell Site	0.26	0.45	2.13				Emergency ICE
Verizon Wireless	North Lakeport Cell Site	0.19	0.45	2.13				Emergency ICE
Verizon Wireless	Spring Valley Cell Site	0.10	0.45	2.13				Emergency ICE
Verizon Wireless	Middletown Cell Site	0.01	0.27	2.06				Emergency ICE
New Cingular Wireless PCS, LLC	AT&T Mobility Clearlake	0.21	0.42	1.96				Emergency ICE
Verizon Wireless	Lower Lake Cell Site	0.75	0.23	1.93				Emergency ICE
Lake County Special Districts	Gaddy Lane Wastewater Treatment Plant	0.95	0.25	1.91				Water Treatment and Emergency ICE
City of Lakeport	Wastewater Pump Station - Lakeshore Blvd.	0.41	0.24	1.86				Emergency ICE
Verizon Wireless	Lower Lake HS Cell Site	0.03	0.44	1.84				Emergency ICE
Verizon Wireless	Lucerne DT	0.07	0.44	1.84				Emergency ICE
Kelseyville Fire Protection District	Kelseyville Fire Station	0.42	0.05	1.69				Emergency ICE
H&S Energy Products, LLC	H&S Energy Products, LLC #3004	0.20	0.08	1.66				Retail Gas Station w/ Generator
Hidden Valley Lake Community Services District	Lift Station #4	0.08	0.20	1.57				Emergency ICE
Geysers Power Company, LLC	Cold Water Creek Guard Shack	1.50	0.01	0.08				Prime Use ICE

## Appendix 2 Cont'd

Clear Lake Resort Services, LLC	Konocti Harbor Resort & Spa	0.32	0.15	1.39				Retail Gas Station w/ Generator
Scully Packing Company, LLC	Finley Packing House	0.00	0.22	1.38				Emergency ICE
Lake County Special Districts	Northwest Treatment Plant	0.52	0.13	1.38				Emergency ICE
Scully Packing Company, LLC	Mt. Konocti Cold Storage	0.00	0.37	1.35				Emergency ICE
Northern Pacific Petroleum, Inc.	Northern Pacific Petroleum	0.53	0.13	1.24				Retail Gas Station w/ Generator
ATC Sequoia LLC	American Tower - Middletown - 411043	1.22	0.16	1.00				Emergency ICE
Eastridge Pacific Senior Living, LLC	Orchard Park Senior Living	0.58	0.09	1.18				Emergency ICE
New Cingular Wireless PCS, LLC	AT&T Mobility Upper Lake 2	0.06	0.23	1.08				Emergency ICE
Kelseyville Lumber and Supply Company	Kelseyville Lumber	0.36	0.11	0.90				Emergency ICE
Berry, Joe Corporation	Foods Etc. Grocery Store	0.24	0.18	0.77				Emergency ICE
City of Lakeport	Surface Water Treatment Plant	0.09	0.06	0.72				Emergency ICE
City of Lakeport	Rose Avenue Sewer Lift Station	0.01	0.19	0.67				Emergency ICE
US Cellular	US Cellular - Clearlake - 568391	0.03	0.11	0.67				Emergency ICE
Shannon Ridge	Winery	0.02	0.20	0.67				Emergency ICE
Leventhal Realty Highway 29 LLC	Alchemy 29 - Building #7	0.48	0.06	0.64				Emergency ICE
Nor Cal Soil Builders, LLC	Agricultural Soil Blending	0.45	0.04	0.61				Diesel Engines
City of Lakeport	Wastewater Pump Station - Larrecou Lane	0.04	0.15	0.61				Emergency ICE
Lake County Special Districts	Southeast Treatment Plant	0.27	0.03	0.60				Emergency ICE
Verizon Wireless	Clearlake Oaks Cell Site	0.02	0.11	0.59				Emergency ICE

## Appendix 2 Cont'd

American Pavement Systems, Granite Construction Company, and National Blending Company, Inc.	Keithly Ranch Facility	0.27	0.42	0.56				Aggregate Processing and Asphalt Production
Pacific Gas & Electric Company	Lakeport	0.01	0.16	0.56				Emergency ICE
Verizon Wireless	Blue Lakes Cell Site	0.02	0.11	0.53				Emergency ICE
Verizon Wireless	Clearlake Park Cell Site	0.10	0.11	0.53				Emergency ICE
United Parcel Service, Inc.	Lakeport Distribution Center	0.03	0.05	0.53				Emergency ICE
Jackson Family Wines	Kendall-Jackson Winery	0.07	0.06	0.49				Diesel Pump
New Cingular Wireless PCS, LLC	AT&T Mobility Clearlake Oaks	0.01	0.10	0.48				Emergency ICE
US Cellular	US Cellular - Lakeport - 568401	0.06	0.05	0.48				Emergency ICE
Hidden Valley Lake Community Services District	Lift Station #5	0.01	0.06	0.47				Emergency ICE
Hidden Valley Lake Community Services District	Lift Station #2	0.10	0.05	0.41				Emergency ICE
Hidden Valley Lake Community Services District	Lift Station #6	0.00	0.05	0.41				Emergency ICE
Hidden Valley Lake Community Services District	Lift Station #7	0.00	0.05	0.41				Emergency ICE
T-Mobile West, LLC	Cobb - SF40833A	0.18	0.09	0.38				Emergency ICE
T-Mobile West, LLC	Nice - SF71874M	0.05	0.09	0.38				Emergency ICE
T-Mobile West, LLC	Upper Lake - SF40956C	0.04	0.09	0.38				Emergency ICE
Geysers Power Company, LLC	Calistoga Geothermal Power Plant	0.17	0.05	0.37				Geothermal Operations w/ Diesel Engines
Hidden Valley Lake Community Services District	Lift Station #3	0.00	0.03	0.34				Emergency ICE
Verizon Wireless	Clearlake Cell Site	0.02	0.04	0.31				Emergency ICE
County of Lake, Department of Social Services	Department of Social Services	0.04	0.03	0.28				Emergency ICE

## Appendix 2 Cont'd

New Cingular Wireless PCS, LLC	AT&T Mobility Cobb Mountain	0.14	0.06	0.27				Emergency ICE
New Cingular Wireless PCS, LLC	AT&T Mobility Summit	0.04	0.06	0.27				Emergency ICE
Clearlake Redi Mix, Inc.	Lakeport Facility (2)	0.27	0.02	0.09				Concrete Batch Plant w/ Diesel Engines
Geysers Power Company, LLC	Unit 13 Geothermal Power Plant	0.02	0.03	0.26				Geothermal Operations and Emergency ICE
Wild Diamond Vineyards, LLC	Cold Storage	0.00	0.08	0.26				Emergency ICE
Hardester's Markets	Hidden Valley Lake Grocery Store	0.00	0.21	0.08				Emergency ICE
Wild Diamond Vineyards, LLC	Main Pump	0.03	0.02	0.17				Emergency ICE
City of Lakeport	Lakeport Police Department	0.01	0.04	0.16				Emergency ICE
Redwood Credit Union	Redwood Credit Union Lower Lake	0.01	0.04	0.16				Emergency ICE
City of Lakeport	Ground Water Storage Facility	0.03	0.02	0.14				Emergency ICE
US Cellular	US Cellular - Clearlake Oaks - 568440	0.00	0.02	0.13				Emergency ICE
US Cellular	US Cellular - Middletown - 568421	0.03	0.01	0.13				Emergency ICE
City of Lakeport	Wastewater Pump Station - Linda Lane	0.03	0.01	0.13				Emergency ICE
Callayomi County Water District	Big Canyon Rd. Production Well	0.01	0.02	0.11				Emergency ICE
Level 3 Communications, LLC	Clearlake Oaks CLOKVAIW	0.02	0.01	0.10				Emergency ICE
Verizon Wireless	Lucerne Cell Site	0.01	0.01	0.09				Emergency ICE
T-Mobile West, LLC	Clearlake - SF40837B	0.00	0.01	0.06				Emergency ICE
T-Mobile West, LLC	Middletown - SF40832A	0.00	0.01	0.06				Emergency ICE
Geysers Power Company, LLC	Unit 16 Geothermal Power Plant	0.00	0.01	0.06				Geothermal Operations w/ Diesel Engine

## Appendix 2 Cont'd

Geysers Power Company, LLC	Unit 16 Pond	0.00	0.02	0.06				Emergency ICE
Geysers Power Company, LLC	Calistoga Condensate Pump Station	0.00	0.01	0.05				Emergency ICE
Wild Diamond Vineyards, LLC	Irrigation Pump	0.00	0.01	0.05				Emergency ICE
New Cingular Wireless PCS, LLC	AT&T Mobility Lower Lake	0.00	0.01	0.04				Emergency ICE
City of Lakeport	Green Ranch Wells	0.01	0.01	0.03				Emergency ICE
Verizon Wireless	Sugarloaf Peak Cell Site	0.00	0.01	0.03				Emergency ICE
Yolo County Flood Control & Water Conservation District	Indian Valley Reservoir	0.02	0.00	0.01				Emergency ICE
Three Bees, LLC	Three Bees	0.00	0.00	0.02				Emergency ICE
New Cingular Wireless PCS, LLC	AT&T Mobility CCL04489 - Lucerne	0.00	0.00	0.01				Emergency ICE
New Cingular Wireless PCS, LLC	AT&T Mobility Middletown DT	0.00	0.00	0.01				Emergency ICE
Federal Aviation Administration	Ukiah (Cow Mountain) RCAG	0.01	0.00	0.00				Emergency ICE
Geysers Power Company, LLC	Calistoga (Unit 19) Remote Terminal Unit	0.00	0.00	0.00				Emergency ICE
Clearlake Lava, Inc.	Cache Creek Vineyards and Winery	0.00	0.00	0.00				Emergency ICE
Geysers Power Company, LLC	Jackson Hole Maintenance Facility	0.00	0.00	0.00				Emergency ICE
Meadow Pointe Manufactured Housing Community, LLC	Meadow Pointe Residential Park**							Emergency ICE
Lake County Special Districts	Spring Valley Water Treatment Facility**							Emergency ICE

\* HRA scores are before the installation of a diesel particulate filter.

\*\* Source is being brought into compliance. Insufficient information for prioritization.

### Appendix 3: OEHHA/ARB Health Values

**Table 1  
CONSOLIDATED TABLE OF OEHHA/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	MP W A F
ACETALDEHYDE	75-07-0	4.7E+02	12/08	3.0E+02	12/08	1.4E+02	12/08			2.7E-06	4/99 [5/51]	1.0E-02	4/99 [5/51]	1
ACETAMIDE	60-35-5									2.0E-05	4/99	7.0E-02	4/99	1
ACROLEIN	107-02-8	2.5E+00	12/08	7.0E-01	12/08	3.5E-01	12/08							
ACRYLAMIDE	79-06-1									1.3E-03	4/99 [7/90]	4.5E+00	4/99 [7/90]	1
ACRYLIC ACID	79-10-7	6.0E+03	4/99											
ACRYLONITRILE	107-13-1					5.0E+00	12/01			2.9E-04	4/99 [1/91]	1.0E+00	4/99 [1/91]	1
ALLYL CHLORIDE	107-05-1									6.0E-06	4/99	2.1E-02	4/99	1
2-AMINOANTHRAQUINONE	117-79-3									9.4E-06	4/99	3.3E-02	4/99	1
ZAMONIA	7664-41-7	3.2E+03	4/99			2.0E+02	2/00							
ZANILINE	62-53-3									1.6E-06	4/99	5.7E-03	4/99	1
Aniline hydrochloride	142-04-1									1.6E-06	4/99 [8/22]	5.7E-03	4/99 [8/22]	1
ARSENIC AND COMPOUNDS (INORGANIC) <sup>1,2,c</sup> [including but not limited to: arsenic [inorganic oxides]]	7440-36-2 1016 [1015]	2.0E-01	12/08	1.5E-02	12/08	1.5E-02	12/08			3.5E-06	12/08	1.2E+01	7/90	1
Arsenic acid	7778-39-4	2.0E-01	12/08	1.5E-02	12/08	1.5E-02	12/08			3.5E-06	12/08	1.2E+01	7/90	1
Arsenic pentoxide	1303-26-2	2.0E-01	12/08	1.5E-02	12/08	1.5E-02	12/08			3.5E-06	12/08	1.2E+01	7/90	1
Arsenic trioxide	1327-53-3	2.0E-01	12/08	1.5E-02	12/08	1.5E-02	12/08			3.5E-06	12/08	1.2E+01	7/90	1
ARSINE	7784-42-1	2.0E-01	12/08	1.5E-02	12/08	1.5E-02	12/08			3.5E-06	12/08	1.2E+01	7/90	1
Calcium arsenate	7778-44-1	2.0E-01	12/08	1.5E-02	12/08	1.5E-02	12/08			3.5E-06	12/08	1.2E+01	7/90	1
Gallium arsenide	1303-00-0	2.0E-01	12/08	1.5E-02	12/08	1.5E-02	12/08			3.5E-06	12/08	1.2E+01	7/90	1
ASBESTOS <sup>1,c,1</sup>	1332-21-4									1.9E-04	3/66	2.2E+02	3/66	333.33
Actinolite	77536-66-4									1.9E-04	3/66 [8/22]	2.2E+02	3/66 [8/22]	333.33
Amosite	12172-73-5									1.9E-04	3/66 [8/22]	2.2E+02	3/66 [8/22]	333.33
Anthophyllite	77536-67-5									1.9E-04	3/66 [8/22]	2.2E+02	3/66 [8/22]	333.33
Chrysotile	12001-29-5									1.9E-04	3/66 [8/22]	2.2E+02	3/66 [8/22]	333.33
Crocidolite	12001-28-4									1.9E-04	3/66 [8/22]	2.2E+02	3/66 [8/22]	333.33
Tremolite	77536-68-6									1.9E-04	3/66 [8/22]	2.2E+02	3/66 [8/22]	333.33

Table last updated: January 7, 2025

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Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHH/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						M <sup>b</sup> W A F
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	
BENZENE <sup>TAC</sup>	71-43-2	2.7E+01	6/14	3.0E+00	6/14	3.0E+00	6/14	2.9E-05 <sup>TAC</sup>	1.0E-01	1/85			1	
BENZIDINE (AND ITS SALTS) values also apply to:	92-87-5							1.4E-01	5.0E+02	4/99 [1/91]			1	
Benzidine based dyes	1020							1.4E-01	5.0E+02	4/99 [1/91]			1	
C.I. Direct Blue 218 [PAH-Derivative/Related, FOM]	28407-37-6							1.4E-01	5.0E+02	4/99 [8/22]			1	
3,3'-Dimethylbenzidine ditycarbazone	612-82-8							1.4E-01	5.0E+02	4/99 [8/22]			1	
Direct Black 38	1937-37-7							1.4E-01	5.0E+02	4/99 [1/81]			1	
Direct Blue 6	2602-46-2							1.4E-01	5.0E+02	4/99 [1/81]			1	
Direct Brown 95 (technical grade)	16071-86-6							1.4E-01	5.0E+02	4/99 [1/81]			1	
BENZYL CHLORIDE	100-44-7	2.4E+02	4/99					4.9E-05	1.7E-01	4/99			1	
BERYLLIUM AND COMPOUNDS	7440-41-7							2.4E-03	8.4E+00	4/99 [7/80]			1	
Beryllium sulfate	13510-49-1			7.0E-03	12/01	2.0E-03	12/01	2.4E-03	8.4E+00	4/99 [8/22]			0.0657	
Beryllium sulfate (tetrahydrate)	7787-56-6			7.0E-03	12/01 [8/22]	2.0E-03	12/01 [8/22]	2.4E-03	8.4E+00	4/99 [8/22]			0.0508	
Beryllium oxide	1304-56-9			7.0E-03	12/01 [8/22]	2.0E-03	12/01 [8/22]	2.4E-03	8.4E+00	4/99 [8/22]			0.36	
BIS(2-CHLOROETHYL)ETHER (Dichloroethyl ether)	111-44-4			7.0E-03	12/01 [8/22]	2.0E-03	12/01 [8/22]	2.4E-03	8.4E+00	4/99 [8/22]			1	
BIS(CHLOROMETHYL)ETHER	542-88-1							7.1E-04	2.5E+00	4/99			1	
BROMINE AND COMPOUNDS	7728-95-6							1.3E-02	4.8E+01	4/99 [1/91]			1	
Bromate	15541-45-4												1	
POTASSIUM BROMATE	7758-01-2							1.4E-04	4.9E-01	4/99 [8/22]			0.6247	
1-BROMOPROPANE	106-94-5	3.3E+03	4/23	3.4E+00	4/23	1.7E+00	4/23	1.4E-04	4.9E-01	4/99 [10/93]			1	
1,3-BUTADIENE <sup>TAC</sup>	106-95-0	6.6E+02	7/13	9.0E+00	7/13	2.0E+00	7/13	3.7E-6	1.3E-2	12/22			1	
CADMIUM AND COMPOUNDS <sup>TAC</sup>	7440-43-9							1.7E-04	6.0E-01	7/92			1	
Cadmium chloride	10108-64-2			2.0E-02	1/01	5.0E-04	10/00	4.2E-03	1.8E+01	1/87			1	
Cadmium succinate	141-00-4			2.0E-02	1/01 [8/22]	5.0E-04	10/00 [8/22]	4.2E-03	1.8E+01	1/87 [8/22]			0.6132	
				2.0E-02	1/01 [8/22]	5.0E-04	10/00 [8/22]	4.2E-03	1.8E+01	1/87 [8/22]			0.4921	

Table last updated: January 7, 2025

Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHH/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Unit Risk (µg/m <sup>3</sup> ) <sup>-1</sup>	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]
CAPROLACTAM	105-60-2	5.0E+01	10/13	7.0E+00	10/13	2.2E+00	10/13							1
CARBON DISULFIDE	75-15-0	6.2E+03	4/99			8.0E+02	5/02							1
CARBON MONOXIDE	630-08-0	2.3E+04	4/99											1
CARBON TETRACHLORIDE <sup>f,AC</sup> (tetrachloroethane)	56-23-5	1.9E+03	4/99			4.0E+01	1/01			4.2E-05 TAC	1.5E-01	9/87		1
CARBONYL SULFIDE	463-55-1	6.6E+02	2/17	1.0E+01	2/17	1.0E+01	2/17							1
108117-26- 2														1
CHLORINATED PARAFFINS														1
CHLORINE	7782-50-5	2.1E+02	4/99			2.0E-01	2/00					4/99		1
CHLORINE DIOXIDE	10049-04-4					6.0E-01	1/01							1
4-CHLORO-O-PHENYLENEDIAMINE	95-83-0					1.0E+03	1/01					4/99		1
CHLOROBENZENE	108-90-7													1
CHLOROFORM <sup>f,AC</sup>	67-66-3	1.5E+02	4/99			3.0E+02	4/00					12/90		1
Chlorophenols	1060													1
PENTACHLOROPHENOL	87-86-5													1
2,4,6-TRICHLOROPHENOL	88-06-2													1
CHLOROPICRIN	76-06-2	2.9E+01	4/99			4.0E-01	12/01							1
1-CHLORO-2-TOLUIDINE	95-69-2													1
1-CHLORO-4- (TRIFLUOROMETHYL)BENZENE (PCBTf)	98-56-6													1
CHROMIUM (III)	16065-63-1	4.8E-01	8/22	1.2E-01	8/22	6.0E-02	8/22							1
CHROMIUM 6 <sup>+AC</sup> values also apply to <sup>3</sup>	18540-29-9					2.0E-01	1/01							0.2053
Barium chromate	10294-40-3					2.0E-01	1/01 [8/22]							0.2258
t-Butyl chromate (VI)	1189-85-1					2.0E-01	1/01							0.3332
Calcium chromate	13765-19-0					2.0E-01	1/01							0.1609
Lead chromate	7758-97-6					2.0E-01	1/01							0.397
Sodium dichromate	10588-01-9					2.0E-01	1/01							0.2584
Strontium chromate	7789-06-2					2.0E-01	1/01							0.52
CHROMIUM TRIOXIDE (as chromic acid mist)	1333-82-0					2.0E-01	1/01							1
COBALT	7440-48-4					2.0E-03	1/01							1
Cobalt compounds, insoluble, including but not limited to:	1216													1

Table last updated: January 7, 2025

Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHA/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	MP W A F
COBALT CARBONATE	513-79-1													0.4955
COBALT CARBONYL	10210-88-1													0.3448
COBALT HYDROXIDE	21041-93-0													0.6341
COBALT OXALATE	814-89-1													0.3957
COBALT (II) OXIDE	1307-96-6													0.7865
COBALT (III) OXIDE	1308-06-1													0.7342
COBALT SULFIDE	1317-42-6													0.6481
<i>Cobalt sulfate and other soluble cobalt compounds, including but not limited to:</i>	1217													1
COBALT ACETATE (TETRAHYDRATE)	71-48-7													0.3331
COBALT CHLORIDE (HEXAHYDRATE)	7646-79-9													0.4539
COBALT HYDROCARBONYL	16842-03-8													0.3428
COBALT NITRATE (HEXAHYDRATE)	10141-05-6													0.3221
COBALT OCTOATE	136-52-7													0.1708
COBALT SULFATE	10124-43-3													0.3804
COBALT SULFATE (HEPTAHYDRATE)	10026-24-1													0.3804
COPPER AND COMPOUNDS [including but not limited to: copper fume (as copper)]	7440-50-8 [1067]	1.0E+02	4/99											1
p-CRESOLINE	120-71-8													1
CRESOLS (mixtures of)	1319-77-3													1
m-CRESOL	108-39-4					6.0E+02	1/01							1
o-CRESOL	95-48-7					6.0E+02	1/01							1
p-CRESOL	106-44-5					6.0E+02	1/01							1
CUPFERRON	135-20-6													1
57-12-5		3.4E+02	4/99											1
Cyanide Compounds (inorganic)	1075					9.0E+00	4/00							1
Calcium Cyanide	592-01-8	3.4E+02	4/99 [8/22]			9.0E+00	4/00 [8/22]							1

Table last updated: January 7, 2025

Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHA/RB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						M <sup>6</sup> W A F
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	
HYDROGEN CYANIDE (hydrocyanic acid) <i>Poissium cyanide</i>	74-90-8	3.4E+02	4/99			9.0E+00	4/00 [8/22]						1	
	341972-31-4	3.4E+02	4/99 [8/22]			9.0E+00	4/00 [8/22]						1	
	191294-22-7	3.4E+02	4/99 [8/22]			9.0E+00	4/00 [8/22]						1	
Sodium cyanide	143-33-9												1	
	615-05-4												1	
2,4-DIAMINOTOLUENE	95-80-7												1	
1,2-DIBROMO-3-CHLOROPROPANE (DBCP)	96-12-8												1	
p-DICHLOROBENZENE	106-46-7					8.0E+02	1/01						1	
3,3-DICHLOROBENZIDINE	91-94-1												1	
1,1-DICHLOROETHANE (Ethylene dichloride)	75-34-3												1	
1,1-DICHLOROETHYLENE ... (see Vinylidene Chloride)													1	
DI(2-ETHYLHEXYL)PHTHALATE (DEHP)	117-81-7									2.4E+06	8.4E+03	4/99 [1/92]	10/00	1
DIESEL EXHAUST ... (see Particulate Emissions from Diesel-Fueled Engines)														
DIETHANOLAMINE	111-42-2					3.0E+00	12/01							1
p-DIMETHYLAMINOAZOBENZENE	60-11-7									1.3E+03	4.6E+00	4/99		1
N,N-DIMETHYL FORMAMIDE	68-12-2					8.0E+01	1/01							1
2,4-DINITROTOLUENE	121-14-2									8.9E+05	3.1E-01	4/99		1
2,4-Dinitrotoluene, sulfurized	1326-41-6									8.9E+05	3.1E-01	4/99 [8/22]		1
1,4-DIOXANE (1,4-Diethylene dioxide)	123-91-1	3.0E+03	4/99			3.0E+03	4/00			7.7E+06	2.7E-02	4/99 [1/81]		1
EPICHLORHYDRIN (1-Chloro-2,3-epoxypropane)	106-89-8	1.3E+03	4/99			3.0E+00	1/01			2.3E+05	8.0E-02	4/99 [1/92]		1
1,2-EPOXYBUTANE	106-88-7					2.0E+01	1/01							1
ETHYL BENZENE	100-41-4					2.0E+03	2/00			2.5E+06	8.7E-3	11/07		1
ETHYL CHLORIDE (Chloroethane)	75-00-3					8.0E+01	12/01			7.1E+05 TAC	2.5E-01	7/85		1
ETHYLENE DIBROMIDE <sup>TAC</sup> (1,2-Dibromoethane)	106-93-4					8.0E+01	12/01			2.1E+05 TAC	7.2E-02	9/85		1
ETHYLENE DICHLORIDE <sup>TAC</sup> (1,2-Dichloroethane)	107-06-2					4.0E+02	1/01							1
ETHYLENE GLYCOL ... (see Glycol ethers)	107-21-1					4.0E+02	4/00							1

Table last updated: January 7, 2025

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Table 1 CONSOLIDATED TABLE OF OEHHA/RB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk							
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Unit Risk (µg/m <sup>3</sup> ) <sup>-1</sup>	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	M <sup>9</sup> W A F
ETHYLENE OXIDE <sup>AC</sup> (1,2-Epoxyethane)	75-21-8					3.0E+01	1/01			8.8E-05 <sup>TAC</sup>	3.1E-01		11/87		1
ETHYLENE THIOUREA	96-45-7					1.3E+01	8/03			1.3E-05	4.5E-02		4/99		1
Fluorides and compounds	1101	2.4E+02	4/99			1.4E+01	8/03	4.0E-02	8/03						1
HYDROGEN FLUORIDE (Hydrofluoric acid)	7664-39-3	2.4E+02	4/99			1.4E+01	8/03 [8/22]	4.0E-02	8/03 [8/22]						1
Modified hydrogen fluoride (MHF)	1141	2.4E+02	4/99 [8/22]			1.4E+01	8/03 [8/22]	4.0E-02	8/03 [8/22]						1
Selenium hexafluoride	7783-79-1	2.4E+02	4/99 [8/22]			1.4E+01	8/03 [8/22]	4.0E-02	8/03 [8/22]						0.5908
Sodium aluminum fluoride	15096-52-3					1.4E+01	8/03 [8/22]	4.0E-02	8/03 [8/22]						0.5429
Sodium fluoride	7681-49-4					1.4E+01	8/03 [8/22]	4.0E-02	8/03 [8/22]						0.4525
FORMALDEHYDE <sup>AC</sup>	50-00-0	5.5E+01	12/08	9.0E+00	12/08	9.0E+00	12/08			6.0E-06 <sup>TAC</sup>	2.1E-02		3/92		1
GLUTARALDEHYDE	111-30-8					8.0E-02	1/01								1
GLYCOL ETHERS	1115														1
ETHYLENE GLYCOL BUTYL ETHER - EGBE	111-76-2	4.7E+03	5/18	1.6E+02	5/18	8.2E+01	5/18								1
ETHYLENE GLYCOL ETHYL ETHER - EGEE	110-80-5	3.7E+02	4/98[1/92]			7.0E+01	2/00								1
ETHYLENE GLYCOL ETHYL ETHER ACETATE - EGEEA	111-15-9	1.4E+02	4/99			3.0E+02	2/00								1
ETHYLENE GLYCOL METHYL ETHER - EGME	109-86-4	9.3E+01	4/99			6.0E+01	2/00								1
ETHYLENE GLYCOL METHYL ETHER ACETATE - EGMEA	110-49-6					9.0E+01	2/00								1
HEXACHLOROBENZENE	118-74-1									5.1E-04	1.8E+00		4/99 [1/91]		1
HEXACHLOROCYCLOHEXANES (mixed or technical grade)	608-73-1									1.1E-03	4.0E+00		4/99 [1/92]	10/00 [1/92]	1
alpha-HEXACHLOROCYCLOHEXANE	319-84-6									1.1E-03	4.0E+00		4/99 [1/92]	10/00 [1/92]	1
beta-HEXACHLOROCYCLOHEXANE	319-85-7									1.1E-03	4.0E+00		4/99 [1/92]	10/00 [1/92]	1
gamma-HEXACHLOROCYCLOHEXANE (Lindane)	58-89-9									3.1E-04	1.1E+00		4/99	10/00	1

Table last updated: January 7, 2025

Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHH/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Unit Risk (µg/m <sup>3</sup> ) <sup>-1</sup>	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]
1,6-HEXAMETHYLENE DIISOCYANATE (monomer) <sup>h</sup>	822-06-0	0.3	9/19	0.06	9/19	0.03	9/19							1
n-HEXANE	110-54-3					7.0E+03	4/00							1
HYDRAZINE	302-01-2					2.0E-01	1/01					4/99 [7/90]		1
HYDROCHLORIC ACID (Hydrogen chloride)	7647-01-0	2.1E+03	4/99			9.0E+00	2/00							1
HYDROGEN BROMIDE ... (see Bromine & Compounds)														
HYDROGEN CYANIDE ... (see Cyanide & Compounds)														
HYDROGEN FLUORIDE ... (see Fluorides & Compounds)														
HYDROGEN SELFNIDE ... (see Selenium & Compounds)														
HYDROGEN SULFIDE ... (see Sulfur & Compounds)														
ISOPHORONE	7785-06-4 78-59-1	4.2E+01	4/99[7/90]			1.0E-01 2.0E+03	4/00 12/01							1 1
ISOPRENE	78-79-5					7.0E+03	2/00							1
ISOPROPYL ALCOHOL (Isopropanol)	67-63-0	3.2E+03	4/99											1
LEAD AND COMPOUNDS <sup>g,i,j,k</sup> (Inorganic) values also apply to: Lead acetate	7439-92-1 1128 [1130] 301-04-2													1
Lead phosphate	7446-27-7													0.637
Lead subacetate	1335-32-6													0.769
LINDANE ... (see Gamma-Hexachlorocyclohexane)														0.7696
MALIC ANHYDRIDE	108-31-6					7.0E-01	12/01							1
MANGANESE AND COMPOUNDS	7439-96-5 [1132]			1.7E-01	12/08	9.0E-02	12/08							1
Manganese cyclopentadienyl tris(benzyl)	12079-65-1			1.7E-01	12/08	9.0E-02	12/08							0.2684
Manganese cyclopentadienyl tris(isobutyl)	12108-13-3			1.7E-01	12/08	9.0E-02	12/08							0.2521
MERCURY AND COMPOUNDS (INORGANIC)	7439-97-6 [1133]	6.0E-01	12/08	6.0E-02	12/08	3.0E-02	12/08							1
Mercuric chloride	7487-94-7	6.0E-01	12/08	6.0E-02	12/08	3.0E-02	12/08							1
METHANOL	67-56-1	2.8E+04	4/99			4.0E+03	4/00							1
METHYL BROMIDE (Bromomethane)	74-83-9	3.9E+03	4/99			5.0E+00	2/00							1

Table last updated: January 7, 2025

Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHA/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	MP W A F
METHYL tertiary-BUTYL ETHER	1634-04-4					8.0E+03	2/00			2.6E-07	1.8E-03	11/99		1
METHYL CHLOROFORM (1,1,1-Trichloroethane)	71-55-6	6.8E+04	4/99			1.0E+03	2/00							1
METHYL ETHYL KETONE (2-Butanone)	78-93-3	1.3E+04	4/99			1.0E+00	12/01							1
METHYL ISOCYANATE (MICA)	624-83-9													1
4,4'-METHYLENE BIS (2-CHLOROANILINE)	101-14-4									4.3E-04	1.5E+00	4/99		1
METHYLENE CHLORIDE <sup>TAC</sup> (Dichloromethane)	75-09-2	1.4E+04	4/99			4.0E+02	2/00			1.0E-06 TAC	3.5E-03	7/89		1
4,4'-METHYLENE DIANILINE (AND ITS DICHLORIDE)	101-77-9									4.6E-04	1.8E+00	4/99	10/00	1
METHYLENE DIHENYL DIISOCYANATE	101-68-8	1.2E+01	3/16			2.0E+01	12/01							1
MICHLERS' KETONE (4,4'-Bis(dimethylamino)benzophenone)	90-94-8			1.6E-01	3/16	8.0E-02	3/16			2.9E-04	8.6E-01	4/99		1
N-NITROSODi-n-BUTYLAMINE	924-16-3									3.1E-03	1.1E+01	4/99		1
N-NITROSODi-n-PROPYLAMINE	621-64-7									2.0E-03	7.0E+00	4/99		1
N-NITROSODIETHYLAMINE	55-18-5									1.0E-02	3.6E+01	4/99		1
N-NITROSODIMETHYLAMINE	62-75-9									4.6E-03	1.6E+01	4/99		1
N-NITROSODIPHENYLAMINE	86-30-6									2.6E-06	9.0E-03	4/99		1
4,4'-NITROSODIPHENYLAMINE	10595-95-6									6.3E-03	2.2E+01	4/99		1
N-NITROSOMORPHOLINE	59-89-2									1.9E-03	6.7E+00	4/99		1
N-NITROSOPIPERIDINE	100-75-4									2.7E-03	9.4E+00	4/99		1
N-NITROSOPYRROLIDINE	930-55-2									6.0E-04	2.1E+00	4/99		1
NAPHTHALENE ... (see Polycyclic aromatic hydrocarbons)														
NICKEL AND COMPOUNDS <sup>TAC</sup> values also apply to:	7440-02-0 [1149]	2.0E-01	3/12	6.0E-02	3/12	1.4E-02	3/12			2.6E-04 TAC	9.1E-01	8/91		1
Nickel acetate	373-02-4	2.0E-01	3/12	6.0E-02	3/12	1.4E-02	3/12			2.6E-04 TAC	9.1E-01	8/91		0.3321
Nickel carbonate	3333-67-3	2.0E-01	3/12	6.0E-02	3/12	1.4E-02	3/12			2.6E-04 TAC	9.1E-01	8/91		0.4945
Nickel carbonyl	13463-39-3	2.0E-01	3/12	6.0E-02	3/12	1.4E-02	3/12			2.6E-04 TAC	9.1E-01	8/91		0.3488
Nickel chloride	7718-54-9	2.0E-01	3/12 [8/22]	6.0E-02	3/12 [8/22]	1.4E-02	3/12 [8/22]			2.6E-04 TAC	9.1E-01	8/91 [8/22]		0.4539

Table last updated: January 7, 2025

Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHA/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						M <sup>p</sup> W A F		
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]			
Nickel hydroxide	12054-48-7	2.0E-01	3/12	6.0E-02	3/12	1.4E-02	3/12	1.1E-02	3/12	2.0E-04	3/12	2.0E-04	9.1E-01	8/91		0.6332
Nickel nitrate (Nickel (II) nitrate)	13138-45-9	2.0E-01	3/12 [8/22]	6.0E-02	3/12 [8/22]	1.4E-02	3/12 [8/22]	1.1E-02	3/12 [8/22]	2.0E-04 TAC	3/12 [8/22]	2.0E-04 TAC	9.1E-01	8/91 [8/22]		0.3213
Nickelocene	1271-28-9	2.0E-01	3/12	6.0E-02	3/12	1.4E-02	3/12	1.1E-02	3/12	2.0E-04 TAC	3/12	2.0E-04 TAC	9.1E-01	8/91		0.4937
NICKEL OXIDE	1313-99-1	2.0E-01	3/12	6.0E-02	3/12	2.0E-02	3/12	1.1E-02	3/12	2.0E-04 TAC	3/12	2.0E-04 TAC	9.1E-01	8/91		0.7859
Nickel refinery dust from the pyrometallurgical process	1146	2.0E-01	3/12	6.0E-02	3/12	1.4E-02	3/12	1.1E-02	3/12	2.0E-04 TAC	3/12	2.0E-04 TAC	9.1E-01	8/91		1
Nickel subsulfide	12035-72-2	2.0E-01	3/12	6.0E-02	3/12	1.4E-02	3/12	1.1E-02	3/12	2.0E-04 TAC	3/12	2.0E-04 TAC	9.1E-01	8/91		0.2443
Nickel sulfate	7786-81-4	2.0E-01	3/12 [8/22]	6.0E-02	3/12 [8/22]	1.4E-02	3/12 [8/22]	1.1E-02	3/12 [8/22]	2.0E-04 TAC	3/12 [8/22]	2.0E-04 TAC	9.1E-01	8/91 [8/22]		0.3794
NITRIC ACID	7697-37-2	8.6E+01	4/99													1
NITROGEN DIOXIDE	10102-44-0	4.7E+02	4/99 [1/92]													1
p-NITROSODIPHENYLAMINE	196-10-5									6.3E-06			2.2E-02	4/99		1
OZONE	10028-15-6	1.8E+02	4/99 [1/92]													1
PARTICULATE EMISSIONS FROM DIESEL-FUELED ENGINES <sup>TAC, 1</sup>	9801									5.0E+00 TAC			1.1E+00	8/88		1
PENTACHLOROPHENOL																1
PERCHLOROETHYLENE <sup>TAC</sup> (Tetrachloroethylene)	127-18-4	2.0E+04	4/99			3.5E+01 TAC							2.1E-02	10/91		1
PHENOL	108-95-2	5.8E+03	4/99			2.0E+02	4/00									1
PHOSGENE	75-44-5	4.0E+00	4/99													1
PHOSPHINE	7803-51-2					8.0E-01	9/02									1
PHOSPHORIC ACID	7664-38-2					2.0E+00	2/00									1
PHTHALIC ANHYDRIDE	85-44-9					2.0E+01	1/01									1
PCB (POLYCHLORINATED BIPHENYLS) (unspeciated mixture)	13386-36-3															1
PCB (POLYCHLORINATED BIPHENYLS (speciated)) <sup>1</sup>																1
3,3',4,4'-TETRACHLOROBIPHENYL (PCB 77)	32598-13-3					4.0E-01	8/03									1
3,4,4'-5-TETRACHLOROBIPHENYL (PCB 81)	70362-50-4					1.3E-01	1/11									1

Table last updated: January 7, 2025

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**Table 1  
CONSOLIDATED TABLE OF OEHH/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk								
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Unit Risk (µg/m <sup>3</sup> ) <sup>-1</sup>	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	M <sup>e</sup> W A F	
2,3,3',4,4',5'-PENTACHLOROBIPHENYL (PCB 126)	32598-14-4					1.3E+00	1/11	3.3E-04	1/11	1.1E-03	1/11	3.9E+00	1/11	3.9E+00	1/11	1
2,3,4,4',5'-PENTACHLOROBIPHENYL (PCB 114)	74472-37-0					1.3E+00	1/11	3.3E-04	1/11	1.1E-03	1/11	3.9E+00	1/11	3.9E+00	1/11	1
2,3,4,4',5'-PENTACHLOROBIPHENYL (PCB 118)	31508-00-6					1.3E+00	1/11	3.3E-04	1/11	1.1E-03	1/11	3.9E+00	1/11	3.9E+00	1/11	1
2,3,4,4',5'-PENTACHLOROBIPHENYL (PCB 123)	65510-44-3					1.3E+00	1/11	3.3E-04	1/11	1.1E-03	1/11	3.9E+00	1/11	3.9E+00	1/11	1
3,3',4,4',5'-PENTACHLOROBIPHENYL (PCB 128)	57465-28-8					4.0E-04	8/03	1.0E-07	8/03	3.8E+00	1/11	1.3E+04	8/03	1.3E+04	8/03	1
2,3,3',4,4',5'-HEXACHLOROBIPHENYL (PCB 158)	38380-08-4					1.3E+00	1/11	3.3E-04	1/11	1.1E-03	1/11	3.9E+00	1/11	3.9E+00	1/11	1
2,3,3',4,4',5'-HEXACHLOROBIPHENYL (PCB 157)	69782-90-7					1.3E+00	1/11	3.3E-04	1/11	1.1E-03	1/11	3.9E+00	1/11	3.9E+00	1/11	1
2,3,4,4',5'-HEXACHLOROBIPHENYL (PCB 167)	52663-72-6					1.3E+00	1/11	3.3E-04	1/11	1.1E-03	1/11	3.9E+00	1/11	3.9E+00	1/11	1
3,3',4,4',5,5'-HEXACHLOROBIPHENYL (PCB 169)	32774-16-6					1.3E-03	1/11	3.3E-07	1/11	1.1E+00	1/11	3.9E+03	1/11	3.9E+03	1/11	1
2,3,3',4,4',5,5'-HEPTACHLOROBIPHENYL (PCB 189)	39635-31-9					1.3E+00	1/11	3.3E-04	1/11	1.1E-03	1/11	3.9E+00	1/11	3.9E+00	1/11	1
POLYCHLORINATED DIBENZO-P-DIOXINS (PCDD) (Treated as 2,3,7,8-TCDD for HRA) <sup>ac, k</sup>	1085 1086					4.0E-05	2/00	1.0E-08	10/00	3.8E+01 TAC	10/00	1.3E+05 TAC	8/86	1.3E+05 TAC	8/86	1
2,3,7,8-TETRACHLORODIBENZO-P-DIOXIN <sup>TAC</sup>	1746-01-6					4.0E-05	2/00	1.0E-08	10/00	3.8E+01 TAC	10/00	1.3E+05 TAC	8/86	1.3E+05 TAC	8/86	1
1,2,3,7,8-PENTACHLORODIBENZO-P-DIOXIN	40321-76-4					4.0E-05	8/03	1.0E-08	8/03	3.8E+01	8/03	1.3E+05	8/03	1.3E+05	8/03	1
1,2,3,4,7,8-HEXACHLORODIBENZO-P-DIOXIN	39227-28-6					4.0E-04	2/00	1.0E-07	10/00	3.8E+00	10/00	1.3E+04	4/99	1.3E+04	10/00	1
1,2,3,6,7,8-HEXACHLORODIBENZO-P-DIOXIN	57653-85-7					4.0E-04	2/00	1.0E-07	10/00	3.8E+00	10/00	1.3E+04	4/99	1.3E+04	10/00	1
1,2,3,7,8,9-HEXACHLORODIBENZO-P-DIOXIN	19408-74-3					4.0E-04	2/00	1.0E-07	10/00	3.8E+00	10/00	1.3E+04	4/99	1.3E+04	10/00	1

Table last updated: January 7, 2025

Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHA/HARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk							
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Priority Factor (mg/kg-d) <sup>1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>1</sup>	Date <sup>c</sup> Value Reviewed [Added]	M <sup>6</sup> W A F	
1,2,3,4,6,7,8- HEPTACHLORODIBENZO-P- DIOXIN	35822-46-9					4.0E-03	2.00	1.0E-06	1000	3.8E-01	1.3E+03	499	1.3E+03	10/00	1
1,2,3,4,6,7,8,9- OCTACHLORODIBENZO-P-DIOXIN	32688-87-9					1.3E-01	1/11	3.3E-05	1/11	1.1E-02	3.9E+01	1/11	3.9E+01	1/11	1
POLYCHLORINATED DIBENZOFURANS (PCDF) <sup>1a,c,k</sup> (Treated as 2,3,7,8-TCDD for HRA)	1080					4.0E-05	2.00	1.0E-08	1000	3.8E+01 TAC	1.3E+05	886	1.3E+05 TAC	886	1
2,3,7,8- TETRACHLORODIBENZOFURAN	5120-73-19					4.0E-04	2.00	1.0E-07	1000	3.8E+00	1.3E+04	499	1.3E+04	10/00	1
1,2,3,7,8- PENTACHLORODIBENZOFURAN	57117-41-6					1.3E-03	1/11	3.3E-07	1/11	1.1E+00	3.9E+03	1/11	3.9E+03	1/11	1
2,3,4,6,7,8- PENTACHLORODIBENZOFURAN	57117-31-4					1.3E-04	1/11	3.3E-08	1/11	1.1E+01	3.9E+04	1/11	3.9E+04	1/11	1
1,2,3,4,7,8- HEXACHLORODIBENZOFURAN	70848-26-9					4.0E-04	2.00	1.0E-07	1000	3.8E+00	1.3E+04	499	1.3E+04	10/00	1
1,2,3,6,7,8- HEXACHLORODIBENZOFURAN	57117-44-9					4.0E-04	2.00	1.0E-07	1000	3.8E+00	1.3E+04	499	1.3E+04	10/00	1
1,2,3,7,8,9- HEXACHLORODIBENZOFURAN	72918-21-9					4.0E-04	2.00	1.0E-07	1000	3.8E+00	1.3E+04	499	1.3E+04	10/00	1
2,3,4,6,7,8- HEXACHLORODIBENZOFURAN	60851-34-5					4.0E-04	2.00	1.0E-07	1000	3.8E+00	1.3E+04	499	1.3E+04	10/00	1
1,2,3,4,6,7,8- HEPTACHLORODIBENZOFURAN	67562-39-4					4.0E-03	2.00	1.0E-06	1000	3.8E-01	1.3E+03	499	1.3E+03	10/00	1
1,2,3,4,7,8,9- HEPTACHLORODIBENZOFURAN	55673-89-7					4.0E-03	2.00	1.0E-06	1000	3.8E-01	1.3E+03	499	1.3E+03	10/00	1
1,2,3,4,6,7,8,9- OCTACHLORODIBENZOFURAN	39001-02-0					1.3E-01	1/11	3.3E-05	1/11	1.1E-02	3.9E+01	1/11	3.9E+01	1/11	1
POLYCYCLIC AROMATIC HYDROCARBON (PAH) [Treated as B(a)P for HRA]	1150 1151									1.1E-03	3.9E+00	499 [494]	1.2E+01	10/00 [494]	1
BENZ(A)ANTHRACENE <sup>1</sup>	56-55-3									1.1E-04	3.9E-01	499 [494]	1.2E+00	10/00 [494]	1
BENZO(A)PYRENE <sup>1</sup>	50-32-8									1.1E-03	3.9E+00	499 [494]	1.2E+01	10/00 [494]	1
BENZO(B)FLUORANTHENE <sup>1</sup>	205-98-2									1.1E-04	3.9E-01	499 [494]	1.2E+00	10/00 [494]	1
BENZO(J)FLUORANTHENE <sup>1</sup>	205-82-3									1.1E-04	3.9E-01	499 [494]	1.2E+00	10/00 [494]	1
BENZO(K)FLUORANTHENE <sup>1</sup>	207-08-9									1.1E-04	3.9E-01	499 [494]	1.2E+00	10/00 [494]	1
CHRYSENE <sup>1</sup>	218-01-9									1.1E-05	3.9E-02	499 [494]	1.2E-01	10/00 [494]	1

Table last updated: January 7, 2025



Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHA/RB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Inhalation <sup>d</sup> Unit Risk (µg/m <sup>3</sup> ) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]
DIISOCYANURATE	1226	4.5E+00	9/19 [8/22]	8.0E-01	9/19 [8/22]	4.0E-01	9/19 [8/22]							1
HDI/PREPOLYMER	1227	4.5E+00	9/19 [8/22]	8.0E-01	9/19 [8/22]	4.0E-01	9/19 [8/22]							1
ISOCYANURATE	1228	4.5E+00	9/19 [8/22]	8.0E-01	9/19 [8/22]	4.0E-01	9/19 [8/22]							1
URETIDIONE (HDI) [URETIDONE]	23501-811-7	4.5E+00	9/19 [8/22]	8.0E-01	9/19 [8/22]	4.0E-01	9/19 [8/22]							1
POTASSIUM BROMATE.... ... (see Bromine & Compounds)														1
1,3-PROPANE SULFONE	1125-71-4									6.9E-04	2.4E+00		4/99	1
PROPYLENE (PROPENE)	115-07-1					3.0E+03	4/00							1
PROPYLENE GLYCOL MONOMETHYL ETHER	107-98-2					7.0E+03	2/00							1
PROPYLENE OXIDE	75-56-9	3.1E+03	4/99			3.0E+01	2/00			3.7E-06	1.3E-02		4/99 [7/90]	1
SELENIUM AND COMPOUNDS <sup>m</sup>	7782-49-2					2.0E+01	12/01							1
HYDROGEN SELENIDE	[1170]													1
Selenium sulfide	7785-07-5	5.0E+00	4/99			2.0E+01	12/01							1
Selenium hexafluoride see Fluorides and Compounds	7446-34-6													1
SILICA (CRYSTALLINE, RESPIRABLE)	1175					3.0E+00	2/05							1
Silica, crystalline (respirable), in the form of cristobalite	14464-46-1					3.0E+00	2/05 [8/22]							1
Silica, crystalline (respirable), in the form of quartz	14808-60-7					3.0E+00	2/05 [8/22]							1
SODIUM HYDROXIDE	1310-73-2	8.0E+00	4/99											1
STYRENE	100-42-5	2.1E+04	4/99			9.0E+02	4/00							1
SULFATES	9860	1.2E+02	4/99											1
SULFUR DIOXIDE	7446-09-5	6.6E+02	4/99 [1/92]											1
SULFURIC ACID	7664-93-9	1.2E+02	4/99			1.0E+00	12/01							1
SULFUR TRIOXIDE	7446-77-9	1.2E+02	4/99			1.0E+00	12/01							1
OLEUM	8014-95-7	1.2E+02	4/99											1
TERTIARY-BUTYL ACETATE (TBAC)	540-88-5									1.3E-06	4.7E-03	8/18	8/18	1
1,1,2,2-TETRACHLOROETHANE	79-34-5									5.8E-05	2.0E-01	4/99	4/99	1
TETRACHLOROPHENOLS ... (see Chlorophenols)														
2,4,5-TRICHLOROPHENOL ... (see Chlorophenols)														
2,4,6-TRICHLOROPHENOL ... (see Chlorophenols)														
THIOACETAMIDE	62-55-5									1.7E-03	6.1E+00	4/99	4/99	1

Table last updated: January 7, 2025

Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHA/RB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

Substance	Chemical <sup>b</sup> Abstract Number	Noncancer Effects						Cancer Risk						M <sup>o</sup> W A F
		Acute Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	8-Hour Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Inhalation (µg/m <sup>3</sup> )	Date <sup>c</sup> Value Reviewed [Added]	Chronic Oral (mg/kg-d)	Date <sup>c</sup> Value Reviewed [Added]	Inhalation <sup>d</sup> Cancer Potency Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	Oral Slope Factor (mg/kg-d) <sup>-1</sup>	Date <sup>c</sup> Value Reviewed [Added]	
TOLUENE	108-88-3	5.0E+03	8/20	8.3E+02	8/20	4.2E+02	8/20							1
<i>Toluene diisocyanates</i>	26471-62-5	2.0E+00	3/16	1.5E+02	3/16	6.0E+03	3/16							1
TOLUENE-2,4-DIISOCYANATE	584-84-9	2.0E+00	3/16	1.5E+02	3/16	8.0E+03	3/16							1
TOLUENE-2,6-DIISOCYANATE	91-08-7	2.0E+00	3/16	1.5E+02	3/16	8.0E+03	3/16							1
1,1,2-TRICHLOROETHANE	79-00-5													1
VINYL (halobutene)														1
TRICHLOROETHYLENE <sup>TAC</sup>	79-01-6					6.0E+02	4/00							1
TRIMETHYLAMINE	121-44-8	2.8E+03	4/99			2.0E+02	9/02							1
TRIMETHYLBENZENES	25551-13-7	2.4E+03	10/23	8.0E+00	10/23	4.0E+00	10/23							1
1,2,3-TRIMETHYLBENZENE	526-73-8	2.4E+03	10/23	8.0E+00	10/23	4.0E+00	10/23							1
1,2,4-TRIMETHYLBENZENE	95-63-6	2.4E+03	10/23	8.0E+00	10/23	4.0E+00	10/23							1
1,3,5-TRIMETHYLBENZENE	108-67-8	2.4E+03	10/23	8.0E+00	10/23	4.0E+00	10/23							1
URETHANE (Ethyl carbamate)	51-79-6													1
<i>Vanadium Compounds</i>	N/A													1
<i>Vanadium (fume or dust)</i>	7440-62-2	3.0E+01	4/99											1
VANADIUM PENTOXIDE	1314-62-1	3.0E+01	4/99											1
VINYL ACETATE	108-05-4					2.0E+02	12/01							1
VINYL CHLORIDE <sup>TAC</sup> (Chloroethylene)	75-01-4	1.8E+05	4/99											1
VINYLDENE CHLORIDE (1,1-dichloroethylene)	75-35-4					7.0E+01	1/01							1
XYLENES (mixed isomers)	1330-20-7	2.2E+04	4/99			7.0E+02	4/00							1
m-XYLENE	108-38-3	2.2E+04	4/99			7.0E+02	4/00							1
o-XYLENE	95-47-6	2.2E+04	4/99			7.0E+02	4/00							1
p-XYLENE	106-42-3	2.2E+04	4/99			7.0E+02	4/00							1

Table last updated: January 7, 2025

# Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHH/ARB APPROVED RISK ASSESSMENT HEALTH VALUES\***

<p><b>Purpose:</b> The purpose of this reference table is to provide a quick list of all health values that have been approved by the Office of Environmental Health Hazard Assessment (OEHHH) and the Air Resources Board (ARB) for use in facility health risk assessments conducted for the AB 2588 Air Toxics "Hot Spots" Program. The OEHHH has developed and adopted new risk assessment guidelines that update and replace the California Air Pollution Control Officers Association's (CAPCOA) Air Toxics "Hot Spots" Program Revised 1992 Risk Assessment Guidelines, October 1993. The OEHHH has adopted three technical support documents for these guidelines, which can be found on their website (<a href="http://www.oehha.ca.gov/air/hot_spots/index.html">http://www.oehha.ca.gov/air/hot_spots/index.html</a>). This table lists the OEHHH adopted inhalation and oral cancer slope factors, noncancer acute Reference Exposure Levels (RELs), and inhalation and oral noncancer chronic RELs. OEHHH is in the process of adopting new health values. Therefore, new health values will periodically be added to, or deleted from, this table. Users of this table are advised to monitor the OEHHH website (<a href="http://www.oehha.ca.gov">www.oehha.ca.gov</a>) for any updates to the health values.</p> <p><b>May 2008 update:</b> The Air Resources Board adopted amendments to the AB 2588 Air Toxics "Hot Spots" Emission Inventory Criteria and Guidelines Regulation (Title 17, California Code of Regulations, Section 93300.5) on November 16, 2008. The amendments became effective on September 26, 2007, after approval from the Office of Administrative Law. Under the new amendments, the substances previously listed in Appendix A-1 (Substances for Which Emissions Must Be Quantified) and Appendix F (Criteria For Inputs For Risk Assessment Using Screening Air Dispersion Modeling) of the ARB's Air Toxics "Hot Spots" Emission Inventory Criteria and Guidelines (EIGS) (July 1997) have been removed from this table.</p> <p><b>September 2022 update:</b> The Air Resources Board adopted amendments to the AB 2588 Air Toxics "Hot Spots" Emission Inventory Criteria and Guidelines Regulation (Title 17, California Code of Regulations, Section 93300.5) on November 19, 2020. The amendments became effective on March 21, 2022, after approval from the Office of Administrative Law. Under the new amendments a number of pollutants were added to Appendix A-1: Substances for Which Emissions Must be Quantified. OEHHH was consulted to determine which existing health values may be applied to these new pollutants. OEHHH also helped determine the appropriate MAAF, if applicable.</p> <p><b>NOTE ON REPORTING UNDER HOT SPOTS PROGRAM:</b> New chemicals that are reported by a facility due to being covered by one of the chemical "functional group" definitions, which are shown at the end of EICG Appendix A-1 (i.e., the functional groups related to isocyanates, halogenated PAHs, and certain types of per/poly fluorinated compounds), should be discussed with CARB and/or OEHHH to determine whether an OEHHH health value may apply to them.</p> <p><b>a</b> The <i>italic font</i> used in this table is designed to clarify applicability of OEHHH adopted health effects values to individual or grouped substances listed in the Air Toxics "Hot Spots" Emission Inventory Criteria and Guidelines, Appendix A-1 list of Substances for Which Emissions Must Be Quantified.</p> <p><b>b</b> Chemical Abstract Service Number (CAS): For chemical groupings and mixtures where a CAS number is not applicable, the 4-digit code used in the Air Toxics "Hot Spots" Emission Inventory Criteria and Guidelines (EICG) Report is listed. The 4-digit codes enclosed in brackets [ ] are codes that have been phased out, but may still appear on previously reported Hot Spots emissions. For information on the origin and use of the 4-digit code, see the EICG report. (<a href="https://ww2.arb.ca.gov/our-work/programs/ab-2588-air-toxics-hot-spots/hot-spots-inventory-guidelines">https://ww2.arb.ca.gov/our-work/programs/ab-2588-air-toxics-hot-spots/hot-spots-inventory-guidelines</a>)</p>
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Table last updated: January 7, 2025

# Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHH/AARB APPROVED RISK ASSESSMENT HEALTH VALUES\***

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Date Value Reviewed/Added: These columns list the date that the health value was last reviewed by OEHH, and/or approved for use in the AB 2588 Air Toxics "Hot Spots" Program

- If the health value is unchanged since it was first approved for use in the Hot Spots Program, then the date that the value was first approved for use by CAPCOA is listed within the brackets [ ].
- April 1999 is listed for the cancer potency values and noncancer acute RELs, which have been adopted by the OEHH as part of the AB 2588 Hot Spot Risk Assessment Guidelines.
- February 2000, April 2000, January 2001, and December 2001 are listed for the first set of 22, the second set of 16, the third set of 22, and the fourth set of 12 noncancer chronic RELs, respectively. The chronic REL for carbon disulfide was adopted in May 2002. Chronic RELs for phosphine and triethylamine were adopted in September, 2002. Chronic RELs for fluorides including hydrogen fluoride were adopted August 2003. Chronic REL for silica (crystalline respirable) was adopted February 2005.
- October 2000 is listed for the oral chronic RELs and oral cancer slope factors.
- On December 19, 2008, OEHH adopted new acute, 8-hour, and chronic RELs for acetaldehyde, acrolein, arsenic, formaldehyde, manganese, and mercury. The most current health values can be found at: <http://www.oehha.ca.gov/air/fall08>.
- For the substances identified as Toxic Air Contaminants, the Air Resources Board hearing date is listed. The dates for acetaldehyde, benzaldehyde, and methyl tertiary-butyl ether represent the dates the values were approved by the Scientific Review Panel.
- On December 19, 2008, OEHH adopted new acute, 8-hour, and chronic RELs for acetaldehyde, acrolein, arsenic, formaldehyde, manganese, and mercury. The most current health values can be found at: <http://www.oehha.ca.gov/air/fall08>.

Note: 1. We present the new oral RELs only in milligrams per kilogram-day (mg/kg-d), although OEHH has presented them in other tables in either micrograms per kilogram-day (ug/kg-d) or milligrams per kilogram-day.

2. All acute RELs use a 1-hour averaging period (OEHH, 2008). RELs which were developed using earlier guidelines and specified a different averaging time are unchanged in concentration value, but now refer to the 1-hour averaging period. As of August 1, 2013, the affected chemicals are: benzene, carbon disulfide, carbon tetrachloride, chloroform, ethylene glycol monoethyl ether, ethylene glycol dimethyl ether, and ethylene glycol monomethyl ether. These may be replaced by updated RELs following the OEHH (2008) guidelines in due course.

3. An OEHH's direction, the chronic oral REL for arsenic does not apply to arsine because arsine is a gas and not particle associated.

- OEHH's adoption of the World Health Organization's 2005 Toxicity Equivalency Factors for polychlorinated biphenyls (PCDFs), and dioxin-like polychlorinated biphenyls (PCBs) occurred in January 2011. See Appendix C of OEHH's Air Toxics Hot Spots Program Technical Support Document for Cancer Potencies at: <https://oehha.ca.gov/air/cm/technical-support-document/cancer-potencies> for more information.
- On March 23, 2012, OEHH adopted revised acute, 8-hour and chronic RELs for nickel and nickel compounds. The values of the RELs are listed in the table at: [http://www.oehha.ca.gov/air/chronic\\_rels032312CREL.html](http://www.oehha.ca.gov/air/chronic_rels032312CREL.html).
- On July 29, 2013, OEHH adopted an acute and 8-hour REL, and a revised chronic REL for 1,3-butadiene. The REL values and summary can be found online at: [http://www.oehha.ca.gov/air/hot\\_spots/index.html](http://www.oehha.ca.gov/air/hot_spots/index.html).
- On October 18, 2013, the following changes were made to the Consolidated Table of OEHH/AARB Approved Risk Assessment Health Values:
  - OEHH adopted acute, 8-hour, and chronic RELs for coproctam. The REL values and summary can be found at: <https://oehha.ca.gov/media/downloads/cm/relscaproctam2013.pdf>.
  - Changes have been made to target organs to the following substances with no change to health factors: Chloroform, Diethanolamine, Fluorides and Hydrogen Fluoride, Methylene Chloride, Styrene, Xylenes. The "date added" in this table reflects the date of the health factor only.
  - On June 27, 2014, OEHH adopted a new 8-hour REL and revised acute and chronic RELs for benzene. The REL values and summary can be found at: [http://www.oehha.ca.gov/air/chronic\\_rels/Benzene/June2014.html](http://www.oehha.ca.gov/air/chronic_rels/Benzene/June2014.html).
  - On March 28, 2016, OEHH adopted new and revised RELs for toluene diisocyanate (TDI) and methylene diphenyl diisocyanate (MDI). The REL values and summaries can be found at: [http://www.oehha.ca.gov/air/chronic\\_rels032816TDI\\_MDI\\_RELs.html](http://www.oehha.ca.gov/air/chronic_rels032816TDI_MDI_RELs.html). On March 30, 2016, the name of MDI was changed from methylene diphenyl isocyanate to a more accurate name: methylene diphenyl diisocyanate.
  - On September 8, 2016, OEHH adopted an updated inhalation cancer unit risk factor (URF) for perchloroethylene (PCE or tetrachloroethylene). The updated URF and summary can be found at: <http://oehha.ca.gov/air/cm/notice-adoption-inhalation-cancer-unit-risk-factor-pce-tetrachloroethylene>.

Table last updated: January 7, 2025



Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHHA/RB APPROVED RISK ASSESSMENT HEALTH VALUES\***

TAC	Toxic Air Contaminant: The Air Resources Board has identified this substance as a Toxic Air Contaminant. ( <a href="https://ww2.arb.ca.gov/resources/documents/carb-identified-toxic-air-contaminants">https://ww2.arb.ca.gov/resources/documents/carb-identified-toxic-air-contaminants</a> )
f	Asbestos: The units for the Inhalation Cancer Potency Factor for asbestos are (100 PCM fibers/m <sup>3</sup> ) <sup>-1</sup> . A conversion factor of 100 fibers/0.003 µg can be multiplied by a receptor concentration of asbestos expressed in µg/m <sup>3</sup> . Unless other information necessary to estimate the concentration (fibers/m <sup>3</sup> ) of asbestos at receptors of interest is available. A unit risk factor of 1.9 E 10 <sup>-4</sup> (µg/m <sup>3</sup> ) <sup>-1</sup> and an inhalation cancer potency factor of 2.2 E 10 <sup>-2</sup> (mg/kg BW <sup>-1</sup> day) <sup>-1</sup> are available. For more information on asbestos quantity conversion factors, see Appendix F of OEHA's <i>The Air Toxics Hot Spots Program Risk Assessment Guidelines, Part II: Technical Support Document for Cancer Potency Factors (May 2009)</i> ( <a href="https://oehha.ca.gov/air/cm/technical-support-document-cancer-potency-factors-2009">https://oehha.ca.gov/air/cm/technical-support-document-cancer-potency-factors-2009</a> ), and Appendix C of OEHA's Guidance Manual (February 2015) ( <a href="https://oehha.ca.gov/air/cm/notice-adoption-air-toxics-hot-spots-program-guidance-manual-preparation-health-risk-0">https://oehha.ca.gov/air/cm/notice-adoption-air-toxics-hot-spots-program-guidance-manual-preparation-health-risk-0</a> ).
g	Chromium 6 (hexavalent Chromium): In July 2011, OEHA developed the oral cancer slope factor for chromium 6+ and compounds for the California Public Health Goal in drinking water. As of February 2014, OEHA states it should also be used for the Hot Spots program.
h	Lead, inorganic: Inorganic Lead was identified by the Air Resources Board as a Toxic Air Contaminant in April 1987. Since information on noncancer health effects show no identified threshold, no Reference Exposure Level has been developed. The document, <i>Risk Management Guidelines for New, Modified, and Existing Sources of Lead, March 2001</i> , has been developed by ARB and OEHA staff for assessing noncancer health impacts from sources of lead ( <a href="https://ww2.arb.ca.gov/sites/default/files/classic/toxics/lead/mainandappend.pdf">https://ww2.arb.ca.gov/sites/default/files/classic/toxics/lead/mainandappend.pdf</a> , <a href="https://ww2.arb.ca.gov/sites/default/files/classic/toxics/lead/mainandappend.pdf?_ga=2.97307777.765929880.1615842085-99278915.1615253163">https://ww2.arb.ca.gov/sites/default/files/classic/toxics/lead/mainandappend.pdf?_ga=2.97307777.765929880.1615842085-99278915.1615253163</a> ). See Appendix F of OEHA's document <i>The Air Toxics Hot Spots Program Guidance Manual for Preparation of Health Risk Assessments (2003)</i> for an overview of how to evaluate noncancer impacts from exposure to lead using these risk management guidelines ( <a href="https://oehha.ca.gov/media/downloads/cm/2015guidancemanual.pdf">https://oehha.ca.gov/media/downloads/cm/2015guidancemanual.pdf</a> ).
i	Particulate Emissions from Diesel-Fueled Engines: The inhalation cancer potency factor was derived from whole diesel exhaust and should be used only for impacts from the inhalation pathway (based on diesel PM measurements). The inhalation impacts from speciated emissions from diesel-fueled engines are already accounted for in the inhalation cancer potency factor. However, at the discretion of the risk assessor, speciated emissions from diesel-fueled engines may be used to estimate acute noncancer health impacts or the contribution to cancer risk or chronic noncancer health impacts for the non-inhalation exposure pathway. See Appendix D of OEHA's document <i>The Air Toxics Hot Spots Program Guidance Manual for Preparation of Health Risk Assessments (2003)</i> for more information. The noncancer chronic REL for diesel exhaust is based on assumptions of contributions of diesel PM to ambient PM. It should be used with diesel PM measurement.
j	Cancer Potency Factors (CPFs) for unspiculated mixtures of Polychlorinated Biphenyls: High Risk: For use in cases where congeners with more than four chlorines comprise more than one-half percent of total polychlorinated biphenyls. Use as default CPF for Tier 1 assessments. Low Risk: This number would not ordinarily be used in the Hot Spots program. Lowest Risk: For use in cases where congeners with more than four chlorines comprise less than one-half percent of total polychlorinated biphenyls. As of February 2014, there is no approved method that can be used to assess the noncancer hazard of an unspiculated PCB mixture. Persons preparing HRAs for the Hot Spots Program should consult with OEHA and the local Air Pollution Control or Air Quality Management District if an assessment of the noncancer hazard for unspiculated PCB mixtures is needed.
k	Polychlorinated Dibenz-p-dioxins and Polychlorinated Dibenzofurans (also referred to as chlorinated dibenz-p-dioxins and dibenzofurans) and dioxin-like PCB congeners: The OEHA has adopted the World Health Organization 2005 (WHO-05) Toxicity Equivalency Factors (TEFs) for use in the HARP software. For more information on the use of TEFs, see Appendix A of OEHA's <i>Technical Support Document for Describing Available Cancer Potency Factors</i> for more information about the scheme. See Appendix C (revised January 20, 2011) of OEHA's Technical Support Document: Methodologies for Derivation, Listing of Available Values, and Adjustments to Allow for Early Life Exposures (2009) online at <a href="http://oehha.ca.gov/air/hot_spots/hst/052909.html">http://oehha.ca.gov/air/hot_spots/hst/052909.html</a> for more information about the scheme.
l	The two numbers (i.e., 1085 and 1086) in the column listing Chemical Abstracts Numbers are used for reporting and risk assessment purposes. Be sure to input emissions under the proper code when using the HARP software. ID code 1085 has no health values associated with it in the HARP software; therefore, no health impacts will be calculated when using ID 1085. See the Emissions Inventory Criteria and Guidelines for more information on reporting emissions. Polycyclic Aromatic Hydrocarbons (PAHs): These substances are PAH or PAH-derivatives that have OEHA-developed Potency Equivalency Factors (PEFs) which were approved by the Scientific Review Panel in April 1994 (see ARB document entitled <i>Benzo[a]pyrene as a Toxic Air Contaminant</i> ). PAH inhalation slope factors listed here have been adjusted by the PEFs. See OEHA's Technical Support Document: Methodologies for Derivation, Listing of Available Values, and Adjustments to Allow for Early Life Exposures (2009) for more information about the scheme. Section 8.2.3 and Appendix G of OEHA's <i>The Air Toxics Hot Spots Program Guidance Manual for Preparation of Health Risk Assessments (2003)</i> also contains information on PAHs.
m	The two numbers (i.e., 1150 and 1151) in the column listing Chemical Abstracts Numbers are used for reporting and risk assessment purposes. Be sure to input emissions under the proper code when using the HARP software. ID code 1150 has no health values associated with it in the HARP software; therefore, no health impacts will be calculated when using ID 1150. See the Emissions Inventory Criteria and Guidelines for more information on reporting emissions.
n	SELENIUM AND COMPOUNDS: In February 2014, an oral REL was added to the consolidated table. The REL was adopted in December 2001 but could not be used by the Hot Spots Program (or HARP software) until transfer factors for the oral and dermal routes were adopted. Transfer factors were included in the OEHA's <i>Technical Support Document for Exposure Assessment and Stochastic Analysis (August 2012)</i> and were added to the HARP software in March 2015. 1,6-HEXAMETHYLENE DIISOCYANATE (HDI): On September 19, 2019, acute, 8-hour, and chronic RELs were added to the table and HARP for the HDI (monomer). OEHA adopted these RELs and others for HDI polyisocyanates on September 6, 2019. The Acute, 8-hour, and chronic RELs for HDI polyisocyanates were added to the consolidated table and HARP on August 31, 2022.

Table last updated: January 7, 2025

# Appendix 3 Cont'd

**Table 1  
CONSOLIDATED TABLE OF OEHHA/ARB APPROVED RISK ASSESSMENT HEALTH VALUES<sup>a</sup>**

<p>N/A Not Applicable.</p> <p>Other Changes:</p> <ul style="list-style-type: none"> <li>10/18/2010, removed CHLORODIFLUOROMETHANE, which should have been removed in May 2008.</li> </ul> <p>February 2014:</p> <ul style="list-style-type: none"> <li>Removed applicability of oleum to the sulfuric acid chronic inhalation REL because oleum represents only an acute health hazard.</li> <li>Removed "METHYL MERCURY (see Mercury &amp; Compounds)" entry because methyl mercury has different chemical properties, potency, and toxicity compared to elemental mercury and mercury salts, and it is not emitted directly from any California facilities.</li> <li>September 1, 2017, changed the "1101 Fluorides and compounds" entry back to "1101 Fluorides and compounds" to keep the consistency with the Emission Inventory Guidelines. The substance name for CAS# 1101 was changed from "Fluorides and compounds" as in 2002 to "Fluorides" in 2003 without footnotes about the change.</li> </ul>
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Table last updated: January 7, 2025

# CITY OF CLEARLAKE

City Council



<b>STAFF REPORT</b>	
<b>SUBJECT:</b> Discussion and Consideration of Ordinance 286-2026 Amending Section 6-10.111 of the Clearlake Municipal Code Regarding Tobacco Retail Licensing Fee Collection	<b>MEETING DATE:</b> March 19, 2026
<b>SUBMITTED BY:</b> Alan D. Flora, City Manager	
<b>PURPOSE OF REPORT:</b> <input type="checkbox"/> Information only <input checked="" type="checkbox"/> Discussion <input checked="" type="checkbox"/> Action Item	

**WHAT IS BEING ASKED OF THE CITY COUNCIL/BOARD:**

The City Council is being asked to amend the municipal code related to fee collection of the Tobacco Retail License program.

**BACKGROUND/DISCUSSION:**

In 2024 the City Council, along with the City of Lakeport and the County of Lake, adopted regulations requiring tobacco retailers to go through a licensing program to ensure compliance with state law and to ensure retailers are protecting the health of our youth. The ordinance was designed to designate the County to administer and enforce the program and collect the necessary fees to do so, with no cost to the City. Additionally, the ordinance allowed the City to initiate administration of the program itself, if desired in the future.

Over the past year the County has been communicating with businesses about the program and focusing on education. Upon initiating an effort this year to begin a more formal rollout of the program it was discovered that Clearlake’s ordinance actually required the City collect the fees and adopt the fee structure. The amendment included here would still allow that, but pursuant to an Memorandum of Understanding (MOU) between the parties, the County could set and collect the fees.

**OPTIONS:**

1. Introduction of First Reading of Ordinance 286-2026 Amending Section 6-10.111 of the Clearlake Municipal Code Regarding Tobacco Retail Licensing
2. Provide Direction to Staff.

**FISCAL IMPACT:**

None     \$ Budgeted Item?     Yes     No

Budget Adjustment Needed?     Yes     No    If yes, amount of appropriation increase: \$

Affected fund(s):  General Fund  Measure P Fund  Measure V Fund  Other: Pro

Section H, Item 6.

Comments:

**STRATEGIC PLAN IMPACT:**

- Goal #1: Economic Development
- Goal #2: Public Facilities and Infrastructure
- Goal #3: Celebrate Clearlake
- Goal #4: Clean
- Goal #5: Fiscal Sustainability
- Goal #6: Safe

**SUGGESTED MOTIONS:**

I move to Hold the First Reading of Ordinance 286-2026 Amending Section 6-10.111 of the Clearlake Municipal Code Regarding Tobacco Retail Licensing and Authorize the City Manager to Execute an MOU with the County for Administration

.

**Attachments:**

1. Ordinance 286-2026
2. Section 6-10.111 Fee Collection - Redline

ORDINANCE NO. 286-2026

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF CLEARLAKE AMENDING SECTION 6.10.111 OF THE CLEARLAKE MUNICIPAL CODE REGARDING TOBACCO RETAIL LICENSING FEES

**WHEREAS**, the City Council has expressed concern about tobacco use among the youth and desired to pass ordinances regulating the sale of tobacco products in 2024; and;

**WHEREAS**, the City of Clearlake desired to transfer the responsibility of administration and enforcement of the program to Lake County Environmental Health, and;

**WHEREAS**, Lake County Environmental Health has been educating retailers about the new ordinance and encouraging compliance but now desires to fully implement the program throughout Lake County, and;

**WHEREAS**, the ordinance needs a minor amendment to allow the County to approve and adopt program fees.

The City Council of the City of Clearlake, State of California does hereby ordain as follows:

**SECTION ONE. FINDINGS**

It is the intent and purpose of the City Council, in enacting this ordinance, to ensure compliance with the business standards and practices of the City of Clearlake and Lake County and to encourage responsible tobacco retailing and to discourage violations of tobacco-related laws, especially those which prohibit or discourage the sale or distribution of tobacco products to youth, but not to expand or reduce the degree to which the acts regulated by federal or state law are criminally proscribed or to alter the penalties provided therein.

**SECTION TWO.**

Section 6-10.111 is hereby amended to read as follows:

6-10.111 Fee for License

The fee to issue or to renew a tobacco retailer’s license shall be established by resolution of the City of Clearlake and shall be reviewed annually with the master fee schedule, or the County shall establish the fee by resolution if administration of the program is transferred by Memorandum of Understanding. The fee shall be calculated so as to recover the total cost of administration and enforcement of this article, including, but not limited to, issuing a license, administering the license program, retailer education, retailer inspection and compliance checks, documentation of violations, and prosecution of violators, ensure the licensee has a hazardous waste management plan for disposal of tobacco product waste but shall not exceed the cost of the administration and enforcement of this article. All fees and interest upon proceeds of fees shall be used exclusively to fund the administration and enforcement of this article. Fees are nonrefundable except as may be required by law.

**SECTION THREE. SEVERABILITY.**

If any provision of this ordinance or the application thereof to any person or circumstance is held invalid, the remainder of the ordinance and the application of such provision to other persons or circumstances shall not be affected thereby.

**SECTION FOUR. EXECUTION.**

The Mayor shall sign and the City Clerk shall attest to the passage of this Ordinance. The City Clerk shall cause the same to be published once in the official newspaper within 15 days after its adoption. This Ordinance shall become effective 30 days from its adoption.

Passed and adopted this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by the following vote:

AYES:

NOES

ABSENT:

\_\_\_\_\_  
Mayor, City of Clearlake

ATTEST:

\_\_\_\_\_  
City Clerk, City of Clearlake

**6-10.111 Fee for License.**

The fee to issue or to renew a tobacco retailer's license shall be established by resolution of the City of Clearlake and shall be reviewed annually with the master fee schedule, or the County shall establish the fee by resolution if administration of the program is transferred by Memorandum of Understanding. The fee shall be calculated so as to recover the total cost of administration and enforcement of this article, including, but not limited to, issuing a license, administering the license program, retailer education, retailer inspection and compliance checks, documentation of violations, and prosecution of violators, ensure the licensee has a hazardous waste management plan for disposal of tobacco product waste but shall not exceed the cost of the administration and enforcement of this article. All fees and interest upon proceeds of fees shall be used exclusively to fund the administration and enforcement of this article. Fees are nonrefundable except as may be required by law. (Ord. #272-2024, S1)

# CITY OF CLEARLAKE

City Council



<b>STAFF REPORT</b>	
<b>SUBJECT:</b> Discussion and Consideration of a Joint Letter Advocating for Opportunity Zones Selection	<b>MEETING DATE:</b> March 19, 2026
<b>SUBMITTED BY:</b> Alan D. Flora, City Manager	
<b>PURPOSE OF REPORT:</b> <input type="checkbox"/> Information only <input checked="" type="checkbox"/> Discussion <input checked="" type="checkbox"/> Action Item	

**WHAT IS BEING ASKED OF THE CITY COUNCIL/BOARD:**

The City Council is being asked to approve a joint letter with the County and City of Lakeport regarding updated Opportunity Zone designations.

**BACKGROUND/DISCUSSION:**

In the first Trump administration Opportunity Zones were established to help encourage investment in disadvantaged communities. Three census tracts in the City of Clearlake were included in this designation. The current administration is working to update the program (Opportunity Zones 2.0) and is allowing additional census tracts to be included. There are a total of nine eligible tracts in Lake County, with five of them at least partially in the City boundary. The Governor is allowed to recommend a certain number of census tracts for inclusion. In discussions with the County they recommended a joint letter from all jurisdictions to the Governor with a prioritized list.

A map of the eligible zones can be found [here](#).

Additional information about Opportunity Zones “2.0” can be found [here](#).

**OPTIONS:**

1. Approve the Joint Letter and Authorize the Mayor to Sign.
2. Provide Direction to Staff.

**FISCAL IMPACT:**

None     \$ Budgeted Item?     Yes     No

Budget Adjustment Needed?     Yes     No    If yes, amount of appropriation increase: \$

Affected fund(s):     General Fund     Measure P Fund     Measure V Fund     Other: Projects

Comments:

**STRATEGIC PLAN IMPACT:**

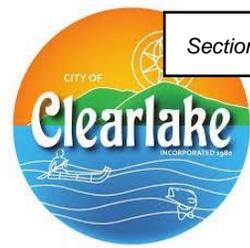
- Goal #1: Economic Development
- Goal #2: Public Facilities and Infrastructure
- Goal #3: Celebrate Clearlake
- Goal #4: Clean
- Goal #5: Fiscal Sustainability
- Goal #6: Safe

**SUGGESTED MOTIONS:**

I move to approve the Joint Letter and Authorize the Mayor to Sign.

**Attachments:**

1. Draft Joint Letter Regarding Opportunity Zones
2. County Presentation regarding Opportunity Zones



March \_\_, 2026

The Honorable Gavin Newsom  
Governor, State of California  
1325 J Street, Suite 1800  
Sacramento, California 95814

Dear Governor Newsom:

On behalf of the County of Lake, the City of Lakeport, and the City of Clearlake, we respectfully urge your Administration to nominate at least six eligible Lake County census tracts as Opportunity Zones. Lake County faces some of the most severe economic distress in California, and the Opportunity Zones designation represents one of the most powerful tools available to attract private investment in our community.

Given our distress, a six-tract designation is well within the bounds of an equitable, need-based allocation. Lake County’s unemployment rate of 7.7% is 40% higher than the statewide rate, our poverty rate of 19.7% is 67% higher than the statewide rate, and our median family income of \$51.434 is 49% lower than the statewide rate.

The nine eligible census tracts are as follows, presented in priority order:

**Tier 1 (High Priority):** Census Tracts 000402, 000501, 000702, 000703, 000801, and 000802

**Tier 2 (Additional Priority):** Census Tracts 000601, 000704, and 001000

The tiered groupings reflect community-based analysis of the likelihood of large-scale development occurring within these census tracts in the short to medium term, based on existing development interest and infrastructure. Our preference is for all nine tracts to be designated; tiering is provided as guidance should partial designation be necessary.

We welcome the opportunity to discuss further and provide any additional information your Administration may require.

Respectfully submitted,

\_\_\_\_\_  
**Brad Rasmussen, Chair**  
Lake County Board of Supervisors

\_\_\_\_\_  
**Brandon Disney, Mayor**  
City of Lakeport

\_\_\_\_\_  
**Dirk Slouten, Mayor**  
City of Clearlake

# Opportunity Zones

Utilizing the Federal Tax Code to Catalyze Investment  
March 10, 2026, Update

# Opportunity Zones Program Overview

- Governors Can Send List of Preferred Opportunity Zones to US Department of the Treasury starting July 1, 2026
- Governors List of Preferred Opportunity Zones are Due to Treasury September 29, 2026
- New Designations Take Effect January 1, 2027
- Program Made Permanent. New Designations Every Ten Years

# Major Features of Opportunity Zones 2.0

- Tax Free Appreciations

  - Permanent Exclusion (Federal Capital Gains)

  - If Qualified Investments are Held for at Least 10 Years

    - No Depreciation Recapture

- Partial Exemption

  - New Rural Investments 30% Basis Step-Up After 5 Years

- Capital Gains Tax Deferral

  - New rolling five-year deferral period from date of investment

# Prioritizing Opportunity Zones Advocacy

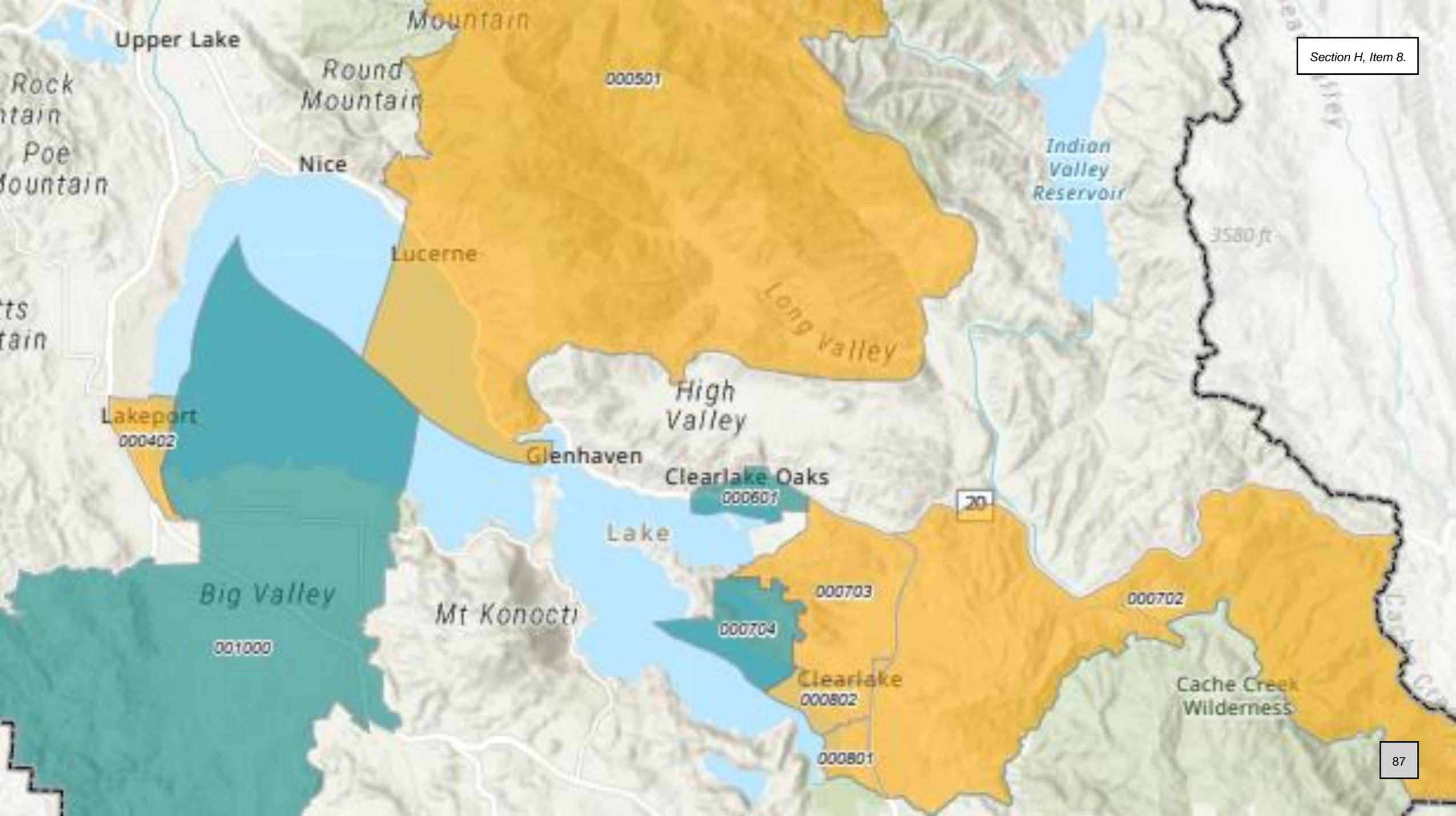
- Six Eligible Census Tracts Listed as High Priority in Joint Letter

402, 501, 702, 703, 801, 802

- Preference Given to Census Tracts Where it is Estimated There is a Higher Chance of Large-Scale Development That Could Attract OZ Fund Capital

- Three Other Eligible Lake County Census Tracts Listed as Additional Priority in Letter

601, 704, 1000



Section H, Item 8.

# Next Steps

Joint Letter from County, City of Lakeport, and City of Clearlake to Governor's Office Advocating Eligible Census Tract Selection for Opportunity Zones 2.0 Selection

City of Lakeport and City of Clearlake Still Need to Approve Joint Letter

# Opportunity Zones

Utilizing the Federal Tax Code to Catalyze Investment  
March 10, 2026, Update



**CITY OF CLEARLAKE**

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**CITY COUNCIL NORMS AND PROCEDURES**

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## CITY OF CLEARLAKE

### CITY COUNCIL NORMS AND PROCEDURES

#### SECTION 1. GENERAL

**1.1 Purpose.** The purpose of these Norms and Procedures is to promote communication, understanding, fairness, and trust among the members of the City Council and staff concerning their roles, responsibilities, and expectations for management of the business of the City of Clearlake.

**1.2 Values.** Respect for each Council Member's interpersonal style will be a standard of operation. Courtesy and respect for individual points of view will be practiced at all times. All Council Members shall respect each other's right to disagree. All Council Members shall practice a high degree of decorum and courtesy. When addressing the public in any way, all Council Members shall make certain their opinions are expressed solely as their own, and do not in any way necessarily reflect the opinions of any other Council Member or the City.

**1.3 Overview of Council responsibilities.** The City of Clearlake is a General Law city of the State of California operating under the Council-Manager ~~Plan~~ form of government and the City Manager's duties shall define how the City Council and City Manager interact and perform their respective duties and responsibilities. The City Council has the following duties and responsibilities:

**Appointment of Officers :** The City Council is responsible for appointing two positions within the City organization--the City Manager and the City Attorney. The City Manager and the City Attorney serve at the pleasure of the Council. The City Manager has authority over all City personnel matters, including hiring, supervision, discipline, and termination, subject to applicable law and City Policy. The City Manager is responsible for all personnel within the City organization.

~~— **City Manager:** The City Manager is an employee of the City whose terms of employment — including an annual performance evaluation by the City Council — are governed by an employment agreement. The City Manager serves as the administrative head of City government under the direction of the City Council, except as otherwise provided in the Clearlake Municipal Code, and is responsible for the efficient administration of all City affairs. In addition to these general administrative powers, the City Manager shall have the duties and authority set forth in the Clearlake Municipal Code. is an employee of the City and has an employment agreement which specifies terms of employment, including an annual evaluation by the City Council. The City Manager shall be the administrative head of the City government under the direction of the City Council, except as otherwise provided in the Clearlake Municipal Code. They shall be responsible for the efficient administration of all the affairs of the City which are under the City Manager's control. In addition to their general powers as~~

~~administrative head, and not as a limitation thereon, it shall be the City Manager's duty and the City Manager shall have the powers listed in the Clearlake Municipal Code.~~

1.

2. **City Attorney:** City Attorney services will be provided by contract. The City attorney attends City Council meetings as requested, as well as other meetings at the request of the City Council or City Manager, as deemed necessary.

~~(a) the City Manager and City Attorney. The City Council shall appoint the City Manager and the City Attorney. There should be an annual review for the City Manager and the City Attorney.~~

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~~(b)(a)~~ **Establishment of boards and appointment of members.** The Council may ~~appoint~~ establish Boards, Commissions, and Committees, and by majority vote make appointments of members of all Boards, Commissions, and Committees.

~~(e)(b)~~ **Legislative decisions.** The Council is the legislative body; its members are the community's decision makers. Power is centralized in the elected City Council collectively and not in individual members of the Council. The City Council approves the budget and determines the public services. It focuses on the community's goals, major projects and such long term considerations as community growth, financing and strategic planning. The City Council hires a professional City Manager to carry out administrative responsibilities and supervises the City Manager's performance.

**1.4 Overview of City Manager responsibilities.** The City Manager is hired to serve the City Council and the community and to bring the benefits of education, training and experience in administering the City's projects, programs, and public services on behalf of the City Council. The City Manager has the following among his or her duties:

(a) Preparation of a Recommended Budget.

(b) Recruitment, Hiring, and Supervision of Personnel, Contractors, and Consultants.

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(c) Implementation of the Council's policies and programs and public services in an effective and efficient manner, providing professional advice on policy matters, intergovernmental affairs, economic development and environmental issues.

The City Manager follows the direction of the entire City Council and not individual members of the Council or the public, and serves at the sole discretion of the Council.

1.5 **Review.** The City Council shall conduct a review of this document biennially, or whenever a new Council Member has been seated or Council deems necessary, to assist Council Members in being more productive in management of the business of the City. A new Council will consider the document within three months of its first regular meeting.

1.6 **Ralph M. Brown Act.** All conduct of the City Council, Commissions, Committees and Subcommittees shall be in full compliance with the Ralph M. Brown Act.

**SECTION 2. MAYOR AND VICE MAYOR SELECTION PROCESS**

2.1 **Reorganization.** In December of each year, the City Council shall select and appoint a Mayor and Vice Mayor by majority vote of the Council from among its members. Selection and appointment shall be at the first meeting of a new term following each General Municipal Election or at the first meeting in December during non-election years. The term of the Office of the Mayor and Vice Mayor shall be for a 12-month period commencing on January 1<sup>st</sup> of each year, unless otherwise provided for by majority vote of the Council. The Mayor remains as one member of the City Council and has no rights or authority different from any other member of the Council.

2.2 **Appointment of Vacancy.** In the event of a vacancy of office or the death or resignation of any Council Member, the Council shall appoint a new Council Member within sixty (60) days after a vacancy or death or resignation becomes effective in compliance with the California Elections Code, unless the Council, by resolution, decides to instead call a special election. In the event of appointment, the Council shall determine the process for appointment prior to the application process and in accordance with State law.

**SECTION 3. ADMINISTRATIVE MATTERS**

3.1 **Attendance.** City Council Members acknowledge that attendance at lawful meetings of the City Council is part of their official duty. Council Members shall make a good faith effort to attend all such meetings unless unable. Council Members will notify the City Manager or the City Clerk, and, if possible, the Mayor as a courtesy, if they will be absent from a meeting. Failure to attend regular City Council meetings for sixty (60) consecutive days from the last regular meeting can result in your seat becoming vacant and filled accordingly. (Gov't Code § 36513.)

3.2 **Correspondence.** With some exceptions, proposed correspondence (including electronic)

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| from individual Council Members/Mayor on City stationery shall be reviewed by the Council in

draft form prior to release. On occasion, there are urgent requests from the League of California Cities for correspondence concerning legislation directly affecting municipalities. Assuming there is agreement between the Mayor and City Manager that the League's position corresponds with that of the Council, the Mayor may send a letter without first obtaining Council review.

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Council members are frequently called upon to communicate with residents, businesses, and other public agencies. As a general rule, the Mayor is responsible for transmitting the City's official position on policy matters to outside agencies on behalf of the City Council. Individual Council members may also correspond with constituents in response to inquiries or to provide requested information. City letterhead and staff assistance are available for these purposes.

When a Council member wishes to correspond on a matter on which the Council has not taken a position, or on which the member's view differs from Council policy, the member should clearly state that the communication reflects their individual view and not the position of the City Council. City letterhead and staff support may still be used in these circumstances, provided the personal nature of the communication is clearly indicated.

Council members should not represent to any person or agency that they speak for the City Council unless the Council has, in fact, authorized that position.

Council members who are asked to write letters of recommendation for community members seeking employment or appointment should be aware that use of City letterhead or their Council title for such letters requires approval of a Council majority.

City letterhead will be made available for routine, discretionary correspondence (e.g., thank you notes, etc.), or such correspondence will be prepared by staff for signature, without prior consent of the Council. E-mails from Council Members should be respectful and professional. Members of the City Council will often be called upon to write letters to residents, businesses, or other public agencies. Typically, the Mayor will be charged with transmitting the City's position on policy matters to outside agencies on behalf of the City Council. Individual members of the Council will often prepare letters for constituents in response to inquiries, or to provide requested information. City letterhead is available for this purpose and staff can assist in the preparation of such correspondence.

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City Council members should never speak on behalf of the City or the Council.

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On occasion, members may wish to correspond on an issue on which the Council has yet to take a position or about an issue for which the Council has no position. In these circumstances, members should clearly indicate that they are not speaking for the City Council as a whole, but for themselves as one member of the Council. City letterhead and office support may be utilized in these circumstances.

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Council members may occasionally be asked to prepare letters of recommendation for community

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~~members seeking employment or appointment. It is inappropriate for Councilmembers to make a recommendation or utilize City letterhead or their Council titles for such letters without approval of the majority of Council.~~

**3.33.2 Regional Boards.** The role of the Council on regional boards will vary depending on the nature of the appointment. Representing the interests of Clearlake is appropriate on some boards; this is generally the case when other local governments have their own representation. The positions taken by the appointed representatives are to be in alignment with the positions that the Council has taken on issues that directly impact the City of Clearlake. If an issue should arise that is specific to Clearlake and the Council has not taken a position, the issue should be discussed by the Council prior to taking a formal position at a regional board meeting, to assure that it is in alignment with a majority of the Council's position.

Council representatives to such various boards shall keep the Council informed of ongoing business through brief oral or written reports to the Council during properly posted Council meetings.

~~Council members participating in policy discussions at regional meetings should be guided by the Council's established positions and prior decisions. When representing the City before an outside body, a Council member should make clear they are appearing in that representative capacity.~~

~~Council members appointed to serve on outside boards or agencies occupy a different role. Such boards often require members to exercise independent judgment based on information and circumstances that the full Council has not had occasion to consider. In these situations, it is understood that the appointee will make decisions based on the facts and conditions present at the time, which may not always align with a prior Council position.~~

~~In all outside appearances, whether as a City representative or in a personal capacity, Council members should clearly identify the basis on which they are speaking. Personal views should be expressed as such and not represented as the official position of the City or the City Council.~~

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~~Councilmembers participating in policy discussions at regional meetings should consider past positions or decisions of the Council. Often outside board decisions require regional opinions to be considered and an appointee is apprised of new information that the Council would not have considered, therefore it is understood that the board appointee will exercise independent decision-making based on the facts and situation at the time of the decision. Councilmembers may speak before other entities outside the City, but should identify whether they are appearing as a representative of the City. Personal positions, when given, will be identified and not represented as the position of the City.~~

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Council Members shall make a good faith effort to attend all regional meetings that require a quorum of the appointed members to convene a meeting. Attendance should not be less than

75% of all scheduled meetings. If a Council Member is unable to attend, he/she should notify his/her alternate as far in advance of the meeting as possible so as to allow the alternate to attend.

Assignment and direction of staff in relation to regional meetings are at the discretion of the City Manager.

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**3.43.3 Distribution of Information.** It is essential that every member of the City Council have the same information from which to form decisions and actions. Any information distributed to one Council Member shall also be distributed to all Council Members.

**3.53.4 Reimbursement.** Every effort shall be made to limit the need to reimburse Council Members for expenses. City Council Members may be reimbursed for personal expenses for travel to and lodging at conferences or meetings related to their role as a Council Member. The reimbursement of expenses is limited in the following manner: Members shall be reimbursed at rates established by the Internal Revenue Service unless discounted or group rates are offered by the conference or activity sponsor. Any additional expenses that fall outside the scope of this policy may be reimbursed only if approved by the City Council, at a public meeting, before the expenses are incurred. Any request for reimbursement of expenses shall be accompanied by an expense form and receipts to document the expenditure. These documents are public records subject to disclosure under the California Public Records Act.

Brief reports must be given on any outside meeting attended at the expense of the City at the next regular Council meeting. Reimbursement is conditioned on the submission of this report to the legislative body.

**3.5 Ethics, Sexual Harassment Prevention, and Anti-Bullying Training.** The City is committed to conducting its business according to the highest ethical standards. Because public officials must often make difficult choices among competing public, personal, and private interests, the City expects all officials to approach such dilemmas with care and to treat the public interest as the paramount consideration.

~~The City maintains a commitment to conducting business according to the highest achievable ethical standards. Recognizing that ethical dilemmas may arise and that public officials must make difficult choices after careful consideration of competing public, personal and/or private interests at stake.~~

The City Manager is subject to a professional code of ethics as a member of the International City/ County Management Association (ICMA). These principles appear in the appendix of this manual, as Appendix G. It should be noted that this code binds the City Manager to certain practices that are designed to ensure actions are in support of the City’s best interests. Violations of such principles can result in censure by the ICMA.

**3.6** Any member of the City Council and commissions, or advisory committees formed by the City Council, shall receive at least two hours of ethics training in general ethics principles and ethics laws (as mandated by AB 1234), two hours of sexual harassment prevention training (as mandated by AB 1825), two hours of fiscal and financial training (as mandated by SB 827), and two hours of abusive conduct prevention training (as mandated by AB 2053) all relevant to his/her public service every two years. New members must receive this training within their first year of service and file a certificate of completion with the City Clerk. Members shall attend training sessions that are offered locally in the immediate vicinity of Lake County or by completing online a state-approved public service ethics education program.

An individual who serves on multiple legislative bodies need only receive two hours of each of these trainings every two years to satisfy this requirement for all applicable public service positions. The City will use training courses that have been reviewed and approved by the Fair Political Practices Commission and the California Secretary of State.

The City Clerk is required to keep training records for five years to document and prove that these continuing education requirements have been satisfied. These documents are public records subject to disclosure under the California Public Records Act.

**3.73.6 City Mission and City Seal.** The Mission of the City of Clearlake is a strategic document that reflects the values of our residents. The City Seal is an important symbol of the City of Clearlake. No change to the City Mission and/or City Seal shall be made without Council approval. Individual council members shall be careful in use of the City Seal so as not to create an appearance that the council member is acting on behalf of or with official endorsement of the City of Clearlake.

**3.83.7 Use of Email and Social Media Accounts.** Except for emergencies, public officials who are not City employees (“public officials”) conducting City business should not create any “public

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record” [as that term is defined in California Government Code § 7920.530 (a))] by using any email account that is not a City email account, or by using any non-City-controlled social media account. Instead, public officials should use a City email or City-controlled social media account.

In an emergency, a public official may send an email on a non-City email account, but only if a copy of any public record that is created as a result is contemporaneously copied to the City email account of that same public official, or a hard copy is provided to the City for retention in City records.

Practically speaking, this means that public officials should rarely, if ever, use a personal email account to conduct City business, and should never use personal social media accounts to conduct City business. Nothing in this policy is intended to limit a public official’s use of private email and social media accounts for non-City business such as personal communications and campaign related activities. Nor is this policy intended to require public officials to provide privileged communications or documents to the City, or to waive any applicable privileges which may apply to documents purely because they have been turned over to the City in compliance with his policy.

For purposes of this policy “City-controlled social media account” is an account on a social media platform (e.g. Facebook, Instagram, Twitter) that is created and used by the City (e.g. the City’s official Facebook page, if any).

In addition to the requirements set out here, Council Members are required to comply with the City’s policy on City-controlled social media accounts.

**3.93.8 Use of City Electronic Devices.** In general, when creating or modifying public records in the conduct of City business on an electronic device that can create and modify public records (e.g. computers, mobile phones, tablets), public officials should only use City-issued devices. There are two exceptions:

Exception: Using City Accounts. Public officials may use non-City electronic devices when accessing an official City account (e.g. City email address, City-controlled social media account).

Exception: Contemporaneous Copying. If, in a given situation, using a City electronic device is clearly impractical or if a public official has not been issued or does not have in the public official’s possession a City electronic device, a public official may use a non-City device, but only if a copy of each affected public record is contemporaneously copied to a City account of that same public official, or to the related City-controlled social media account, or a hard copy is provided to the City for retention in City records.

Texting Only on City Devices. Except for emergencies or when communicating with the City Attorney’s Office, public officials conducting City business shall not send or receive texts on any device other than a City owned device. In an emergency, a public official may use a non-City device to text, but only if a copy of any public record that is created as a result is contemporaneously copied to a City account of that same public official, or a hard copy is provided to the City for retention in City records. Practically speaking, this means that public officials should rarely, if ever, use a non-City owned device to text in the conduct of City business.

Provide Copies to City. If a public official has possession of a public record that is not in the possession of the City, the public official shall promptly provide a copy of the record to the City, and take reasonable precautions to prevent this from occurring again. For example, if a public official receives an email regarding City business on a non-City email account, and the email was not sent to or from a City email account (i.e. the City doesn’t already have a copy), the public official shall promptly forward a copy of the email to the public official’s City email account, or provide a hard copy to the City for retention in City records, and should request that the sender send future correspondence to a City controlled email account.

In addition to the requirements set out here, Council Members are required to comply with the City’s policy on City computer and electronic mail usage.

**SECTION 4. CONFLICTS AND LIABILITY**

**4.1 CONFLICTS**

There are numerous sources of conflicts of interest that may require a Councilmember to  
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disqualify himself or herself from participating in decision-making. The Political Reform Act (PRA) controls financial conflicts of interest of public officials. The Political Reform Act is one of the most complicated laws affecting local government. This law is implemented and enforced by the Fair Political Practices Commission (FPPC) which has issued comprehensive implementing regulations. To understand the PRA’s impact on a Councilmember’s actions, it is suggested that members discuss the law and potential conflicts with the City Attorney or a private attorney.

In general, under the PRA, public officials are prohibited from making, participating in or in any way attempting to use their official position to influence a governmental decision in which they know or have reason to know they have a financial interest (California Government Code § 87100). A “public official” is defined as including every member, officer, employee or consultant of the state or local government agency (California Government Code § 82048).

#### 4.2 APPLICABILITY

Under the PRA, an official has a financial interest if it is reasonably foreseeable that a decision will have a material financial effect, distinguishable from its effect on the public generally, on the official, a member of his or her immediate family, or on any of the following:

1. Any business entity in which the public official has a direct or indirect investment worth \$2,000 or more (California Government Code § 87103(a); 2 California Code of Regulations § 18700(c)(6)(A)).
  2. Any real property in which the public official has a direct or indirect interest worth \$2,000 or more (California Government Code § 87103(b); 2 California Code of Regulations § 18700(c)(6)(B)).
  3. Any source of income other than gifts or certain loans aggregating \$500 or more provided to the public official within 12 months prior to the time of the decision (California Government Code § 87103(c); 2 California Code of Regulations § 18700(c)(6)(C)).
  4. Any business entity in which the public official is a director, officer, partner, trustee, employee, or holds any position of management (California Government Code § 87103(d); 2 California Code of Regulations § 18700(c)(6)(D)).
  5. Any gift or gifts from a single source totaling \$630 or more (adjusted biennially by the FPPC in odd-numbered years based on changes in the Consumer Price Index) provided to, received by, or promised to the public official within 12 months prior to the time of the decision (California Government Code § 87103(e); 2 California Code of Regulations §§ 18700(c)(6)(E), 18702.4, 18940.2)
- Any gift totaling \$470 (adjusted biennially by the FPPC) or more provided to, received by or promised within 12 months prior to the decision (2 California Code of Regulations §§ 18703.4, 18704-18961).

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### 4.3 ANALYSIS

In general, the FPPC suggests that a four-part analysis be followed in applying the conflict-of-interest rules:

4.3.1.1 Step One: Is it reasonably foreseeable that the governmental decision will have a financial effect on any of the financial interests listed above [2 California Code of Regulations § 18700(d)(1)]? If the financial interest is a named party to or the subject of the governmental decision, the financial effect on the public official's interest is reasonably foreseeable. If not a named party or subject of the decision, the financial effect on the interest will be reasonably foreseeable if there is a realistic probability of a financial effect.

4.3.1.2 Step Two: Will the reasonably foreseeable financial effect be material [2 California Code of Regulations § 18700(d)(2)]? Materiality is determined in reference to the specific financial interest involved.

4.3.1.3 Step Three: Can the public official demonstrate that the material financial effect on the public official's financial interest is indistinguishable from the effect on the public generally [2 California Code of Regulations § 18700(d)(3)]? This requires establishing that at least 25% of certain population segments are all affected, and that the effect on the governmental official's interest is not unique compared to the segment.

4.3.1.4 Step Four: If after applying the three step analysis and determining that the public official has a conflict of interest, they may not make, participate in making, or in any way attempt to use his or her official position to influence a governmental decision.

### 4.3.2 CONFLICT OF INTEREST CODE

The City is required to adopt and maintain a Conflict of Interest Code. This code is found in the Clearlake Municipal Code. Under state law, the code must be reviewed every two years and amended as circumstances change. The City's code must be consistent with minimum requirements of the Political Reform Act (California Government Code §§ 87300-87313).

### 4.3.3 DISQUALIFICATION AND DISCLOSURE

When the agenda item is called, a City Councilmember who has a conflict of interest is required to publicly state that a conflict of interest exists, describe the nature of the economic interest giving rise to the conflict, disqualify himself or herself, and leave the room. Ideally, Councilmembers will become familiar enough with the sources of conflicts to determine in advance whether disqualification is necessary. However, if a Councilmember becomes aware of a potential conflict only during the meeting, it is perfectly appropriate for the Councilmember to ask for a break in order to discuss the matter with the City Attorney. If any Councilmember questions a potential conflict of interest related to another Councilmember, a recess may be

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called at the request of the Councilmember who may have a conflict to allow discussion of the issue with the City Attorney to determine if there is a conflict. If the Councilmember decides a conflict exists, that Councilmember may not participate in any aspect of the decision making, including discussing the matter with City staff. When a conflict of interest arises involving a matter on the consent calendar portion of the agenda, the Councilmember is not required to leave the room, unless that item is pulled from the consent agenda for separate discussion.

4.3.4 LEGALLY REQUIRED PARTICIPATION

In the event that a decision cannot be made because a majority of the Council is disqualified due to conflicts of interest, the PRA allows the minimum number of Councilmembers necessary to constitute a quorum to return and participate in the decision to the minimum extent required. The Councilmembers permitted to participate must be chosen through a random process (California Government Code § 87101).

4.3.5 ADVICE ON CONFLICT OF INTEREST

The City Attorney may provide advice to a Councilmember about the existence of a conflict of interest. However, advice given by the City Attorney does not protect the Councilmember from an enforcement action by the FPPC. A Councilmember may seek a formal written opinion from the Fair Political Practices Commission on a particular set of facts. Such an opinion letter would provide protection from an enforcement action arising under the same set of facts.

4.3.6 OTHER SOURCES OF CONFLICT

In addition to the Political Reform Act, state law prohibits Councilmembers from entering into contracts with the City. (California Government Code § 1090) In general, this type of conflict is not subject to remedy through the disqualification of the interested Councilmember but must instead be entirely avoided by the Council. There are, however, numerous exceptions to this provision that would allow the Council to enter certain contracts after disqualification of the interested Councilmember, and those should be reviewed with the City Attorney on a case-by-case basis (California Government Code § 1090).

4.3.7 INCOMPATIBILITY OF OFFICES

In general, California law prohibits public officials from simultaneously holding more than one public office when the functions or responsibilities of the two offices have the potential for overlapping. This prohibition arises from a concern over the potential clash of two public offices held by a single official with potentially overlapping public duties. The Legislature may, however, expressly authorize through legislation the holding of two offices notwithstanding the fact that the dual holding would otherwise be prohibited. For example, the Legislature has exempted local agency formation commissions, the Coastal Commission, joint powers agencies,

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and transportation corridor agencies.

4.3.8 REVOLVING DOOR POLICIES

For a period of one year after leaving office, state law prohibits Councilmembers and chief administrators from acting as an agent or attorney for any other person by appearing formally or informally, orally or in writing, before the Council or any of its commissions, or committees if the appearance or communication is made for the purpose of influencing administrative or legislative action, or influencing any action or proceeding involving the issuance of, amendment to, award of, or revocation of a permit, license, grant, or contract, or the sale or purchase of goods or property (California Government Code § 87406.3).

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SECTION 54. COUNCIL RELATIONSHIP WITH STAFF

5.1—Overview

City Council policy is implemented through dedicated and professional staff. Therefore, it is critical that the relationship between Council and staff be well understood by all parties so policies and programs may be implemented successfully. To support effective relationships, it is important that roles are clearly recognized.

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5.2—Council-Manager Form of Government

Clearlake has a Council-Manager form of government. Basically, with this structure, the City Council's role is to establish City policies and priorities. The Council appoints a City Manager to implement those policies and undertake the administration of the organization.

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The City Manager is appointed by the City Council to enforce its laws, to direct the daily operations of City government, to prepare and monitor the principal budget, and to implement the policies and programs initiated by the City Council. The City Manager is responsible to the City Council, rather than to individual Councilmembers, and directs and coordinates the various departments. The City Manager is responsible for appointing all department directors and authorizing filling all other personnel positions. The City Council authorizes positions through the budget process; based upon that authorization, the City Manager makes the appointments.

In order to uphold the integrity of the Council-Manager form of government and to provide proper checks and balances, members of the City Council shall refrain from becoming directly involved in the administrative affairs of the City unless directed by a majority of the Council to participate in a policy or project. As the Council is the policy-making body and the maker of local laws, its involvement in enforcement of ordinances would only damages the credibility of the system.

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The Council-Manager form of government is outlined in the California Government Code. The powers and duties of the City Manager include:

- Generally, supervise over the administrative affairs of the City
- Appoint and remove at any time any department directors and employees
- Attend all meetings of the Council at which the Manager's attendance may be required by that body
- See that all laws and ordinances are faithfully executed, subject to the authority which the Council may grant the Mayor to maintain law and order in times of emergency
- Recommend for adoption by the Council such measures as the Manager may deem necessary or expedient
- Prepare and submit to the Council such reports as may be required by that body, or as deemed advisable to be submitted
- Keep the Council fully advised of the financial condition of the City and its future needs
- Prepare and submit to the Council a proposed budget for the fiscal year, and be responsible for its administration upon adoption
- Perform such other duties as the Council may determine by ordinance or resolution
- Implement and administer City Council policy

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**5.3—Non-Interference by City Council**

The City Council is to work through the City Manager when dealing with administrative services of the City.

In no manner, either directly or indirectly, shall a Councilmember become involved in, or attempt to influence, personnel matters that are under the direction of the City Manager. No member of the Council shall, by suggestion or otherwise, attempt to influence or coerce the City Manager concerning appointments to City offices or employment. The City Council shall not be involved in, or attempt to influence, any procurement or purchasing decision except as expressly provided by law, the Clearlake Municipal Code, or City policy. ~~Nor shall the City Council be involved in, or influence, the purchase of any supplies beyond the requirements of the City's procurement code/procedures.~~

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Except for the purpose of inquiry, the Council and its members will deal with the administrative service solely through the City Manager or designee, and neither the Council nor any commission, committee or member of a board shall give an order to, try to influence, coerce or direct, either formally or informally, any subordinate of the City Manager.

The City Council delegates to the City Manager the responsibility to discuss with any Councilmember, on behalf of the full Council, any perceived or inappropriate interference or encroachment of administrative services. The City Manager will discuss with the Councilmember the action and suggest a more appropriate process or procedure to follow. If inappropriate action continues after this discussion, the City Manager will report the concern to the full Council. The Council, on a case-by-case basis, will establish what, if any, corrections and/or sanctions are appropriate.

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**5.4—City Council/City Manager Relationship**

The employment relationship between the City Council and City Manager honors the fact that the City Manager is the chief executive of the City. All dealings with the City Manager, whether in public or private, should respect the authority of the City Manager in administrative matters. Disagreements should be expressed in policy terms, rather than in terms that question satisfaction with or support of the City Manager.

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The City Manager respects and is sensitive to the policy responsibilities of the City Council and acknowledges that the final responsibility for establishing the policy direction of the City is held by the City Council.

**A. Performance Evaluation**

The City Council is to evaluate the City Manager on an annual basis to ensure that both the City Council and City Manager are in agreement about performance and goals based upon mutual trust and common objectives. The City Manager's performance is evaluated in the following areas: Leadership, teamwork, job knowledge, attitude, accountability, empowerment, communication, problem-solving skills, quality of service, safety/risk-taking, implementation and administration of adopted Council policy.

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**5.65—City Council/City Attorney Relationship**

Pursuant to the recommendation of the City Manager, the City Council shall make provision for obtaining legal counsel for the City, either by appointment of a City Attorney on a full-time or part-time basis, or by any reasonable contractual arrangement for such professional services. The City Attorney is a contract employee appointed by the City Council. The City Attorney is the legal advisor for the Council, its committees, commissions and boards, the City Manager, and all City officers and employees with respect to any legal question involving an official duty or any legal matter pertaining to the affairs of the City. The general legal responsibilities of the City Attorney are to:

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- 1. Provide legal assistance necessary for formulation and implementation of legislative policies and projects;

2. Represent the City's interest, as determined by the City Council, in litigation, administrative hearings, negotiations, and similar proceedings;

3. Prepare or approve as to form ordinances, resolutions, contracts, and other legal documents to best reflect and implement the purposes and intentions of the City Council; and

4. Keep City Council and staff apprised of court rulings and legislation affecting the legal interests of the City.

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It is important to note that the City Attorney does not represent individual members of the Council, but rather the City Council as a whole. Accordingly, with the exception of conflict-of-interest inquiries, in questions involving pending or upcoming matters, or protocol and procedure, the City Attorney's services are engaged and directed through the majority of the Council. Individual Councilmembers may seek advice or assistance from the City Attorney on matters within their official duties, with due regard for the demands on the City Attorney's time and resources. Individual Councilmembers may seek advice or assistance from the City Attorney on other matters while exercising their best judgment on the most efficient and appropriate use of his/her resources. The City Attorney's performance is reviewed in accordance with the terms of the professional services agreement. The City Attorney's performance is reviewed as provided by the services retention contract.

5.76— Roles and Information Flow

A. Council Roles

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The full City Council retains the authority to accept, reject, or amend the staff recommendations on policy matters.

Members of the City Council must avoid intrusion into those areas that are the responsibility of staff.

Individual Councilmembers may not intervene in staff decision-making, the development of staff recommendations, scheduling of work, or and executing department priorities without the prior knowledge and approval of the City Council as a whole. This is necessary to protect staff from undue influence and pressure from individual Councilmembers, and to allow staff to execute priorities given by management and the Council as a whole without fear of reprisal. A Councilmember who wishes to influence staff actions, decisions, recommendations, workloads, work schedules, or priorities shall bring the matter before the full City Council for consideration and direction as a matter of Council policy. If a Councilmember wishes to influence the actions, decisions, recommendations, workloads, work schedule, or priorities of staff, that member must prevail upon the Council to do so as a matter of Council policy.

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B. Access to Information

The City Manager is the information liaison between the Council and City staff. Requests from Councilmembers for information are to be directed to the City Manager and will be responded to promptly. The information requested will be copied to all members of the Council so that each member may be equally informed. The sharing of information with the City Council is one of the City Manager's highest priorities.

The use of City staff, including the City Manager, to respond to an individual Council Member's request for any purpose that exceeds more than one hour of total staff time must be approved by the majority vote of the full Council. The individual City Council Member may make his/her request orally or in writing to the City Manager or City Clerk. The City Manager shall provide an estimate of the cost and how the request affects the Council's Goals and Objectives. This request will then be considered by the City Council at the next possible City Council meeting. Irrespective of the amount of staff time required to respond to each Council Member's request, individual Council Member's requests should be limited to three to five requests per week.

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There are limited restrictions controlling when information cannot be provided. The City is legally bound not to release certain confidential personnel information. Likewise, certain aspects of police department affairs (e.g., access to restricted or confidential information related to crimes) may not be available to members of the City Council.

C. Staff Roles

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The Council recognizes the primary functions of staff as executing Council policy and actions taken by the Council, and keeping the Council informed. Staff is obligated to take guidance and direction only from the City Manager or Department Head. This direction follows the policy guidance of the City Council as a whole. Staff is directed to reject any attempts by individual Councilmembers to unduly direct or otherwise pressure them into making, changing, or otherwise influencing recommendations.

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City staff will make every effort to respond in a timely and professional manner to all requests for information or assistance made by individual Councilmembers provided that, in the judgment of the City Manager, the request is not of a magnitude, either in terms of workload or policy, that it would be more appropriately assigned to staff through the direction of the full City Council.

Neither the council nor any of its committees or members shall direct, request or attempt to influence, either directly or indirectly, the appointment of any person to office or employment by the city manager or in any manner interfere with the city manager or prevent the city manager from exercising individual judgment in the appointment of officers and employees in the administrative service. Except for the purpose of inquiry, the council and its members shall

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deal with the administrative service solely through the city manager, and neither the council nor any member thereof shall give orders to any of the subordinates of the city manager, either publicly or privately.

**5.87—Inappropriate Actions**

The Council has delegated the City Manager the responsibility to discuss, on behalf of the full Council, any perceived or inappropriate action by a Councilmember. The City Manager will discuss with the Councilmember the action and suggest a more appropriate process or procedure to follow. After this discussion, if further inappropriate action continues, the City Manager will report the concern to the Mayor or to the full Council as needed.

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**5.98—Dissemination of Information**

The City Manager shall keep the City Council regularly and fully informed about all aspects of City operations, excluding confidential personnel matters. To that end, the City Manager shall provide all Councilmembers with copies of correspondence received by the City Manager that is relevant to their policy-making role, along with status reports, executive summaries, and agendas for all City commission and committee meetings. In addition to regular, comprehensive memoranda written by the City Manager directly to the City Council concerning all aspects of City operations (exclusive of confidential personnel issues), all Councilmembers receive copies of all correspondence received by the City Manager that will assist them in their policy making role. The City Manager also provides other documents to the Council on a regular basis, such as status reports, executive summaries, and agendas of all City commission and committee meetings and weekly senior staff meetings.

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A variety of methods shall be used to share information with the Council. Workshops and study sessions shall be held to provide detailed presentations of matters requiring Council attention. The City Manager's open-door policy allows individual Councilmembers to meet with the Manager on an impromptu or one-on-one basis. A variety of methods are used to share information with the Council. Workshops and study sessions are held to provide detailed presentations of matters. The City Manager's open-door policy allows individual Councilmembers to meet with the Manager on an impromptu or one-on-one basis.

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**5.109—Magnitude of Information Request**

Any information, service-related needs, or policy positions perceived as necessary by individual Councilmembers that cannot be fulfilled based upon the above guidelines should be considered as an item for the agenda of a City Council meeting. If so directed by an action of the Council,

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staff will proceed to complete the work within a Council-established timeline.

**5.1110—Staff Relationship to Advisory Bodies**

Staff support and assistance may be provided to the City’s boards, commissions, committees and task forces. These bodies, however, do not have supervisory authority over City employees. While staff may work closely with advisory bodies, staff members remain responsible to their immediate supervisors and, ultimately, the City Manager. The members of each advisory body are responsible for the functions of that body. The members of the commissions, boards, or committees are responsible for the functions of the advisory body. The chairperson is responsible for the advisory body’s compliance with the Municipal Code and applicable bylaws. The chairperson is responsible for committee compliance with the municipal code and/or committee bylaws. Staff members are to assist the advisory body chair to ensure appropriate compliance with state and local laws and regulations.

Staff support includes: (1) preparation of a summary agenda and appropriate notice after approval by the chairperson; (2) preparation of reports providing a brief background of the issues, a list of alternatives, recommendations, and appropriate backup materials, if necessary; and (3) preparation of minutes of advisory body meetings. Advisory body members should have sufficient information to reach decisions based upon a clear explanation of the issues.

Advisory bodies wishing to communicate recommendations to the City Council shall do so through adopted Council agenda procedures as outlined in § 8.06(B)10.8 of this manual. In addition, when an advisory body wishes to correspond with an outside agency, correspondence shall be reviewed and approved by the City Council.

**5.1211—Restrictions on Political Involvement by Staff**

Clearlake is a nonpartisan local government. Professional staff formulates recommendations in compliance with Council policy for the good of the community, not influenced by political factors. For this reason, it is very important to understand the restrictions of political involvement of staff.

By working for the City, staff members do not surrender their right to be involved in political activities. Employees may privately express their personal opinions. They may register to vote, sign nominating or recall petitions, and they may vote in any election.

**5.12—Council Attendance Policy**

If a Councilmember intends to be absent from the City for more than 24 hours, they shall notify the City Manager of such absence and its duration.

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A. Vacancy for Nonattendance

Under state law, if a Councilmember is absent without permission from all regular City Council meetings for 60 days consecutively from the last regular meeting they attended, their office becomes vacant and shall be filled as any other vacancy [California Government Code § 36513(a)].

At the start of each City Council meeting, the City Clerk, or designee, will call the roll. Any absent Councilmember who has called the Mayor or City Manager's Office before 5:00 p.m. on the day of the meeting to advise of such absence may request to be excused by the City Council.

4.1 City Manager. City Council Members are always free to go to the City Manager to discuss City business. Issues concerning the performance of a Department or any employee must be directed to the City Manager. Direction to City employees, other than the City Manager or City Attorney, is the prerogative of the City Manager. In passing along critical information, the City

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~~Manager will be responsible for contacting all Council Members. The City Manager may delegate this responsibility to Department Heads.~~

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~~4.2 Agenda Item Questions. If a Council Member has a question on a subject, the Council Member should contact the City Manager prior to any meeting at which the subject may be discussed. This does not restrict Council Members from asking questions during a Council meeting.~~

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~~4.3 Interaction of City Council with Staff. The Council shall treat staff with respect and shall not abuse staff, nor embarrass staff in public. The City Council Members are to work through the City Manager or City Attorney on all issues, concerns and questions. This is to allow the senior professional staff, with the proper education, training, experience and knowledge of issues, laws and City Council's policies to coordinate a full and complete response and reduce error or misunderstanding by staff members not necessarily knowledgeable on all issues. This can provide a better overall response, allow any new issues to properly be considered and avoid unintended redirection of staff efforts. Council Members may ask Department Heads for information. This informal system of direct communication is not to be abused. City Council Members shall not meet with groups of management employees for the purpose of discussing terms of employment or establishing employee policy.~~

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~~4.4 Individual Council Member's Requests. Council Members shall make their requests for information to the City Manager or City Clerk and not directly to individual members of staff. The use of City staff, including the City Manager, to respond to an individual Council Member's request for any purpose that exceeds more than one hour of total staff time must be approved by the majority vote of the full Council. The individual City Council Member may make his/her request orally or in writing to the City Manager or City Clerk. The City Manager shall provide an estimate of the cost and how the request affects the Council's Goals and Objectives. This request will then be considered by the City Council at the next possible City Council meeting. Irrespective of the amount of staff time required to respond to each Council Member's request, individual Council Member's requests should be limited to three to five requests per week.~~

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**SECTION 6. COUNCILMEMBERS' ROLES AND RELATIONSHIPS WITH CITY BODIES**

1. Because the Council has the ability to review decisions made by the commissions and recommendations made by committees and task forces, Councilmembers shall not be appointed to City boards, commissions, committees, or task forces concurrent with their term of office.
2. Unless specifically authorized by a majority vote of a quorum of the Council, no Councilmember shall be authorized to testify before or direct the work of any board, commission, committee or task force of the City.
3. If a Councilmember is testifying in such a capacity pursuant to the above provision, testimony should be undertaken in such a manner as to assure public confidence in the decision-making process and avoid the appearance of bias, prejudice, or improper influence. Toward this purpose, the following protocol should be observed:
  - a. Councilmembers shall not testify in matters pending before any commission, committee, or task force that will receive, or could potentially receive, future appeal or review before the City Council. Violation of this protocol shall require the Councilmember to disqualify his or herself from participating in any appeal or review proceedings before the City Council.
  - b. Except in matters directly involving personal interests, Councilmembers, in their capacity as private residents, should abstain from providing testimony or

influencing decisions in matters pending before any City board, commission, committee or task force that will receive, or could potentially receive, future review or other action before the Council. Where a Councilmember elects to provide such testimony, the following rules shall apply:

- (i) The Councilmember shall declare at the outset and upon the record that the Councilmember is present in his or her private capacity as an interested resident, and not on behalf of or at the request of the City Council.
- (ii) The Councilmember shall refrain from stating or implying that the Councilmember's position or opinion is that of the City Council.
- (iii) The Councilmember shall refrain from directing City staff or the advisory body to take any action on behalf of the Councilmember.
- (iv) The Councilmember shall observe any rules of procedure or protocol that apply to any other private resident testifying before the advisory board.
- (v) The Councilmember shall disqualify him or herself from participating in the matter should it come before the Council for review ~~and/or~~ decision.

For additional information regarding The Ethical Hazards of Council Members Attending Other Board Meetings-See Appendix J.C.

**SECTION 75. PROCEDURES FOR APPOINTMENTS TO BOARDS/COMMISSIONS/COMMITTEES**

**5.17.1 Definitions.**

- (a) Task Force:** A temporary grouping of individuals and resources for the accomplishment of a specific objective.
- (b) Committee:** A group of people officially delegated to perform a function, such as investigating, considering reporting, or acting on a matter.
- (c) Ad Hoc:** Committees established for a specific purpose. Formed for or concerned with one specific purpose (e.g. ad hoc compensation committee); for the particular end or case at hand without consideration of wider application; formed or used for specific or immediate problems or needs; often improvised or impromptu; contrived purely for the purpose in hand rather than carefully planned in advance.

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(d) **Commission:** A group of people officially authorized to perform certain duties or functions with certain powers or authority granted; the act of granting certain powers or the authority to carry out a particular task or duty; the rank and powers so conferred.

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(e) **Board:** A group of persons having managerial, supervisory, or advisory powers. In parliamentary law, a board is a form of deliberative assembly and is distinct from a committee, which is usually subordinate to a board or other deliberative assembly – in having greater autonomy and authority.

**5.27.2 Recruitment Process.**

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On or before December 31<sup>st</sup> of each year, the City Clerk shall prepare and post a list of all Council-appointed board, commission and committee terms that expire during the next calendar year in compliance with the Maddy Act (Government Code Section 54972).

The City Clerk shall annually advertise in a newspaper and on the City’s website for applicants wishing to be considered for appointment to boards, commissions and committees.

Although there may be multiple applicants, Council Members are not required to choose from the pool of applicants and may nominate their own appointee, provided the appointee qualifies.

All persons seeking appointment to a City board, commission or committee shall complete and submit an application form to the City Clerk as set forth in Section 5.6. Applications shall be kept on file for two years in the City Clerk’s office and vacancies may be considered from applications on file, as well as new applications.

Appointments made by individual Council Members are official only after the Council Member has submitted a completed application and appointment form to the City Clerk, the City Clerk has determined that the individual is eligible to serve and the City Clerk has provided proper notification to the appointed board, commission or committee member, and chair of the board, commission or committee. Council Members may announce an appointment at a City Council meeting; however, such an announcement is not required for the appointment to become effective. The City Clerk shall notify the full City Council of any appointments made by individual Council Members.

If an unscheduled board or commission vacancy occurs during the term of the appointing Council Member and the Council Member so requests, the following steps should be taken to publicize vacancies on boards, commissions and committees:

1. Public announcement of the vacancy at a Council meeting.
2. A newspaper advertisement announcing the vacancy.
3. A recruitment period of at least ten (10) days.
4. A vacancy notice posted at City Hall, Redbud Library, and on the City’s website for at least 20 days.
5. Announcements in the local media, such as press releases, online news outlets and free weekly sales papers.

6. Distribution to appropriate professional and community organizations and all groups that have requested notification.

**5-37.3 Requirement for Appointment.**

All persons appointed to City boards, commissions and committees shall be residents of the City of Clearlake at the time of their appointment and shall remain so throughout their term of appointment. Should any person so appointed move from the City during their term of office, such office shall be forfeited. The Council shall, upon forfeiture, make a new appointment to fill the unexpired term.

All persons appointed to City boards, commissions and committees shall complete and submit an application form to the City Clerk as set forth in Section 5.6.

Except as provided by state or local statute, the appointee shall not be a current City employee or currently appointed to another City board, committee or commission.

**5-47.4 Council Notification.** By September 1 of each year, the City Clerk will notify the Council of expiring terms for members of those City boards, commissions, and committees appointed by the full Council.

**5-57.5 Incumbents.**

At the end of the first term, the incumbent board, commission or committee member may, at the discretion of the Council or appointing Council Member, be reappointed for an additional term without the need to apply or interview for re-appointment. In lieu of an application, the board, commission or committee member shall submit to the City Clerk a letter of interest in re-appointment 30 days prior to the expiration of the member's first term.

Any incumbent interested in re-appointment who has served two or more terms must apply for re-appointment as set forth in Section 5.6.

**5-67.6 Application.** Except as set forth in Section 5.5, all persons considered for appointment or re-appointment shall complete an application form. This application form must be received by the City Clerk by the required deadline.

**5-77.7 Appointment Procedure for Planning Commission Members.** This portion of the policy sets forth the procedure for appointments of Planning Commission Members.

Applications shall be taken for Planning Commission as set forth in 5.2 through 5.6.

If fewer than ten applications are received, applicants will be interviewed by the full Council at an open meeting. Each applicant will be asked the same questions, with varying related follow up questions allowed.

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If more than ten applications are received, the Mayor will appoint an ad hoc committee to meet with the applicants prior to appointment and recommend a number of applicants as determined by the Mayor for interview by the Council.

Following the interview, Council deliberation, and public comment, the Mayor shall call for a motion and a second for each separate vacant seat. Motions shall be as according to the Council Norms and Procedures.

All newly appointed and re-appointed Planning Commission Members shall take and subscribe to the Constitutional oath of office prior to or during the Member's first Planning Commission meeting.

An orientation and training program will be made to all new Planning Commission Members in March of each odd-numbered year. All board, commission and committee members are strongly encouraged to attend.

**5-97.8 Appointment Procedure for Board and Committee Members Appointed by the Full Council.** This portion of the policy sets forth the procedure for appointments made by the full Council for boards and committees appointed by the full Council.

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Subject to review of the Council, the Mayor may establish a procedure for review of applications and selection of applicants for interview, for those board and committee members appointed by the full Council. Such selection and interview may be conducted by an ad hoc committee of the Council or full Council.

If an unscheduled board or committee vacancy occurs prior to the expiration of the member's term, the vacancy shall be noticed in compliance with the Maddy Act (Government Code Section 54974).

All persons appointed by the full Council to boards and committees serve at the pleasure of the Council and shall serve for the term indicated or until a successor has been appointed, unless removed by a majority vote of the appointing body.

Members of boards and committees appointed by the full Council shall be interviewed at a duly noticed open Council meeting and shall be selected by motion and majority vote of the Council.

**5-97.9 Attendance.**

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Board, commission and committee members are expected to regularly attend and participate on their respective boards, committees and commissions.

A board, commission or committee member whose attendance is less than seventy five (75%) of the required meetings over a period of a year may be subject to removal by the Council Member who appointed the person or the full Council if appointed by the Council.

The Council may grant an approved leave of absence for a board, commission or committee

member for such reasons as the Council determines appropriate. During the approved leave of

absence, the Council Member who appointed the person, or full Council, depending on how the person was appointed, may appoint a temporary person to fill the position.

**5-107.10 Norms and Procedures and Conflicts of Interest.**

Board, committee and commission members shall be expected to adhere to the Council Norms and Procedures.

Board, committee and commission members shall comply with all state and local laws with respect to ethics and conflicts of interests to the extent that such laws apply to their position, including state and local requirements to timely file Statements of Economic Disclosure if the member is designated as a filer by state law or by the City’s Conflict of Interest Code.

Members of City boards, commissions or committees may not use their board, commission or committee position title for political endorsements.

**5-117.11 Conflicts with Federal, State or Local Law.** In case of a conflict between this section of the Norms and Procedure policy with federal, state or local law, such federal, state or local law shall be the controlling factor.

**SECTION 86. MEETINGS**

**6-18.1 Open to Public.** All meetings of the City Council whether regular, special, or study sessions, shall be open to the public, unless a closed session is held as authorized by law. All meetings shall be noticed as required to allow action to be taken by the Council.

**6-28.2 Broadcasting of City Council Meetings.** All regular Council meetings shall be scheduled in the Council Chambers to allow for web streaming and simulcast on the City’s Public Education Government Access Channel, unless the number of participants exceeds room capacity. The final decision shall be the responsibility of the Mayor.

**6-38.3 Teleconferencing.** Teleconferencing into a City Council meeting allows City Council Members to join a City Council meeting while out of the area or ill. However, the use of teleconferencing requires compliance with specific requirements set out in the Brown Act. In the event a Council Member wishes to use teleconferencing, check with the City Manager and City Attorney well in advance of the meeting.

**6-48.4 Regular Meetings.** At the first regular meeting in January, the City Council will approve the schedule of meetings for the calendar year, which in addition to the regular meeting schedule, may include the cancellation of regular meetings and the addition of special meetings and study sessions. This practice does not, however, preclude the Mayor or a majority of the members of the City Council from calling additional meetings pursuant to Section 6.5, if necessary.

The City Council shall convene its regular City Council meetings at 6:00 p.m. on the first and third Thursday of each month. The City Council may, as the Council deems necessary, cancel regular meetings provided that the City Council shall hold a regular meeting at least once each month

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pursuant to Government Code Section 56803. The regular 6:00 p.m. starting time of a council meeting can be varied by the City Manager with the concurrence of the Mayor to commence earlier or later (but in no event past 7:00 p.m.) depending upon the volume or nature of business for the council to consider at any given meeting, provided the City Clerk gives appropriate prior written notice of the adjusted starting time to the press and public.

Regular Meetings shall be terminated at 10:00 P.M.; however, the Mayor may, by majority vote of the Council, extend the meeting past 10:00 P.M. whenever the Council deems such extension necessary.

**6-58.5 Cancelling Meetings.** Any meeting of the City Council may be cancelled in advance by majority vote of the Council. The Mayor may cancel a meeting in the case of an emergency or when a majority of members have confirmed their unavailability to attend a meeting. The City Council may, as the Council deems necessary, cancel no more than four (4) Regular Meetings per calendar year, by majority vote, provided, however, that the City Council shall hold a Regular Meeting at least once each month pursuant to Government Code Section 36805.

**6-68.6 Special Meetings.** A special meeting may be called at any time by the Mayor or by a majority of the City Council in accordance with the Brown Act. Written notice of any such meeting must specify the purpose of the meeting. Notice of the meeting must be given in accordance with law. Public comments at special meetings shall be limited to only those items described on the special meeting notice/agenda.

The City Council may hold study sessions or joint meetings with other boards, commissions, committees, or agencies as deemed necessary to resolve City business. These meetings will be coordinated by the City Clerk. Study sessions are scheduled to provide Council Members the opportunity to better understand a particular item. While Council may legally take action at any noticed meeting, generally no formal action is taken at study sessions. If action is to be taken at a study session, then the agenda will state that action may be taken.

**6-78.7 Closed Sessions.** The City Council may hold closed sessions at any time authorized by law (and in consultation with the City Attorney), to consider or hear any matter, which is authorized by law. The Mayor or any three Council Members may call closed session meetings at any time.

**6-88.8 Quorum.** Three (3) members of the City Council shall constitute a quorum and shall be sufficient to transact business. If fewer than three Council Members appear at a regular meeting, the Mayor, Vice Mayor in the absence of the Mayor, any Council Member in the absence of the Mayor and Vice Mayor, or in the absence of all Council Members, the City Clerk or Deputy City Clerk, shall adjourn the meeting to a stated day and hour.

Business of the City Council may be conducted with a minimum of three members being present; however, pursuant to the California Government Code, matters requiring the expenditure of City funds and all resolutions and non-urgency ordinances must receive three affirmative votes for approval.

**6-98.9 Minutes.** The City Clerk shall prepare minutes of all public meetings of the City Council. Copies shall be distributed to each Council Member.

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**6.108.10 Adjourned Meetings.** The City Council may adjourn any regular, adjourned regular, special, or closed session meeting to a time and place specified in the order of adjournment and permitted by law.

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**SECTION 97. POSTING NOTICE AND AGENDA**

**7.29.1 Posting of Notice and Agenda.** For every regular, special, or study session meeting, the City Clerk or other authorized person shall post a notice of the meeting, specifying the time and place at which the meeting will be held, and an agenda containing a brief description of all items of business to be discussed at the meeting. This notice and agenda may be combined in a single document. Posting is to be according to law.

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**7.29.2 Location of Posting.** The notice and agenda shall be posted at City Hall in a place to which the public has unrestricted access and where the notice and agenda are not likely to be removed or obscured by other posted material, and to the City website.

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**SECTION 108. AGENDA CONTENTS**

**8.110.1 Mayor's Responsibility.** The Mayor is responsible for running a timely and orderly meeting. If the Mayor is unavailable to run a Council meeting, the Vice Mayor shall run the meeting. If the Mayor and the Vice Mayor are both unavailable to run a Council meeting, the Mayor shall, before the meeting, designate another councilmember to run the meeting. If the Mayor is unavailable to make this designation, the Vice Mayor shall do so. If the designation is not made before the meeting, the City Clerk shall, by lot, designate a council member to run a meeting. The Mayor, in consultation with the City Manager and his/her designee, and the City Clerk shall organize the agenda.

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**8.210.2 Description of Matters.** All items of business to be discussed at a meeting of the City Council shall be briefly described on the agenda. The description should set forth the proposed action to be considered so that members of the public will know the nature of the action under review and consideration. As stated in Section 4.2, if a Council Member has a question on a subject, the Council Member should contact the City Manager prior to any meeting at which the subject may be discussed.

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**8.310.3 Availability to the Public.** The agenda for any regular, special, or study session meeting, shall be made available to the general public as required by law.

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**8.410.4 Limitation to Act Only on Items on the Agenda.** No action shall be taken by the City Council on any item not on the posted agenda, subject only to the exceptions listed below:

**(a)10.4.1** Upon a majority determination that an "emergency situation" (as defined by State Law) exists; or

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**10.4.2** Upon determination by a 4/5 vote of the full City Council, or a unanimous vote if less than a full Council, that there is a need to take immediate action and that the need to take

the action came to the attention of the City Council subsequent to posting of the agenda.  
(b)

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**8.510.5 "Timing" of Agenda.** Staff and/or the Mayor may "time" the agenda as a way for the Council to maintain a sense of how much time can be committed to any one item without going past an established ending time for the meeting.

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**8.610.6 Order of Agenda.** The prescribed order of the agenda for Regular Meetings of the Council will be as follows: Roll Call, Pledge of Allegiance, Invocation/Moment of Silence, Adoption of the Agenda, Closed Session Announcement (if needed), Presentations, Public Comments on Items not on the Agenda, Consent Calendar, Public Hearings, Business Items, City Manager and City Council Reports, Future Agenda Items, and Adjournment.

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**8.710.7 Change in Order of Business.** The Mayor, or the majority of the Council, may decide to take matters listed on the agenda out of the prescribed order. Council Members shall be given the opportunity to ask questions about Consent Items for clarification without having them removed.

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**8.810.8 Agenda Request Policy.** Requests for placement of items on the agenda can be submitted to the City Clerk using the Agenda Request Form available by request. Also, a majority of the Council may direct staff to place an item on a future agenda by indicating their desire to do so under that portion of the City Council agenda designated, "Future City Council Agenda Items." Additionally, the City Manager may place items on the agenda.

**8.910.9 Consent Agenda.** All items listed under the Consent Agenda are considered to be routine in nature and will be approved by one motion. There will be no separate discussion of these items. Council Members or the City Manager may remove items from the Consent Agenda for separate discussion and action by City Council. Any item removed for separate discussion and action will be taken up following the motion to approve the Consent Agenda.

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**SECTION 119. PROCEDURES FOR THE CONDUCT OF PUBLIC MEETINGS**

**9.111.1 Role of Mayor.** The Mayor shall be responsible for maintaining the order and decorum of meetings. It shall be the duty and responsibility of the Mayor to ensure that the rules of operation and decorum contained herein are observed. The Mayor shall maintain control of communication between Council Members and among Council, staff and public. The Mayor shall intervene when a Council Member, staff or other meeting participant is being verbally or otherwise attacked by a member of the public.

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**9.211.2 Communication with Council Members.** Council Members shall request the floor from the Mayor before speaking. When one member of the Council has the floor and is speaking, other Council Members shall not interrupt or otherwise disturb the speaker.

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**9.311.3 Communication with members of the public addressing the Council on agendized items.**

**1-11.3.1** The Mayor shall open the floor for public comment as appropriate.

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**2-11.3.2** Council Members may question a person addressing the Council at the conclusion of the person’s comments or upon expiration of the person’s time to speak.

**3-11.3.3** Any staff member with an item on the agenda will be available to the City Council to answer questions arising during discussions between Council Members and among Council Members and members of the public.

**4-11.3.4** Members of the public shall direct their questions and comments to the Council.

**9-211.4** **Rules of Order.** The City Council shall refer to *Rosenberg’s Rules of Order*, as a guide for the conduct of meetings, with the following modifications:

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**(a)11.4.1** A motion is not required prior to a general discussion on an agenda item. A pre-motion discussion allows the members to share their thoughts on the agendized item so that a motion can more easily be made that takes into account what appears to be the majority position.

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**(b)11.4.2** All motions require a second.

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**(c)11.4.3** A motion may be amended at the request of the maker and the consent of the person who seconded the motion. Such a procedure is often used to accommodate concerns expressed by other members.

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**(d)11.4.4** A motion to amend may still be used.

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The Mayor has the discretion to impose reasonable rules at any particular meeting based upon facts and circumstances found at any particular meeting. These latter rules will be followed unless objected to by a majority of the City Council Members present.

**9-311.5** **Appeal Procedures.** Appellants shall be given the opportunity to speak first. Appellants and applicants responding to appeals may be given a total of up to 10 minutes each to present their positions to the City Council prior to hearing public comments. Appellants shall be given up to 5 minutes of rebuttal time after public comments are heard.

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**9-411.6** **Applicants.** Persons bringing to the City Council a request for approval shall be given a total of up to 10 minutes to present their positions/input prior to hearing public comments. An extension can only be granted by consent of a majority of the Council Members. Applicants shall be given up to 5 minutes of rebuttal time after public comments are heard.

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**9-511.7** **Staff and Consultant Reports.** In general, staff and consultant reports should be clear, brief and concise. Staff is to assume that the Council has read all materials submitted. Council shall be given an opportunity to ask questions of staff prior to hearing public comments.

**9-611.8** **Public Comment.**

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Persons present at meetings of the City Council may comment on individual items on the agenda at the time the items are scheduled to be heard. During Regular City Council meetings, comments

may be offered on items not on the agenda under that portion of the agenda identified for Public Comment.

The limit for speakers will be 1 to 3 minutes, depending on the number of speakers. If there are 10 or fewer requests to speak on any agenda item, the limit for each speaker will be 3 minutes. Speakers are not allowed to delegate their time to another speaker. The Mayor may limit the time to be spent on an item and may continue the item, with the approval of the majority of the Council, to a future meeting at his/her discretion.

Upon addressing the Council, each speaker is requested, but not required, to first state his/her name, whom they represent and/or city of residence.

After the speaker has completed their remarks, the Mayor may direct the City Manager or City Attorney to briefly address the issues brought forth by the speaker. Council Members shall be respectful of the speakers and shall not enter into a debate with any member of the public nor discuss amongst themselves.

All Council Members shall listen to all public discussion as part of the Council's community responsibility. Individual Council Members should remain open-minded to informational comments made by the public.

The Mayor has the right to ask a member of the public to step down if over the allotted time or if the speaker's comments are not within the city's jurisdiction.

**9-711.9 Motions.** It will be the practice of the City Council for the Mayor to provide Council Members an opportunity to ask questions of staff, comment on, and discuss any agenda item in order to help form a consensus before a motion is offered. After such discussion, the Mayor or any Council Member may make a motion. Before the motion can be considered or discussed, it must be seconded. Once a motion has been properly made and seconded, the Mayor shall open the matter to full discussion offering the first opportunity to speak to the moving party, and thereafter, to any Council Member recognized by the Mayor. Customarily, the Mayor will take the floor after all other Council Members have been given the opportunity to speak.

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If a motion clearly contains divisible parts, any Council Member may request the Mayor or moving party divide the motion into separate motions to provide Council Members an opportunity for more specific consideration.

**Tie Votes:** Tie votes shall be lost motions. When all Council Members are present, a tie vote on whether to grant an appeal from official action shall be considered a denial of such appeal, unless the Council takes other action to further consider the matter. If a tie vote results at a time when fewer than all members of the Council, who may legally participate in the matter are present, the matter shall be automatically continued to the agenda of the next regular meeting of the Council, unless otherwise ordered by the Council.

**9-811.10 Reconsideration.** Requests for reconsideration.

1. Request by a member of the public.

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Notwithstanding *Rosenberg's Rules of Order*, a request for reconsideration may be made by a member of the public to the City Council at the next regular meeting of the City Council or at any intervening special meeting of the City Council.

**2. Request by a member of the City Council.**

Only a member of the City Council who voted on the prevailing side may request reconsideration. The request may be made at the same meeting or at the next regular meeting of the City Council or at any intervening special meeting of the City Council.

**3.** The member of the public or City Council Member making the request should state orally or in writing the reason for the request, without dwelling on the specific details or setting forth various arguments.

**Reconsideration at the same meeting.**

A motion to reconsider an action taken by the City Council may be made at the same meeting at which the action was taken (including an adjourned or continued meeting).

A motion to reconsider an action taken by the City Council may be made only by a Council Member who voted on the prevailing side, but may be seconded by any Council Member and is debatable. The motion must be approved by a majority of the entire City Council.

**Reconsideration at a subsequent meeting.**

If an intent to request a motion for reconsideration is communicated to the City Council prior to the deadline for posting the City Council meeting agenda, then the request for reconsideration may be agendaized if support for said action exists in accordance with the *Council Norms* Section 10.8. Otherwise, no City Council discussion or action on a possible reconsideration may occur unless the item is appropriately added to the agenda pursuant to Government Code section 54954.2(b), which addresses adding items that are not listed on a posted agenda (urgency agenda item). At the time such motion for reconsideration is heard, testimony shall be limited to the facts giving rise to the motion.

**Effect of approval of motion.**

Upon approval of a motion to reconsider, and at such time as the matter is heard, the City Council shall only consider any new evidence or facts not presented previously with regard to the item or a claim of error in applying the facts.

If the motion to reconsider is made and approved at the same meeting at which the initial action was taken and all interested persons (including applicants, owners, supporters and opponents) are still present, the matter may be reconsidered at that meeting or at the next regular meeting or intervening special meeting (subject to the discretion of the maker of the motion) and no further public notice is required.

If the motion to reconsider is made and approved at the same meeting at which the initial action was taken but all interested persons are not still present, or if the motion is made and approved at the next regular meeting or intervening special meeting, the item shall be scheduled for consideration at the earliest feasible City Council meeting and shall be re-noticed in accordance with the Government Code, the City Municipal Code and the *Council Norms and Procedures*. The Clerk shall provide notice to all interested parties as soon as possible when a matter becomes the subject of a motion to reconsider.

**9.911.11 Discussion.**

The discussion and deliberations at meetings of the City Council are to secure the mature judgment of Council Members on proposals submitted for decision. This purpose is best served by the exchange of thought through discussion and debate.

To the extent possible, Council Members should disclose any ex parte communication prior to discussion on an item. Ex parte communications are those made in private between an interested party and an official in a decision-making process.

Discussion and deliberation are regulated by these rules in order to assure every member a reasonable and equal opportunity to be heard.

After the Council has commented on an issue, and a motion has been stated to the Council and seconded, any member of the Council has a right to discuss it after obtaining the floor. The member obtains the floor by seeking recognition from the Mayor. A member who has been recognized should make their comments clear, brief and concise.

To encourage the full participation of all members of the Council, no member or members shall be permitted to monopolize the discussion of the question. If a Council Member has already spoken, other Council Members wishing to speak shall then be recognized. No Council Member shall be allowed to speak a second time until after all other Council Members have had an opportunity to speak.

All discussion must be relevant to the issue before the City Council. A Council Member is given the floor only for the purpose of discussing the pending question; discussion which departs is out of order. Council Members shall avoid repetition and strive to move the discussion along.

A motion, its nature, or consequences, may be attacked vigorously. It is never permissible to attack the motives, character, or personality of a member either directly or by innuendo or implication. It is the duty of the Mayor to instantly rule out of order any Council Member who engages in personal attacks. It is the motion, not its proposer, that is subject to debate.

Arguments for or against a measure, should be stated as concisely as possible. It is the responsibility of each Council Member to maintain an open mind on all issues during discussion and deliberation.

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It is not necessary for all City Council Members to speak or give their viewpoints if another Council Member has already addressed their concerns. However, issues with potential to be litigated or otherwise appealed should have comments by each Council Member on the record.

The Mayor has the responsibility of controlling and expediting the discussion. A Council Member who has been recognized to speak on a question has a right to the undivided attention of the Council.

It is the duty of the Mayor to keep the subject clearly before the members, to rule out irrelevant discussion, and to restate the question whenever necessary.

**9.1011.12 Council Member Respect.** At all times, Council Members in the minority on an issue shall respect the decision and authority of the majority.

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**9.1411.13 Council and Staff Reports and Directions on Future Agenda Items.** Council and staff reports at the end of Council meetings shall be limited to announcing Mayor-appointed Regional Board activities on which Council Members serve, City and City-sponsored activities and items which directly affect the City. Community groups may announce their activities during Public Comments at the beginning of Council meetings. Council Members should refrain from making personal comments, stating personal activities, or items that do not impact their role as a Council Member.

**SECTION 120. CLOSED SESSIONS**

**10.112.1 Purpose.** It is the policy of the City Council to conduct its business in public to the greatest extent possible. However, state law recognizes that, in certain circumstances, public discussion could potentially jeopardize the public interest, compromise the City's position, and could cost the taxpayers of Clearlake financially. Therefore, closed sessions shall be held from time to time as allowed by law. The procedures for the conduct of these meetings shall be the same as for public meetings, except that the public will be excluded.

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Prior to convening the closed session meeting, the Mayor shall publicly announce the closed session items and ask for public input regarding any items on the closed session agenda.

City Council Members shall keep all written materials and verbal information provided to them in closed session in complete confidence to insure that the City's position is not compromised. No mention of information in these materials shall be made to anyone other than Council Members, the City Attorney or City Manager, except where authorized by a majority of the City Council. All written materials provided to Council Members during closed session shall be returned to the City Manager at the conclusion of each closed session.

**10.2 12.2 Rule of Confidentiality.** The City Council recognizes that breaches in confidentiality can severely prejudice the City's position in litigation, labor relations and real estate negotiations. Further, breaches of confidentiality can create a climate of distrust among Council Members and can harm the Council's ability to communicate openly in closed sessions, thereby impairing the Council's ability to perform its official duties.

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The City Council further recognizes that confidentiality of discussions and documents are at the core of a closed session. Confidentiality is essential if the closed session is to serve its purpose. Therefore, the City Council will adhere to a strict policy of confidentiality for closed sessions.

**10.312.2 Breach of Rule of Confidentiality.** No person who attends a closed session may disclose any statements, discussions, or documents used in a closed session except where specifically authorized by State law. Any authorized disclosure shall be in strict compliance with these rules and the Ralph M. Brown Act. Violation of this rule shall be considered a breach of this rule of confidentiality.

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**10.412.3 Agenda.** The City Council agenda will contain a brief general description of the items to be discussed at the closed session, as required by law.

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**10.512.4 Permissible Topics.** All closed sessions will be held in strict compliance with the Ralph M. Brown Act. The City Attorney, or his/her designee, will advise in advance on topics that may be discussed in a closed session.

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**10.612.5 Rules of Decorum.**

The same high standard of respect and decorum as apply to public meetings shall apply to closed sessions. There shall be courtesy, respect and tolerance for all viewpoints and for the right of Council Members to disagree. Council Members shall strive to make each other feel comfortable and safe to express their points of view. All Council Members have the right to insist upon strict adherence to this rule.

Prior to a vote, the Mayor shall ensure that the motion is clearly stated and clearly understood by all Council Members.

The Mayor shall keep the discussion moving forward so that debate and a vote can occur in the time allotted for the closed session. The Mayor will determine the order of debate in a fair manner.

**10.712.6 Conduct of Meeting.**

**(a)12.6.1** The Mayor will call the closed session to order promptly at its scheduled time.

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**(b)12.6.2** The Mayor will keep discussion focused on the permissible topics.

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**(c)12.6.3** The use of handouts and visual aids such as charts is encouraged to focus debate and promote understanding of the topic. All such materials are strictly confidential.

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**(d)** If the City Council in closed session has provided direction to City staff on proposed terms and conditions for any type of negotiations, whether it be related to property acquisitions or disposal, a proposed or pending claim or litigation, or employee negotiations, all contact with the other party will be through the designated City person(s) representing the City in the handling of the matter. A Council Member, not so designated by the Council, will not under any

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| circumstances have any contact or discussion with the other party or its representative

concerning the matter which was discussed in the closed session, and will not communicate any discussions conducted in closed session to such party.

**10.812.7 Public Disclosure After Final Action.**

The Ralph M. Brown Act requires that, as a body, the City Council make certain public disclosure of closed session decisions when those actions have become final. Accordingly, the City Council shall publicly report any final action taken in closed session, and the vote, including abstentions, at a publicly noticed meeting as follows:

- \* Real Estate negotiations: After the agreement is final and accepted by the other party;
- \* Litigation: After approval to defend or appeal a lawsuit or to initiate a lawsuit;
- \* Settlement: After final settlement of litigation or claims;
- \* Employees: Action taken to appoint or dismiss a Council-appointed employee;
- \* Labor relations: After the Memorandum of Understanding is final and has been accepted by both parties.

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The report may be oral or written. The report will state only the action taken and the vote. Unless authorized by the majority of the City Council, the report will not state the debate or discussion that occurred. Except for the action taken and the vote, all closed session discussions will remain confidential.

**SECTION 131. DECORUM/DECORUM**

**13.1 Councilmembers**

Councilmembers shall conduct themselves with professionalism, respect, and courtesy during all public meetings, including both open and closed sessions. No Councilmember or City official shall, by conversation or other means, delay or interrupt Council proceedings, disrupt another member while speaking, or otherwise interfere with the orderly conduct of meetings. Councilmembers and City officials shall remain focused and on topic, and shall conduct the public's business efficiently and without unnecessary delay.

The Mayor, as presiding officer, is responsible for maintaining order and decorum during Council meetings consistent with the City's adopted rules of procedure. Where a Councilmember or City official disrupts the orderly conduct of a meeting, the Mayor may call the individual to order. Repeated disruption following a call to order may serve as grounds for proceedings under this section.

11.1 Council Members. Members of the City Council value and recognize the importance of the trust invested in them by the public to accomplish the business of the City. Council Members shall accord the utmost courtesy to each other, City employees, and the public appearing before the City Council. The City Manager or his/her designee shall act as the sergeant-at-arms.

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~~Members of the City Council shall not by conversation or other means delay or interrupt the Council proceedings or disrupt any other member while speaking. Councilmembers shall fully participate in public meetings, in both the open and closed sessions, while demonstrating respect and courtesy to others. City Officials shall stay focused, stay on topic and act efficiently during public meetings. They shall refrain from interrupting other speakers or otherwise interfering with the orderly conduct of the meetings.~~

~~By a majority vote, councilmembers may admonish, reprimand, or censure other Councilmembers or any other City official for egregious violation of civil norms or other misconduct, as further elucidated below.~~

~~“Admonishment” means a formal reminder of the rules and is appropriate for allegations of a violation of law or City policy. An admonishment is not disciplinary in nature.~~

~~“Reprimand” means a formal reminder that is appropriate when the Council finds that a Councilmember has committed misconduct but determines that the misconduct does not rise to the level of requiring censure.~~

~~“Censure” means a formal resolution to reprimand an individual Councilmember for misconduct and is a disciplinary action.~~

~~Any City official facing admonishment, reprimand, or censure must be given notice and opportunity to respond to the accusation. The Council can limit by a super majority vote a member’s assigned positions to liaison or council leadership positions.~~

**11.213.2 City Employees.** Members of the City staff shall observe the same rules of order and decorum applicable to the City Council. City staff shall act at all times in a businesslike and professional manner towards Council Members and members of the public.

**11.3 Public.** Members of the public attending City Council meetings shall observe the same rules of order and decorum applicable to the City Council. These Norms and Procedures shall apply to all City Council Meetings.

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~~**Noise in the Chambers.** Noise emanating from the audience, whether expressing opposition or support within the Council Chambers or lobby area, which disrupts City Council meetings, shall not be permitted. All cell phones and other electronic devices shall be muted while in the chambers. Refusal is grounds for removal.~~

~~**11.3**~~

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**11.413.3 Removal of Individuals or Groups Engaging in Disruptive Behavior.**

City staff shall post these standards at a public location in City Hall and at the dais.

**(a)13.3.1 Definition.** Disruptive behavior is any action that actually disrupts, disturbs, impedes, or renders infeasible the orderly conduct of the meeting. Verbal conduct is disruptive when it meets the standards described below.

**(b)13.3.2 Disruptive verbal conduct based on identity.** Continued use of loud, threatening, profane, or abusive language or verbal conduct that denigrates an individual because of race, color, gender, religion, sexual orientation, age, national origin, disability, or other protected category after a verbal warning from the presiding officer impedes the orderly conduct of the meeting. It interferes with the Council’s ability to accomplish its functions in a reasonably efficient matter by causing a distraction from City business, chilling other members of the public’s participation, interfering with the ability of those present to listen and understand the business and proceedings of the City or Council, and may constitute or contribute to employment or other types of discrimination.

**(c)13.3.3 Removal procedure (general).** The Mayor or presiding member of the Council has the authority to remove, or designate the sergeant-at-arms to remove, an individual or group for disrupting the Council meeting. Before taking this action, the Mayor/presiding member shall warn the individual or group that their behavior is disruptive and that failure to cease this behavior may result in their removal. If the behavior does not promptly cease, the individual may be removed.

No warning is required to precede removal if an individual engages in behavior that is a true threat of force. A true threat of force has sufficient indicia of intent and seriousness so that a reasonable observer would perceive it to be an actual threat to use force by the person who makes the threat.

**(d)13.3.4 Removal procedure (disruptive verbal conduct based on identity).**

When a person engages in verbal conduct that denigrates an individual because of their race, color, gender, religion, sexual orientation, age, national origin, disability, or other protected category, the Mayor or presiding member of the Council shall take the following actions:

**1-13.3.4.1** The Mayor/presiding member shall stop the speaker and read the relevant portions of the City’s Harassment-Free Workplace Policy. The Mayor/presiding member shall state that the City does not condone comments in violation of the City’s Policy and that the speaker’s harassment is unwanted and unwelcome and impedes the orderly conduct of the meeting by interfering with the Council’s ability to accomplish its functions in a reasonably efficient matter by causing a distraction from City business, chilling participation from other members of the public, interfering with the ability of those present to listen and understand the business and proceedings of the City, and may constitute or contribute to employment or other forms of discrimination.

**2-13.3.4.2** The Mayor/presiding member shall state that any City employee present may be excused from attendance at the meeting during the speaker’s remarks.

**3-13.3.4.3** The Mayor/presiding member shall hold the speaker’s time and the speaker may resume speaking after the Mayor/presiding member’s statement, unless the speaker’s comments continue to disrupt, disturb, or impede the orderly conduct of the meeting. If the speaker continues to disrupt, disturb, or impede the orderly conduct of the meeting, the Mayor/presiding member may prohibit the speaker from further commenting or may order the speaker to be removed from the meeting.

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**4-13.3.4.4** After the end of the speaker’s comments, any Councilmember may make a brief response to such comments, if desired.

**(e)13.3.5** Removal procedure (disruptive group). If a meeting is willfully disrupted by a group of people so as to render the orderly conduct of the meeting infeasible, the Mayor/presiding member shall first attempt to maintain order. If unsuccessful, the Mayor/presiding member may call a recess, adjourn the meeting to another date, or order the removal of the people disrupting the meeting. If order is not restored by removing the people disrupting the meeting, the Mayor/presiding member may order the meeting room cleared and continue holding the meeting. Representatives of the media, except those participating in the disturbance, shall be allowed to continue attending the meeting.

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**11-513.4** Dangerous Instruments. No person may enter the chambers of a legislative body as defined in Section 54852 of the Government Code of the State of California or any place where such legislative body is in session, with any firearm, weapon, or explosive device of any nature. The provisions of this section shall not apply to authorized peace officers or to those persons authorized by the Penal Code of the State to carry such weapons.

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**11-613.5** Prosecution. Aggravated cases shall be prosecuted on appropriate complaint signed by the Mayor/Presiding Officer.

**SECTION 14. COUNCILMEMBER ACCOUNTABILITY**

**14.1 Scope and Purpose**

The City Council may, by the vote thresholds specified in this section, formally admonish, reprimand, or censure any sitting Councilmember, or the City Manager or City Attorney, where such individuals have engaged in conduct constituting a violation of law, a violation of City policy, conduct unbecoming a public official, or conduct that materially disrupts the public's business or undermines public confidence in City government.

Nothing in this section shall be construed to authorize the City Council to directly admonish, reprimand, or censure department heads, subordinate staff, or other City employees, whose accountability to the Council runs through the City Manager in accordance with Clearlake Municipal Code § 2-3.8 and City Policy Manual § 5.3.

**14.2 Definitions**

For purposes of this section, the following terms shall have the meanings set forth below:

(a) Admonishment means a formal, public reminder issued by the City Council directing a Councilmember or covered City official to comply with applicable law, City policy, or established standards of conduct. Admonishment may be issued upon a credible allegation of a violation and does not constitute a finding of misconduct. Admonishment is not disciplinary in nature and shall not be characterized as such in any City record.

(b) Reprimand means a formal, written expression of Council disapproval issued upon a finding.

by majority vote, that a Councilmember or covered City official has engaged in misconduct. A reprimand is appropriate where the Council determines that the misconduct, while substantiated, does not rise to the level of severity warranting censure. A reprimand is disciplinary in nature and shall be entered into the public record.

(c) Censure means a formal resolution adopted by the City Council upon a finding, by majority vote, that a Councilmember or covered City official has engaged in serious misconduct constituting a significant violation of law, City policy, or the public trust. Censure represents the Council's strongest formal expression of institutional disapproval short of actions requiring removal or recall under state law. A censure resolution shall be entered into the public record and transmitted to any applicable oversight body where required by law.

#### 14.3 Initiation of Proceedings

Proceedings under this section may be initiated by any of the following:

(a) A written request submitted by any sitting Councilmember to the City Clerk, specifying the individual subject to the proposed action, the conduct at issue, the applicable policy or legal provision alleged to have been violated, and the level of action being sought;

(b) A written request submitted by the City Manager to the City Council, in accordance with Policy Manual § 5.7, where the conduct at issue involves interference with administrative operations; or

(c) Direction of the City Council by majority vote to place the matter on a future agenda. A written request received by the City Clerk shall be placed on the agenda of the next regular Council meeting occurring no fewer than fourteen (14) calendar days following receipt, subject to the notice requirements of § 13.5 below.

#### 14.4 Notice and Opportunity to Respond

No Councilmember or covered City official shall be subject to admonishment, reprimand, or censure without first receiving:

(a) Written notice of the proposed action, delivered personally or by certified mail to the individual's address of record with the City, no fewer than fourteen (14) calendar days prior to the Council meeting at which the matter will be considered. Such notice shall include: the name of the initiating party or parties; a statement of the specific conduct at issue; the policy or legal provision alleged to have been violated; and the level of action being proposed.

(b) Opportunity to respond, which shall include the right to submit a written response to the City Clerk no fewer than five (5) business days prior to the meeting, to be distributed to all Councilmembers and included in the public meeting packet; and the right to appear before the Council at the meeting and address the matter orally, subject to reasonable time limits established by the Mayor consistent with the City's rules of procedure.

**14.5 Voting Thresholds**

Admonishment, reprimand, and censure each require an affirmative vote of a majority of the full City Council membership (three of five members). Restriction of Council assignments under § 13.7 requires an affirmative vote of four of five members.

In all proceedings under this section, the Councilmember who is the subject of the proposed action may participate in deliberations but shall recuse themselves from the vote. Where recusal reduces the number of eligible voting members, required thresholds shall be calculated accordingly, provided that no action may be taken by fewer than three affirmative votes.

**14.6 Restriction of Council Assignments**

Following a formal censure adopted pursuant to this section, the City Council may, by separate action requiring the supermajority vote specified in § 13.6, restrict the censured Councilmember's participation in appointed positions, including committee assignments, board liaison roles, and Council leadership positions.

Any such restriction:

(a) Shall be limited in duration to the remainder of the censured member's current term of office; and

(b) Shall not prevent the censured member from voting on any matter before the full City Council, attending any public meeting, or otherwise exercising the core legislative functions of their elected office;

**SECTION 1542. VIOLATIONS OF PROCEDURES**

Nothing in these Norms and Procedures shall invalidate a properly noticed and acted upon action of the City Council in accordance with State Law.

This document shall remain in effect until modified by the City Council.

APPROVED: November 12, 2015.

Amended: March 10, 2016

Amended: June 22, 2017

Amended: April 12, 2018

Amended: December 12, 2019

Amended: February 16, 2023

Amended: November 21, 2024

Amended: March 19, 2026

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# Rosenberg's Rules of Order

REVISED 2011

*Simple Rules of Parliamentary Procedure for the 21st Century*

*By Judge Dave Rosenberg*



## MISSION and CORE BELIEFS

To expand and protect local control for cities through education and advocacy to enhance the quality of life for all Californians.

## VISION

To be recognized and respected as the leading advocate for the common interests of California's cities.

### About the League of California Cities

Established in 1898, the League of California Cities is a member organization that represents California's incorporated cities. The League strives to protect the local authority and autonomy of city government and help California's cities effectively serve their residents. In addition to advocating on cities' behalf at the state capitol, the League provides its members with professional development programs and information resources, conducts education conferences and research, and publishes Western City magazine.

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### About the Author

Dave Rosenberg is a Superior Court Judge in Yolo County. He has served as presiding judge of his court, and as presiding judge of the Superior Court Appellate Division. He also has served as chair of the Trial Court Presiding Judges Advisory Committee (the committee composed of all 58 California presiding judges) and as an advisory member of the California Judicial Council. Prior to his appointment to the bench, Rosenberg was member of the Yolo County Board of Supervisors, where he served two terms as chair. Rosenberg also served on the Davis City Council, including two terms as mayor. He has served on the senior staff of two governors, and worked for 19 years in private law practice. Rosenberg has served as a member and chair of numerous state, regional and local boards. Rosenberg chaired the California State Lottery Commission, the California Victim Compensation and Government Claims Board, the Yolo-Solano Air Quality Management District, the Yolo County Economic Development Commission, and the Yolo County Criminal Justice Cabinet. For many years, he has taught classes on parliamentary procedure and has served as parliamentarian for large and small bodies.



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## Introduction

The rules of procedure at meetings should be simple enough for most people to understand. Unfortunately, that has not always been the case. Virtually all clubs, associations, boards, councils and bodies follow a set of rules — *Robert's Rules of Order* — which are embodied in a small, but complex, book. Virtually no one I know has actually read this book cover to cover. Worse yet, the book was written for another time and for another purpose. If one is chairing or running a parliament, then *Robert's Rules of Order* is a dandy and quite useful handbook for procedure in that complex setting. On the other hand, if one is running a meeting of say, a five-member body with a few members of the public in attendance, a simplified version of the rules of parliamentary procedure is in order.

Hence, the birth of *Rosenberg's Rules of Order*.

What follows is my version of the rules of parliamentary procedure, based on my decades of experience chairing meetings in state and local government. These rules have been simplified for the smaller bodies we chair or in which we participate, slimmed down for the 21st Century, yet retaining the basic tenets of order to which we have grown accustomed. Interestingly enough, *Rosenberg's Rules* has found a welcoming audience. Hundreds of cities, counties, special districts, committees, boards, commissions, neighborhood associations and private corporations and companies have adopted *Rosenberg's Rules* in lieu of *Robert's Rules* because they have found them practical, logical, simple, easy to learn and user friendly.

This treatise on modern parliamentary procedure is built on a foundation supported by the following four pillars:

1. **Rules should establish order.** The first purpose of rules of parliamentary procedure is to establish a framework for the orderly conduct of meetings.
2. **Rules should be clear.** Simple rules lead to wider understanding and participation. Complex rules create two classes: those who understand and participate; and those who do not fully understand and do not fully participate.
3. **Rules should be user friendly.** That is, the rules must be simple enough that the public is invited into the body and feels that it has participated in the process.
4. **Rules should enforce the will of the majority while protecting the rights of the minority.** The ultimate purpose of rules of procedure is to encourage discussion and to facilitate decision making by the body. In a democracy, majority rules. The rules must enable the majority to express itself and fashion a result, while permitting the minority to also express itself, but not dominate, while fully participating in the process.

### Establishing a Quorum

The starting point for a meeting is the establishment of a quorum. A quorum is defined as the minimum number of members of the body who must be present at a meeting for business to be legally transacted. The default rule is that a quorum is one more than half the body. For example, in a five-member body a quorum is three. When the body has three members present, it can legally transact business. If the body has less than a quorum of members present, it cannot legally transact business. And even if the body has a quorum to begin the meeting, the body can lose the quorum during the meeting when a member departs (or even when a member leaves the dais). When that occurs the body loses its ability to transact business until and unless a quorum is reestablished.

The default rule, identified above, however, gives way to a specific rule of the body that establishes a quorum. For example, the rules of a particular five-member body may indicate that a quorum is four members for that particular body. The body must follow the rules it has established for its quorum. In the absence of such a specific rule, the quorum is one more than half the members of the body.

### The Role of the Chair

While all members of the body should know and understand the rules of parliamentary procedure, it is the chair of the body who is charged with applying the rules of conduct of the meeting. The chair should be well versed in those rules. For all intents and purposes, the chair makes the final ruling on the rules every time the chair states an action. In fact, all decisions by the chair are final unless overruled by the body itself.

Since the chair runs the conduct of the meeting, it is usual courtesy for the chair to play a less active role in the debate and discussion than other members of the body. This does not mean that the chair should not participate in the debate or discussion. To the contrary, as a member of the body, the chair has the full right to participate in the debate, discussion and decision-making of the body. What the chair should do, however, is strive to be the last to speak at the discussion and debate stage. The chair should not make or second a motion unless the chair is convinced that no other member of the body will do so at that point in time.

### The Basic Format for an Agenda Item Discussion

Formal meetings normally have a written, often published agenda. Informal meetings may have only an oral or understood agenda. In either case, the meeting is governed by the agenda and the agenda constitutes the body's agreed-upon roadmap for the meeting. Each agenda item can be handled by the chair in the following basic format:

**First**, the chair should clearly announce the agenda item number and should clearly state what the agenda item subject is. The chair should then announce the format (which follows) that will be followed in considering the agenda item.

**Second**, following that agenda format, the chair should invite the appropriate person or persons to report on the item, including any recommendation that they might have. The appropriate person or persons may be the chair, a member of the body, a staff person, or a committee chair charged with providing input on the agenda item.

**Third**, the chair should ask members of the body if they have any technical questions of clarification. At this point, members of the body may ask clarifying questions to the person or persons who reported on the item, and that person or persons should be given time to respond.

**Fourth**, the chair should invite public comments, or if appropriate at a formal meeting, should open the public meeting for public input. If numerous members of the public indicate a desire to speak to the subject, the chair may limit the time of public speakers. At the conclusion of the public comments, the chair should announce that public input has concluded (or the public hearing, as the case may be, is closed).

**Fifth**, the chair should invite a motion. The chair should announce the name of the member of the body who makes the motion.

**Sixth**, the chair should determine if any member of the body wishes to second the motion. The chair should announce the name of the member of the body who seconds the motion. It is normally good practice for a motion to require a second before proceeding to ensure that it is not just one member of the body who is interested in a particular approach. However, a second is not an absolute requirement, and the chair can proceed with consideration and vote on a motion even when there is no second. This is a matter left to the discretion of the chair.

**Seventh**, if the motion is made and seconded, the chair should make sure everyone understands the motion.

This is done in one of three ways:

1. The chair can ask the maker of the motion to repeat it;
2. The chair can repeat the motion; or
3. The chair can ask the secretary or the clerk of the body to repeat the motion.

**Eighth**, the chair should now invite discussion of the motion by the body. If there is no desired discussion, or after the discussion has ended, the chair should announce that the body will vote on the motion. If there has been no discussion or very brief discussion, then the vote on the motion should proceed immediately and there is no need to repeat the motion. If there has been substantial discussion, then it is normally best to make sure everyone understands the motion by repeating it.

**Ninth**, the chair takes a vote. Simply asking for the “ayes” and then asking for the “nays” normally does this. If members of the body do not vote, then they “abstain.” Unless the rules of the body provide otherwise (or unless a super majority is required as delineated later in these rules), then a simple majority (as defined in law or the rules of the body as delineated later in these rules) determines whether the motion passes or is defeated.

**Tenth**, the chair should announce the result of the vote and what action (if any) the body has taken. In announcing the result, the chair should indicate the names of the members of the body, if any, who voted in the minority on the motion. This announcement might take the following form: “The motion passes by a vote of 3-2, with Smith and Jones dissenting. We have passed the motion requiring a 10-day notice for all future meetings of this body.”

### Motions in General

Motions are the vehicles for decision making by a body. It is usually best to have a motion before the body prior to commencing discussion of an agenda item. This helps the body focus.

Motions are made in a simple two-step process. First, the chair should recognize the member of the body. Second, the member of the body makes a motion by preceding the member’s desired approach with the words “I move ...”

A typical motion might be: “I move that we give a 10-day notice in the future for all our meetings.”

The chair usually initiates the motion in one of three ways:

1. **Inviting the members of the body to make a motion**, for example, “A motion at this time would be in order.”
2. **Suggesting a motion to the members of the body**, “A motion would be in order that we give a 10-day notice in the future for all our meetings.”
3. **Making the motion**. As noted, the chair has every right as a member of the body to make a motion, but should normally do so only if the chair wishes to make a motion on an item but is convinced that no other member of the body is willing to step forward to do so at a particular time.

### The Three Basic Motions

There are three motions that are the most common and recur often at meetings:

**The basic motion**. The basic motion is the one that puts forward a decision for the body’s consideration. A basic motion might be: “I move that we create a five-member committee to plan and put on our annual fundraiser.”

**The motion to amend.** If a member wants to change a basic motion that is before the body, they would move to amend it. A motion to amend might be: "I move that we amend the motion to have a 10-member committee." A motion to amend takes the basic motion that is before the body and seeks to change it in some way.

**The substitute motion.** If a member wants to completely do away with the basic motion that is before the body, and put a new motion before the body, they would move a substitute motion. A substitute motion might be: "I move a substitute motion that we cancel the annual fundraiser this year."

"Motions to amend" and "substitute motions" are often confused, but they are quite different, and their effect (if passed) is quite different. A motion to amend seeks to retain the basic motion on the floor, but modify it in some way. A substitute motion seeks to throw out the basic motion on the floor, and substitute a new and different motion for it. The decision as to whether a motion is really a "motion to amend" or a "substitute motion" is left to the chair. So if a member makes what that member calls a "motion to amend," but the chair determines that it is really a "substitute motion," then the chair's designation governs.

A "friendly amendment" is a practical parliamentary tool that is simple, informal, saves time and avoids bogging a meeting down with numerous formal motions. It works in the following way: In the discussion on a pending motion, it may appear that a change to the motion is desirable or may win support for the motion from some members. When that happens, a member who has the floor may simply say, "I want to suggest a friendly amendment to the motion." The member suggests the friendly amendment, and if the maker and the person who seconded the motion pending on the floor accepts the friendly amendment, that now becomes the pending motion on the floor. If either the maker or the person who seconded rejects the proposed friendly amendment, then the proposer can formally move to amend.

### Multiple Motions Before the Body

There can be up to three motions on the floor at the same time. The chair can reject a fourth motion until the chair has dealt with the three that are on the floor and has resolved them. This rule has practical value. More than three motions on the floor at any given time is confusing and unwieldy for almost everyone, including the chair.

When there are two or three motions on the floor (after motions and seconds) at the same time, the vote should proceed *first* on the *last* motion that is made. For example, assume the first motion is a basic "motion to have a five-member committee to plan and put on our annual fundraiser." During the discussion of this motion, a member might make a second motion to "amend the main motion to have a 10-member committee, not a five-member committee to plan and put on our annual fundraiser." And perhaps, during that discussion, a member makes yet a third motion as a "substitute motion that we not have an annual fundraiser this year." The proper procedure would be as follows:

*First*, the chair would deal with the *third* (the last) motion on the floor, the substitute motion. After discussion and debate, a vote would be taken first on the third motion. If the substitute motion *passed*, it would be a substitute for the basic motion and would eliminate it. The first motion would be moot, as would the second motion (which sought to amend the first motion), and the action on the agenda item would be completed on the passage by the body of the third motion (the substitute motion). No vote would be taken on the first or second motions.

*Second*, if the substitute motion *failed*, the chair would then deal with the second (now the last) motion on the floor, the motion to amend. The discussion and debate would focus strictly on the amendment (should the committee be five or 10 members). If the motion to amend *passed*, the chair would then move to consider the main motion (the first motion) as *amended*. If the motion to amend *failed*, the chair would then move to consider the main motion (the first motion) in its original format, not amended.

*Third*, the chair would now deal with the first motion that was placed on the floor. The original motion would either be in its original format (five-member committee), or if *amended*, would be in its amended format (10-member committee). The question on the floor for discussion and decision would be whether a committee should plan and put on the annual fundraiser.

### To Debate or Not to Debate

The basic rule of motions is that they are subject to discussion and debate. Accordingly, basic motions, motions to amend, and substitute motions are all eligible, each in their turn, for full discussion before and by the body. The debate can continue as long as members of the body wish to discuss an item, subject to the decision of the chair that it is time to move on and take action.

There are exceptions to the general rule of free and open debate on motions. The exceptions all apply when there is a desire of the body to move on. The following motions are not debatable (that is, when the following motions are made and seconded, the chair must immediately call for a vote of the body without debate on the motion):

**Motion to adjourn.** This motion, if passed, requires the body to immediately adjourn to its next regularly scheduled meeting. It requires a simple majority vote.

**Motion to recess.** This motion, if passed, requires the body to immediately take a recess. Normally, the chair determines the length of the recess which may be a few minutes or an hour. It requires a simple majority vote.

**Motion to fix the time to adjourn.** This motion, if passed, requires the body to adjourn the meeting at the specific time set in the motion. For example, the motion might be: "I move we adjourn this meeting at midnight." It requires a simple majority vote.

**Motion to table.** This motion, if passed, requires discussion of the agenda item to be halted and the agenda item to be placed on “hold.” The motion can contain a specific time in which the item can come back to the body. “I move we table this item until our regular meeting in October.” Or the motion can contain no specific time for the return of the item, in which case a motion to take the item off the table and bring it back to the body will have to be taken at a future meeting. A motion to table an item (or to bring it back to the body) requires a simple majority vote.

**Motion to limit debate.** The most common form of this motion is to say, “I move the previous question” or “I move the question” or “I call the question” or sometimes someone simply shouts out “question.” As a practical matter, when a member calls out one of these phrases, the chair can expedite matters by treating it as a “request” rather than as a formal motion. The chair can simply inquire of the body, “any further discussion?” If no one wishes to have further discussion, then the chair can go right to the pending motion that is on the floor. However, if even one person wishes to discuss the pending motion further, then at that point, the chair should treat the call for the “question” as a formal motion, and proceed to it.

When a member of the body makes such a motion (“I move the previous question”), the member is really saying: “I’ve had enough debate. Let’s get on with the vote.” When such a motion is made, the chair should ask for a second, stop debate, and vote on the motion to limit debate. The motion to limit debate requires a two-thirds vote of the body.

**Note:** A motion to limit debate could include a time limit. For example: “I move we limit debate on this agenda item to 15 minutes.” Even in this format, the motion to limit debate requires a two-thirds vote of the body. A similar motion is a *motion to object to consideration of an item*. This motion is not debatable, and if passed, precludes the body from even considering an item on the agenda. It also requires a two-thirds vote.

### Majority and Super Majority Votes

In a democracy, a simple majority vote determines a question. A tie vote means the motion fails. So in a seven-member body, a vote of 4-3 passes the motion. A vote of 3-3 with one abstention means the motion fails. If one member is absent and the vote is 3-3, the motion still fails.

All motions require a simple majority, but there are a few exceptions. The exceptions come up when the body is taking an action which effectively cuts off the ability of a minority of the body to take an action or discuss an item. These extraordinary motions require a two-thirds majority (a super majority) to pass:

**Motion to limit debate.** Whether a member says, “I move the previous question,” or “I move the question,” or “I call the question,” or “I move to limit debate,” it all amounts to an attempt to cut off the ability of the minority to discuss an item, and it requires a two-thirds vote to pass.

**Motion to close nominations.** When choosing officers of the body (such as the chair), nominations are in order either from a nominating committee or from the floor of the body. A motion to close nominations effectively cuts off the right of the minority to nominate officers and it requires a two-thirds vote to pass.

**Motion to object to the consideration of a question.** Normally, such a motion is unnecessary since the objectionable item can be tabled or defeated straight up. However, when members of a body do not even want an item on the agenda to be considered, then such a motion is in order. It is not debatable, and it requires a two-thirds vote to pass.

**Motion to suspend the rules.** This motion is debatable, but requires a two-thirds vote to pass. If the body has its own rules of order, conduct or procedure, this motion allows the body to suspend the rules for a particular purpose. For example, the body (a private club) might have a rule prohibiting the attendance at meetings by non-club members. A motion to suspend the rules would be in order to allow a non-club member to attend a meeting of the club on a particular date or on a particular agenda item.

### Counting Votes

The matter of counting votes starts simple, but can become complicated.

Usually, it’s pretty easy to determine whether a particular motion passed or whether it was defeated. If a simple majority vote is needed to pass a motion, then one vote more than 50 percent of the body is required. For example, in a five-member body, if the vote is three in favor and two opposed, the motion passes. If it is two in favor and three opposed, the motion is defeated.

If a two-thirds majority vote is needed to pass a motion, then how many affirmative votes are required? The simple rule of thumb is to count the “no” votes and double that count to determine how many “yes” votes are needed to pass a particular motion. For example, in a seven-member body, if two members vote “no” then the “yes” vote of at least four members is required to achieve a two-thirds majority vote to pass the motion.

What about tie votes? In the event of a tie, the motion always fails since an affirmative vote is required to pass any motion. For example, in a five-member body, if the vote is two in favor and two opposed, with one member absent, the motion is defeated.

Vote counting starts to become complicated when members vote “abstain” or in the case of a written ballot, cast a blank (or unreadable) ballot. Do these votes count, and if so, how does one count them? The starting point is always to check the statutes.

In California, for example, for an action of a board of supervisors to be valid and binding, the action must be approved by a majority of the board. (California Government Code Section 25005.) Typically, this means three of the five members of the board must vote affirmatively in favor of the action. A vote of 2-1 would not be sufficient. A vote of 3-0 with two abstentions would be sufficient. In general law cities in



California, as another example, resolutions or orders for the payment of money and all ordinances require a recorded vote of the total members of the city council. (California Government Code Section 36936.) Cities with charters may prescribe their own vote requirements. Local elected officials are always well-advised to consult with their local agency counsel on how state law may affect the vote count.

After consulting state statutes, step number two is to check the rules of the body. If the rules of the body say that you count votes of “those present” then you treat abstentions one way. However, if the rules of the body say that you count the votes of those “present and voting,” then you treat abstentions a different way. And if the rules of the body are silent on the subject, then the general rule of thumb (and default rule) is that you count all votes that are “present and voting.”

Accordingly, under the “present and voting” system, you would **NOT** count abstention votes on the motion. Members who abstain are counted for purposes of determining quorum (they are “present”), but you treat the abstention votes on the motion as if they did not exist (they are not “voting”). On the other hand, if the rules of the body specifically say that you count votes of those “present” then you **DO** count abstention votes both in establishing the quorum and on the motion. In this event, the abstention votes act just like “no” votes.

*How does this work in practice?  
Here are a few examples.*

Assume that a five-member city council is voting on a motion that requires a simple majority vote to pass, and assume further that the body has no specific rule on counting votes. Accordingly, the default rule kicks in and we count all votes of members that are “present and voting.” If the vote on the motion is 3-2, the motion passes. If the motion is 2-2 with one abstention, the motion fails.

Assume a five-member city council voting on a motion that requires a two-thirds majority vote to pass, and further assume that the body has no specific rule on counting votes. Again, the default rule applies. If the vote is 3-2, the motion fails for lack of a two-thirds majority. If the vote is 4-1, the motion passes with a clear two-thirds majority. A vote of three “yes,” one “no” and one “abstain” also results in passage of the motion. Once again, the abstention is counted only for the purpose of determining quorum, but on the actual vote on the motion, it is as if the abstention vote never existed — so an effective 3-1 vote is clearly a two-thirds majority vote.

Now, change the scenario slightly. Assume the same five-member city council voting on a motion that requires a two-thirds majority vote to pass, but now assume that the body **DOES** have a specific rule requiring a two-thirds vote of members “present.” Under this specific rule, we must count the members present not only for quorum but also for the motion. In this scenario, any abstention has the same force and effect as if it were a “no” vote. Accordingly, if the votes were three “yes,” one “no” and one “abstain,” then the motion fails. The abstention in this case is treated like a “no” vote and effective vote of 3-2 is not enough to pass two-thirds majority muster.

Now, exactly how does a member cast an “abstention” vote?

Any time a member votes “abstain” or says, “I abstain,” that is an abstention. However, if a member votes “present” that is also treated as an abstention (the member is essentially saying, “Count me for purposes of a quorum, but my vote on the issue is abstain.”) In fact, any manifestation of intention not to vote either “yes” or “no” on the pending motion may be treated by the chair as an abstention. If written ballots are cast, a blank or unreadable ballot is counted as an abstention as well.

Can a member vote “absent” or “count me as absent?” Interesting question. The ruling on this is up to the chair. The better approach is for the chair to count this as if the member had left his/her chair and is actually “absent.” That, of course, affects the quorum. However, the chair may also treat this as a vote to abstain, particularly if the person does not actually leave the dais.

### The Motion to Reconsider

There is a special and unique motion that requires a bit of explanation all by itself; the motion to reconsider. A tenet of parliamentary procedure is finality. After vigorous discussion, debate and a vote, there must be some closure to the issue. And so, after a vote is taken, the matter is deemed closed, subject only to reopening if a proper motion to consider is made and passed.

A motion to reconsider requires a majority vote to pass like other garden-variety motions, but there are two special rules that apply only to the motion to reconsider.

First, is the matter of timing. A motion to reconsider must be made at the meeting where the item was first voted upon. A motion to reconsider made at a later time is untimely. (The body, however, can always vote to suspend the rules and, by a two-thirds majority, allow a motion to reconsider to be made at another time.)

Second, a motion to reconsider may be made only by certain members of the body. Accordingly, a motion to reconsider may be made only by a member who voted in the majority on the original motion. If such a member has a change of heart, he or she may make the motion to reconsider (any other member of the body — including a member who voted in the minority on the original motion — may second the motion). If a member who voted in the minority seeks to make the motion to reconsider, it must be ruled out of order. The purpose of this rule is finality. If a member of minority could make a motion to reconsider, then the item could be brought back to the body again and again, which would defeat the purpose of finality.

If the motion to reconsider passes, then the original matter is back before the body, and a new original motion is in order. The matter may be discussed and debated as if it were on the floor for the first time.



### Courtesy and Decorum

The rules of order are meant to create an atmosphere where the members of the body and the members of the public can attend to business efficiently, fairly and with full participation. At the same time, it is up to the chair and the members of the body to maintain common courtesy and decorum. Unless the setting is very informal, it is always best for only one person at a time to have the floor, and it is always best for every speaker to be first recognized by the chair before proceeding to speak.

The chair should always ensure that debate and discussion of an agenda item focuses on the item and the policy in question, not the personalities of the members of the body. Debate on policy is healthy, debate on personalities is not. The chair has the right to cut off discussion that is too personal, is too loud, or is too crude.

Debate and discussion should be focused, but free and open. In the interest of time, the chair may, however, limit the time allotted to speakers, including members of the body.

Can a member of the body interrupt the speaker? The general rule is "no." There are, however, exceptions. A speaker may be interrupted for the following reasons:

**Privilege.** The proper interruption would be, "point of privilege." The chair would then ask the interrupter to "state your point." Appropriate points of privilege relate to anything that would interfere with the normal comfort of the meeting. For example, the room may be too hot or too cold, or a blowing fan might interfere with a person's ability to hear.

**Order.** The proper interruption would be, "point of order." Again, the chair would ask the interrupter to "state your point." Appropriate points of order relate to anything that would not be considered appropriate conduct of the meeting. For example, if the chair moved on to a vote on a motion that permits debate without allowing that discussion or debate.

**Appeal.** If the chair makes a ruling that a member of the body disagrees with, that member may appeal the ruling of the chair. If the motion is seconded, and after debate, if it passes by a simple majority vote, then the ruling of the chair is deemed reversed.

**Call for orders of the day.** This is simply another way of saying, "return to the agenda." If a member believes that the body has drifted from the agreed-upon agenda, such a call may be made. It does not require a vote, and when the chair discovers that the agenda has not been followed, the chair simply reminds the body to return to the agenda item properly before them. If the chair fails to do so, the chair's determination may be appealed.

**Withdraw a motion.** During debate and discussion of a motion, the maker of the motion on the floor, at any time, may interrupt a speaker to withdraw his or her motion from the floor. The motion is immediately deemed withdrawn, although the chair may ask the person who seconded the motion if he or she wishes to make the motion, and any other member may make the motion if properly recognized.

### Special Notes About Public Input

The rules outlined above will help make meetings very public-friendly. But in addition, and particularly for the chair, it is wise to remember three special rules that apply to each agenda item:

**Rule One:** Tell the public what the body will be doing.

**Rule Two:** Keep the public informed while the body is doing it.

**Rule Three:** When the body has acted, tell the public what the body did.



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# Simple Parliamentary Procedures Cheat Sheet

(Adapted from Rosenberg's Rules of Order: Simple Parliamentary Procedures for the 21<sup>st</sup> Century)

## Meeting Basics



## Motions 101

**Basic Motions**

- Basic motion on agenda item
- Motion to amend
- Substitute motion

**Meeting Motions**

- Motion to adjourn
- Motion to recess
- Motion to fix the time to adjourn
- Motion to table

**Super Majority Motions**

- Motion to limit debate
- Motion to close nominations
- Motion to object to the consideration of a question
- Motion to suspend the rules

A motion can be made and seconded by any member.

## Agenda Item Discussions

- 1. Announce Agenda Item:** Chair clearly states agenda item number and subject.
- 2. Reports and Recommendations:** Relevant speaker gives report and provides recommendations.
- 3. Questions and Answers:** Technical questions from members are asked and addressed.
- 4. Public Comment:** Chair allows public comment and input under the terms of the Board's policy for such comment.
- 5. Motions and Action Items:**
  - a. Motions Introduced:** Chair invites motion from body, and announces name of member introducing motion.
  - b. Seconds:** If motion is seconded, Chair announces name of seconding member.
  - c. Motions Clarified:** Seconded motion is clarified by maker of motion, Chair, or secretary/clerk.
  - d. Amendments and Substitutions:** Other members may propose amended or substitute motions.
  - e. Discussion and Vote:** Members discuss motion. Chair announces that vote will occur. Members vote on the last motion on the floor (a substitute motion) first, and if that does not pass, vote on the next-to-last motion, and so on.
  - f. Ayes and Nays:** Chair takes vote by asking for "ayes," "nays," or "abstentions." Unless super majority required, simple majority determines whether motion passes.
  - g. Results and Actions:** Chair announces result of vote and action the body has taken. Names of dissenters should be announced as well. *Example: "The motion passes by a vote of 3-2, with Smith and Jones dissenting. We have passed the motion requiring 10 days' notice for all future meetings of this governing body."*
- 6. Repeat:** Begin process again with next agenda item.

Section H, Item 9.

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**APPENDIX B CODE OF ETHICS (ADOPTED AS SECTION 1-5 OF CITY OF CLEARLAKE PERSONNEL REGULATIONS)**

**Section 1-5.1 Declaration of Policy:** Elected and appointed public officials and employees of the City of Clearlake (hereinafter referred to as CITY) at all levels are agents of the public purpose and conservators of the public trust. The proper operation of democratic government requires that public officials and employees be independent and impartial; that government decisions and policy be made in the proper channels of the governmental structure; that public office not be used for personal interest or gain; and that the public have confidence in the integrity of its government. The citizens of the City expect and must receive the highest standards of ethics from all agents responsible for the public trust, regardless of personal consideration. In recognition of these goals, there is hereby established a code of ethics for all public officials and employees whether elected or appointed, paid or unpaid. The purpose of this ordinance is to establish ethical standards of conduct for all such public officials and employees by setting forth those acts or actions that are compatible with the regulations as may be established are hereby declared to be in the best interest of the City and for the protection of the public health, safety and welfare of its citizens. (Res 89-31)

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**Section 1-5.2 Responsibilities of Public Office:** Public officials and employees, are agents of public trust and hold office as conservators of the public trust. They are bound to uphold the United States and State Constitution and to carry out impartially the laws of the nation, state and the City and thus to foster respect for all governments. They will strive to observe, in their official acts, the highest of standards of performance, and to discharge faithfully the duties of their office, regardless of personal consideration. (Res 89-31)

**Section 1-5.3 Dedicated Service:** All public officials and employees of the City owe a duty of loyalty to the political objectives expressed by the electorate and programs developed by the Council to attain those objectives. Public officials and employees shall adhere to the regulations, policies, procedures, rules of work and performance established, by the appropriate authority, as standards for their position.

Public officials and employees shall not exceed their authority or breach the law, or ask others to do so, and owe a duty to cooperate fully with other public officials and employees unless prohibited from so doing by law.

No public official or employee shall use his/her official position to improperly influence the decisions or recommendations which are made by City boards, committees, commissions, or in any other manner interfere with a board, committee, commission or staff's responsibility to act as an independent body or advisor to the City Council. Provisions of this section shall be interpreted to apply to public officials or employees which have been duly charged with the responsibility and duty to provide technical assistance, prepare reports and make recommendations or otherwise assist the board, committee or commission in fulfilling its responsibilities. (Res 89-31)

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**Section 1-5.4 Acceptance of Favors and Gratuities:** Persons in the public service shall not accept money, gifts, other consideration or favors from anyone for the performance of an act which they

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would be required or expected to perform in the regular course of their duties as provided by State law. (Res 89-31)

**Section 1-5.5 Fair and Equal Treatment: Personnel Recruitment and Appointment to Municipal Service:**

**a. Interest in appointments:** The canvassing of members of Council, directly or indirectly, in order to obtain preferential consideration in connection with any personnel recruitment or appointment to the municipal service, shall disqualify the candidate from consideration and appointment to municipal service.

**b. Use of public property:** No public official or employee shall request or permit the use of City owned vehicles, equipment, materials or property for personal convenience or profit, except when such services are available to the public generally or are provided as municipal policy for the use of such public official or employee in the conduct of official business.

**c. Obligations to citizens:** No public official or employee shall grant any special consideration, treatment or advantage to any citizen beyond that which is available to every other citizen.

(Res 89-31)

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**Section 1-5.6 Discrimination in Appointment:** No person shall be appointed to, removed from, or in any way favored or discriminated against with respect to any appointed position because of such person's race, color, age, religion, sex, national origin, political opinions, affiliations, or functional limitations as defined by applicable state or federal laws, if otherwise qualified for the position or office. This provision shall not be construed to impair administrative discretion in determining the requirements of a position or in a job assignment of a person holding such a position. (Res 89-31)

**Section 1-5.7 Political Activities:** No appointed or elected public official or employee shall orally, by letter or otherwise solicit or participate in the soliciting of any assessment, subscription or contribution to any political party on the premises of any governmental property owned by the City and shall at all times conform to the provisions of Section 3202 and 3203 of the Government Code of the State.

No public official or employee shall promise any appointment to any position with the city as a reward for any political activity.

The purpose of this section is to ensure that public officials, employees and citizens are free from solicitation of support, whether financial or endorsement, in the conduct of the public's and individual citizens business. (Res 89-31)

**Section 1-5.8 Use of Confidential Information:** Public officials and employees shall not use confidential information acquired by or available to them in the course of performance of their duties for speculation or personal gain. Nothing in this code shall be construed as preventing the

Presiding Officer from releasing results of a closed session as required by law. (Res 89-31)

**Section 1-5.9 Applicability of Resolution Provisions:** When a public official or employee has doubt as to the applicability of a provision of this code to a particular situations, he/she should apply to the appropriate city Public Official for an advisory opinion and be guided by that opinion when given. The public official or employee shall have the opportunity to present his/her interpretation of the facts at issue and of the applicable provisions of this code before such advisory opinion is made. The provisions of this code shall be operative in all instances covered by such provisions, except when superseded by applicable statute. Nothing in this code shall in any way contravene established Personnel Rules, Regulations, Policies or Memorandum of Understandings with employee associations. (Res 89-31)

**Section 1-5.10 Ex Parte Communication:** A communication concerning only the status of a pending matter shall not be regarded as an ex parte communication.

Any oral ex parte communication received by a public official or employee in matters where all interested parties are not privy to the communication shall be written down in substance by the recipient and shall be made a part of the record. (Res 89-31)

**Section 1-5.11 Avoidance of Impressions of Impropriety:** Public officials, whether appointed or elected, should conduct their for the impression that any such officials can be improperly influenced in the performance of his/her public duties. Such public officials should so conduct themselves as to maintain public confidence in their performance of the public trust in the government they present. Public officials shall strive to avoid even the appearance of conflict of interest between their public duties and private interests. (Res 89-31)

**Section 1-5.12 Standards of Conduct:** No public official or employee shall use such position to secure special privileges or exemptions for such person or others.

No public official or employee, in any matter before the Council, Board, or Commission which affects his financial interests or those of a business with which he is associated shall fail to disclose for the common good for the record such interest prior to any discussion or vote.

No public official or employee shall directly or indirectly receive, or agree to receive, any compensation, gift, reward, or gratuity in any matter or proceeding connected with, or related to, the duties of his/her office except as may be provided by law.

No public official or employee shall enter into any contract with the city except as specifically authorized by state statutes. Any public official or employee who has a proprietary interest in an agency doing business t with the city shall make known that interest in writing to the City Council and the City Clerk. (Res 89-31)

**Section 1-5.13 Disclosure:** Members of the City Council and designated members of city boards, committee, commissions and employees shall comply with financial disclosure requirements

pursuant to the Fair Political Reform Act of 1974 and the City of Clearlake Conflict of Interest. (Res 89-31)

**Section 1-5.14 Exception:** This code of ethics shall not be construed to require the filling of any information relating to any person’s connection with, or interest in, any professional society or any charitable, religious, social, fraternal, educational, recreational, public service, civic or political organization or any similar organization not conducted as a business enterprise or governmental agency, and which is not engaged in the ownership or conduct of a business enterprise or governmental agency. (Res 89-31)

**Section 1-5.15 Hearing Procedure:** Whenever a signed complaint is filed with the City Clerk, upon the recommendation of the City Manager, the matter will be placed on the agenda for hearing by the Council as part of a regular meeting. The City Clerk shall give at least ten (10) days written notice to the public official or unclassified employee involved. Where it appears that the public official or unclassified employee was served with notice of hearing but fails to appear either in person or by counsel, or fails to present or offer evidence, the Council may decide the matter upon the record or without taking any additional evidence. Any oral or documentary evidence may be received. However, the Mayor shall exclude irrelevant, immaterial or unduly repetitious evidence. The hearing need not be conducted according to technical rules relating to evidence or witnesses. Any relevant evidence may be considered if it is the sort of evidence on which reasonable persons are accustomed to relying on in the conduct of serious affairs.

The decision of the Council shall be final and must be by adopted legal findings on which the decision is based.

Hearings conducted for employees classified under Personnel Rules, Regulations and Policies or Memorandum of Understanding with employee associations shall follow the appropriate disciplinary procedures as covered by the Personnel Rules Regulations and Policies or Memorandum of Understanding. (Res 89-31)

**Section 1-5.16 Penalty for Violation:** In addition to any other penalties or remedies provided by law, any violations of the provisions of this section shall constitute a cause for suspension, removal from employment or other disciplinary action after notice and hearing conducted by the appropriate appointing authority, or in the case of the Council, a majority of such Council. (Res 89-31)

Appendix C

THE ETHICAL HAZARDS OF

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Appendix C

THE ETHICAL HAZARDS OF

CITY COUNCIL MEMBERS ATTENDING OTHER BOARD MEETINGS

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# The Ethical Hazards of City Council Members Attending Other Board Meetings

BY MICHAEL DEAN



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Michael Dean is a Sacramento-based principal and the ethics chair at the legal firm of Meyers Nave. Dean serves as city attorney for the City of Dixon and assistant city attorney for the cities of Colusa and Plymouth. He can be reached at [mdean@meyersnave.com](mailto:mdean@meyersnave.com). This column is provided as ethics advice and is not intended as legal advice.

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Imagine this scenario: The planning commission is considering whether to recommend a zoning change to the city council, which would allow more residential use in the downtown area and promote the mixed-use vision in its General Plan. Wouldn't any city council member want to know as much information as possible? Attending the planning commission meeting and listening to the perspectives of the city planner, engineer, architect, commission members and others might seem like a smart move. The council member may even be able to ask questions of the participants or give his or her views, thus learning about the issues and influencing the matter before it comes before the city council. In fact, city council members can often be found in the audience of planning commission meetings, listening to the proceedings and occasionally participating.

However, this may not be such a good idea. As innocent as a council member's motives may be, when he or she personally attends a planning commission meeting or another subordinate committee meeting, he or she may be crossing an ethical boundary. Council members do not violate any laws by *attending* commission meetings. However, they run the risk of:

- Potentially revealing a biased view, thereby causing their own disqualification should the matter at hand subsequently come before the council;
- Interfering with the role of the commission as an independent advisory body; and

- Not acting in accordance with the views of the city council as a whole.

Most city council members know to steer clear of the somewhat hazy legal boundaries that might cause them to be disqualified from the decision-making process due to bias. Many if not most planning commission or other commission decisions are merely advisory to or at least appealable to the city council. Because the right to due process is attached to many of these types of commission decisions, the participants in such proceedings have the right to an unbiased decision-maker at the city council level. A council member who comments at the commission meeting and indicates a firm position on a particular matter may be subjected to a challenge for bias when the same issue reaches the city council.

### **Related Ethical Challenges**

Beyond the issue of perceived bias, participating in a commission meeting raises other ethical questions. For instance, council members generally have the authority to remove a commission member. With this power, a council member's mere attendance at a meeting can be highly influential, especially when he or she makes his or her opinions known. Merely indicating that one is not speaking for the entire council, but rather providing one's own opinion, does not address the significant impact of the "boss" offering an opinion. This influence may also jeopardize a significant role of the commission, which is to provide independent recommendations or decisions to the city council. After all, none of the cities' commissions are required to exist; if the city council wants to have the role of decision-maker, it could take that role. But when a city establishes a commission, the city council has also by implication indicated its desire to have an independent body make decisions or recommendations. The presence of the appointing authority at the commission meetings affects that independence.

Likewise, in a council-manager form of government, the intent and expectation is that a city council will act as a whole, not as individuals. Council members normally receive the same information simultaneously from city staff or from their subordinate commissions or the public's testimony. This allows the council members as a body to draw conclusions in a fair and balanced way based upon the same factual foundation.

City council members may undermine this expectation when they individually attend meetings of a subordinate commission. To some extent the council member who attends collects information that will not reach other council members in quite the same way. Those council members who attend may also subtly influence either the outcome of the commission's deliberations or how the matter will eventually be presented before the council — in ways not available to the council members who did not attend the commission meeting.

Of course, council members do not completely give up their rights as private citizens, and they are both free to and expected to gather information relevant to the performance of their duties. They are entitled to attend a commission's public meeting. However, to remain firmly upright on the ethical tightrope, council members should wait until the commission makes its recommendation to the city council in its entirety — thus preserving the original intent of both the independent commission and the city council.

### **Alternatives to Attending in Person**

This does not deprive council members of the ability to learn what occurs at a commission meeting. A city council member may listen to most meetings online, on television or by using the city clerk's taped

recordings. Information can also be obtained by reading the commission's meeting minutes. A council member's personal presence at or participation in a commission meeting, on the other hand, could reveal a biased view, disrupt the independence of the commission or exert undue influence on the commission, regardless of the council member's intent. It is best avoided.

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**For More Information**

Learn more about bias in the October 2006 "Everyday Ethics" column, "When an Elected Official Feels Passionately About an Issue: Fair Process Requirements in Adjudicative Decision-Making."

APPENDIX D

THE GOLDEN RULE FOR NEW COUNCIL MEMBERS

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It is essential for elected officials to read the materials provided and come prepared for council meetings.

January 1, 2025 | **Features** | By Barbara Halliday and Jan Perkins

# The golden rules for new council members

*Barbara Halliday is a former council member, mayor, and planning commissioner for the city of Hayward. Barbara can be reached at [hallidahl@sbcglobal.net](mailto:hallidahl@sbcglobal.net). Jan Perkins*

*was city manager of Fremont and Morgan Hill and is now vice president of Raftelis, a local government and utilities consulting firm. Jan can be reached at [jperkins@raftelis.com](mailto:jperkins@raftelis.com).*

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Being a council member is harder than it looks from the outside. You need to know about a host of laws and court decisions — not to mention a multitude of acronyms, like EIR, CIP, and RHNA. You must wear many hats and make decisions on things you may have never heard of.

What is the appropriate role for you in each moment? How can you excel in the full range of the job? A strong council-manager relationship is a good way to start. Here are some basic rules to remember.

## **Don't do it alone**

Teamwork turns policy into practice. It is not your job to solve specific problems as an individual council member. The council sets policy and hires competent staff to carry it out. When you receive community complaints, understand that you have only one side of the story. The **city manager** should provide the council with an orderly way to handle community concerns. You should rely on that process and communicate to constituents that staff will handle their complaints according to council policy.

Great teammates share the credit: Acknowledge the hard work of your colleagues and staff. While you might have offered an idea that was implemented, you did not do it alone. It takes the council acting as a body, along with staff, to put your idea into action.

## Learn the art of compromise

Negotiation and compromise are at the heart of effective governance. Identify shared interests and focus on those. Remember: City staff are duty-bound to carry out the council's decisions. Do not go to staff to get a minority position implemented. If there is a disagreement within the council on a directive, collectively resolve the issue so that it is clear to all parties.

Make sure to follow California's **open meeting laws** in your communications with other council members. If you don't know the rules, ask the city attorney.

## Model civility and listen well

The way you act determines how things get done — if at all. Treat everyone with respect, **listen to understand**, communicate well, become informed about the issues, assume the best intent of others, and articulate your views with valid information.

These guidelines also apply to staff coming before the council with an agenda item and members of the public speaking at the podium. Know the rules for dealing with disruptive speakers and stay focused on the business at hand.



An essential quality of an effective council member is the ability to respect, listen to, and understand local issues and the public's concerns.

## Focus on the long-term

A council's job is to enhance the *entire* community over the long term while paying attention to the needs of today. Focus on improving the roads, water, public safety, planning, and the basic public services that people expect to function well. These fundamentals frequently comprise 90% or more of a city's budget.

A vision for the future, a multiyear strategic plan, and **long-range financial forecasting** provide context and a foundation for the council's decisions. Have a roadmap that the

council embraces and executive staff agrees can be executed. This can help manage public expectations and the many demands coming to the council. Don't promise what the city cannot do.

Council members often have ideas for a new initiative outside the goal-setting process. A majority vote of the council should decide whether to pursue the new idea, after hearing from staff on cost and feasibility. It's important to recognize that anything added to the staff's plate typically comes at the expense of something else.

## **Prepare yourself and others**

Read the materials provided and **come prepared for council meetings**. Ask staff questions before the meetings, even if you want the information provided at a meeting. Don't spring surprises on them at council meetings: *They are on your team*.

It's also your job to help residents understand how the system works. Problems that may appear to have simple solutions are often not simple at all. State and federal laws, court decisions, administrative agencies, and municipal charters can all tie the hands of councils.

## **Communicate through the city manager**

Think of the city manager as a chief executive managing a large organization. They are responsible for hiring professionals to do the work that supports council priorities. Communicating through the city manager helps ensure all council members receive the same information.

When individual council members contact department staff directly, they may receive

incomplete or wrong information. Staff can get confused as to who their boss is (it's *not* the council), which can blindside and undermine the city manager.

Follow the city manager's guidance on how the council should communicate with staff. When they give the green light to communicate with other staff, respect their guidelines, and understand that the only person you can hold accountable is the city manager.

As an employer, the council should take time for an evaluation conversation each year with the city manager and any other council-appointed positions. This is a good way to ensure that everyone is working in alignment.

## **Keep cool during turbulence**

Pandemics, fires, social unrest, earthquakes, and heavy rain events happen. Stay calm and trust the professional emergency managers. Expect the city manager to keep you informed, but don't interfere or get into the trenches. You need to show the public that you have confidence in the city's ability to respond. City staff should brief the council on the respective roles of staff and council during an emergency so there is no confusion in the moment.

## **Don't let the loud voices derail the council**

It's human nature to think that those who speak up are speaking for the entire community. They may or may not be. You represent many people who are just living their lives, taking their kids to school, going to work, and expecting city hall to take care of business. Don't let a few people with loud voices derail the council. Consider the entire community's interests when making decisions.



California city officials listening to a presentation during the 2024 Cal Cities City Leaders Summit.

## Learn something every day

We live in a fast-paced, complicated world. Assume that there is much you need to learn and go about doing it. Take advantage of opportunities through the **League of California Cities**, the **Institute for Local Government**, other local government associations, and others. Engage with people in neighboring communities. Keep up with changes to state laws and maintain good lines of communication with your legislative representatives.

## Give the council a tune-up

Set aside time to stop riding the bike and check it for loose parts. Consider an annual retreat to review meeting operations, the city’s strategic plan, and governance norms. Being effective as a council means not only having the “what” (policies, vision, goals, strategic plan) but the “how” (collegial teamwork, norms, and practices).

This is a good time to review the council’s procedures handbook for possible updates. Having a handbook that clearly states roles, norms for working together, procedures, and what constitutes a local issue subject to council consideration is worth its weight in gold. A good handbook can make meetings go smoothly, help the public understand when and how they can speak, and establish clear expectations for conduct.

Councils that **get the most done** have a clear vision, shared goals, and a collaborative relationship with the manager and staff based on respect, trust, communication, and accountability.

## **Keep the community in mind**

Each of you wants to leave your mark. But you cannot do that alone. It takes you, the rest of the council, staff, and all the residents you can get involved. Always consider what the right thing is to do for the well-being of the community and organization and keep your actions, personal and professional, above reproach.

When you’re finished, we hope you can look back and ask, “How did we get so much done?” And by the way: You can enjoy the journey while doing it.

*This is an update of 2005 article, “The Value of Getting Back to the Basics” by former Fremont Mayor Gus Morrison and Jan Perkins. Gus was a remarkable leader who treated everyone the same. He was all about the team in getting things done for the*

*community.*